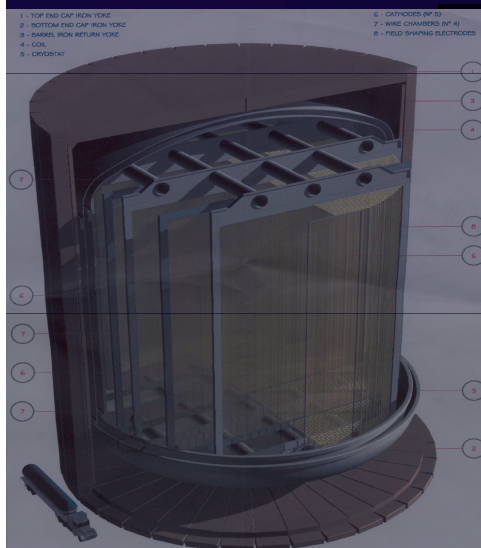
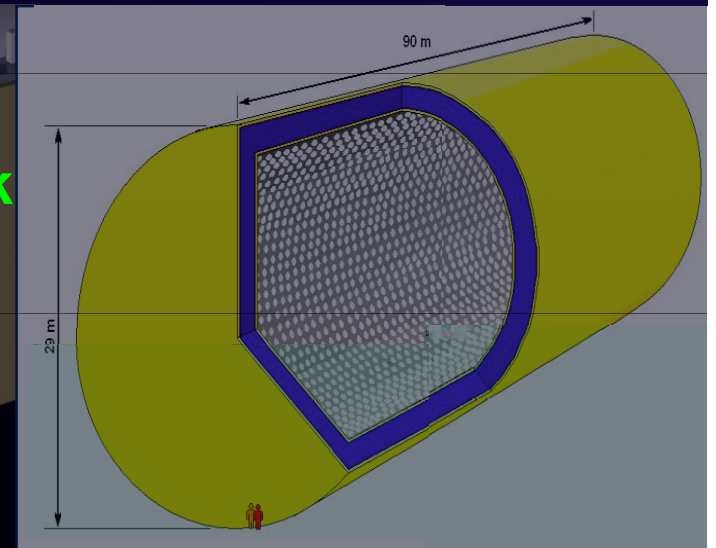


Future Large Underground Neutrino and Nucleon decay (NNN) Detectors



Chang Kee Jung
SUNY at Stony Brook

Neutrino 2004, Paris
June 17, 2004



Einstein's Dream: Unification of All Particle Interactions

Electricity

Magnetism

World Year of Physics 2005

(EM Interaction)

Weak theory

QCD

(Strong Interaction)

Terrestrial

Celestial

Gravitation

Unified

Electro-weak
theory

Einstein in the 21st Century

Can all the
forces in
nature be
unified in one
form?

Standard Model (SM)
= Unified Electro-weak theory + QCD
(not a truly unified theory)

we need experimental
breakthroughs such as:

- Proton decay
- SUSY particles
- Extras dimensions

Her Miraculous Year!

In celebration of Albert Einstein's *Miraculous Year*, the World Year
of Physics 2005 aims to inspire the public and inspire a new generation of scientists.

Visit www.physics2005.org to find out how you can get involved.

www.physics2005.org

© 2004
World Year of Physics 2005
Einstein image courtesy of the Albert Einstein Archives, Dept. of Manuscripts & Archives, The Jewish National & University Library

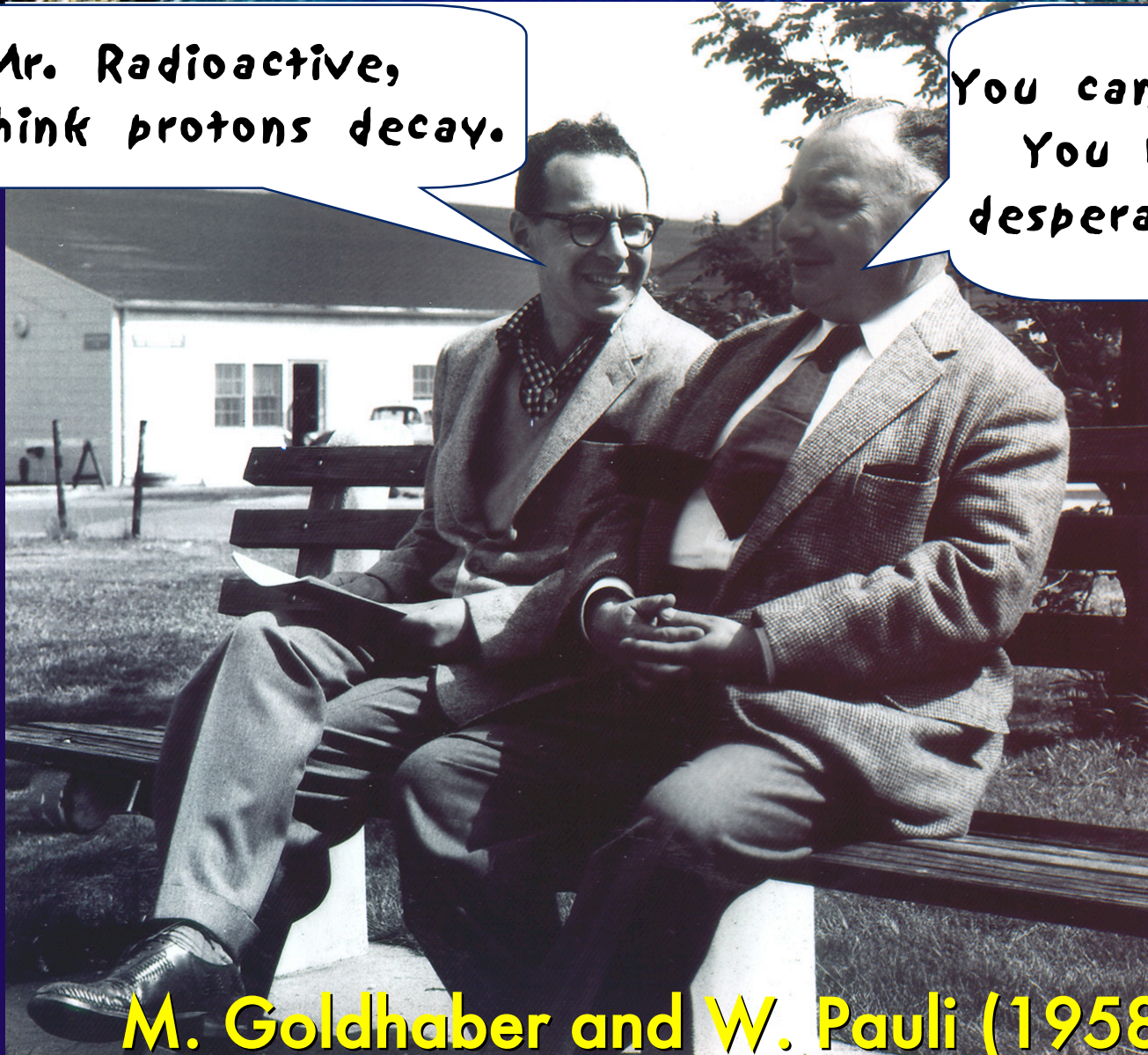
First Experimental Pursuit of Proton Decays

- 1954: M. Goldhaber (w/ Reines and Cowan, Jr.) publishes the first experimental result on proton lifetime inspired by “Continuous Creation” theory
 - using a liquid scintillator detector (shielded w/ paraffin+lead) containing $\sim 3 \times 10^{28}$ protons, he obtains lower limits on τ_p
 - $\tau_p > 10^{21}$ years (for free protons)
 - $\tau_p > 10^{22}$ years (for bound nucleons)

Proton decay meets Neutrino...

Mr. Radioactive,
I think protons decay.

You cannot be serious!
You must be more
desperate than I was.

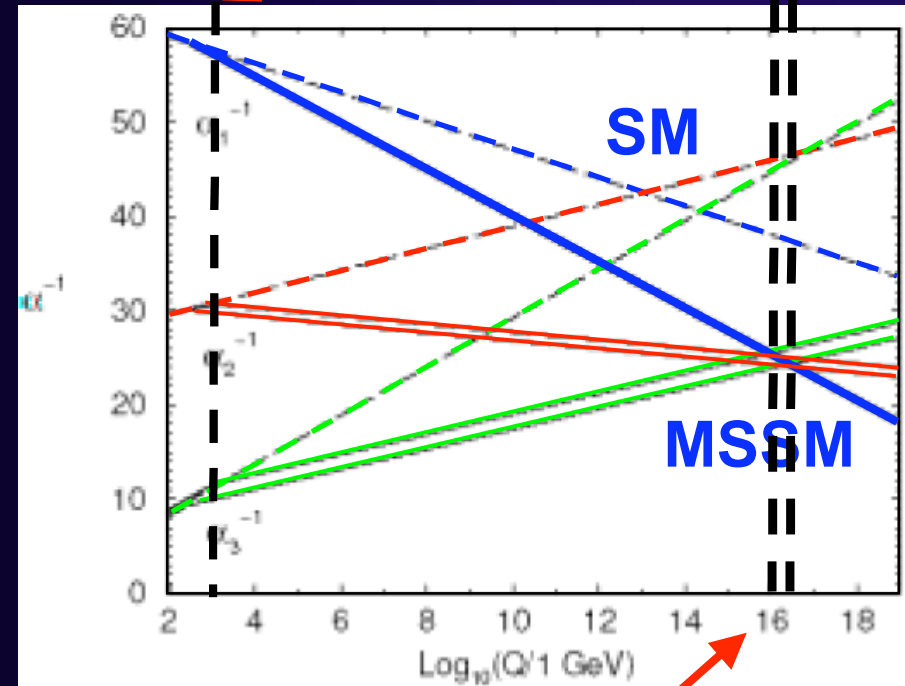


M. Goldhaber and W. Pauli (1958 at BNL)

Current Status

- So far, no evidence for proton decay
- However, there are tantalizing hints for unification
 - Small but finite neutrino mass
 - see-saw mechanism?
 - Convergence of the running coupling constants
 - Especially with supersymmetry

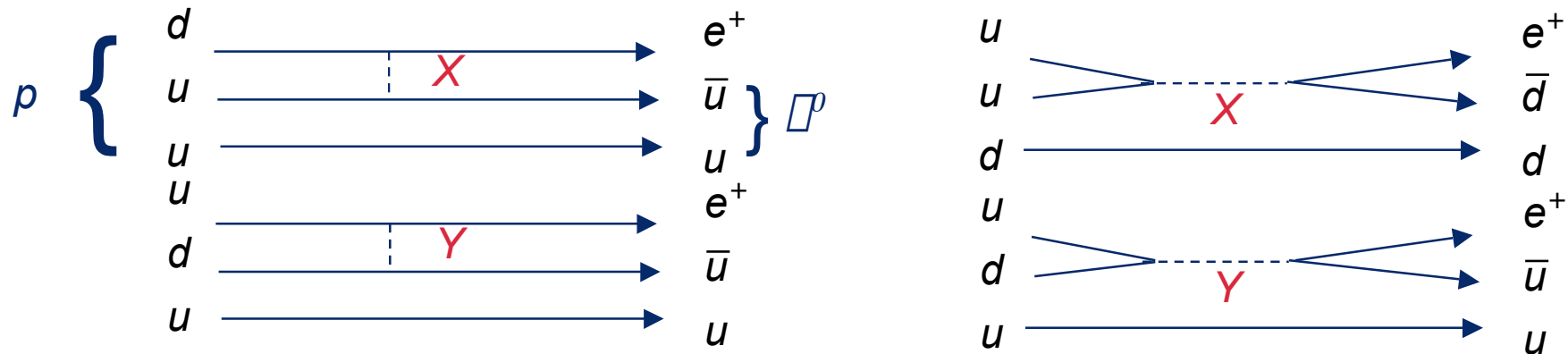
SUSY Breaking



$$M_{\text{GUT}} = 10^{16} \sim 2 \times 10^{16} \text{ GeV}$$

Proton decays in SUSY GUTs

- Dimension=6 operator gauge boson mediated decays



($X^{\pm 4/3}, Y^{\pm 1/3}$: new gauge bosons)

$$\tau_B(p \rightarrow e^+ \pi^0) \approx \left(\frac{2.5}{A_R} \right)^2 \left(\frac{0.04}{\alpha_{GUT}} \right)^2 \left(\frac{M_X}{10^{16} \text{ GeV}} \right)^4 \times 10^{35} \text{ years}$$

where $M_X \approx M_{GUT}$

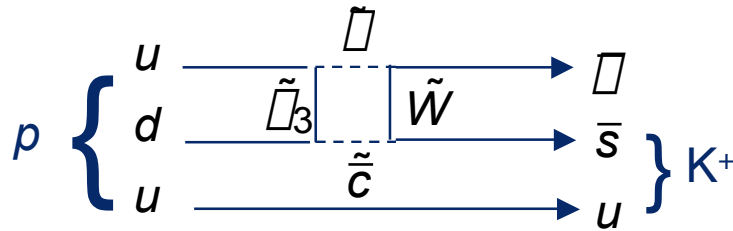
$\approx 10^{35}$ years

\approx within experimental reach (Marciano)

$$\tau_B(p \rightarrow e^+ \pi^0) > 5.4 \times 10^{33} \text{ yrs (current SuperK Lower Limit @90% C.L.)}$$

Continue: Proton decays in SUSY GUTs

- D=5 op. (color Higgs triplet, $q=1/3$) mediated decays
 - Dominating decay mode



$$M_3 \sim 3 \times 10^{16} \text{ GeV}, M_{\text{susy}} \sim 1 \text{ TeV}$$

$$\tau_B(p \rightarrow K^+ \pi^0) \approx \frac{M_{\tilde{q}}^2}{1 \text{ TeV}^2} \frac{M_3^2}{10^{16} \text{ GeV}^2} \dots 4 \times 10^{30} \text{ years}$$

$\approx 10^{34} \text{ years}$

Theory is seriously being challenged!

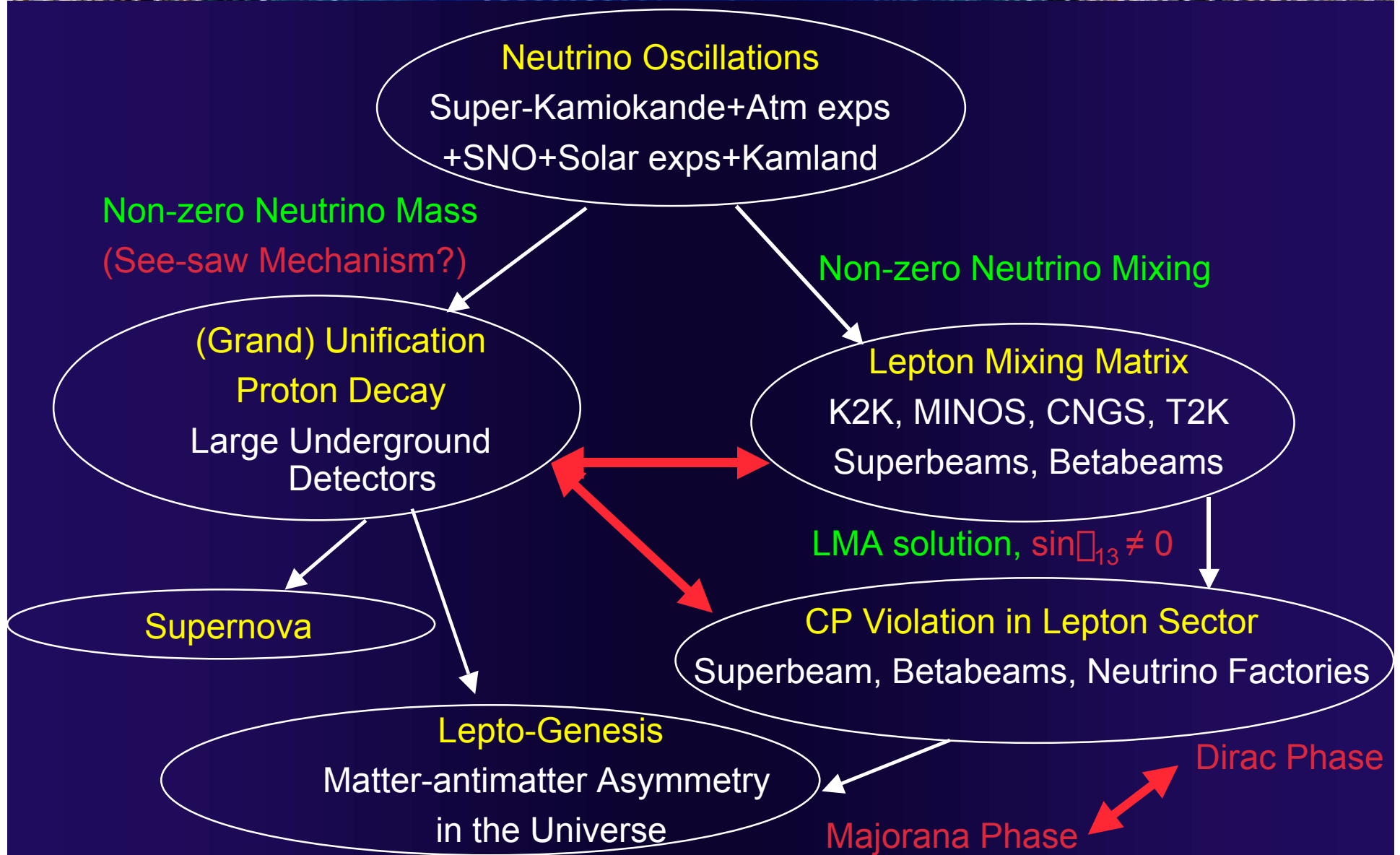
$$\tau_B(p \rightarrow K^+ \pi^0) > 2.3 \times 10^{33} \text{ yrs (Current SuperK Lower Limit @90% C.L.)}$$

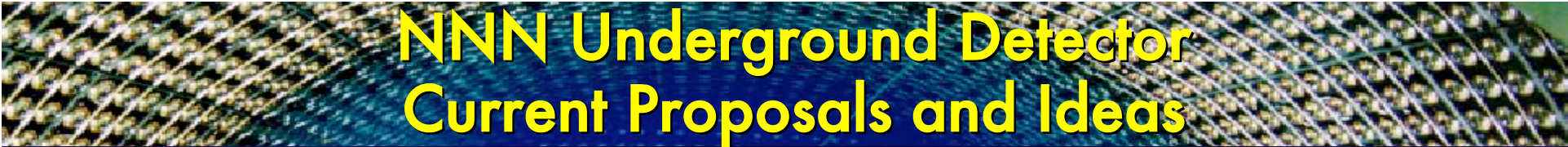


continue: SUSY GUTs

- Many models may have been already ruled out!
 - Especially MSSM SU(5) is considered to be completely ruled out (See: H. Murayama & A. Pierce, *Phys.Rev.D65:055009,2002*, hep-ph/0108104)
- Some say SUSY-SO(10) models are also in trouble.

1998 Neutrino Revolution and Physics Goals for NNN Experiments

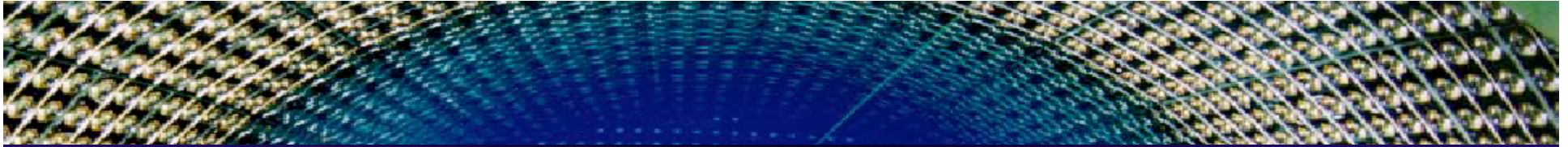




NNN Underground Detector Current Proposals and Ideas

- Water Cherenkov Detectors
 - 3-M, Hyper-Kamiokande, Mton-Frejus, UNO
- Liquid Argon Detectors
 - 100kton-Europe, LANDD
- Liquid Scintillator Detectors
 - LENA (a la SciPIO)
- Magnetized Iron Detectors
 - INO (India-based Neutrino Observatory: a la MONOLITH)
 - Focused on atmospheric neutrinos detection

(covered in H. Gallagher's talk)



Future Large Water Cherenkov Detectors

Design Considerations

- Goal: physics capability \square detector cost \square
- Topology and Size
 - Light attenuation length limit in pure water:
 - \square ~80 m at 400 nm
 - PMT pressure limit:
 - \square ~6 atm (60 m of water)
 - Largest possible width of underground cavity:
 - \square ~60 m
- \square **Single largest active module size: ~60m x 60m x 60m**
- PMT (photocathode) coverage
 - Need relatively high coverage (~ 40%) for low energy physics (solar and SRN), and 6 MeV \square detection from $p \square K^+$ in oxygen
 - Need fine granularity for LBL \square_e appearance experiments to reject \square^p background



Continue: Design Considerations

- Number and size of the modules for a fixed fiducial volume
 - Module size \square detector cost \square
 - \square Larger surface area to fiducial volume ratio
 - Requires more PMTs
 - \square Smaller fiducial to total volume ratio
 - \square Need more drifts and auxiliary/service space
 - typically excavation costs for drifts are more expensive than for large volume excavation
 - Module size \square Energy Containment \square
 - \square especially crucial in atm nu studies, such as L/E study
 - Module size \square Pattern Recognition Capability (with same photocathode coverage) \square
 - \square Keep the module size as large as possible



Detector Site Issues

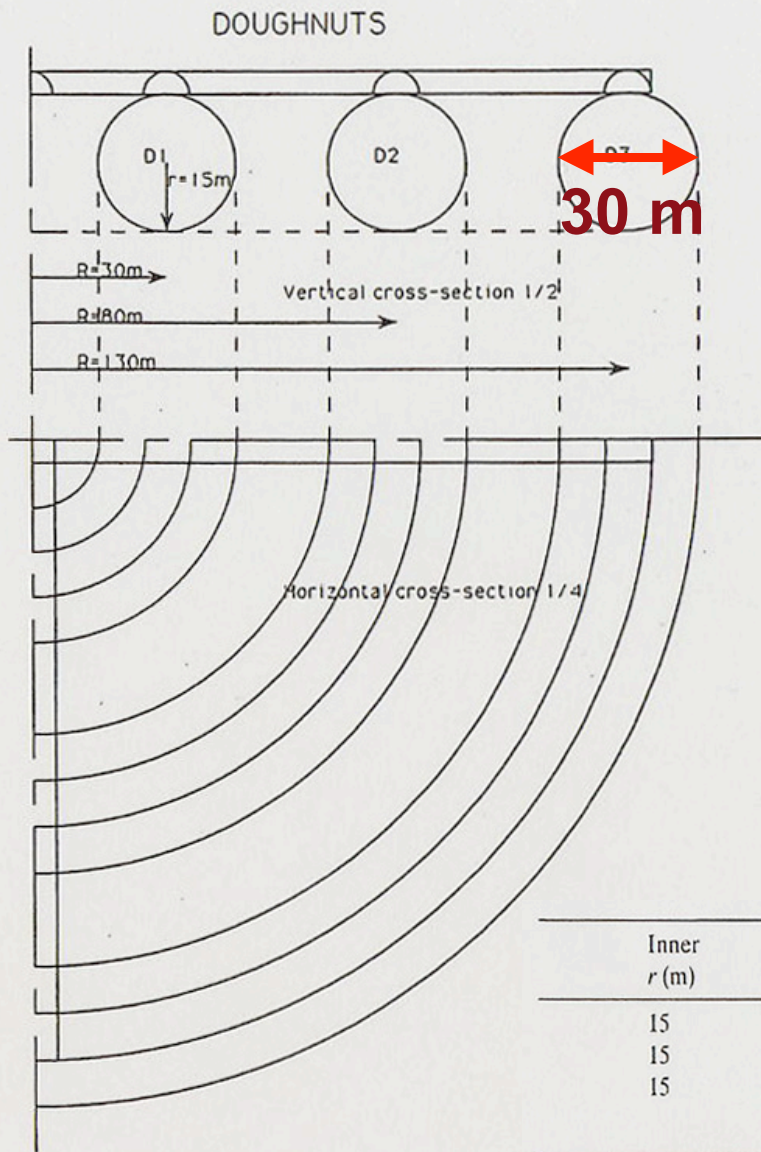
- Depth □
 - cosmogenic background □
 - rock instability □ rock temperature □ detector cost □
- Optimal Depth
 - ~4000 mwe (~5000 ft)
 - Driven by the SRN search and Solar nu study
 - Reduce spallation background
 - also reduce the risk of possible unknown B.G. to PDK searches at shallow depths
 - minimize detector dead time
 - keep some amount of cosmic rays for calibration purposes
- Distances from Major Proton Accelerator Labs
 - Different baselines present vastly different physics potential

DOUGHNUTS (a historical reference): The first megaton class detector discussed

Detector of Under-Ground Hideous Neutrinos
from Universe and from Terrestrial Sources

M. Koshiba, Phys. Rep. 220 (1992) 229

- Three concentric tori w/ 30 m cross-sectional diameter
- Relatively low photocathode coverage (6.5%)
- No veto region
- Total volume: 1.07 Mton
- Focused on astrophysical neutrino detection



Inner r (m)	Outer R (m)	Total M (t)	Fiducial M (t)	Hor. S (m^2)	Total S (m^2)	No. of PMTs	Cost in US\$
15	30	133 k	100 k	4.9 k	17.6 k	5.5 k	11.0 M
15	80	355 k	267 k	13.1 k	47.0 k	16.2 k	32.4 M
15	130	577 k	433 k	21.2 k	76.4 k	23.9 k	47.8 M
		1.07 M	0.80 M	39.2 k	141 k	45.6 k	91.2 M

UNO Conceptual Baseline Design (proposed at NNN99)

A Water Cherenkov Detector

optimized for:

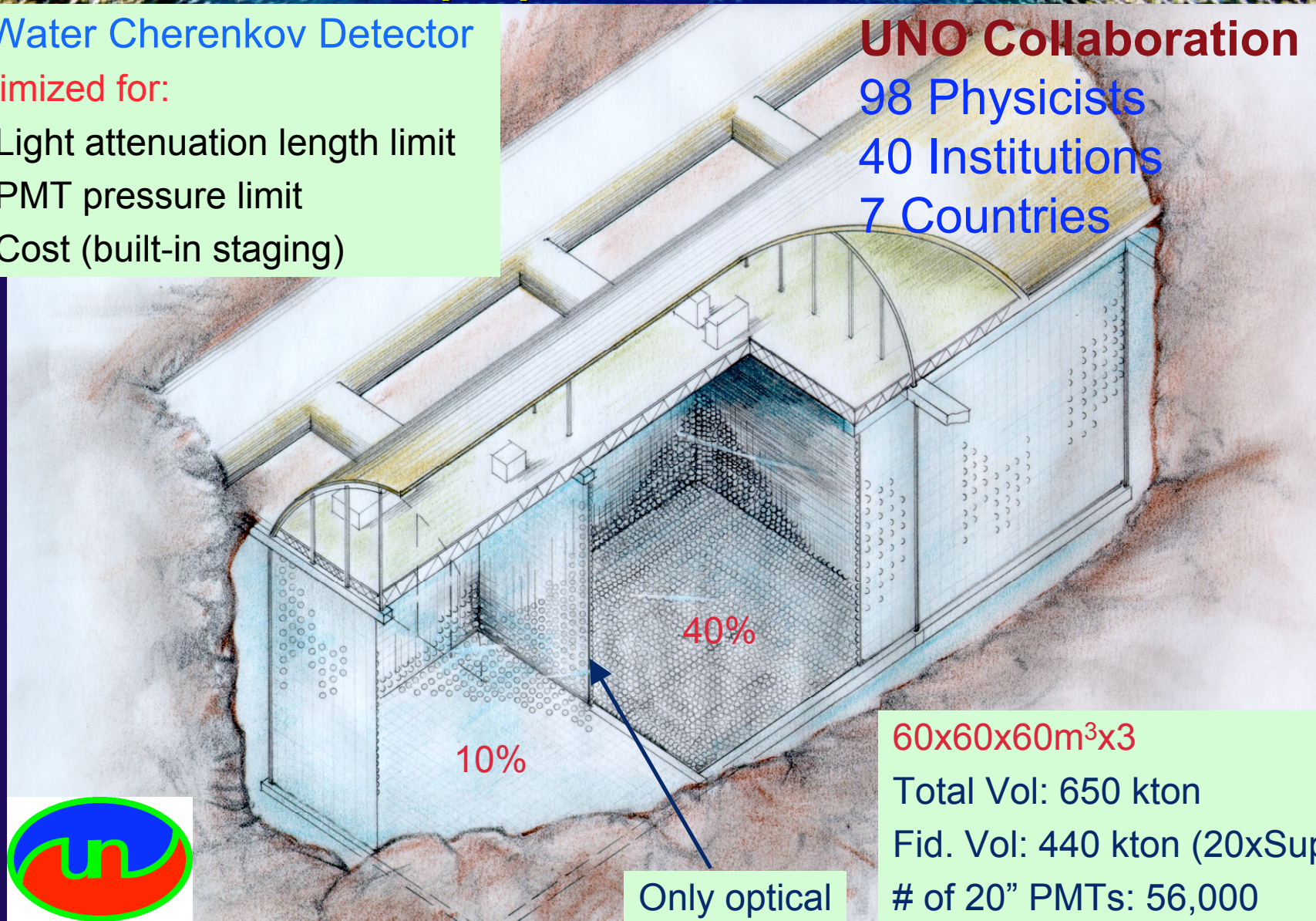
- Light attenuation length limit
- PMT pressure limit
- Cost (built-in staging)

UNO Collaboration

98 Physicists

40 Institutions

7 Countries



10%

40%

Only optical
separation

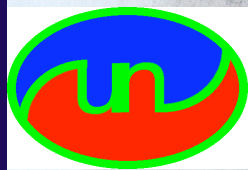
60x60x60m³x3

Total Vol: 650 kton

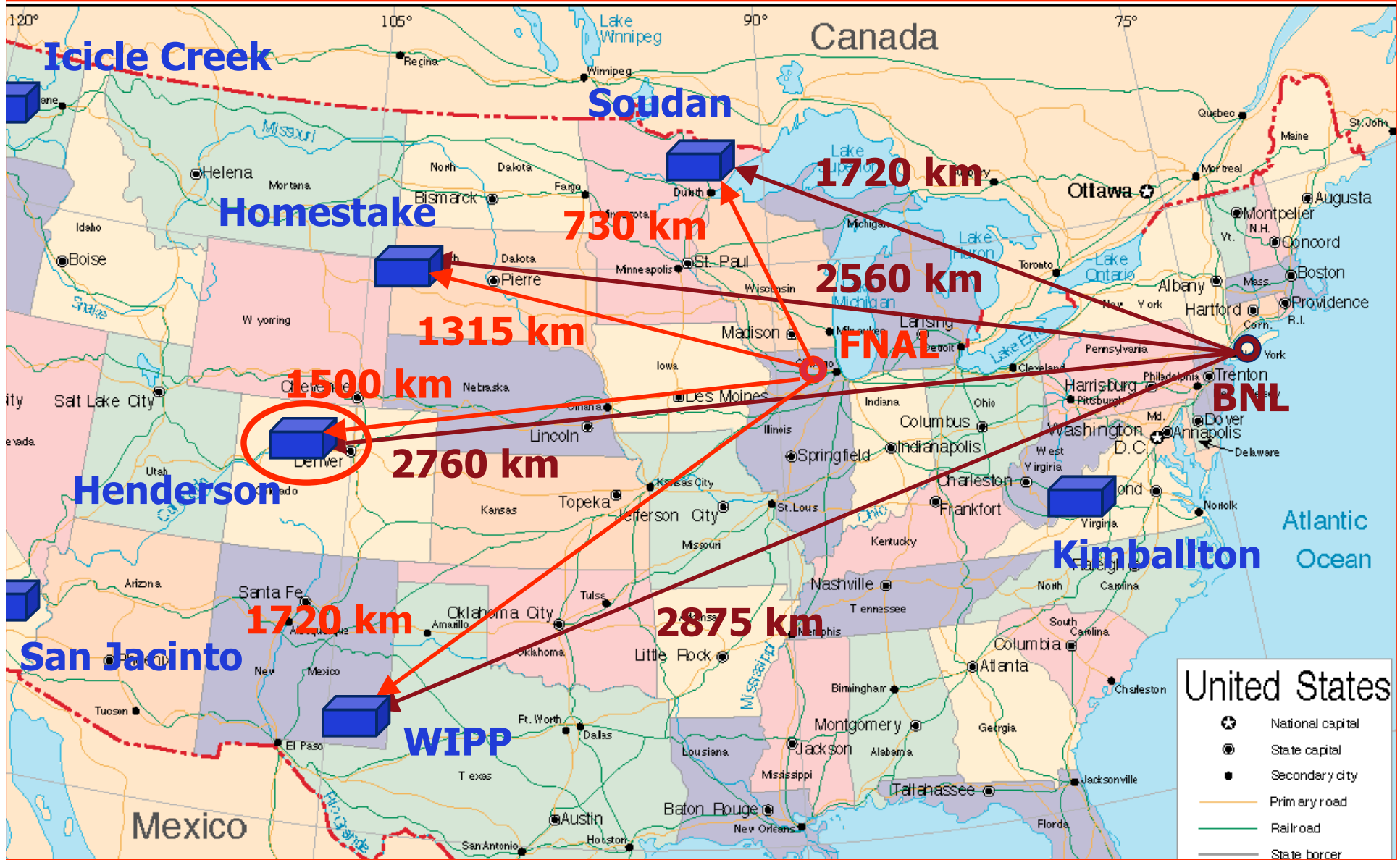
Fid. Vol: 440 kton (20xSuperK)

of 20" PMTs: 56,000

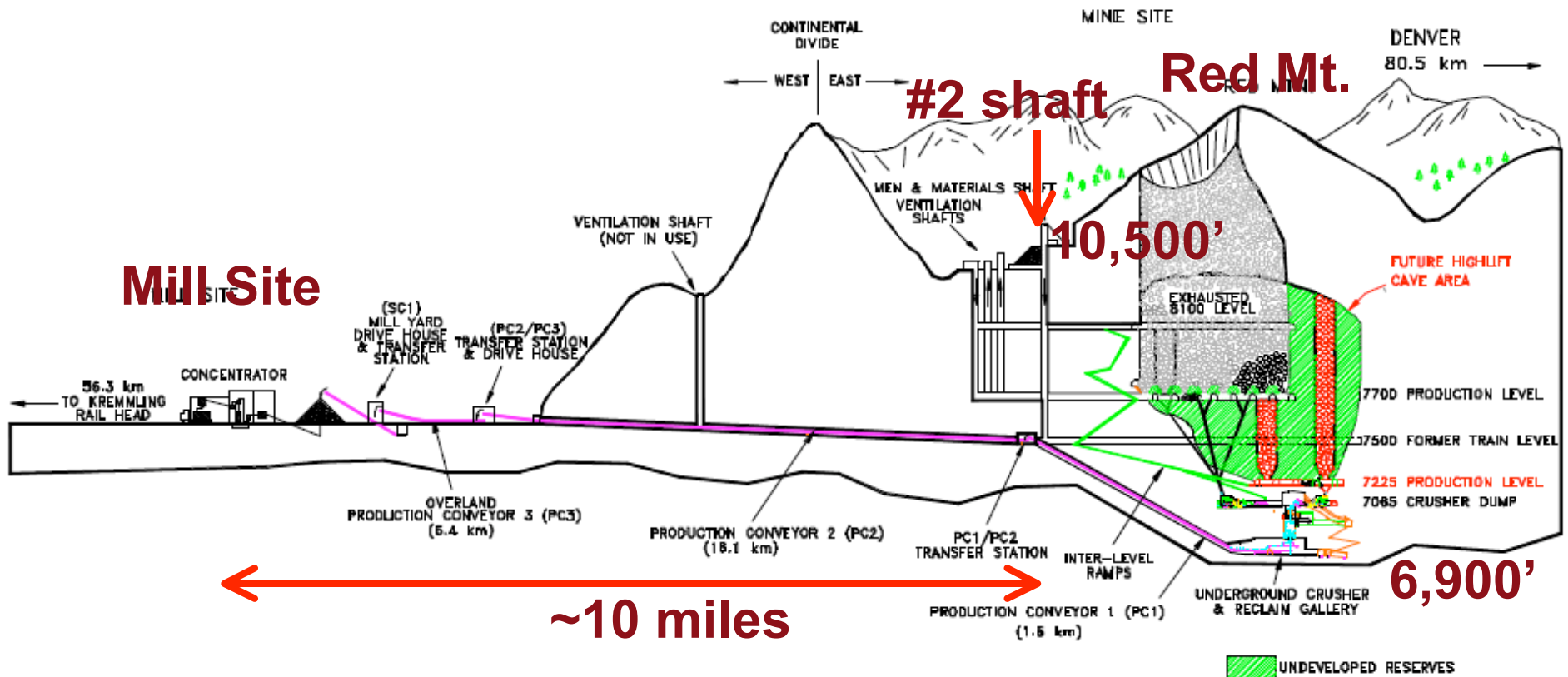
of 8" PMTs: 14,900



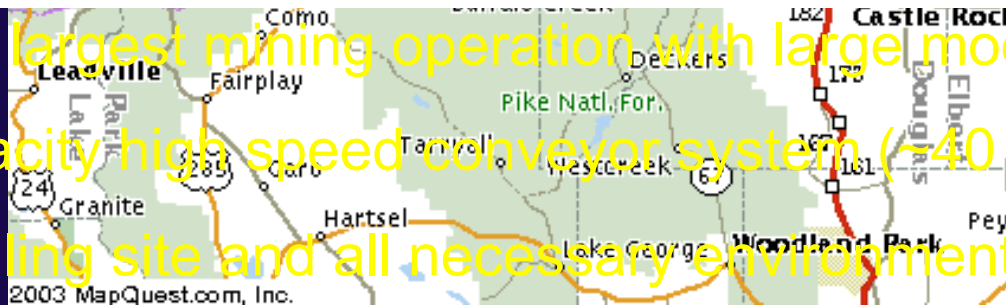
US DUSEL Candidate Sites and Potential Superbeam Experiments



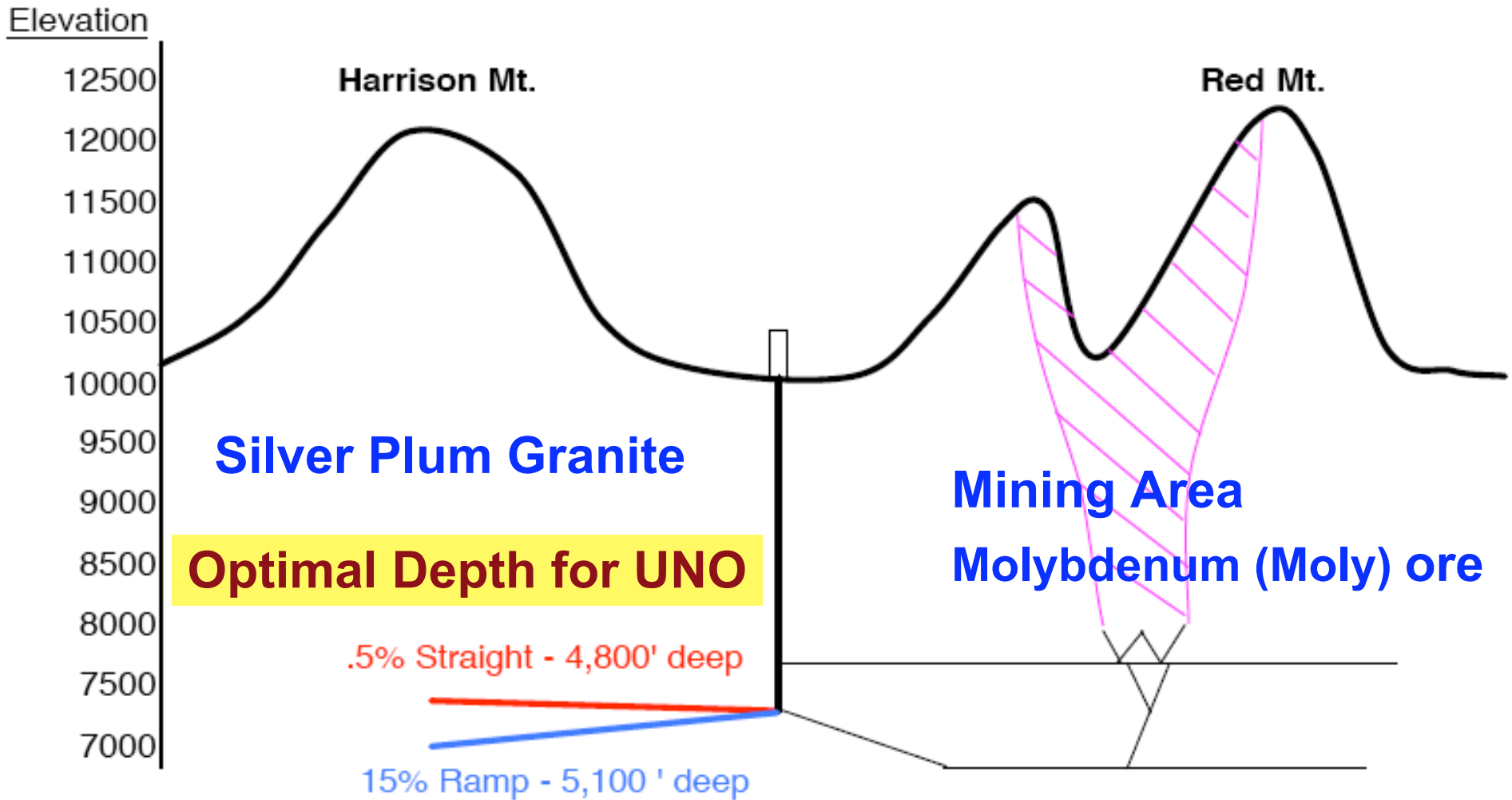
The Henderson Mine, Empire, Colorado



- One of the largest mining operations with large modern shafts
- Large capacity high speed conveyor system (~40 kton/day)
- Existing tailing site and all necessary environmental permits

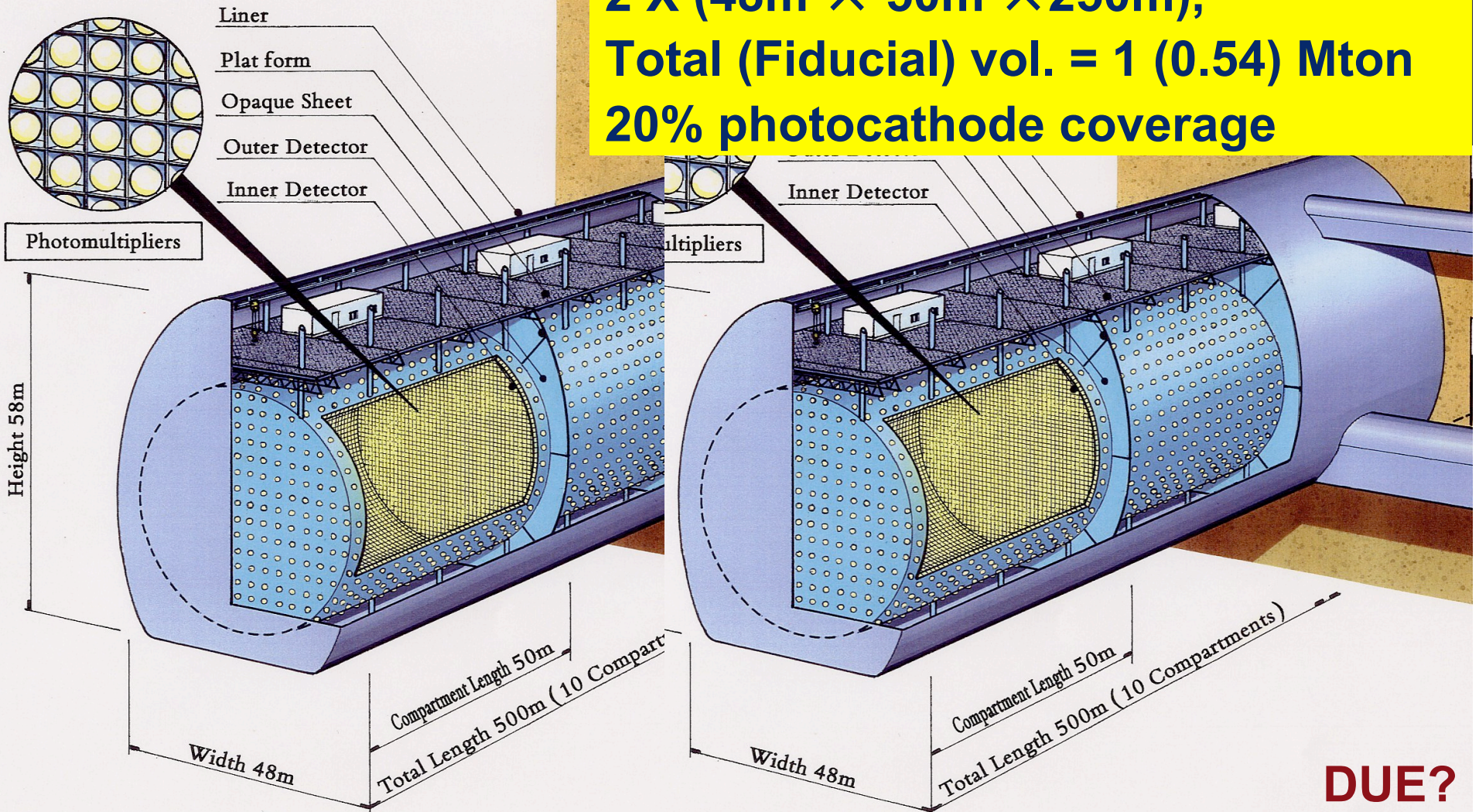


Possible Depths for UNO at Henderson



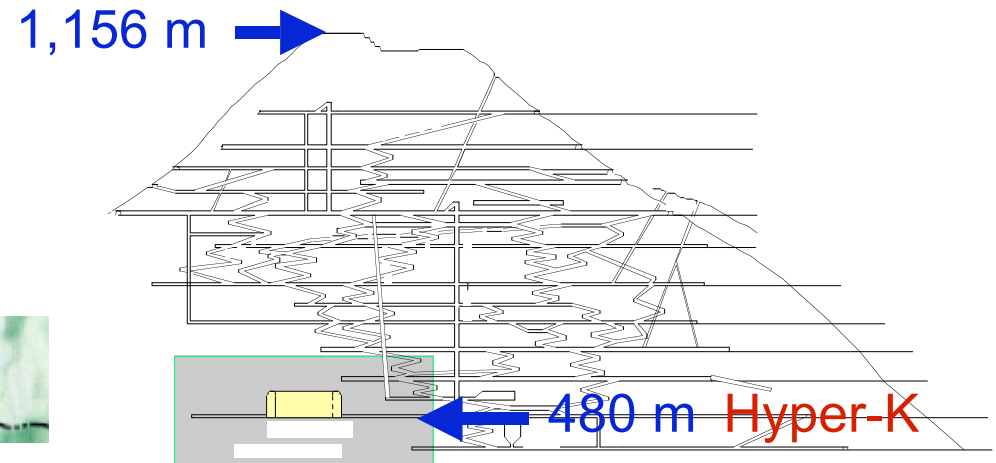
Hyper-Kamiokande Current Design

2 detectors with 5 modules each
2 X (48m X 50m X 250m),
Total (Fiducial) vol. = 1 (0.54) Mton
20% photocathode coverage



DUE?

Hyper-K Candidate Site: Tochibora Mine



Super-K (Depth:2700mwe)

8km

295km

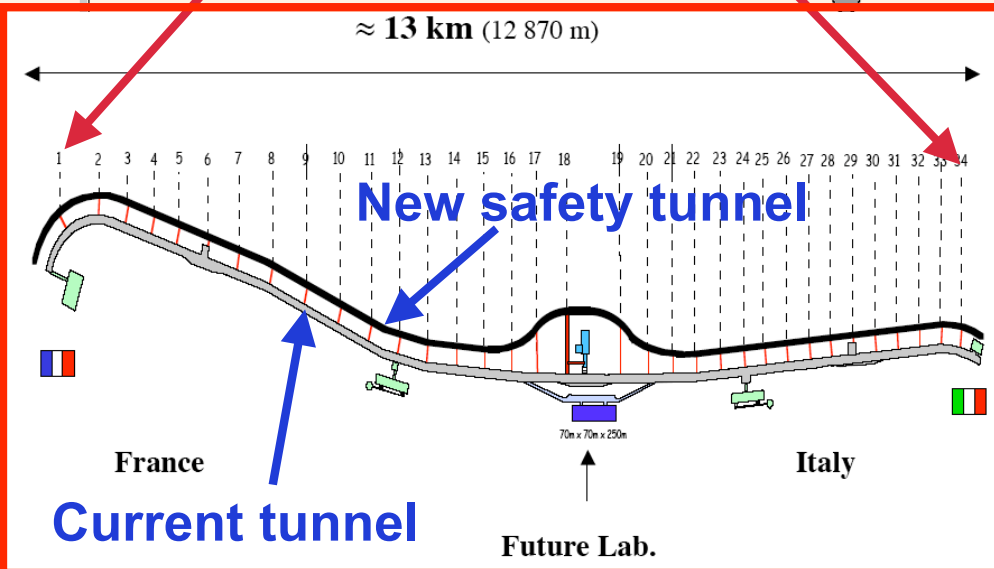
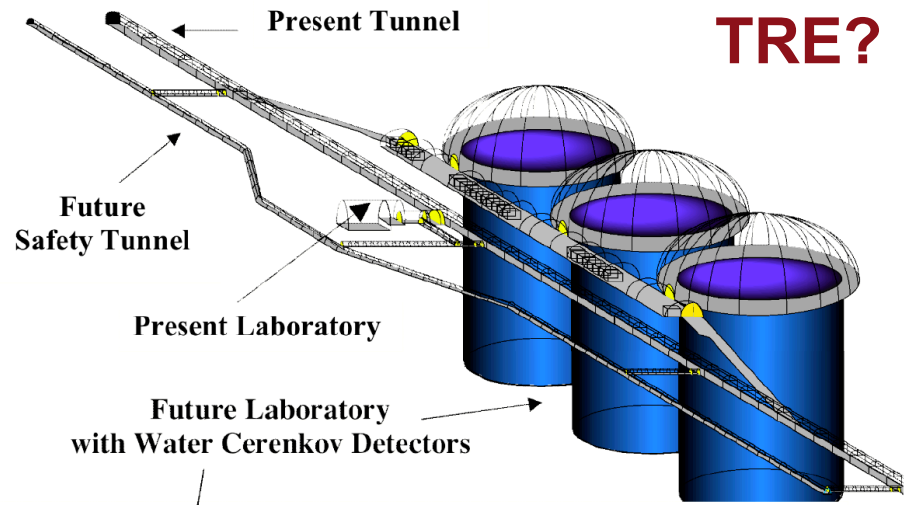
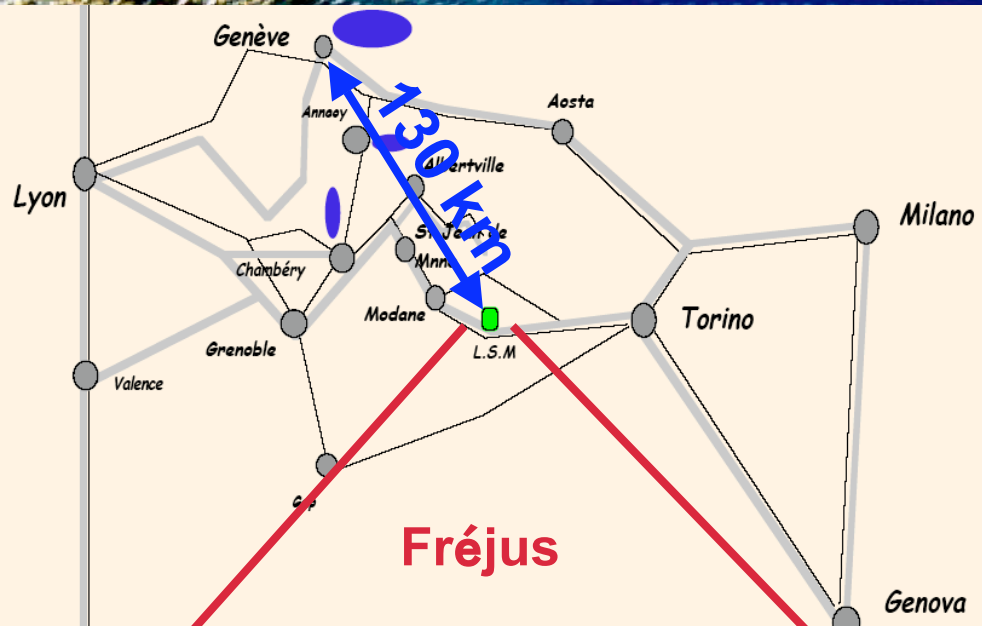


JHF

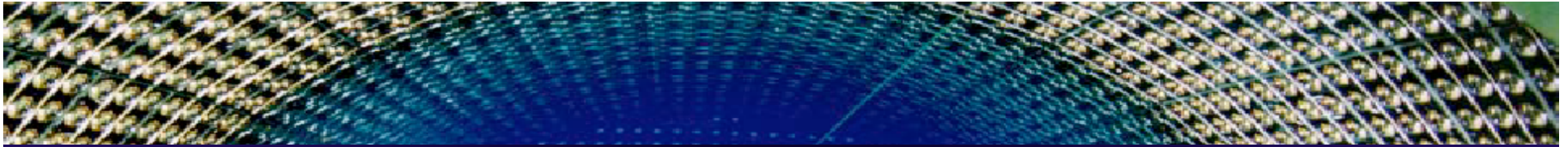
Hyper-K (Depth: 1400 – 1900mwe not decided yet)
(Tochibora-mine of the Kamioka mining company)

Physics focus: CPV, proton decay, supernova burst

1 Mton Class WC Detector at Fréjus



- Considered in conjunction w/ an ambitious CERN "Physics with a MMW proton source" initiative
- Window of opportunity with the planned new safety tunnel construction
- Variety of detector design is considered: 3 detectors, UNO-like detector, etc.



**No proposals for
Quattro**

...

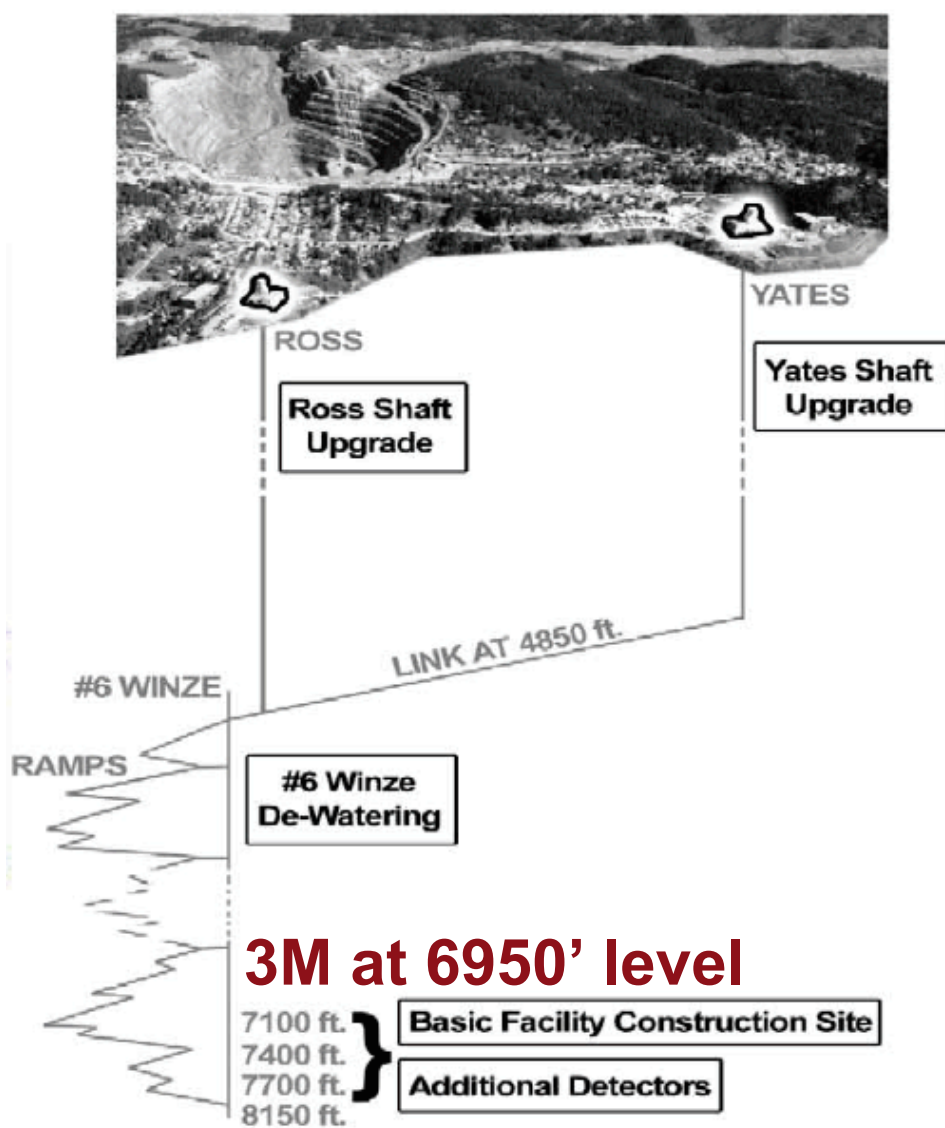
...

...

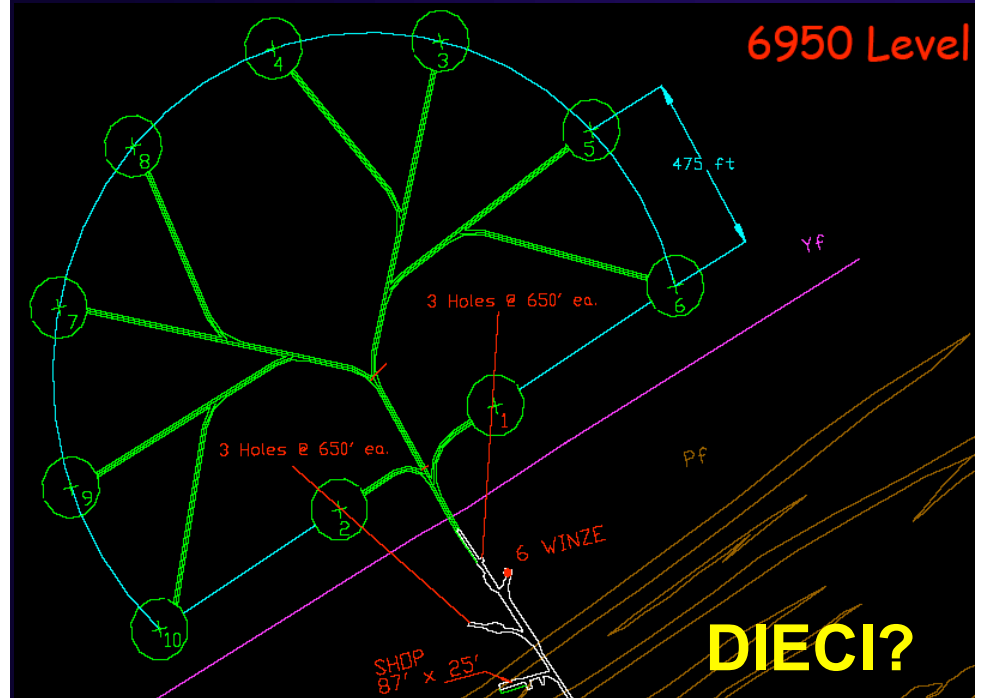
...

**Nove
Detectors, yet!
However...**

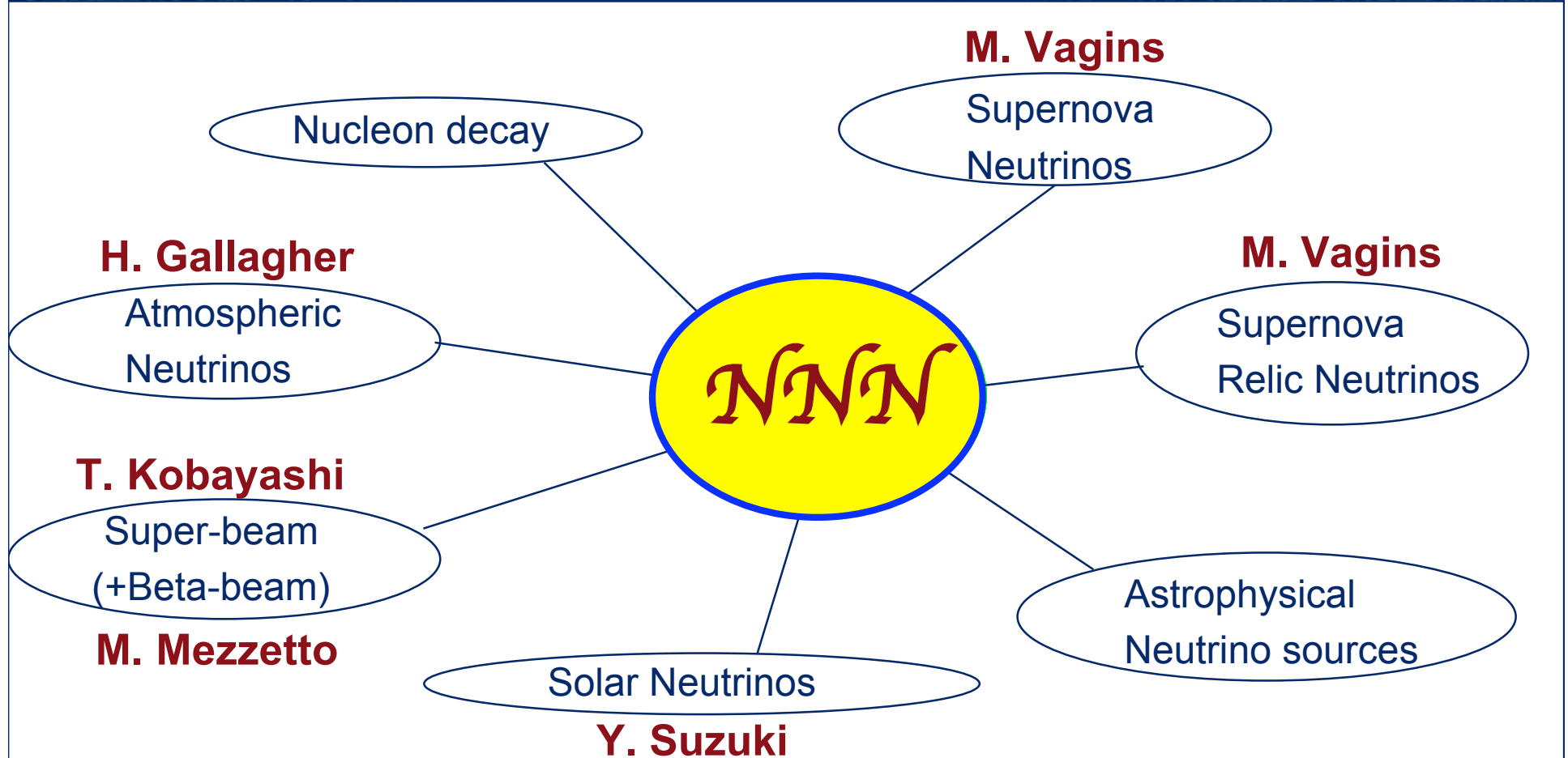
3M Detector at Homestake Mine



- 10 cylindrical detectors (50m x 50m²), 100 kton each
- Total (Fiducial) vol.: 1 (0.8) Mton
- No veto region
- 10% photocathode coverage



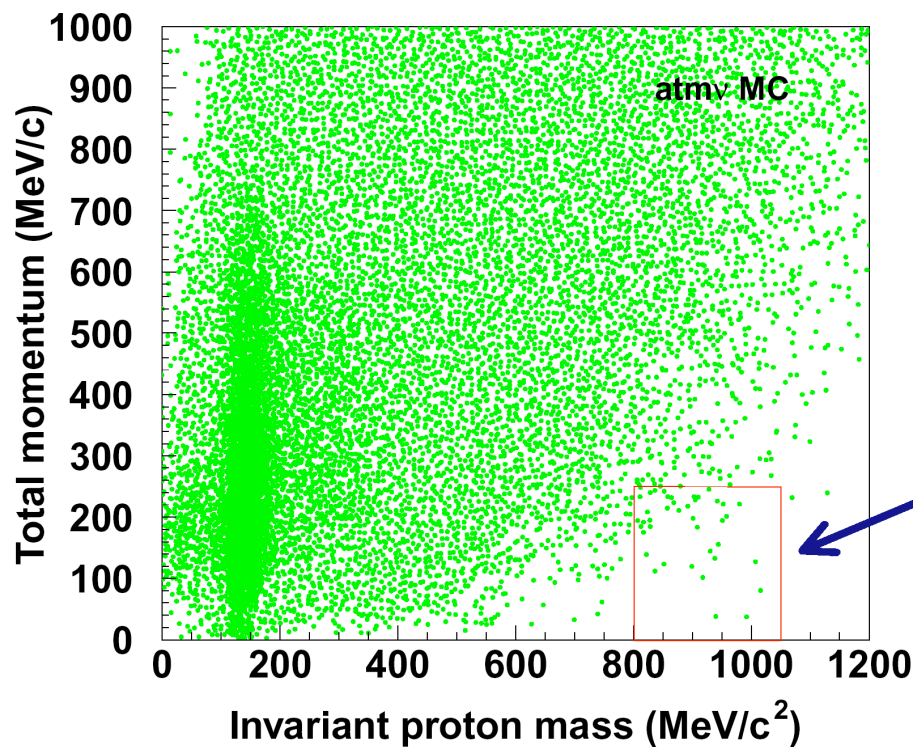
UNO Physics Goals



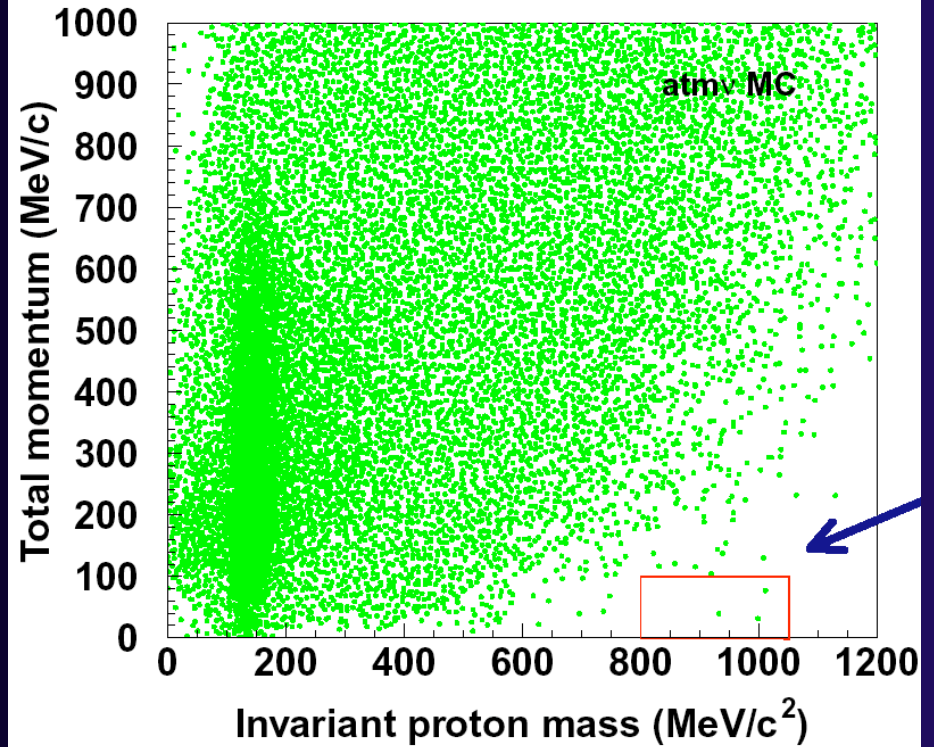
- Multi-purpose detector with comprehensive physics programs for astrophysics, nuclear physics and particle physics
- Synergy between accelerator physics and non-accelerator physics

$p \rightarrow e^+ \pi^0$ Search Background

20 Mton-yr Atm nu Background MC



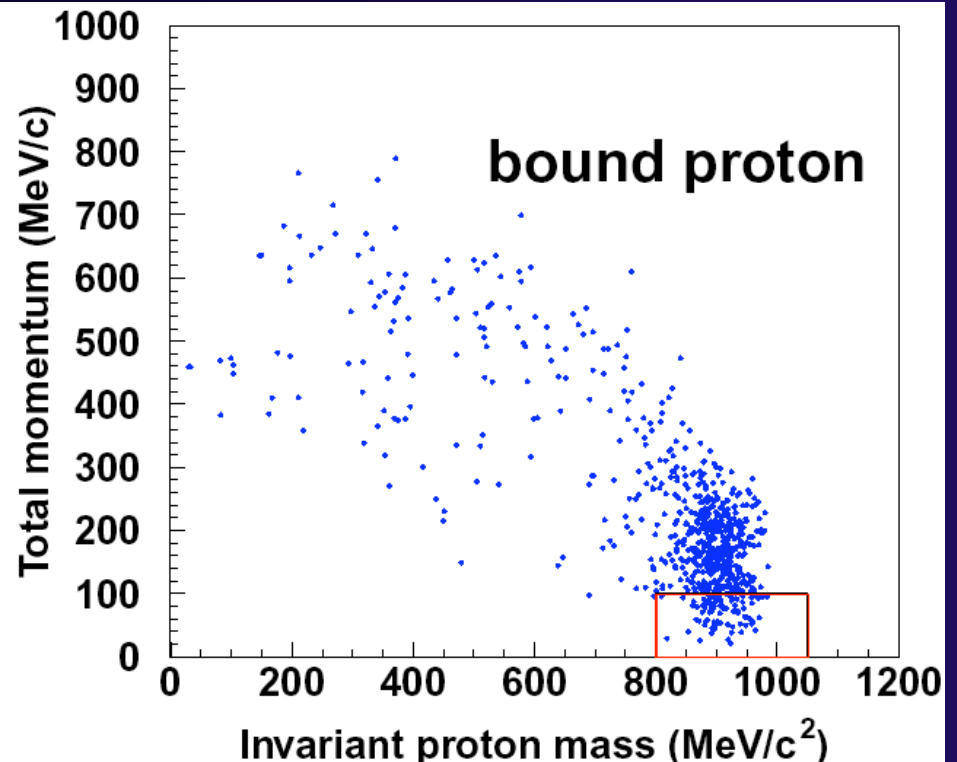
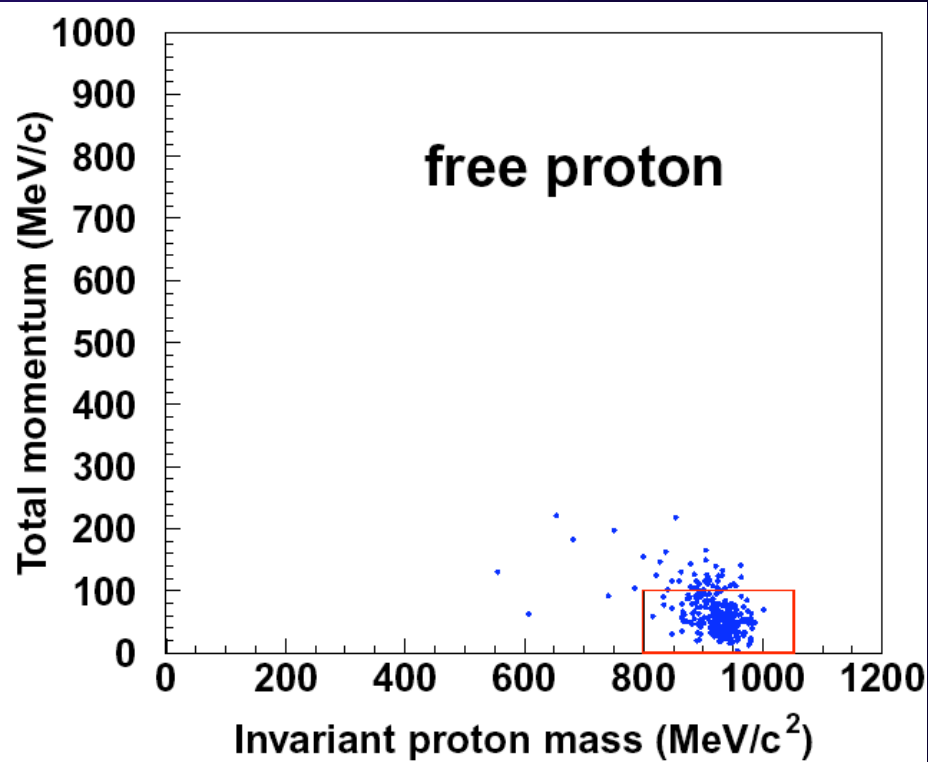
SuperK Standard Cuts
==> 2.2 events/Mton-yr
==> signal eff.: 43.0%



Tighter Momentum Cut
==> 0.15 events/Mton-yr
==> signal eff.: 17.4%

$p \rightarrow e^+ \bar{\nu}^0$ Search Signal

Signal Events w/ Tighter Momentum Cut



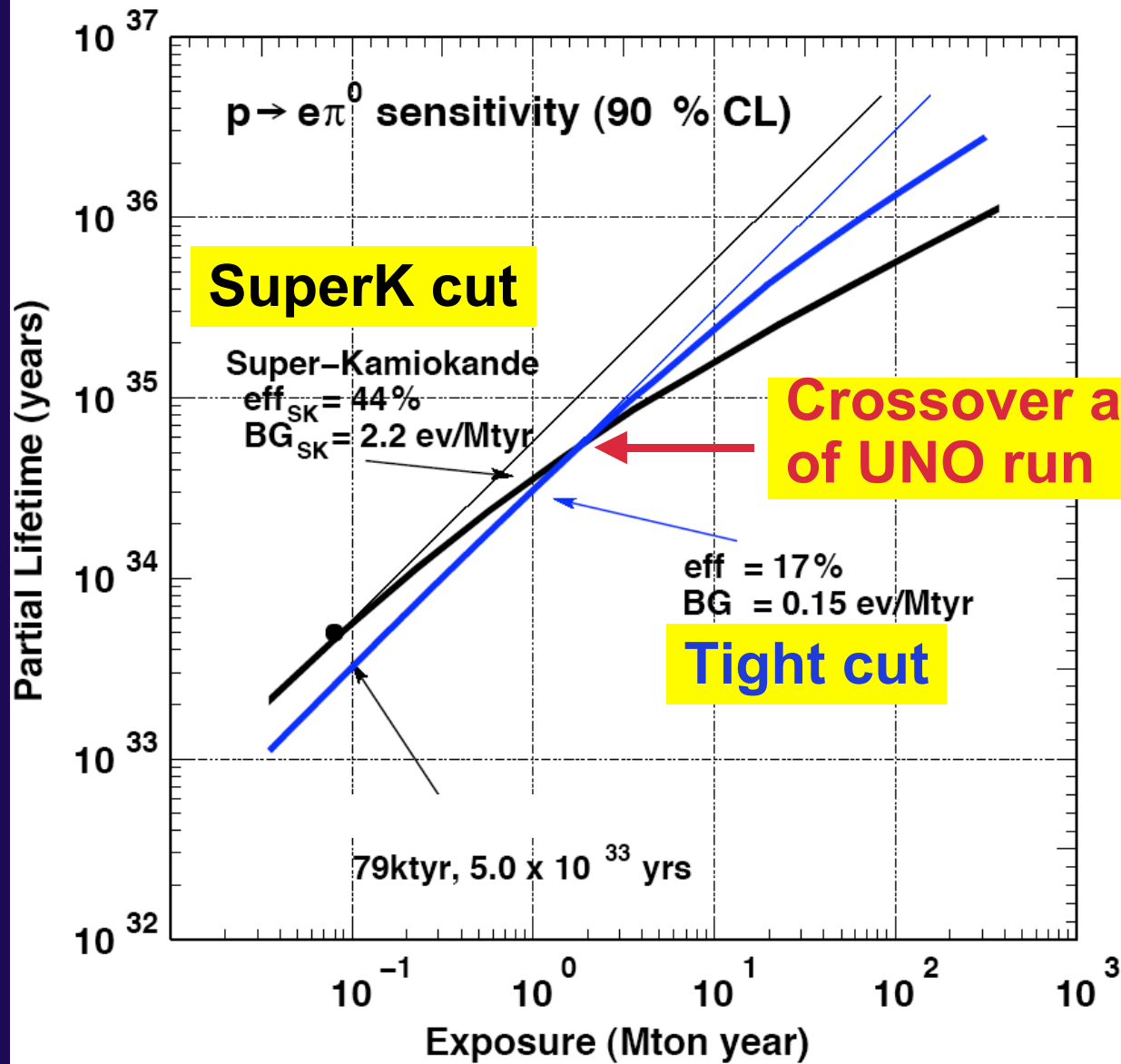
No Fermi Momentum

No Binding energy

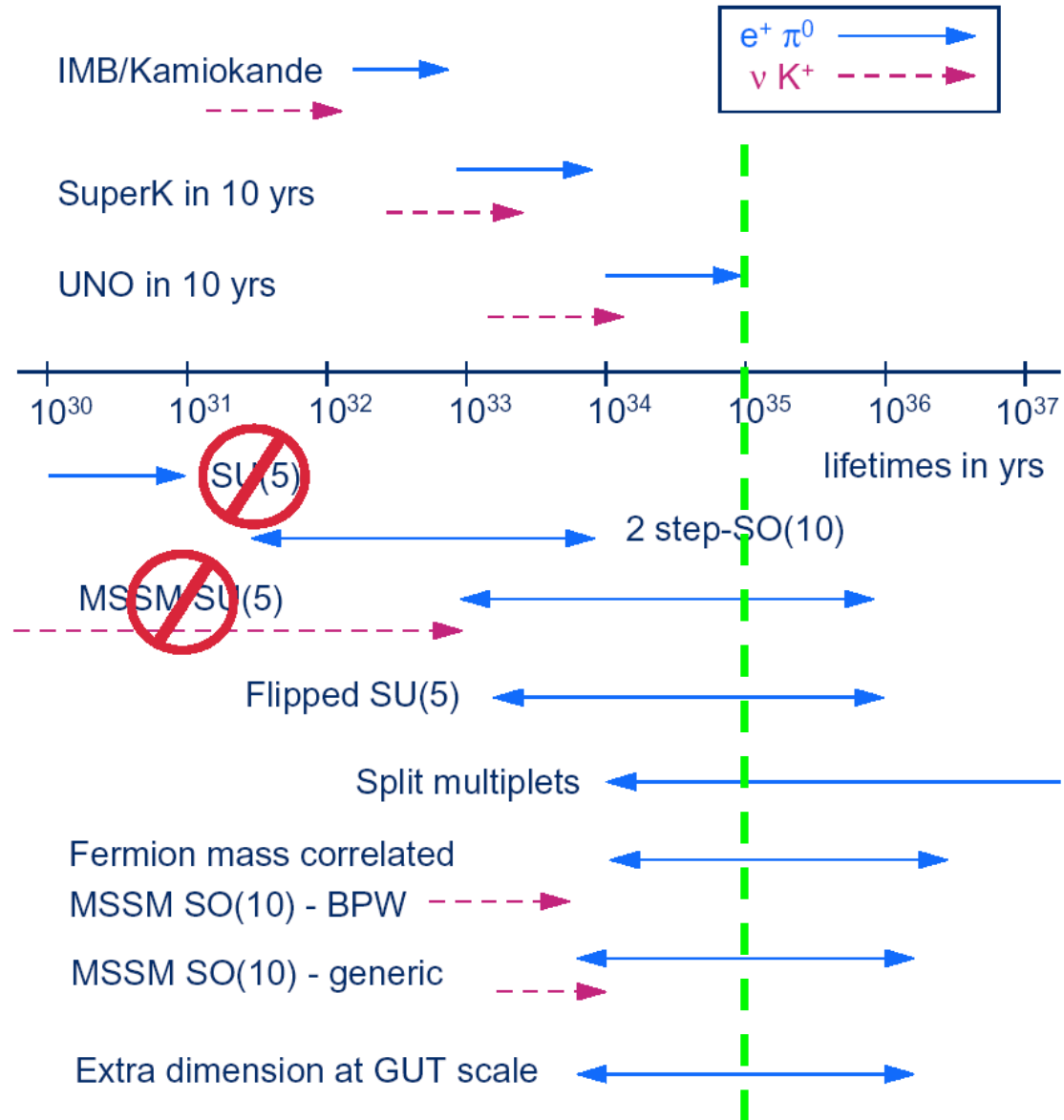
No Nuclear effect ($\bar{\nu}^0$ scattering, absorption and charge exchange)

□ Important to have a medium with free protons

Comparison of the Standard SuperK Analysis and the HyperK/UNO Analysis



HyperK/UNO Proton Decay Sensitivity



Proton Decay in non-GUT Models

“Proton Decay in Intersecting D-brane Models”,

I. Klebanov and E. Witten, hep-th/0304079

□ one of the first few testable calculations/predictions based on string theory

□ $\tau_{\text{predicted}}$ (D=6 operators) = $\sim 10^{36}$ years

(assuming $M_{\text{GUT}} = 2 \times 10^{16}$ GeV)

“Probing the Plank Scale with Proton Decay”,

R. Harnik, D. T. Larson, H. Murayama, and M. Thormeier, hep-ph/0404260

□ Proton decays in models with a string-inspired anomalous $U(1)_X$ family symmetry

Proton decays probes Plank scale rather than GUT scale

Predicted proton lifetimes similar to the GUT model predictions

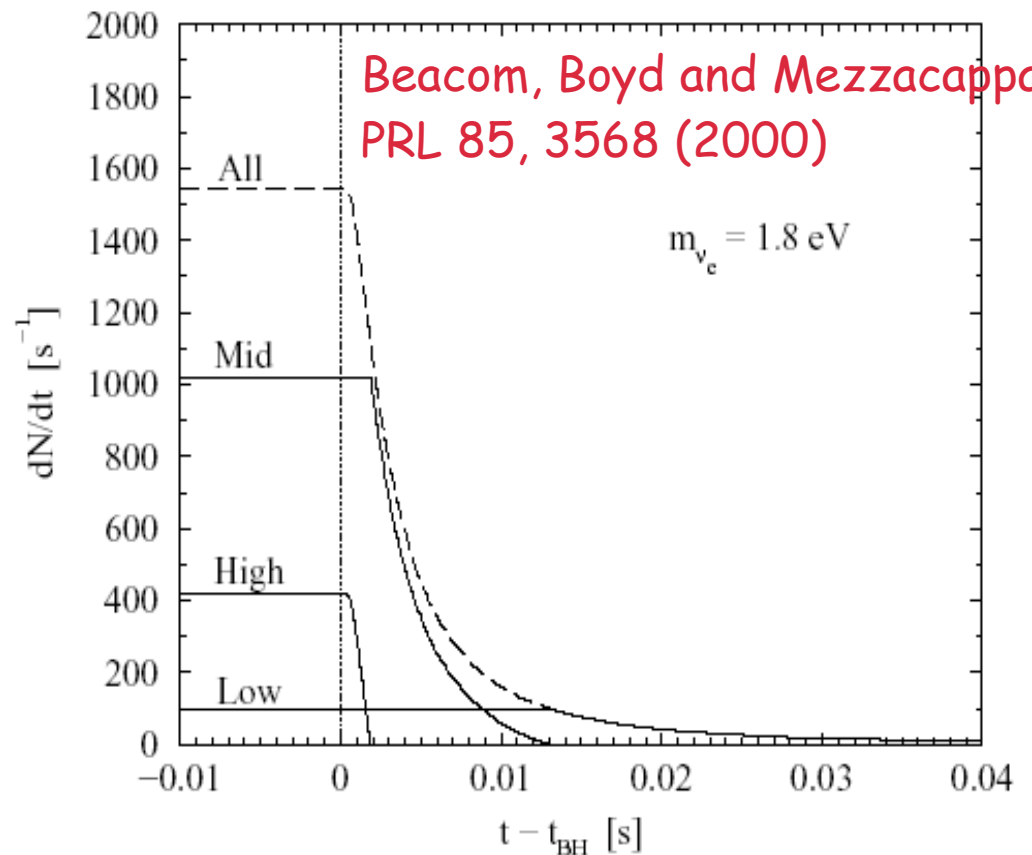
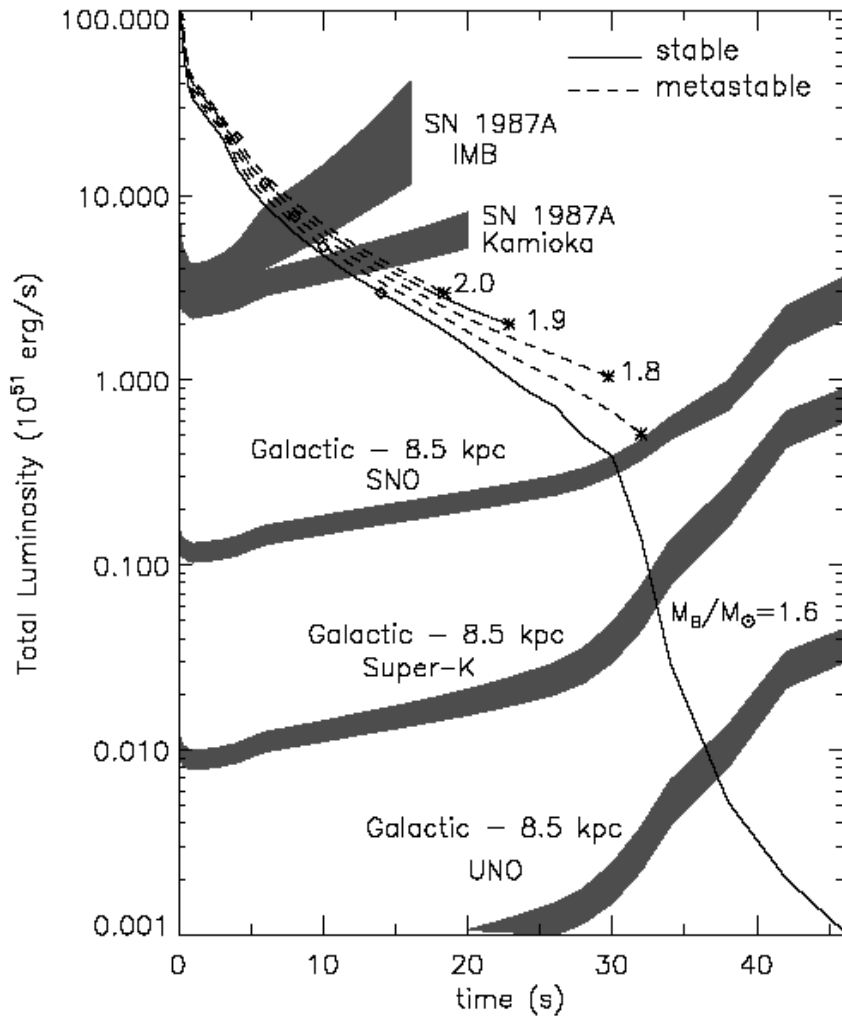
Andromeda Galaxy



**Supernova
Reach**
~ 1 Mpc
(local group
of galaxies)

**Supernova
Rate**
~ 1/10 or
15 yrs

Galactic Supernova



~140,000 events in UNO, ~1/30 years

□ msec timing structure of the flux □

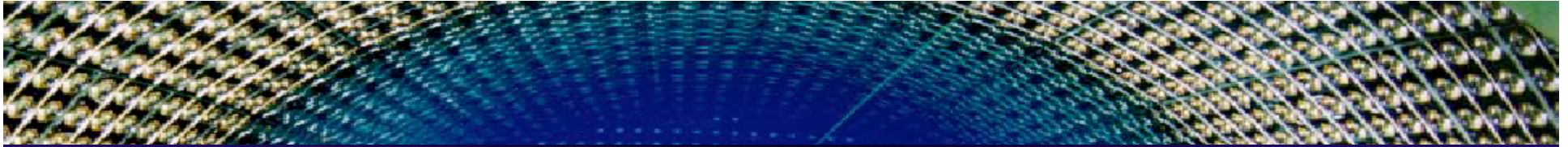
An example of unstable Eq. Of State □ Determination of core collapse mechanism
 Pons et al., PRL 86, 5223 (2001) □ Possible Observation of Birth of a Black Hole!

SuperK SNR □ Search Limits

Theory Model	SK SRN Rate Limit (Efficiency Corrected)	SK SRN Flux Limit (18 MeV < E _e < 82 MeV)	SK SRN Flux Limit (Full Spectrum)	Predicted SRN Flux (Full Spectrum)
Galaxy evolution (Totani et al., 1996)	3.2 $\frac{\text{events}}{\text{year } 22.5 \text{ kton}}$	< 1.2 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$	< 130 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$	44 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$
Heavy metal abundance (Kaplinghat et al., 2000)	3.0 $\frac{\text{events}}{\text{year } 22.5 \text{ kton}}$	< 1.2 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$	< 29 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$	< 54 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$
Constant supernova rate (Totani et al., 1996)	3.4 $\frac{\text{events}}{\text{year } 22.5 \text{ kton}}$	< 1.2 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$	< 20 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$	52 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$
LMA neutrino oscillation (Ando et al., 2002)	3.5 $\frac{\text{events}}{\text{year } 22.5 \text{ kton}}$	< 1.2 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$	< 31 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$	11 $\frac{\bar{\nu}_e}{\text{cm}^2 \text{ sec}}$

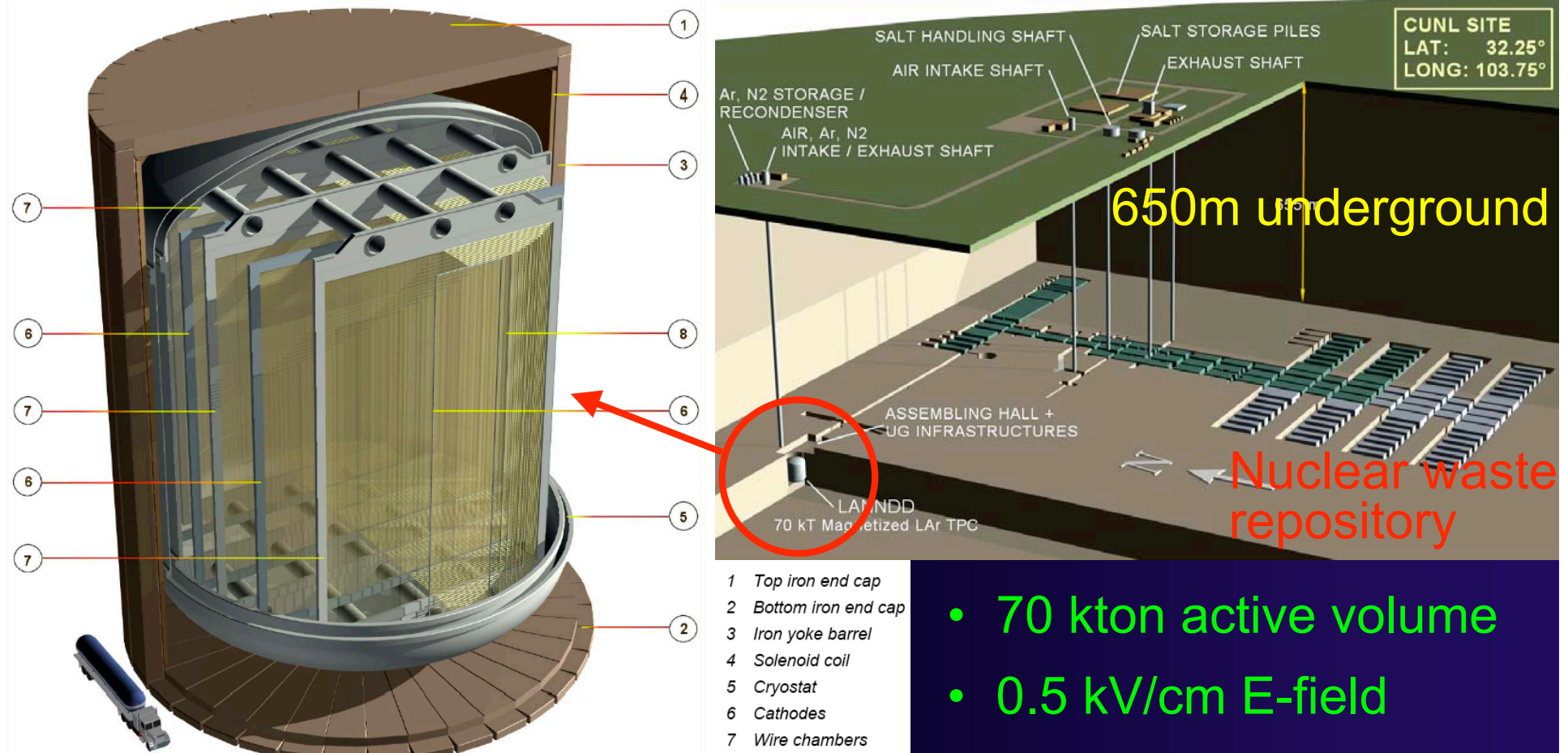
M.S. Malek et. al, Phys. Rev. Lett. 90, E-ID 061101 (2003)

**UNO at 4000 mwe can rule out all models within 3~5 years
or discover SNR**



Future Large Liquid Argon Detectors

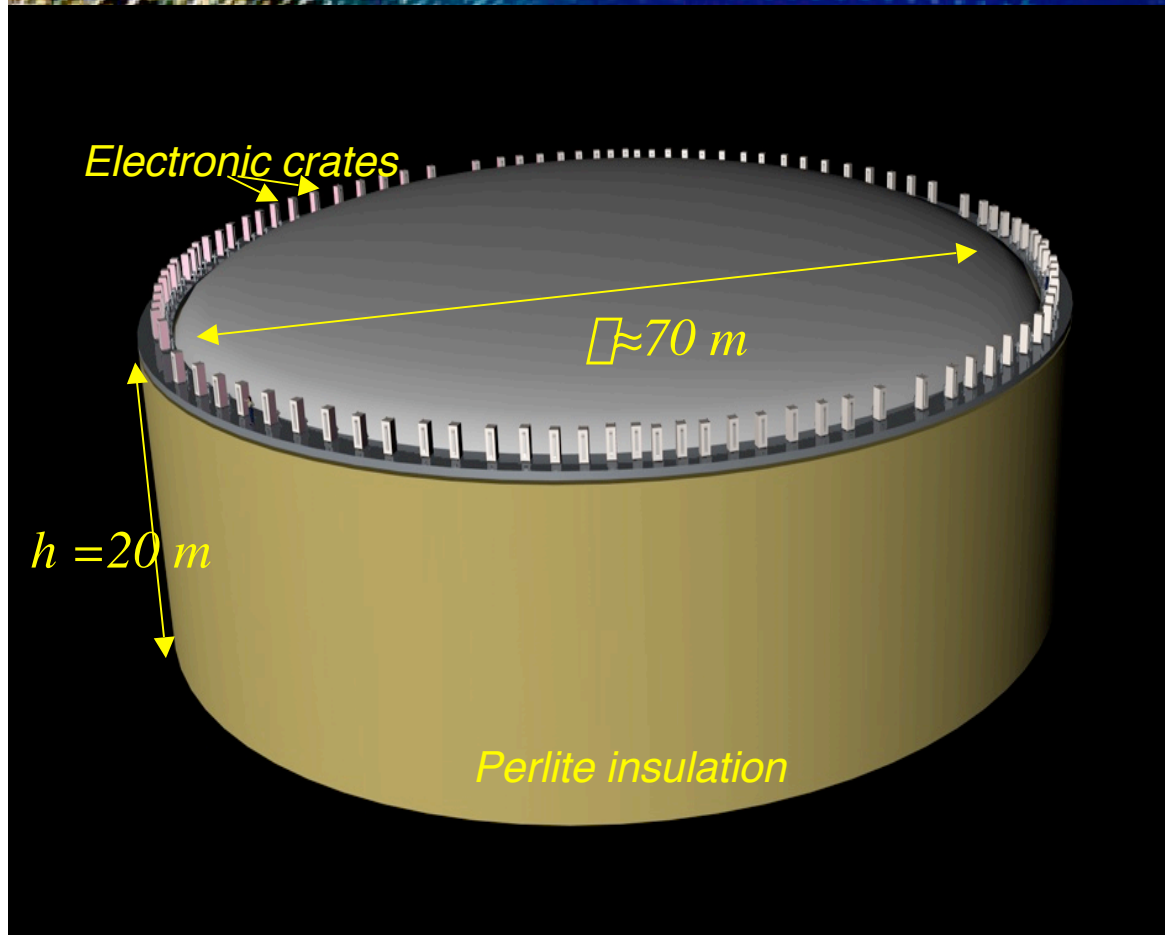
LANDD at WIPP (Waste Isolation Pilot Plant) in New Mexico, USA



- Magnetized LAr Detector
- Extrapolation of ICARUS technology

- 70 kton active volume
- 0.5 kV/cm E-field
- 5 m max. drift distance
- Solenoid around the cryostat

100kt LAr TPC in Europe

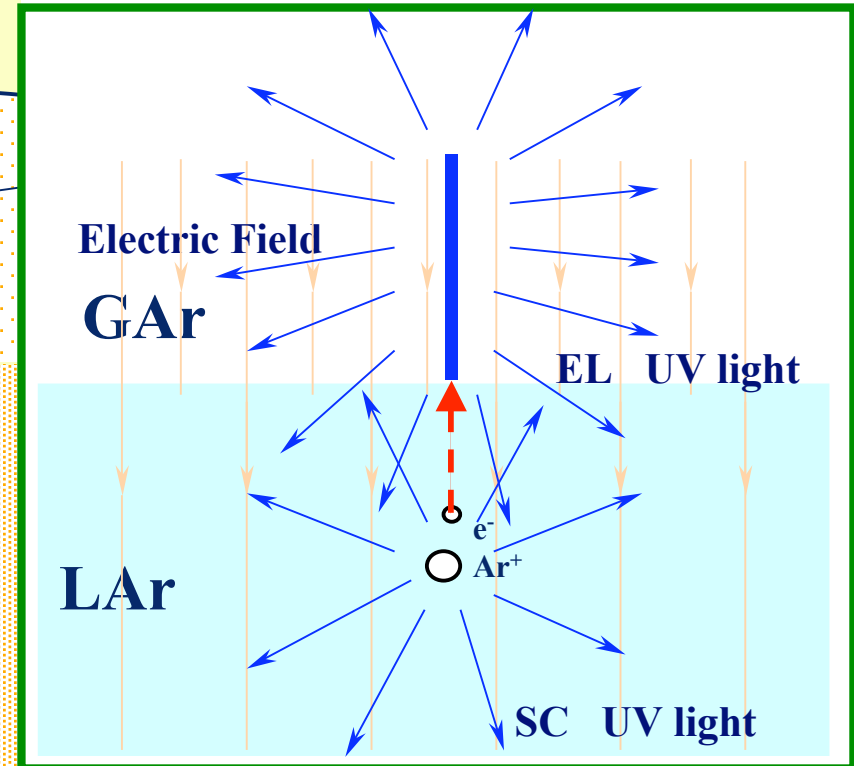
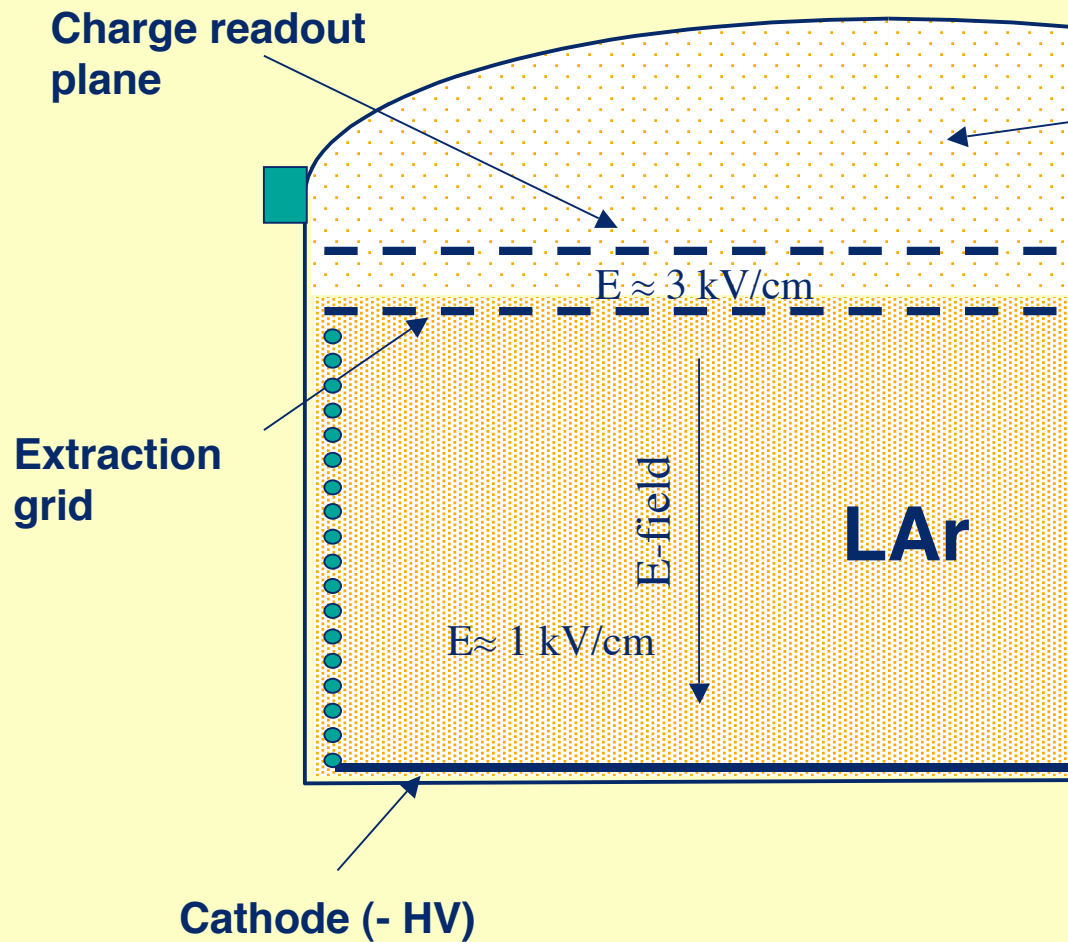


- New approach:
 - single plane readout with long drift distance (20 m max)
 - In situ cryogenic LAr production plant

100 kton LAr Detector Principle and a Tentative Layout

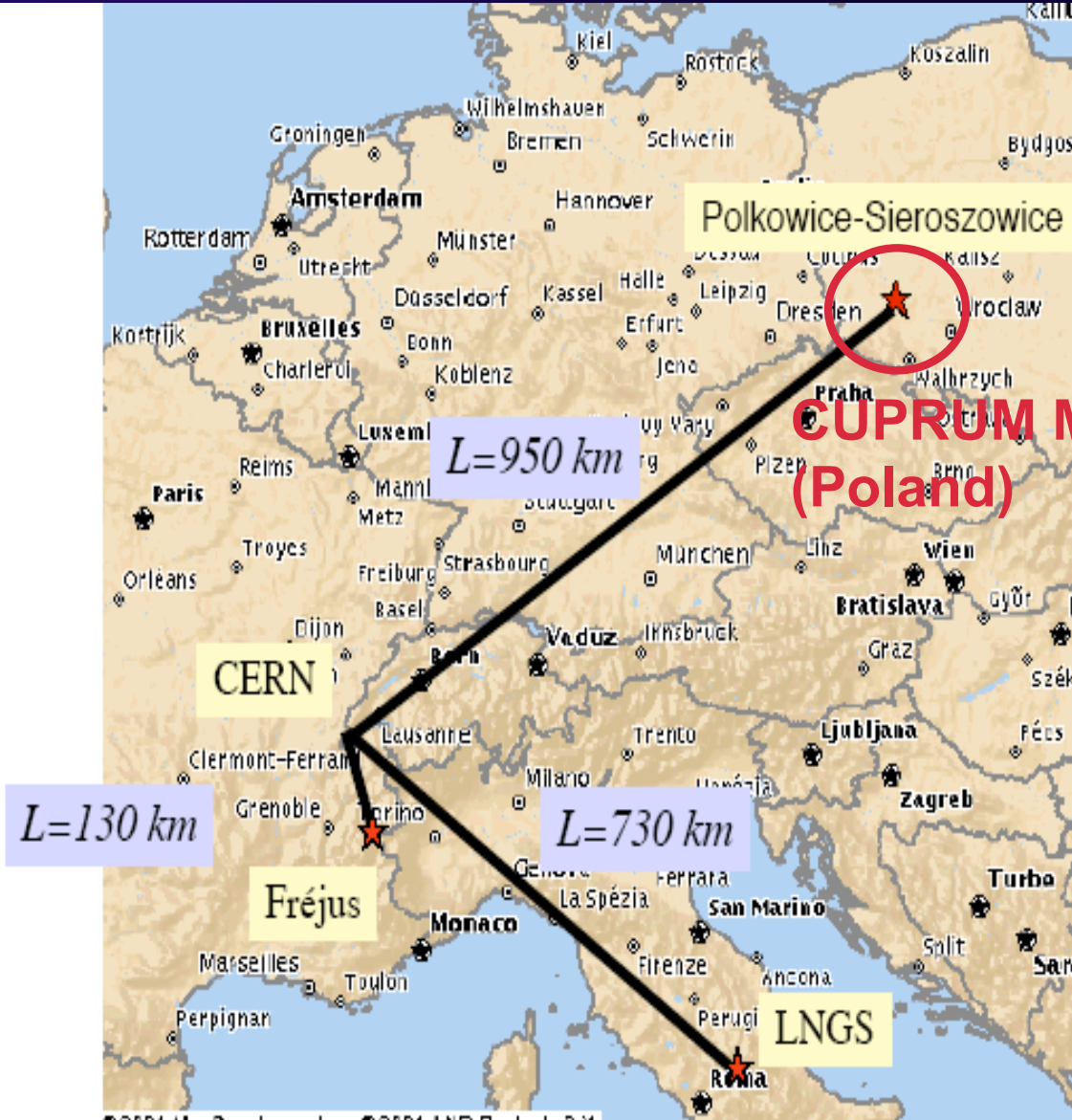
- $E = 1 \text{ kV/cm}$ (HV = 2MV)
- 20 m max. drift distance

Particle produces excitation (Ar^*) and ionization (Ar^+ , e^-)

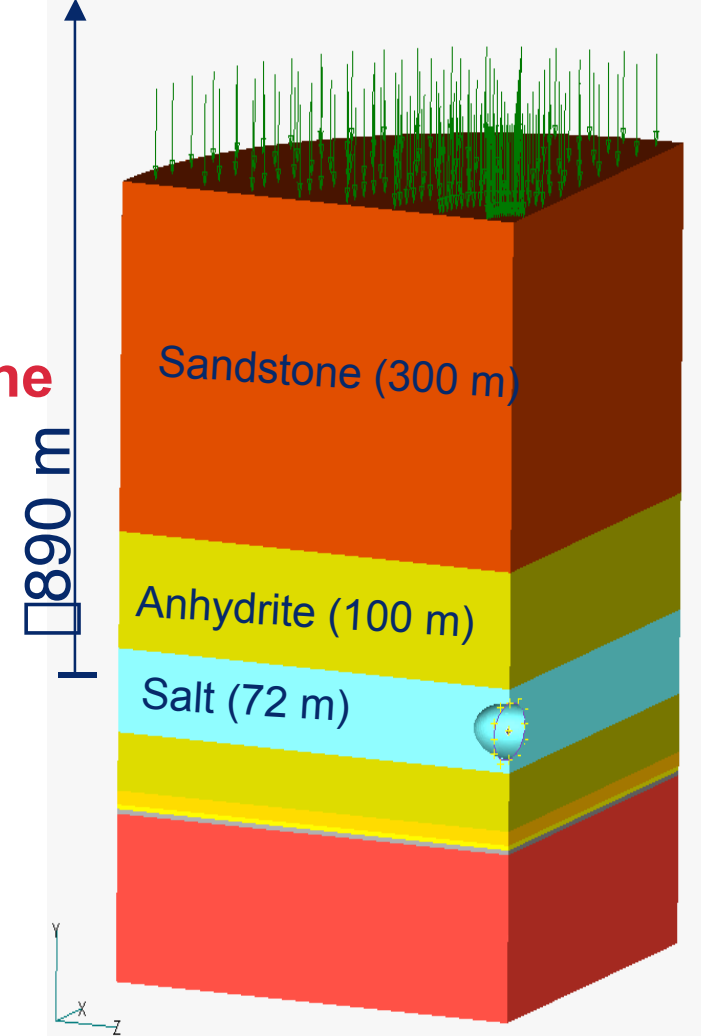


Both SC and EL can be detected by the same photo-detector

100kt LAr Detector Candidate Sites



Surface



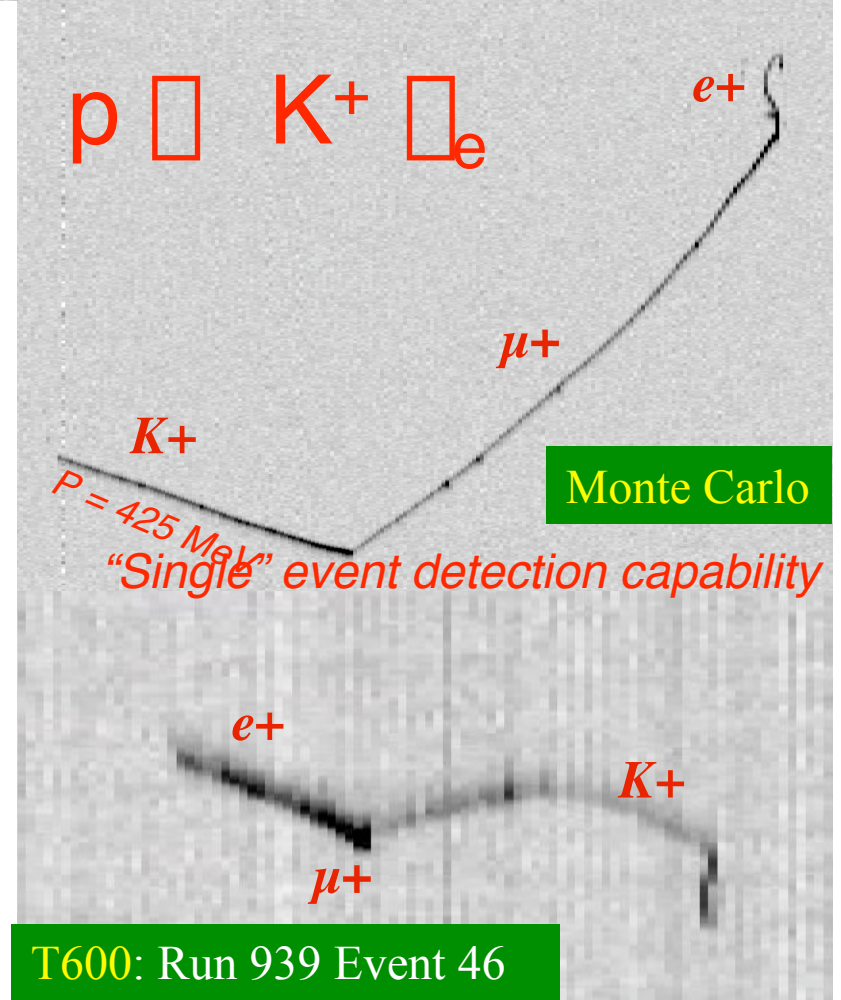
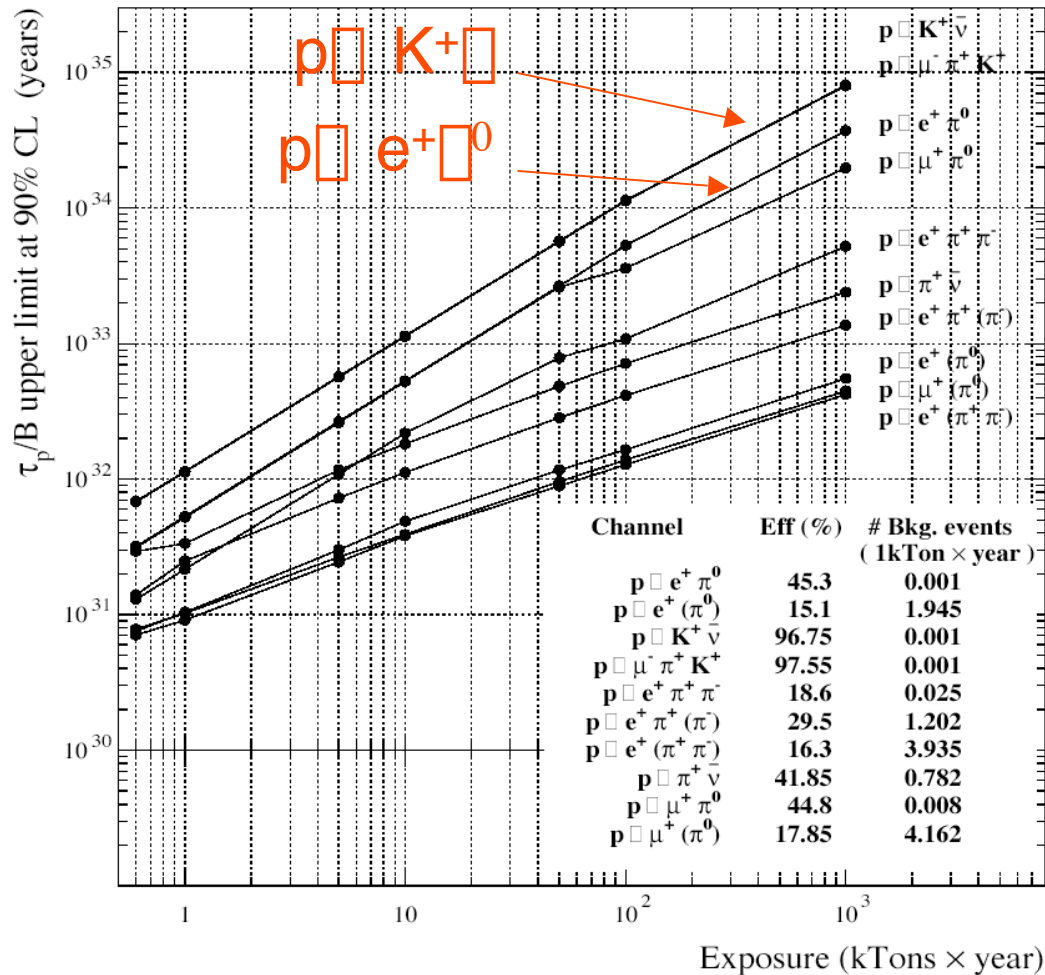
Geology of the Polish Mine

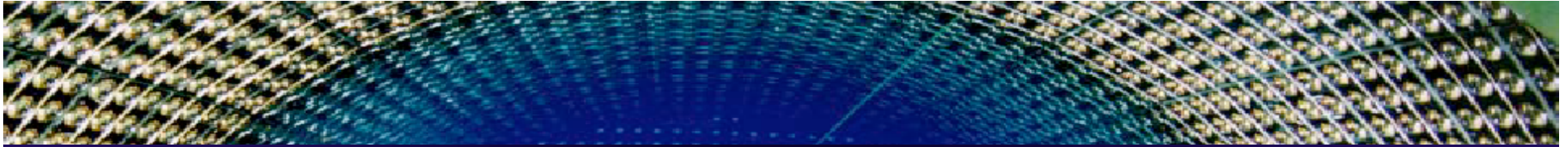
100kton LAr Sensitivity for Proton Decays

$p \rightarrow K^+ \bar{\nu}$ in 10 years: 8×10^{34} years @ 90% C.L.

$p \rightarrow e^+ \bar{\nu}$ in 10 years: 3×10^{34} years

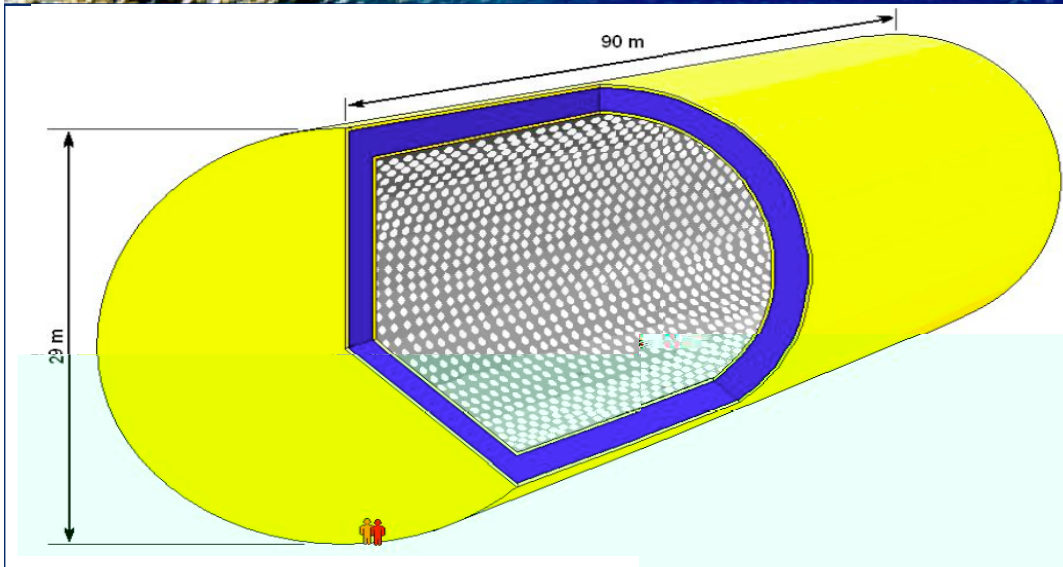
65 cm





Future Large Liquid Scintillator Detector

LENA



- Large Liquid Scintillator Detector for **L**ow **E**nergy **N**eutrino **A**stronomy
- Total (Fiducial) volume: 50 (22) kton
- Scintillator: PXE (~12 m light attenuation length @450 nm)
- 12,000 20" PMTs (30% coverage)
- ~120 pe/MeV

- Physics Goals
 - SN burst
(flavor specific galactic SN neutrinos)
 - SRN
 - Solar nu (high stat.)
 - Atmospheric nu
 - LBL
 - Geoneutrinos
 - Proton decay

Candidate Sites for LENA



Under sea
Coast of Pylos (Greece)
~5000 m
Off-axis of CNGS beamline



Pyhasälmi mine in Finland
4060 mwe

LENA Sample Physics Sensitivities

Event rates for a SN type IIa in the galactic center (10 kpc)

- (1) $\bar{\nu}_e + p \rightarrow e^+ + n$ (Q = 1.8 MeV) *Electron Antineutrino spectroscopy ~ 7800*
- (2) $\bar{\nu}_e + {}^{12}\text{C} \rightarrow e^+ + {}^{12}\text{B}$ (Q = 13.4 MeV)
- (3) $\bar{\nu}_e + {}^{12}\text{C} \rightarrow e^+ + {}^{12}\text{N}$ (Q = 17.3 MeV) *Electron $\bar{\nu}$ spectroscopy*
- (4) $\bar{\nu}_x + {}^{12}\text{C} \rightarrow \bar{\nu}_x + {}^{12}\text{C}^*$ with ${}^{12}\text{C}^* \rightarrow {}^{12}\text{C} + \gamma$ ($Q = E_\gamma = 15.1$ MeV) *~ 65*
- (5) $\bar{\nu}_x + e^- \rightarrow \bar{\nu}_x + e^-$ (elastic scattering off electrons) *~ 480*
- (6) $\bar{\nu}_x + p \rightarrow \bar{\nu}_x + p$ (elastic scattering off protons).
- Neutral current interactions; info on all flavours ~ 4000 and ~ 2200*

$\bar{\nu}_e(p \rightarrow K^+ \bar{\nu}_e)$ limit in 10 years: a few 10^{34} years
utilizing triple coincidence of $(K^+, \bar{\nu}_e^+, e^+)$ signals
from $K^+ \rightarrow \bar{\nu}_e^+ \mu^+$ and $K^+ \rightarrow \bar{\nu}_e^+ \pi^0$ decay chains

NNN Status Summary

- Water Cherekov Detectors

- Mature technology

- Reasonable extrapolation from the current experiments (10~20)
- no critical R&D item
- Ready to be built (R&D needed mostly to reduce cost)

- LAr Detectors

- Idea/R&D stage

- need to extrapolate the technology from 300 ton to 100 kton (300)
- success of ICARUS (physics output) is critical

It will provide a base for extrapolation (physics reach, cost, safety, etc.)

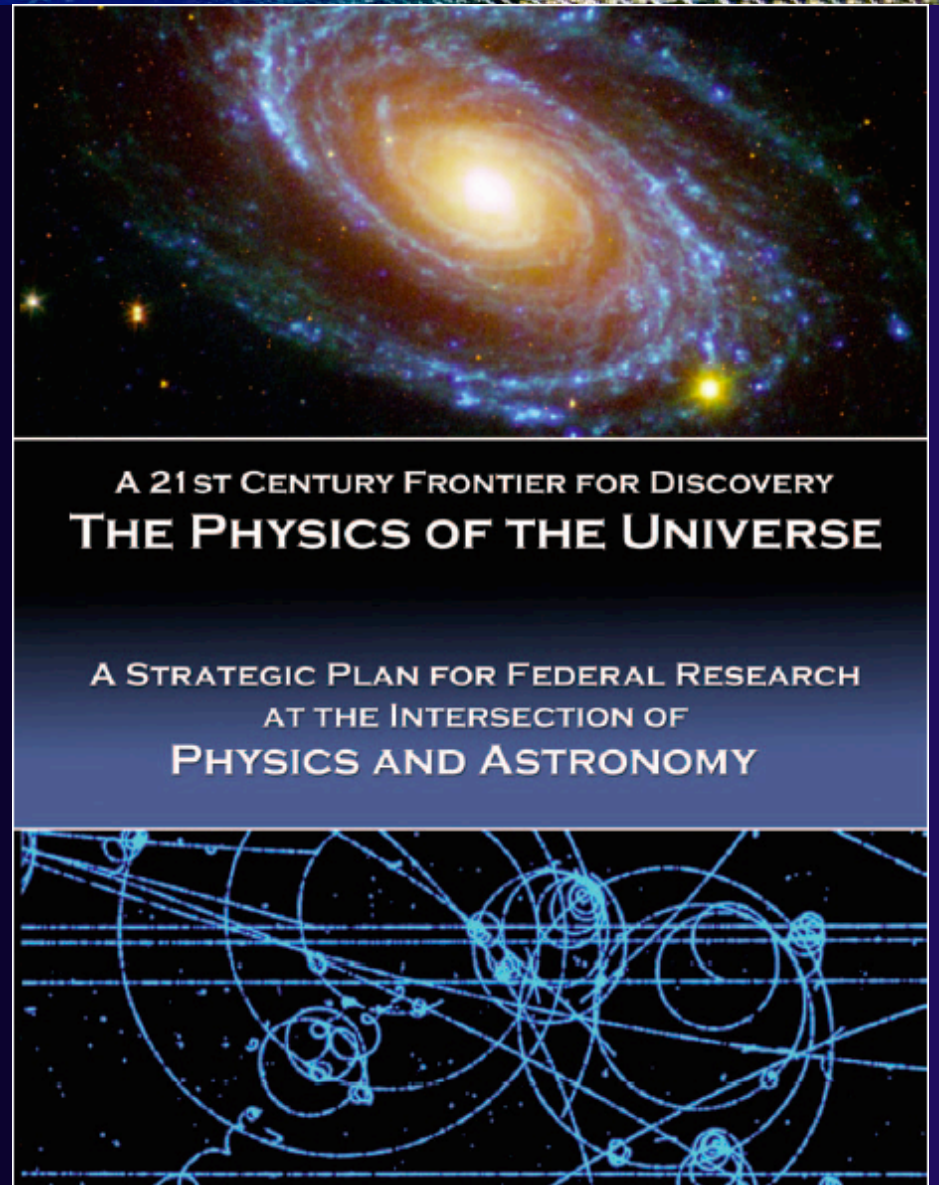
- Liquid Scintillator Detectors

- Idea/R&D stage but mature technology

- extrapolation not unreasonable (~1kton □ ~50 kton) (50)
- environmental concern and long term property of the scintillator

A Report of the Intragency Working Group on Physics of Universe

- Released in April 2004
- Response to CPU report
- Coordinated by NSTC
- Intragency Working Group
 - DOE, NSF, OMB, OSTP
- Summary of Recommendations
 - Ready for Immediate Investment and Directions Known
 - Dark Energy
 - Dark Matter, Neutrinos and Proton Decay
 - Gravity





Conclusions

- NNN detectors with LBL neutrino beams tackle some of the most important physics questions today w/ potential of major discoveries
 - Rich physics program comparable to LHC/LC
- If built, they will provide a comprehensive nucleon decay and neutrino physics program for the world science community for the 21th century
 - Despite the phenomenal scientific success achieved by the neutrino experiments during the last decade, we do not have any major experiments approved that go beyond 10 years from now
 - long lead time for large experiments
 - in order to sustain our community, we must plan ahead
- Intersection of interests from HEP, NP and AP communities; and international community (Europe, Japan and USA)
 - A well organized international effort with common physics goals and strong mutual support can bring a successful experiment somewhere in the world



Announcements

Unification Day

One day workshop on Proton Decay in Unification Theories
(as part of the UNO collaboration meeting)

Oct. 15, 2004, Colorado, U.S.A.

(co-organized by E. Witten and CKJ)

NNN05

Next generation Nucleon decay and Neutrino detector
Workshop

Spring 2005, Fréjus, France

(contacts: S. Katsanevas and CKJ)