

Current issues in neutrino physics

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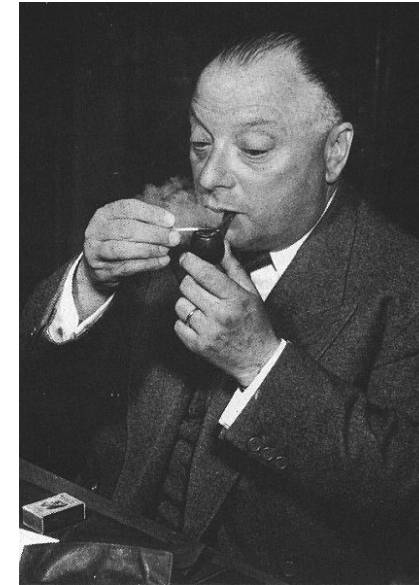
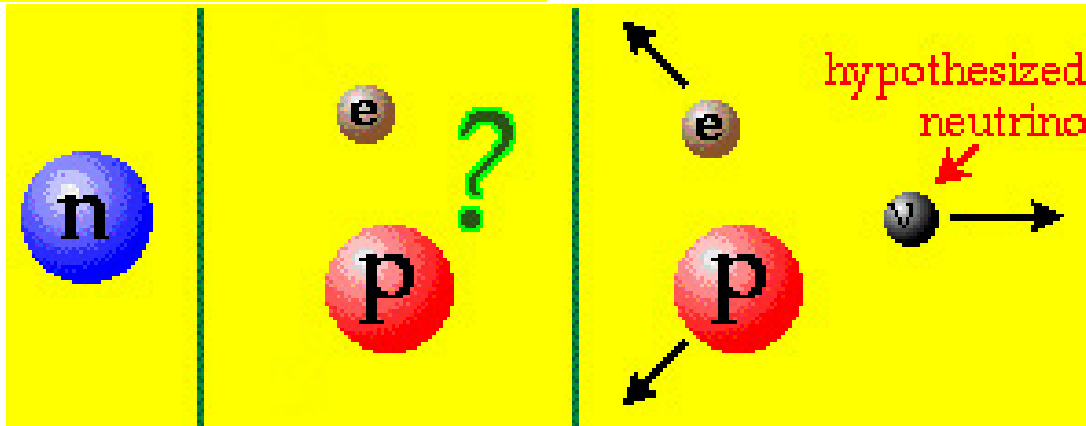
***XXIX International Meeting on Fundamental
Physics, Sitges, Spain***

5th-6th February, 2001

History

$$n \rightarrow p + e^{-} + \nu$$

Neutrino postulate:

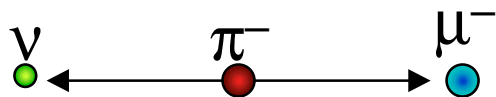


W. Pauli (1900-1958)

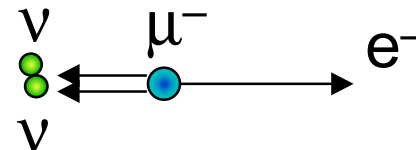
First detected in 1956 by Reines & Cowan

Neutrinos are only sensitive to the weak (and gravitational) forces

$$\pi^{\pm} \rightarrow \mu^{\pm} + \nu$$



$$\mu^{\pm} \rightarrow e^{\pm} + \nu + \nu$$



The neutrino intrinsic properties

Neutrino is hard to detect

still mostly a mystery!

<i>Electric charge</i>	0
<i>Angular momentum (spin)</i>	1/2
<i>Helicity</i>	Appears 100% left-handed
<i>Interactions</i>	Only weak
<i>Rest mass</i>	?
<i>Lifetime</i>	?
<i>Anomalous magnetic moment</i>	?
<i>Intrinsic nature Dirac-Majorana</i>	?

I will concentrate on the quest for **neutrino oscillations** ⇒

Weak charged currents

By symmetry arguments, one would expect quark and lepton weak currents to have similar structure:

Quarks charged current:

$$(\bar{u} \quad \bar{c} \quad \bar{t})_L \gamma^\mu U_q \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L$$

$$\begin{pmatrix} d^{\text{C}} \\ s^{\text{C}} \\ b^{\text{C}} \end{pmatrix} \equiv U_q \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

Weak eigenstates

Flavor eigenstates

Leptons charged current:

$$(\bar{e} \quad \bar{\mu} \quad \bar{\tau})_L \gamma^\mu U_l \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}_L$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \equiv U_l \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Weak eigenstates

Mass eigenstates

However, in the Standard Model, neutrinos are massless (degenerate)

$$\Rightarrow U_l \equiv \mathbf{1}$$

$$\Rightarrow (\bar{e} \quad \bar{\mu} \quad \bar{\tau})_L \gamma^\mu \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}_L$$

Neutrino flavor oscillations (in vacuum)

In vacuum: Time evolution of a neutrino mass eigenstate ν_i
 (=stationary state of the free Hamiltonian)

B. Pontecorvo (1957)

$$e^{-iE_i t}$$

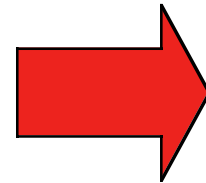
$E_i \equiv$ energy of state

$$E_i = \sqrt{\vec{p}^2 + m_i^2}$$

Neutrino state produced in weak decay:

$$|\nu(t=0)\rangle \equiv |\nu_\alpha\rangle \quad (\alpha \equiv e, \mu, \tau)$$

$$|\nu(t=0)\rangle \equiv |\nu_\alpha\rangle = \sum_j U_{\alpha j} |\nu_j\rangle$$



$$|\nu(t)\rangle = \sum_j U_{\alpha j} \underbrace{e^{-iE_j t}}_{\text{phase}} |\nu_j\rangle$$

phase

Neutrino flavor oscillation probability:

$$P_\alpha \equiv \left| \langle \nu_\alpha | \nu(t) \rangle \right|^2$$

Oscillation probability

★ The case with two neutrinos:

→ A mixing angle: θ

→ A mass difference:

$$\Delta m^2 = m_2^2 - m_1^2 \quad (eV^2)$$

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

★ The oscillation probability is:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left(1.27 \Delta m^2 \frac{L}{E} \right)$$

where L = distance between source and detector (km)
 E = neutrino energy (GeV)

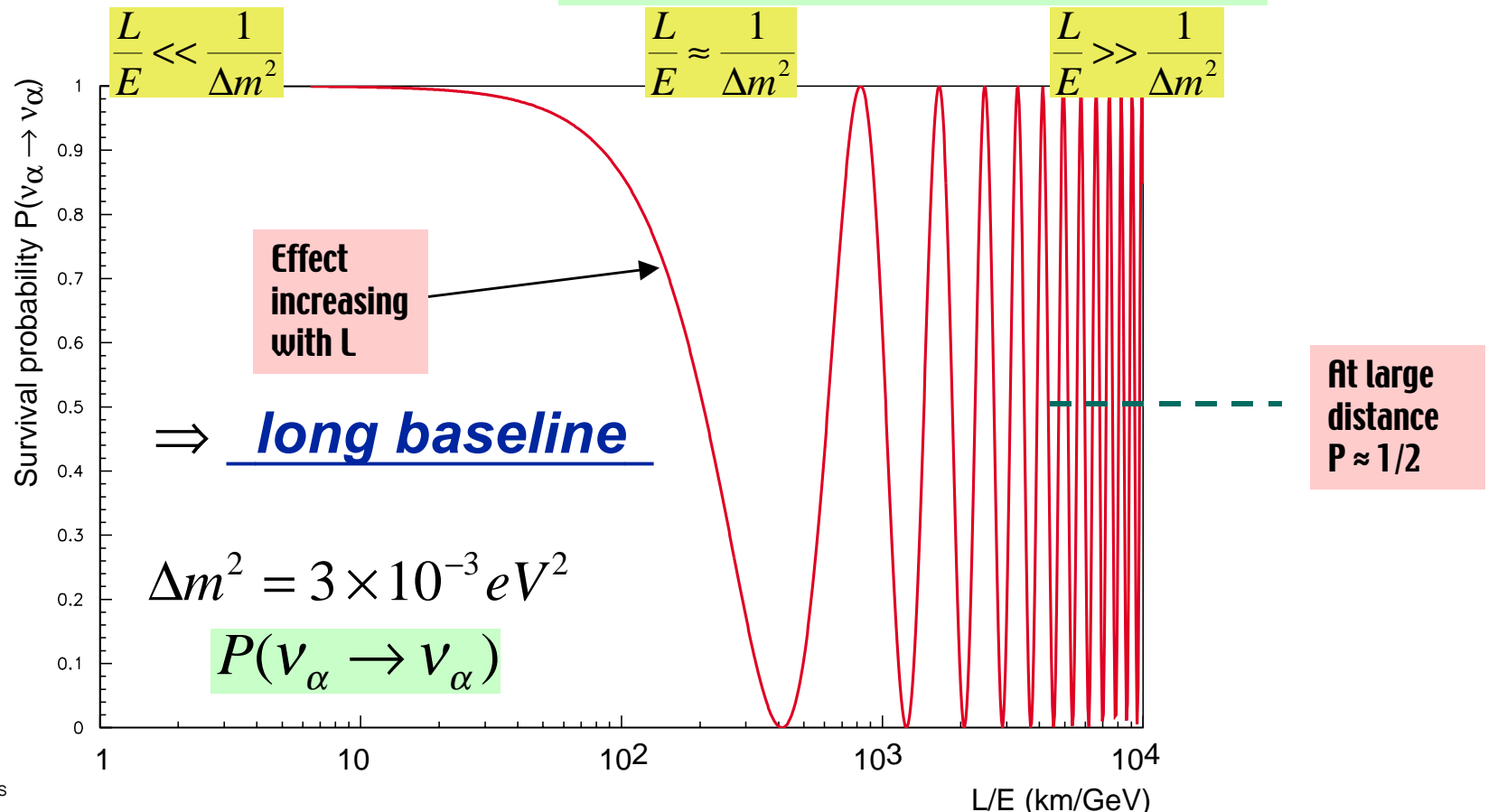
Neutrino oscillation phenomenology

- ★ In interesting cases, the oscillations decouple so that they are approximated by a **two-neutrino oscillation** :

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

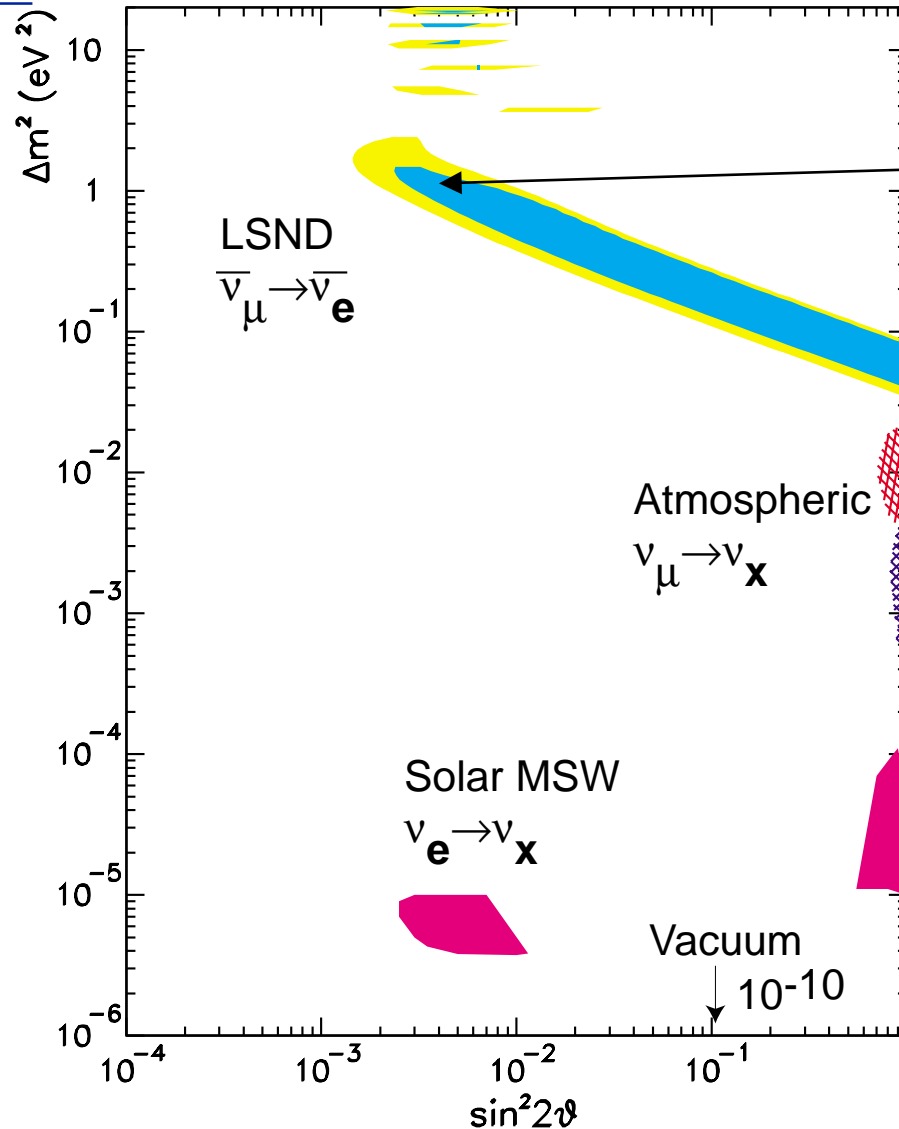
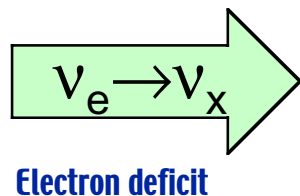
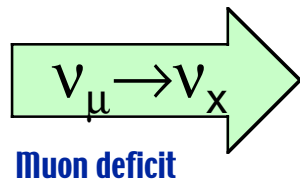
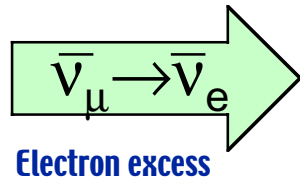
$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left(\frac{1.27 \Delta m_{12}^2 L}{E} \right)$$

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta \sin^2 \left(\frac{1.27 \Delta m_{12}^2 L}{E} \right)$$



Oscillation map - "allowed regions"

Two-neutrino oscillation



$\Delta m^2_{\text{LSND}} \approx 1 \text{ eV}^2$
 $\sin^2 2\theta \approx 0.003$

$\Delta m^2_{\text{atm}} \approx 10^{-3} - 10^{-2} \text{ eV}^2$
 $\sin^2 2\theta \approx 1$

$\Delta m^2_{\text{solar}} \approx 10^{-5} \text{ eV}^2$
 $\sin^2 2\theta \approx 0.8 \text{ or } 0.008$
Matter enhanced (MSW effect)

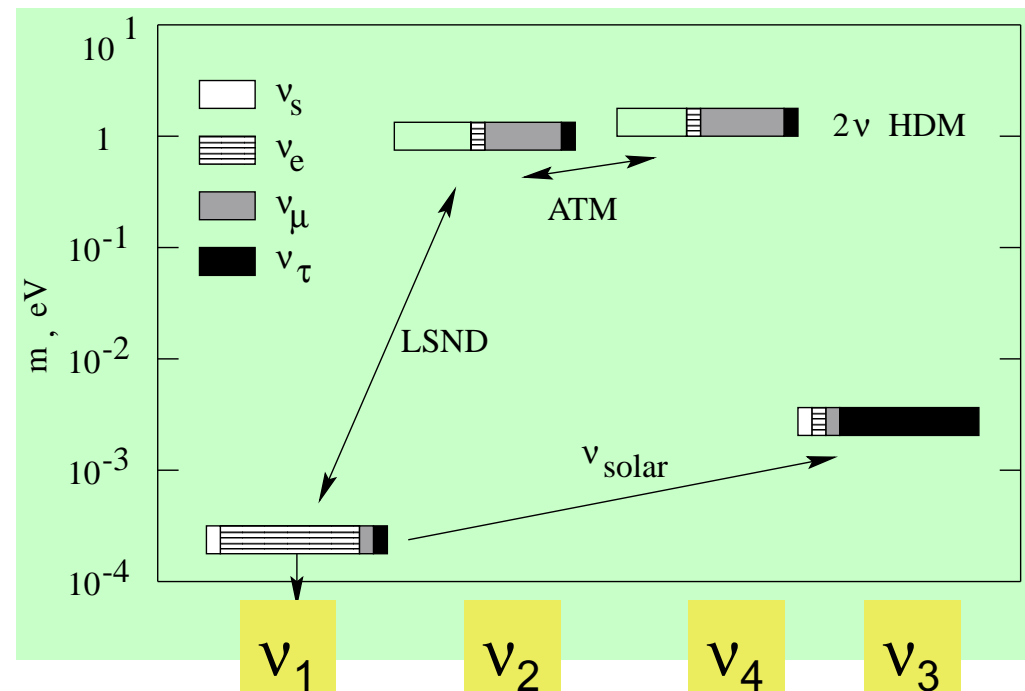
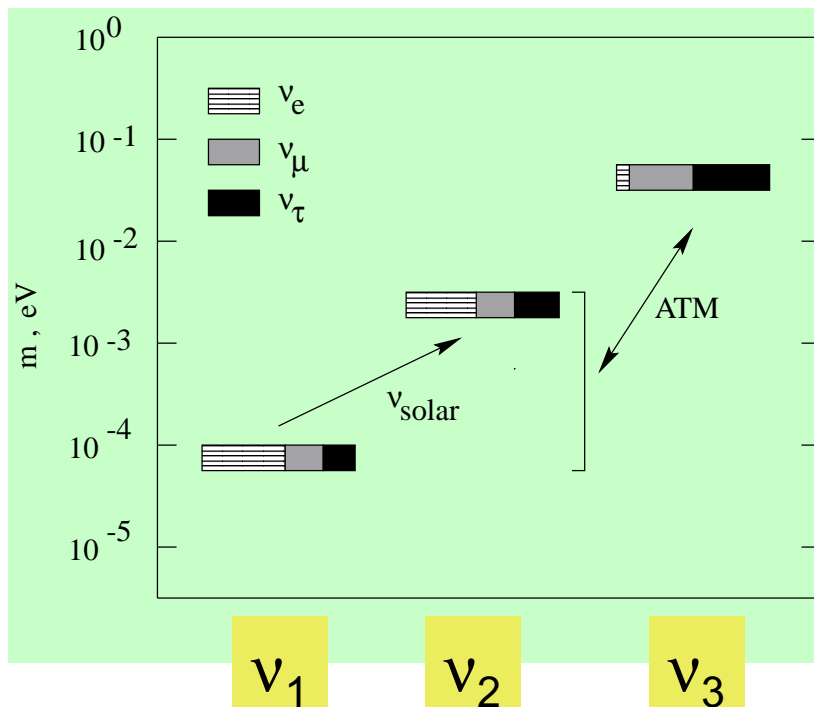
$\Delta m^2_{\text{solar}} \approx 10^{-10} \text{ eV}^2$
 $\sin^2 2\theta \approx 0.8$

Vacuum oscillation

Where do we stand with the models?

- ★ The three-flavor mixing **cannot accommodate all experiments**
 - Only two independent Δm^2 with three neutrinos
 - 3 distinct Δm^2 regions $\Delta m^2_{\text{solar}} \ll \Delta m^2_{\text{atm}} \ll \Delta m^2_{\text{LSND}}$ required to accommodate solar, atmospheric and LSND data requires
 - transitions involving **“sterile” states** could be occurring as well

Smirnov, hep-ph/9907296



The “challenging” sources of neutrinos

Apart from the artificial sources, Nature has provided us with natural sources of neutrinos

Artificial sources

Accelerators



Reactors

Natural sources



Solar



Atmospheric



Supernovae



BigBang, SN relic, UHE

These have all been detected!

Except these!

Neutrino and rare process physics

- ★ The performance of a **neutrino detector** is *proportional* to its *total mass* and also to its *geometrical granularity* with which the events can be reconstructed.

What we get for 5 ktons of target:

● Atmospheric neutrinos:

- ≈ 1000 atm CC events / year
- $\approx 5 \nu_\tau$ CC /year from oscillations

● Solar neutrinos:

- $17500 \times f_{8B}$ solar neutrinos / year @ $E > 5$ MeV

● Neutrinos from CERN (CNGS):

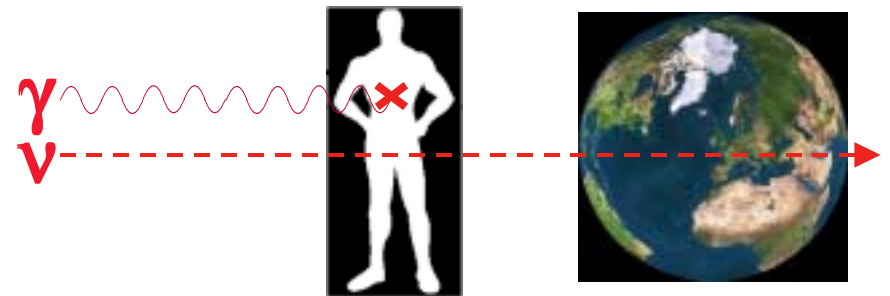
- $13600 \nu_\mu$ CC per 4.5×10^{19} pots @ $L = 730$ km

● Neutrino factory:

- $1200 \nu_\mu$ CC per $10^{20} \mu$ @ $L = 7400$ km

● Number of targets for nucleon stability:

- 3×10^{33} nucleons $\Rightarrow \tau_p (10^{32} \text{ years}) > 6 \times T(\text{yr}) \times \epsilon$ @ 90 C.L.



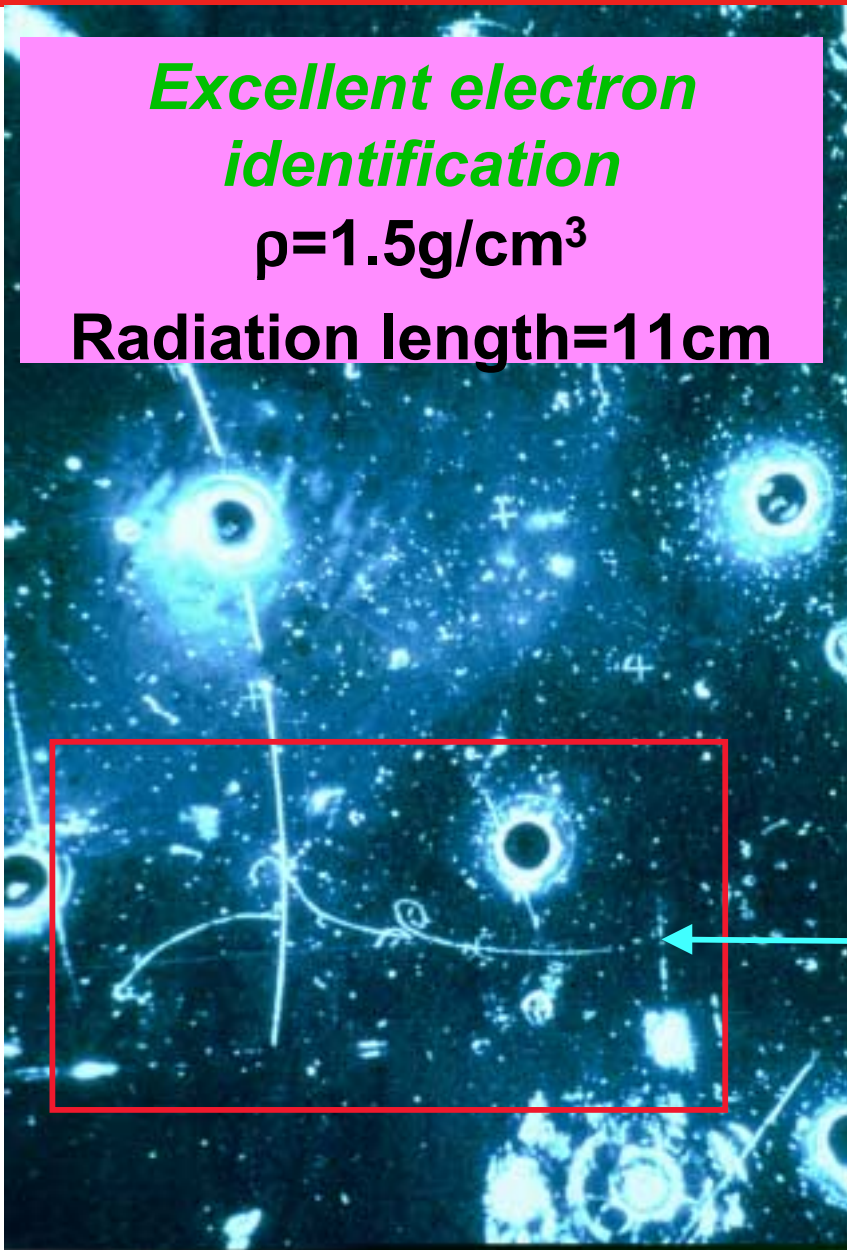
A bonus!

Discovery of neutral currents (1973)

Excellent electron
identification

$$\rho = 1.5 \text{ g/cm}^3$$

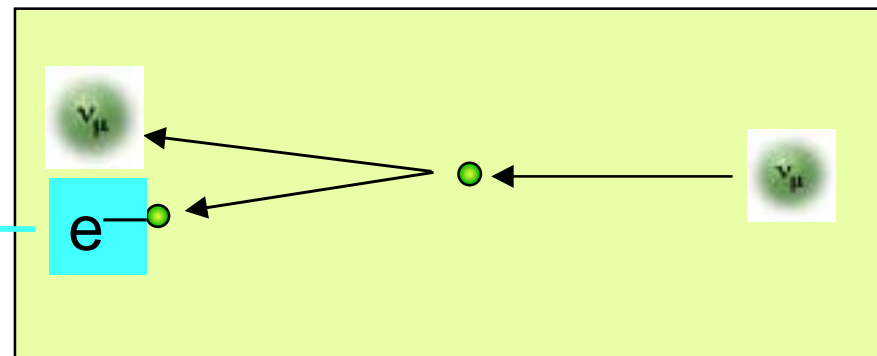
Radiation length = 11 cm



$$\bar{\nu}_{\mu} + e^{-} \rightarrow \bar{\nu}_{\mu} + e^{-}$$

$$E_e = 385 \pm 100 \text{ MeV}$$

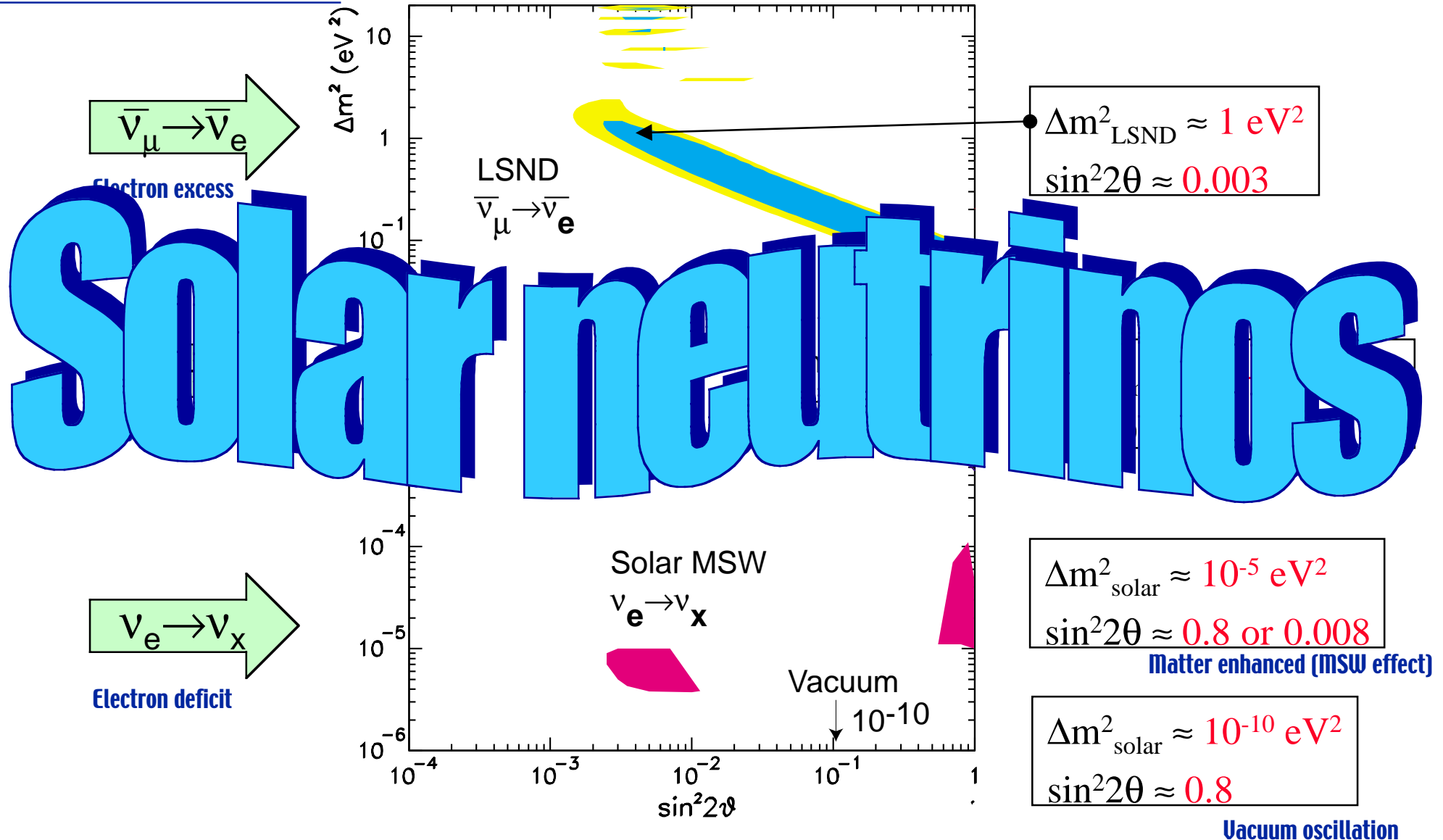
$$\theta_e = 1.4 \pm 1.4^{\circ}$$



Gargamelle, Phys. Lett. B46 (1973) 121

Oscillation map - "allowed regions"

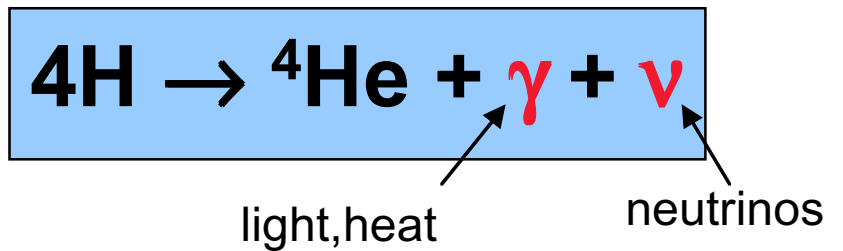
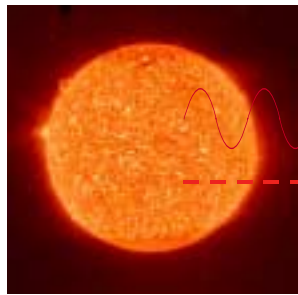
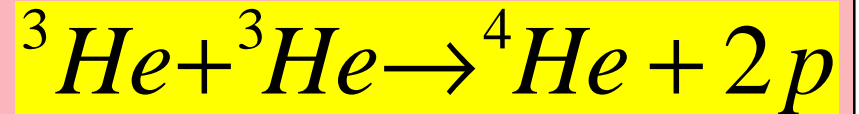
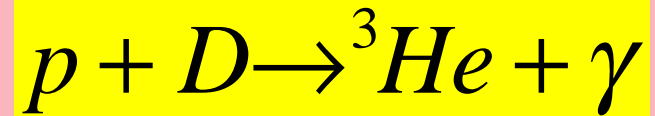
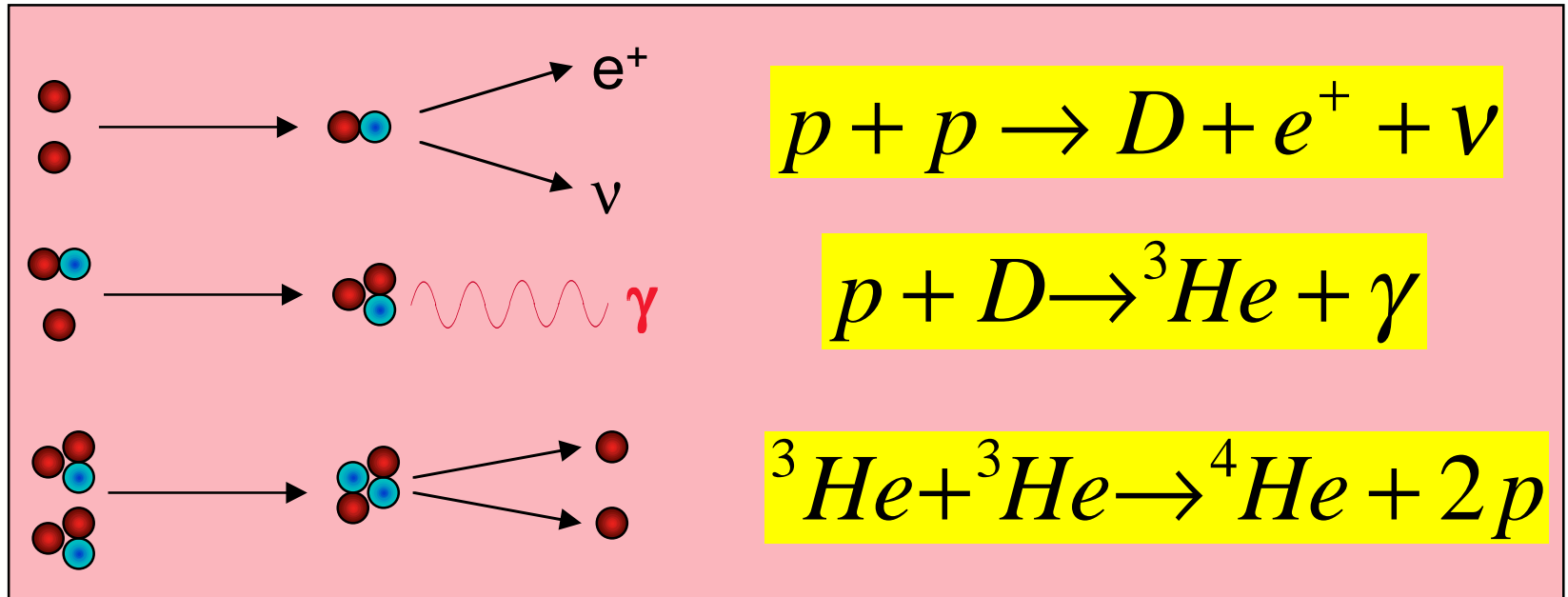
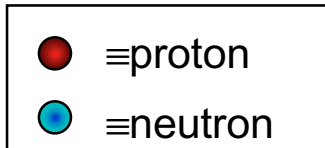
Two-neutrino oscillation



Solar neutrinos

Stellar energy comes from **NUCLEAR FUSION** in core

pp cycle:

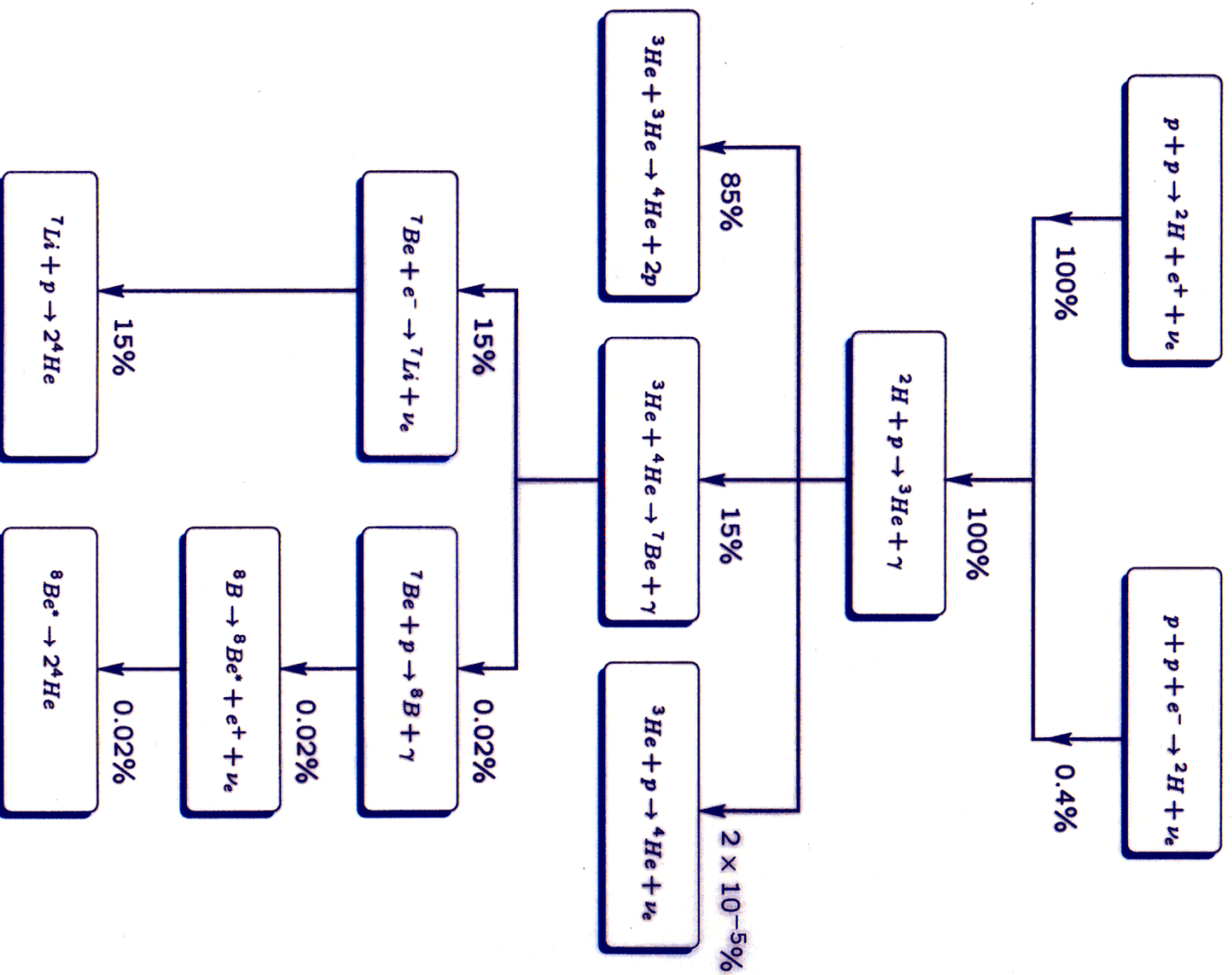


$$Q = 26.73 \text{ MeV}; \langle E_{\nu_e} \rangle = 0.265 \text{ MeV}$$

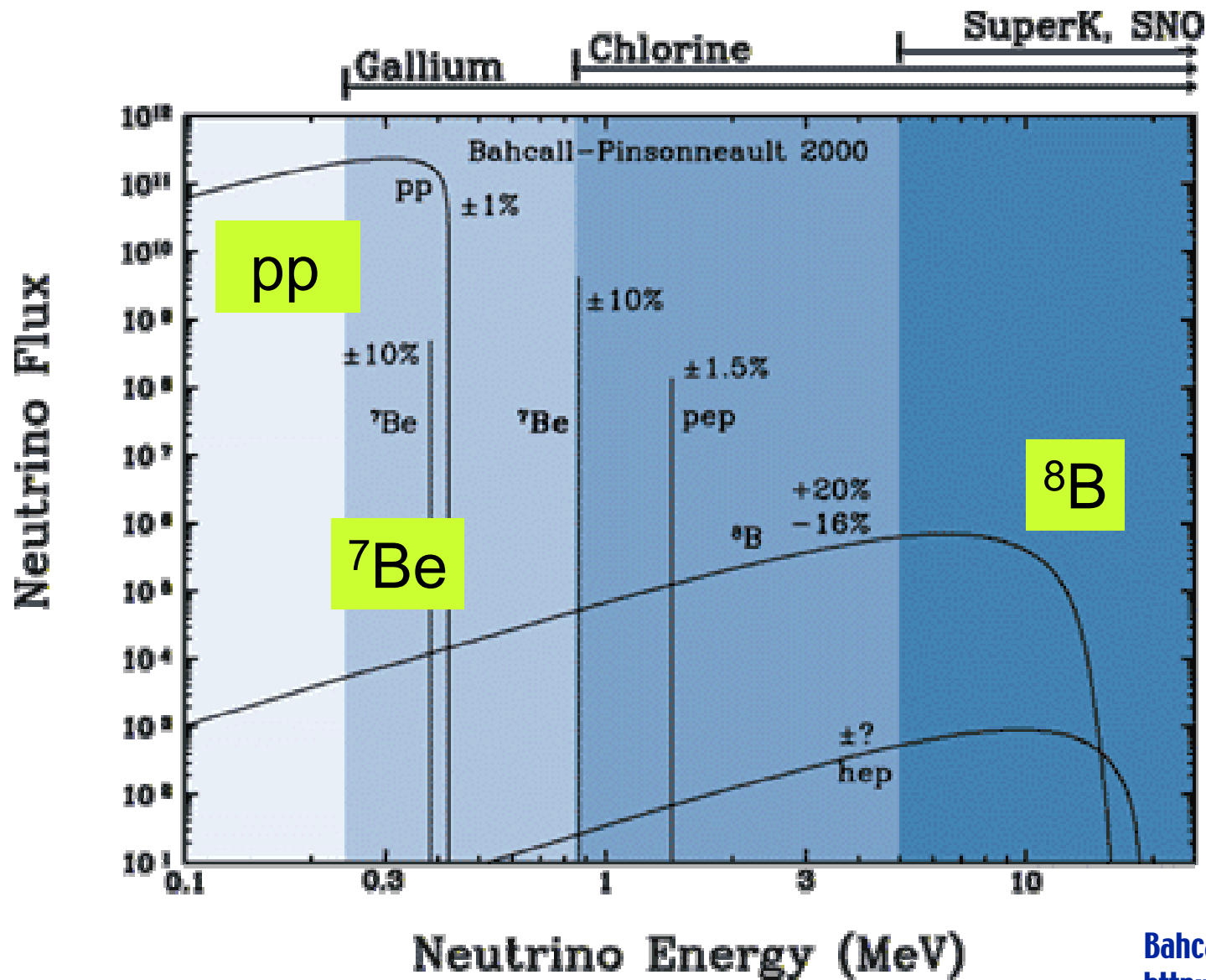
Stars are strong neutrino sources!

$$\phi_{\text{Earth}} \approx 10^{11} \text{ } \nu / \text{cm}^2 / \text{s} \quad \text{Day \& night!}$$

PP chain



Predicted solar neutrino spectrum

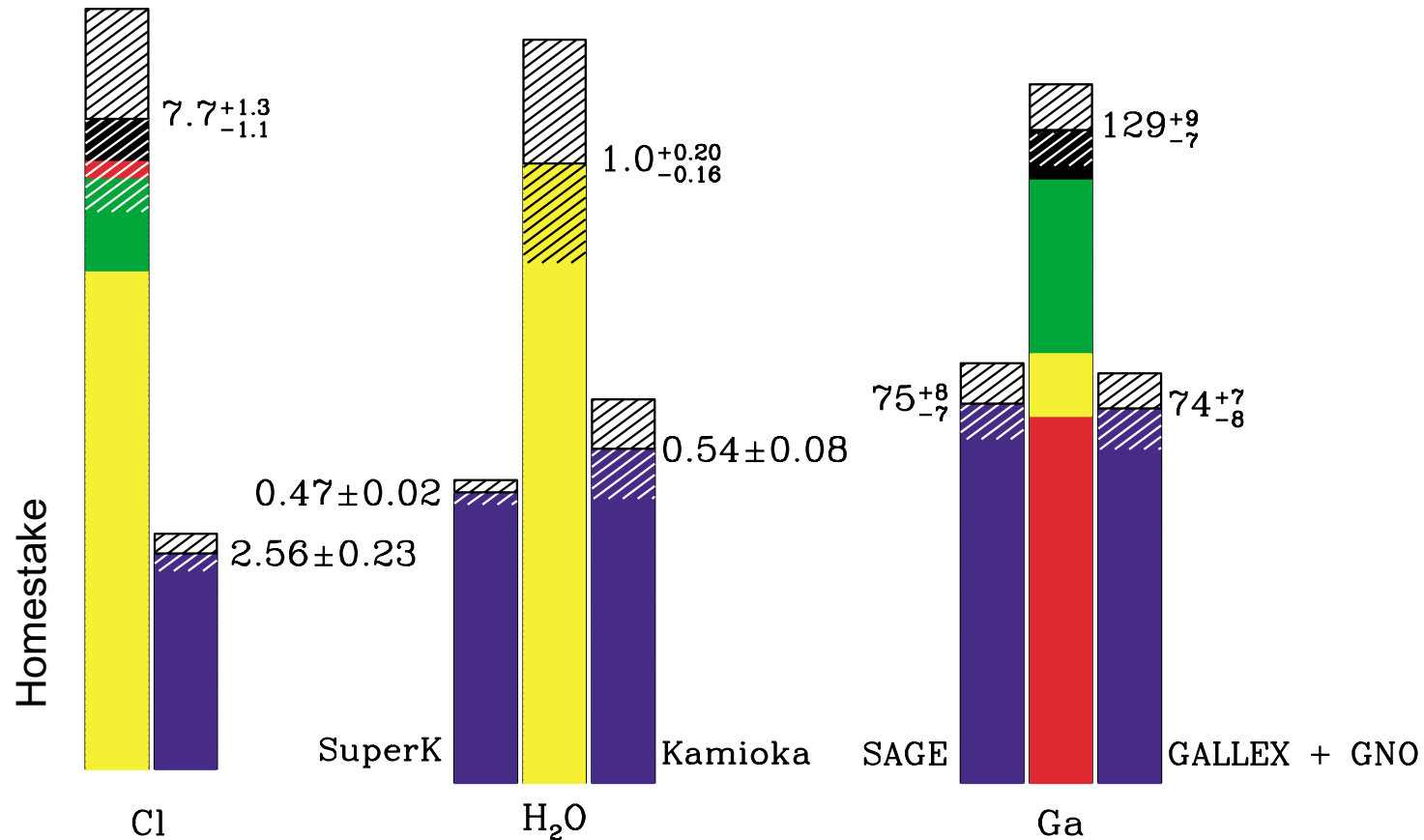


Experimental threshold

Bahcall
<http://www.sns.ias.edu/~jnb>

The solar neutrino problems

Total Rates: Standard Model vs. Experiment
Bahcall–Pinsonneault 2000



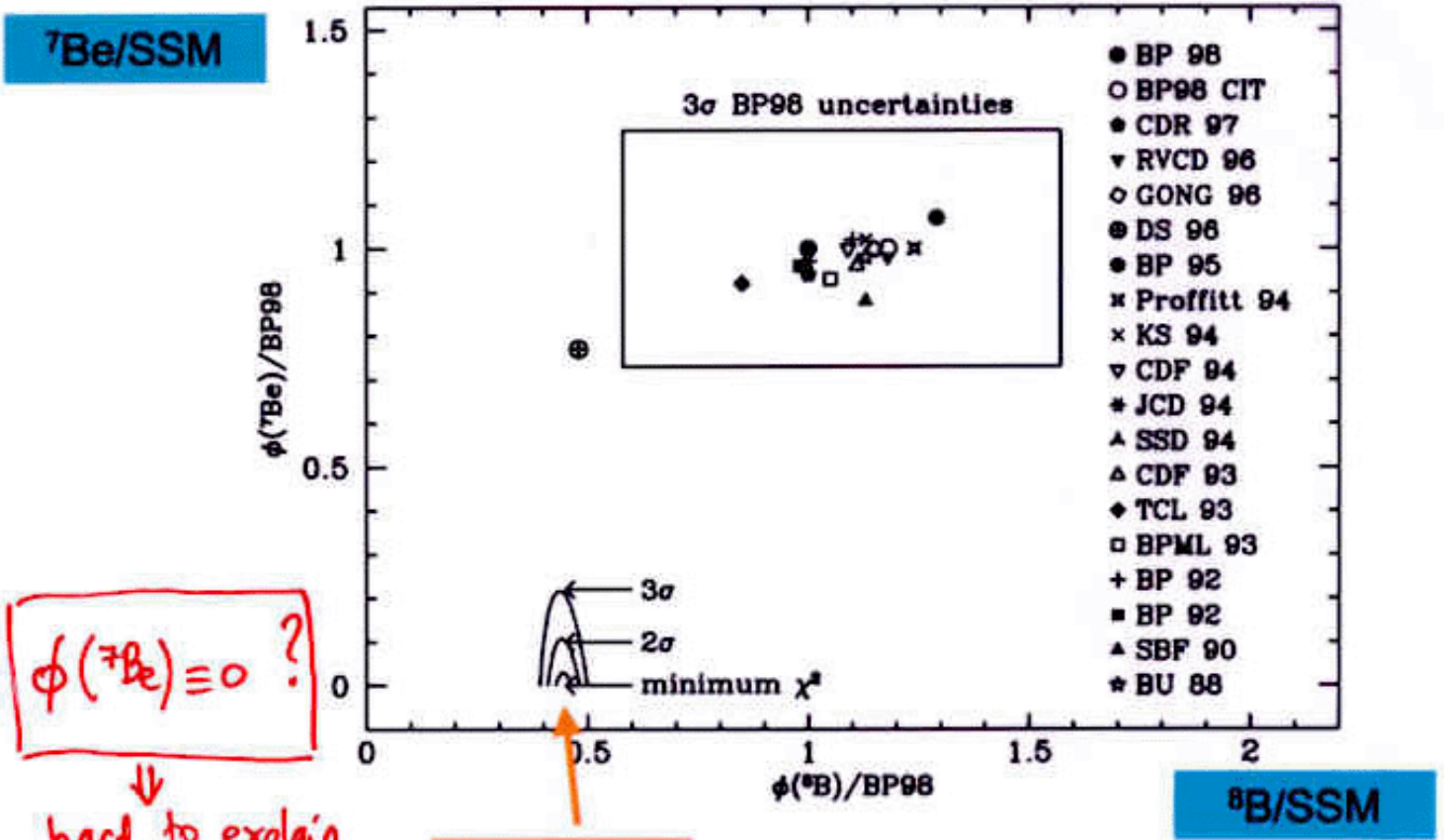
Theory ■ ⁷Be ■ p-p, pep
■ ⁸B ■ CNO

Experiments ■

Bahcall
<http://www.sns.ias.edu/~jnb>

Fitted Be & B rates versus predictions

combining experiments + luminosity constraint



$\phi(^7\text{Be}) = 0 ?$

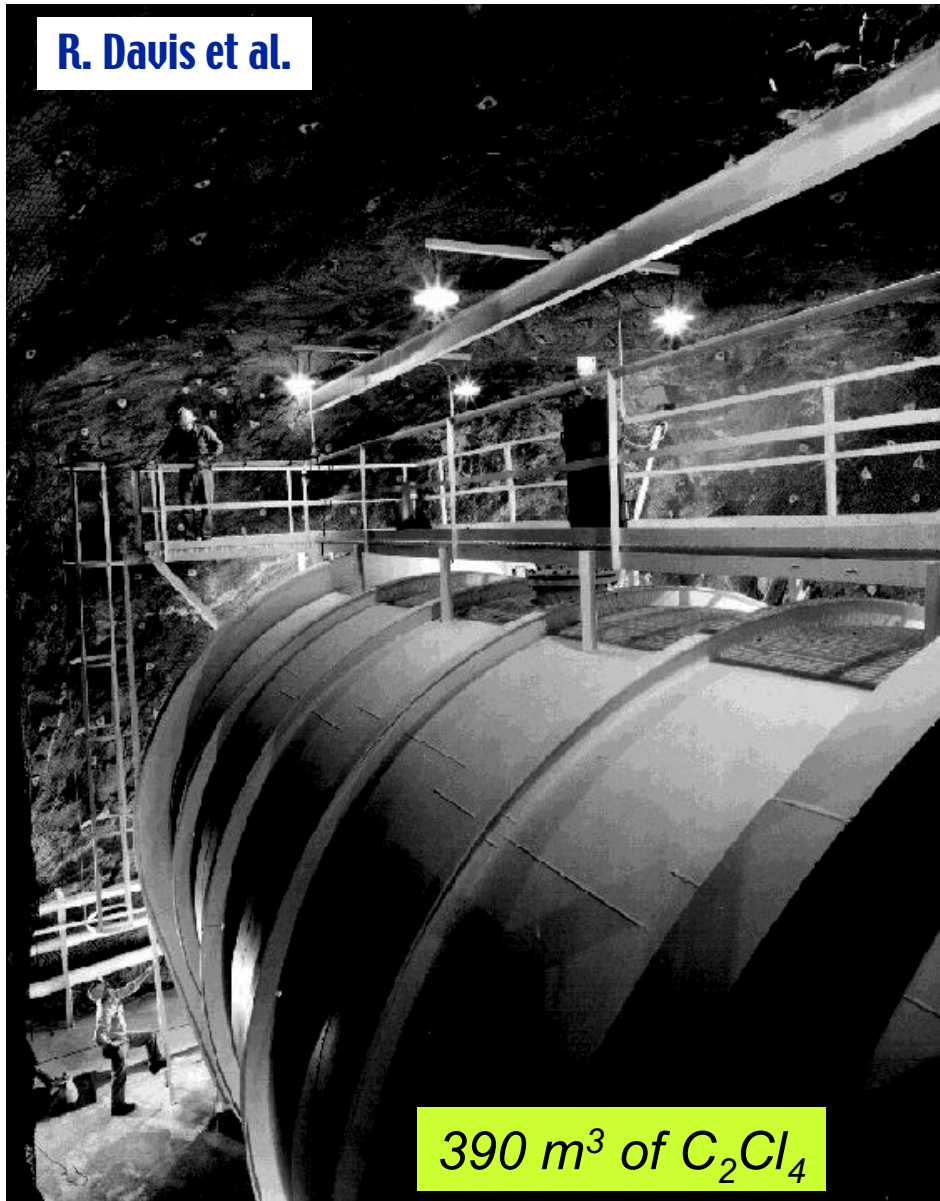
↓
hard to explain
by modification of
solar models

Best fit !

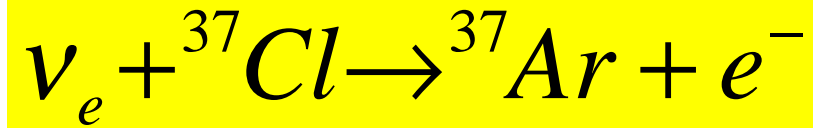
→ the suppression appears E_ν -dependent (from Bahcall)

Chlorine experiment– Homestake Mine

R. Davis et al.



390 m³ of C₂Cl₄



$E_\nu > 814 \text{ KeV}$

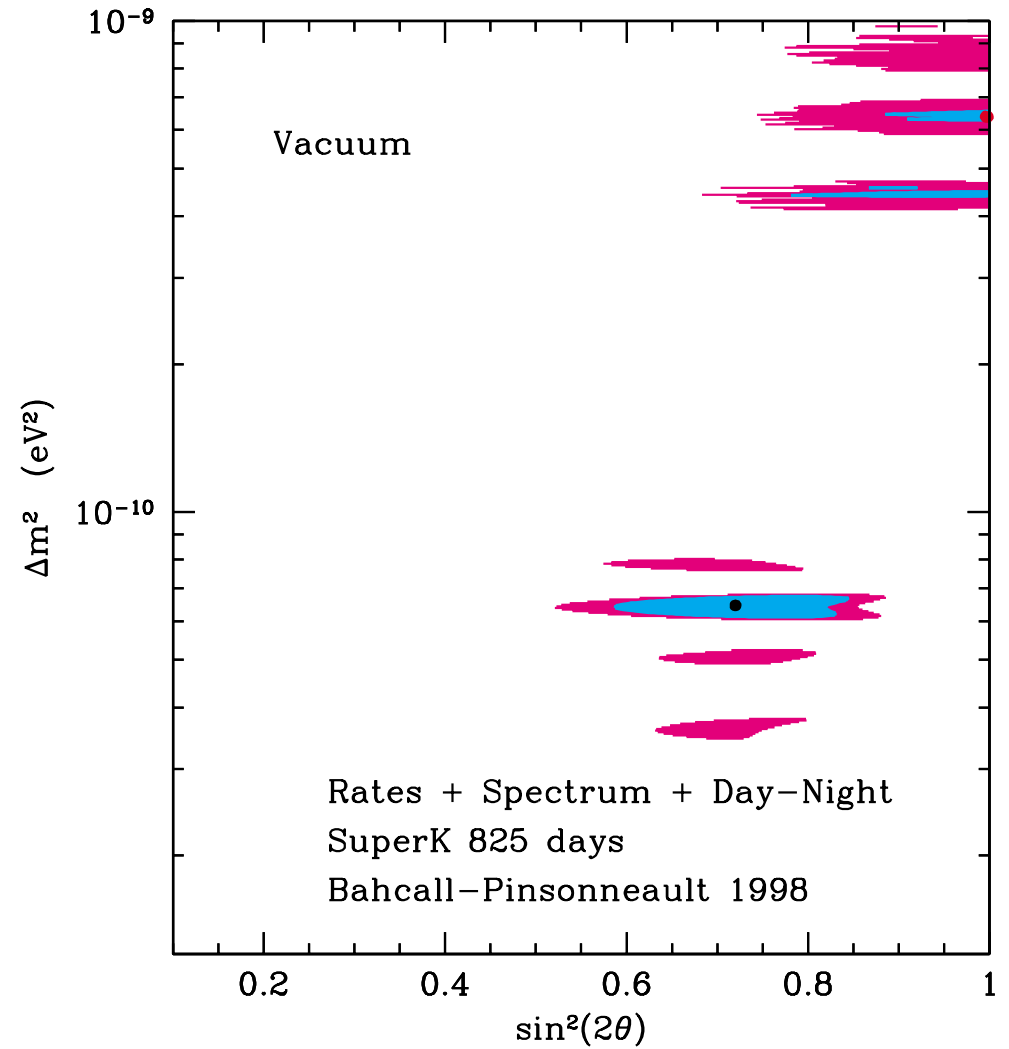
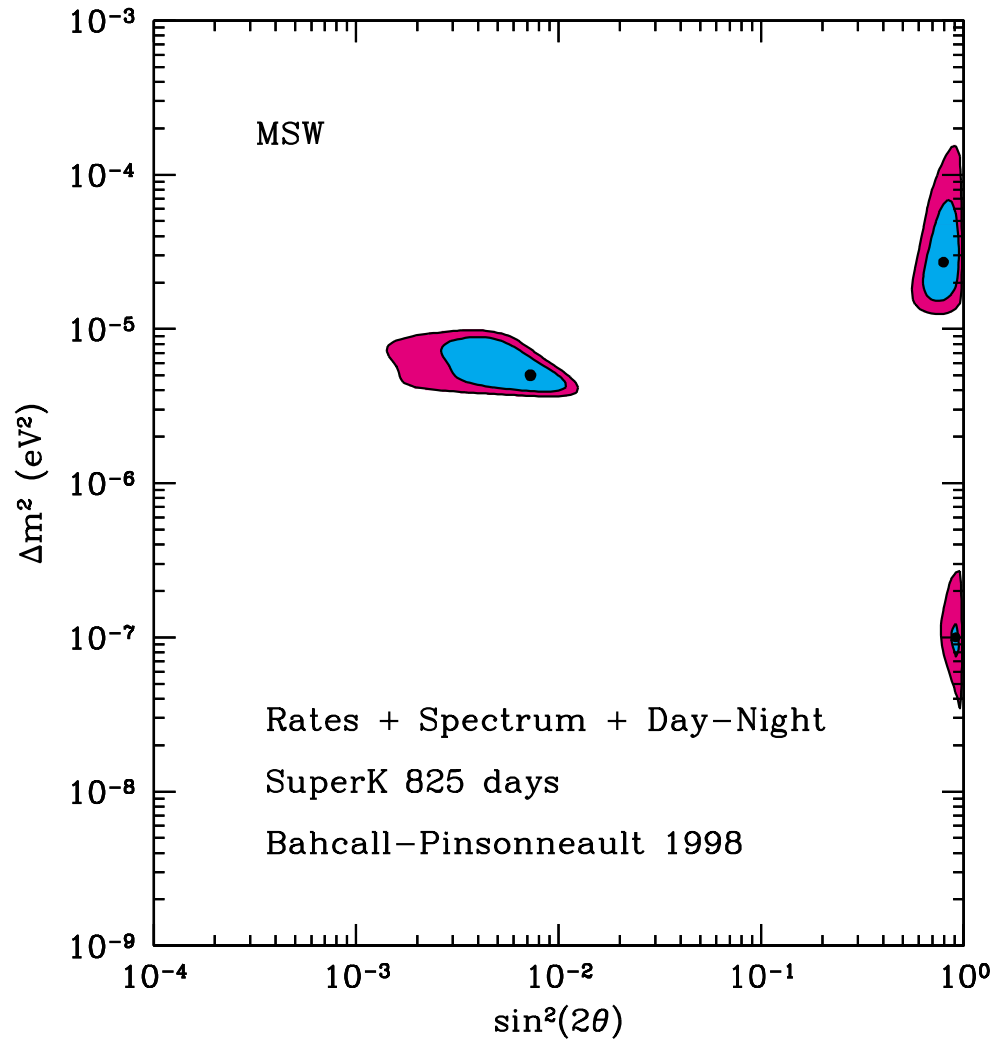
Expected rate: **9.5–1.4 SNU**

1 SNU \equiv 1 evt/s per 10^{36} target atoms

Expected production:
1.5 Ar nuclei/day

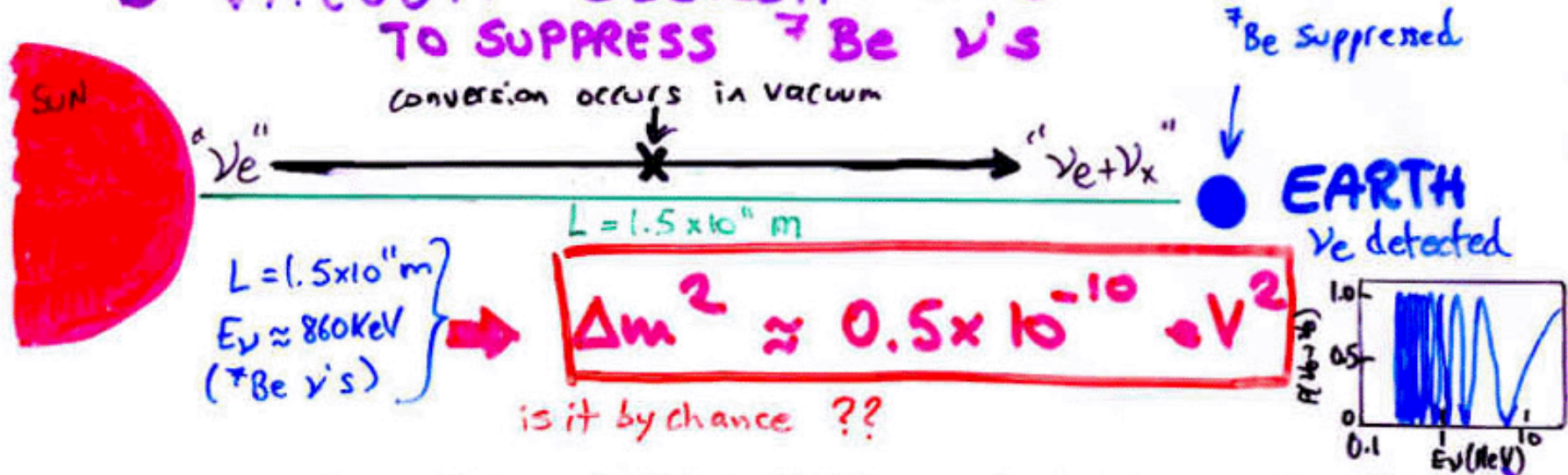
Measured rate: **2.56–0.22 SNU**
average over 30 years!!!!

Solar deficit global solutions

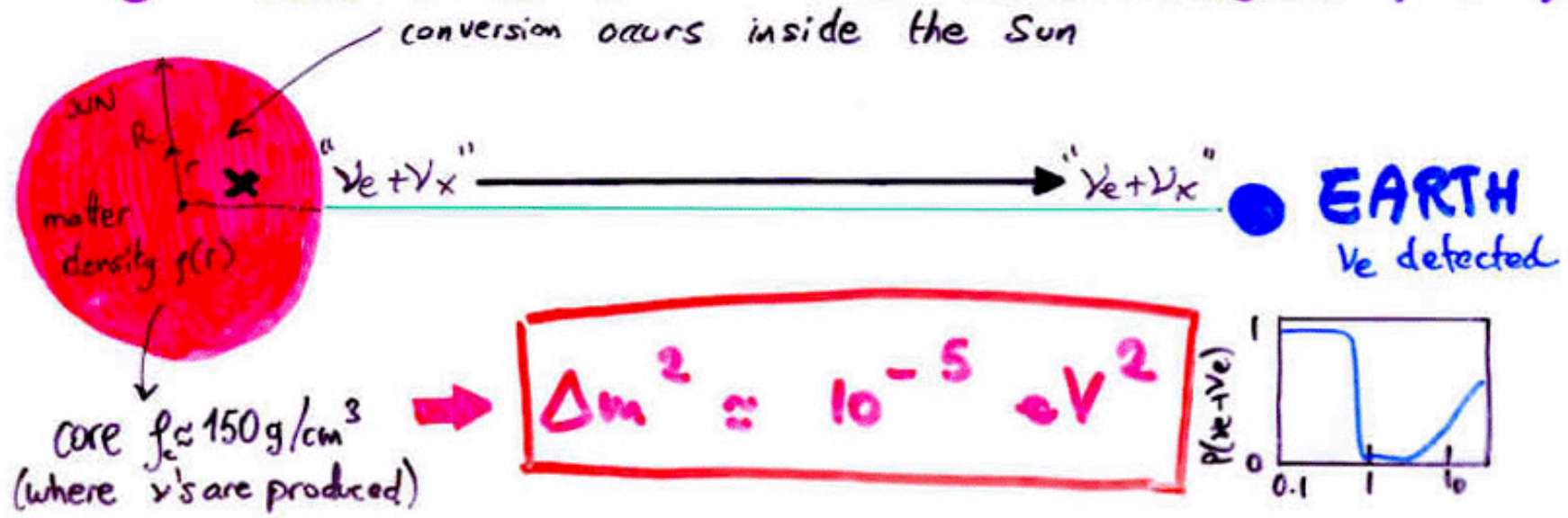


Bahcall
<http://www.sns.ias.edu/~jnb>

VACUUM OSCILLATIONS TO SUPPRESS ${}^7\text{Be}$ ν 's



MATTER ENHANCED OSCILLATIONS (M.S.W)



NEUTRINO OSCILLATION IN MATTER

Mikheyev-Smirnov-Wolfenstein (MSW)

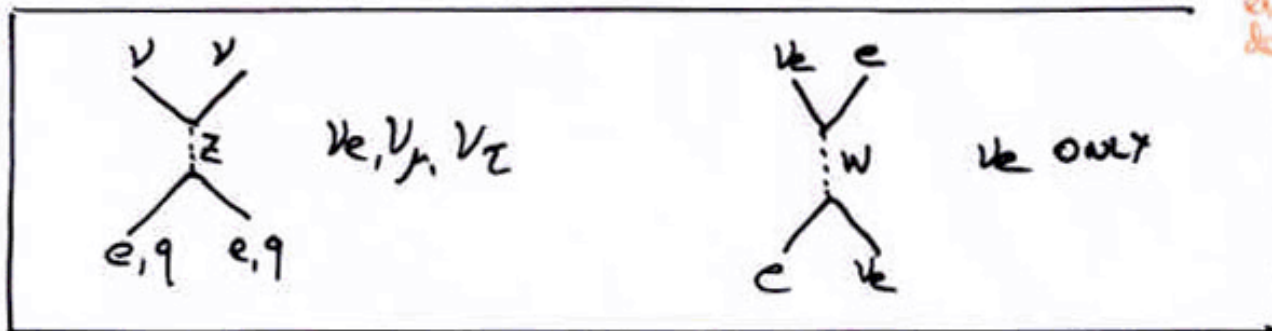
time evolution is modified due to coherent interaction with matter

$$i \frac{d\vec{\nu}}{dt} = U H^i U^\dagger \vec{\nu} + \frac{1}{2E} \begin{pmatrix} A & & \\ & 0 & \\ & & 0 \end{pmatrix} \vec{\nu}$$

weak eigenstates basis

where $A \equiv 2\sqrt{2} G_F N_e E$

↑
electron density



for anti-neutrinos, we must replace $A \rightarrow -A$

case two neutrinos:

$$U = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$

$$H^i = \frac{1}{2E} \begin{pmatrix} m_1^2 & \\ & m_2^2 \end{pmatrix}$$

$$\begin{aligned} i \frac{d\vec{v}_i}{dt} &= \left(H^i + \frac{1}{2E} U^\dagger \begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix} U \right) \vec{v}_i \\ &= \frac{1}{2E} \begin{pmatrix} m_1^2 + A \cos^2\theta & A \cos\theta \sin\theta \\ A \cos\theta \sin\theta & m_2^2 + A \sin^2\theta \end{pmatrix} \vec{v}_i \end{aligned}$$

not diagonal
for mass eigenstates
 ν_1, ν_2

mass eigenstates
in vacuum
 $\vec{v}_i = \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$

→ Diagonalization
mass eigenstates
in matter
 $\begin{pmatrix} \nu_{1m} \\ \nu_{2m} \end{pmatrix} =$ mass eigenstates
in matter

EFFECTIVE MASSES OF EIGENSTATES IN MATTER

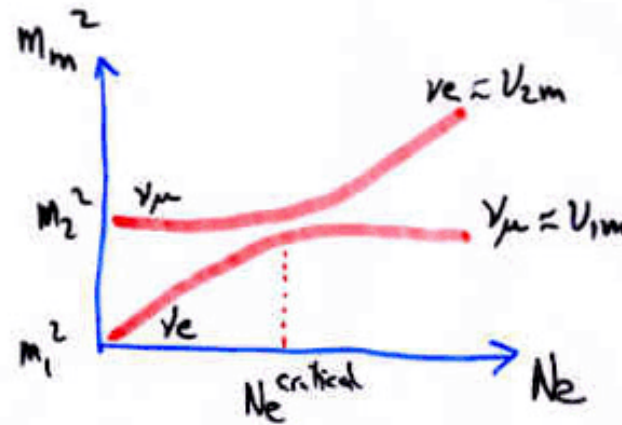
$$M_{1,2m}^2 = \frac{1}{2} \left[(\Sigma + A) \mp \sqrt{(A - D \cos 2\theta)^2 + D^2 \sin^2 2\theta} \right]$$
$$\Sigma \equiv m_1^2 + m_2^2 \quad D = m_2^2 - m_1^2$$

take $m_1^2 \approx 0$ $m_2^2 > 0 \Rightarrow \boxed{\Sigma \approx D \approx m_2^2}$

take θ small

$A=0$: $\nu_{1m} \approx \nu_1 \approx \nu_e$
 $\nu_{2m} \approx \nu_2 \approx \nu_\mu$

$A \rightarrow \infty$: $\nu_{1m} \approx -\nu_\mu$
 $\nu_{2m} \approx \nu_e$



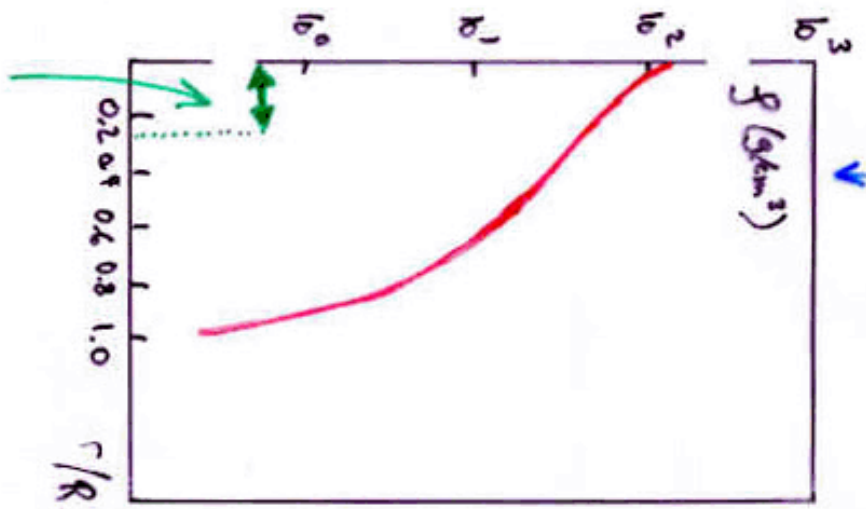
$$\boxed{N_e^{\text{critical}} = \frac{\Delta m^2 \cos 2\theta}{2\sqrt{2} G_F E_\nu}}$$

WHEN THE NEUTRINOS TRAVEL FROM THEIR PRODUCTION REGION (CORE) TOWARDS THE SURFACE, THEY TRAVERSE REGIONS OF DIFFERENT DENSITIES

WHEN $N_e(r) \approx N_e^{critical} = \frac{\Delta m^2 \cos 2\theta}{2\sqrt{2} G_F E_\nu}$

→ RESONANCE

SOLAR DENSITY VERSUS RADIUS



region where neutrinos are produced (core)

SINCE $N_e(r) \searrow r \nearrow$
A CONDITION FOR RESONANCE IS

$N_e(r = r_{creation}) > N_e^{critical}$

→ $\cos 2\theta < \frac{2\sqrt{2} G_F E_\nu N_e^{creation}}{\Delta m^2}$

RESONANT!

$\Delta m^2 \cos 2\theta < E_\nu \times C$

$C \equiv 2\sqrt{2} G_F N_e^{creation}$

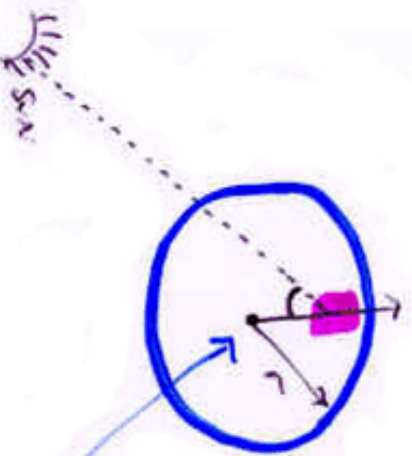
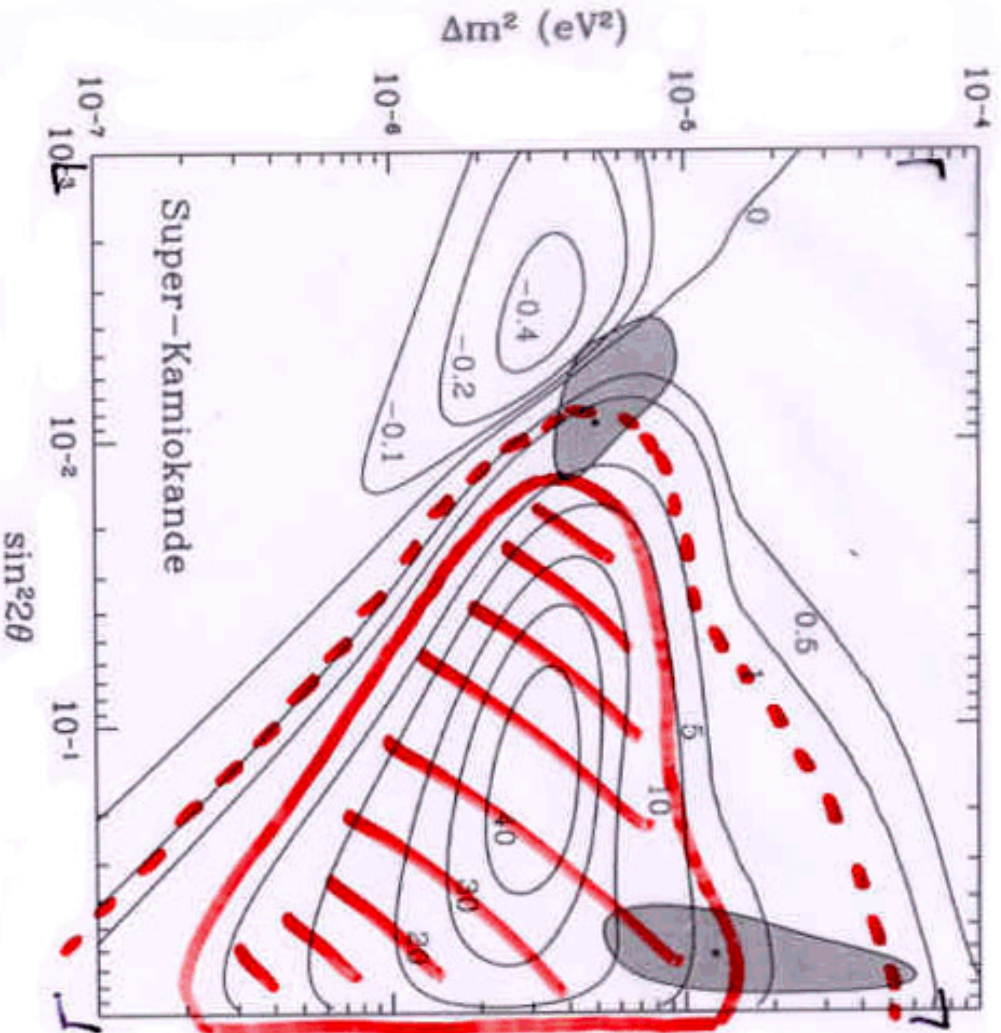
Regions $\Delta m^2 \sin^2 2\theta$ where resonance occurs (and E_ν dependent)

⇒ ENERGY DEPENDENT SUPPRESSION

MSW REGENERATION IN EARTH

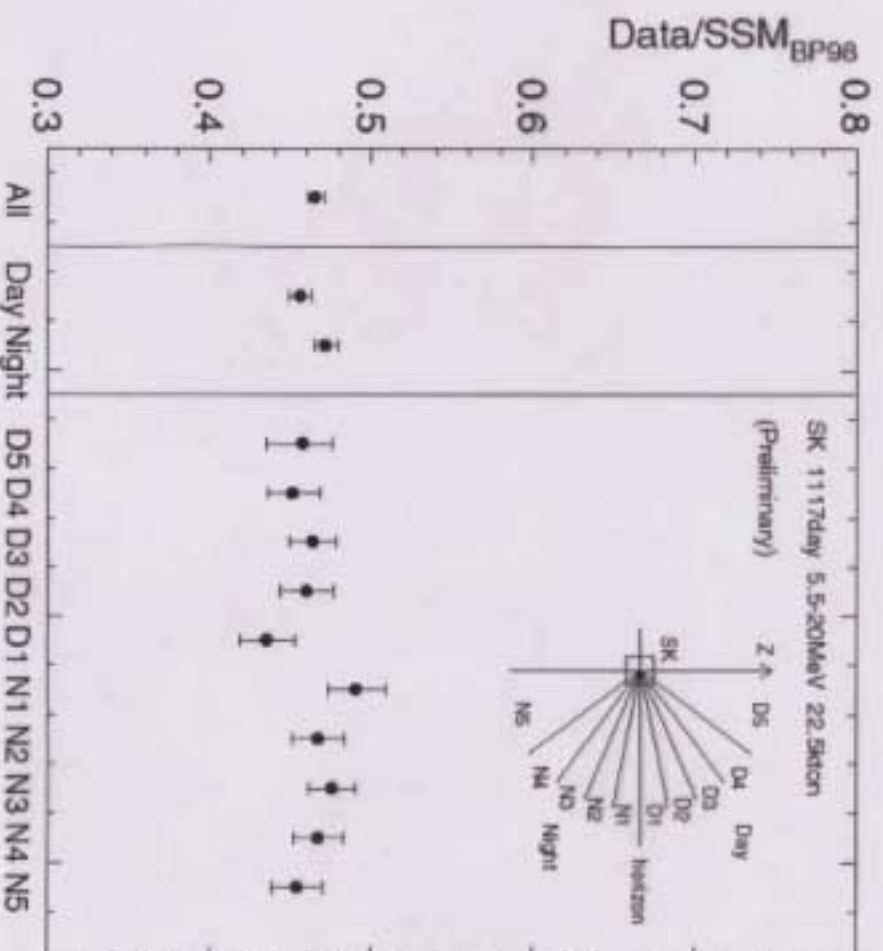
Bahcall, Krastev, May 97

(Night - Day)/(Night + Day) (%)



(core $r \sim 3480 \text{ km}$ $\rho \sim 5-12 \text{ g/cm}^3$)
 (mantle $r \sim 3480 \text{ km}$ $\rho \sim 1-5 \text{ g/cm}^3$)

Day/Night Flux difference



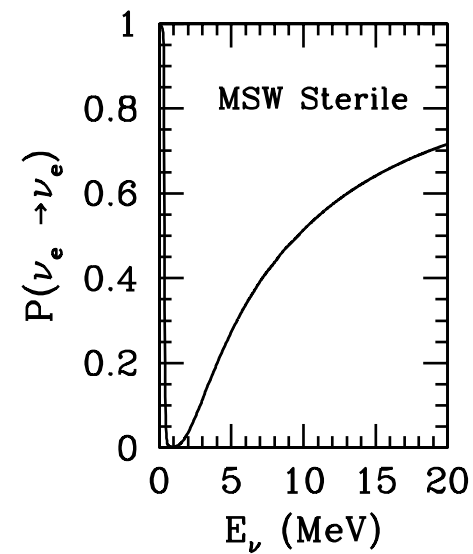
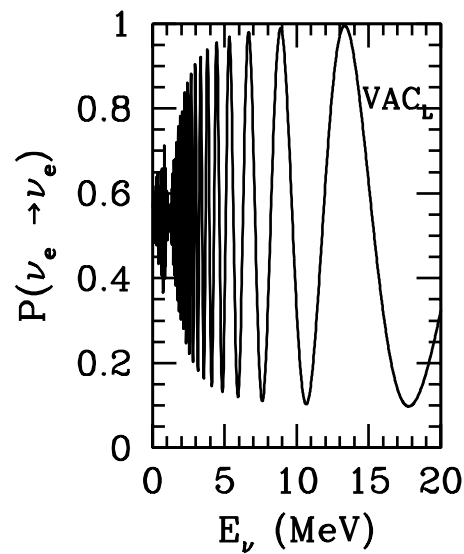
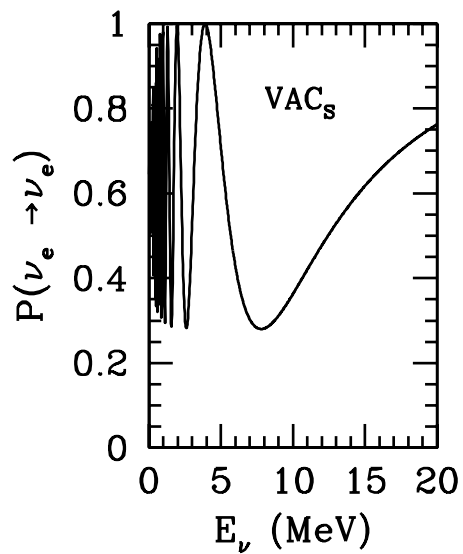
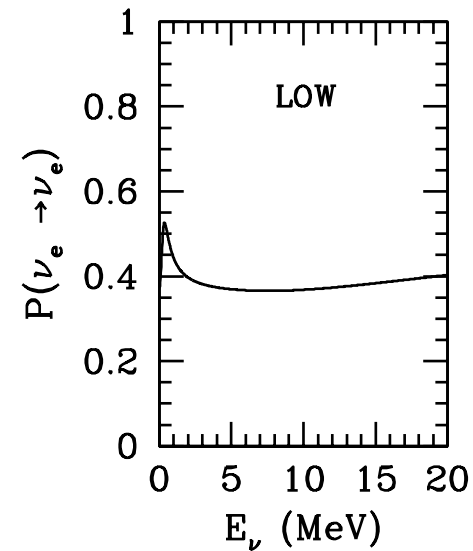
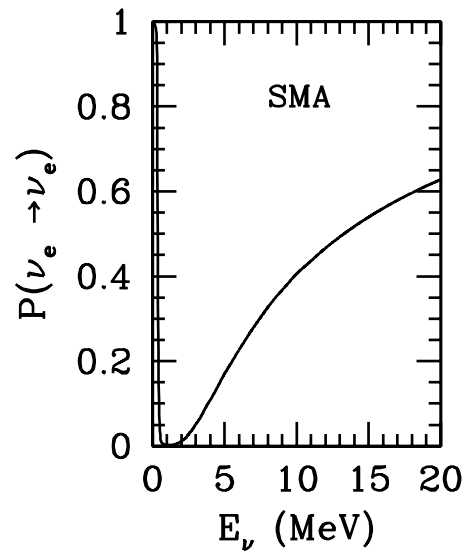
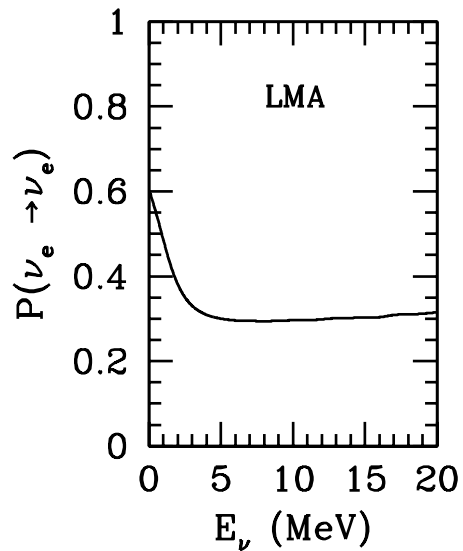
Day = 2.35 ± 0.04 (stat.) $\pm_{0.07}^{0.08}$ (syst.) $\times 10^6/\text{cm}^2/\text{s}$

Night = 2.43 ± 0.04 (stat.) $\pm_{0.07}^{0.08}$ (syst.) $\times 10^6/\text{cm}^2/\text{s}$

$$\frac{D-N}{(D+N)/2} = -0.034 \pm 0.022 \text{ (stat.) } {}_{-0.012}^{+0.013} \text{ (syst.)}$$

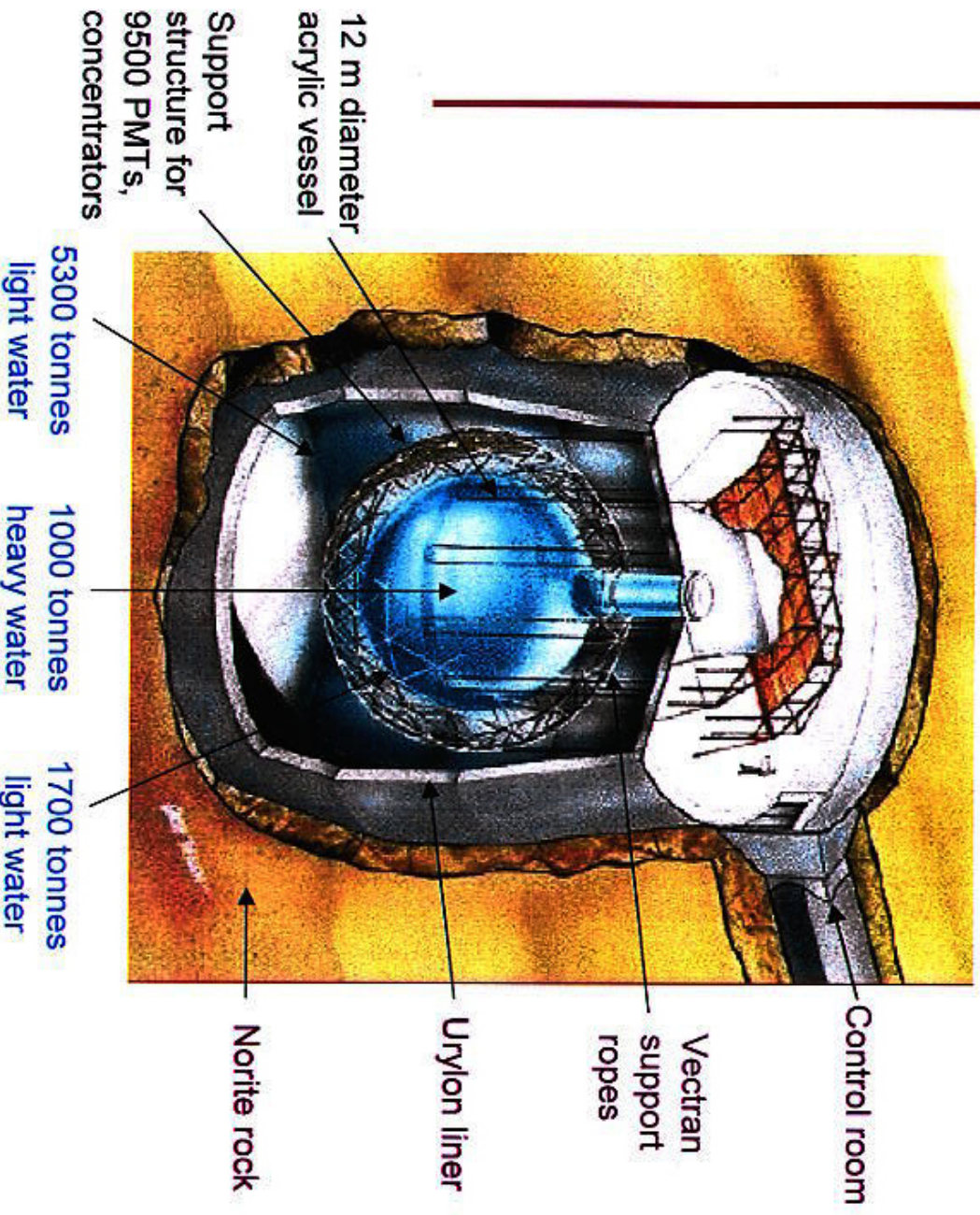
1.3 σ level \rightarrow not strong yet

Solar neutrino survival probabilities



The SNO Detector

2039 m to surface
 10^{11} m to Sun



- **Location:** 6800 ft. level of INCO's Creighton mine near Sudbury, ON, Canada (~70 muons / day)

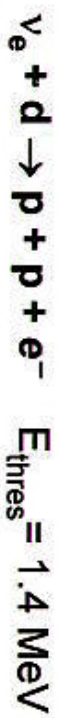
- **SNO Detector:** 9438_{inward} + 91_{outward} Hamamatsu 8" PMTs + concentrators = 59% coverage



SNO Measurements

Charged Current Reaction (D_2O):

CC



(only ν_e)

- ν_e energy spectrum (distortion MSW effect)
- Some directional sensitivity ($1 - 1/3 \cos \theta_e$)

Neutral Current Reaction (D_2O):

NC



(ALL ν types)

- Total solar 8B neutrino flux (active neutrinos)

$$\text{Ratio} = \frac{\text{CC}}{\text{NC}} = \frac{(\nu_e) \text{ flux}}{(\nu_e + \nu_\mu + \nu_\tau) \text{ flux}}$$

Elastic Scattering Reaction (D_2O, H_2O):

ES



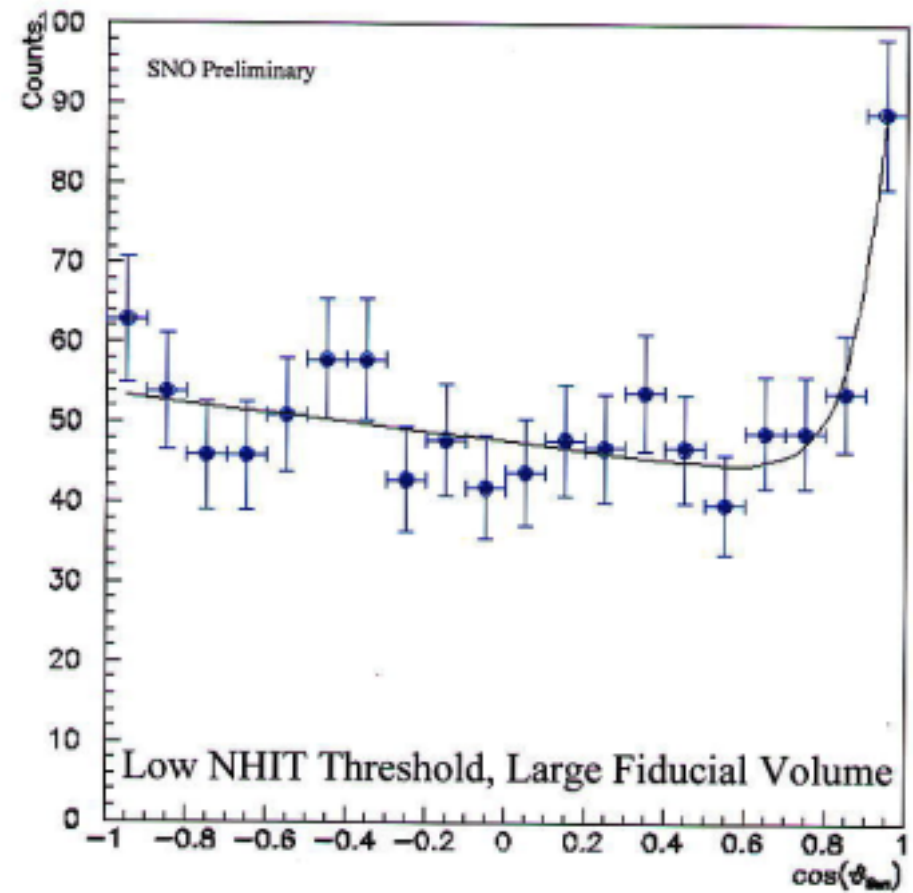
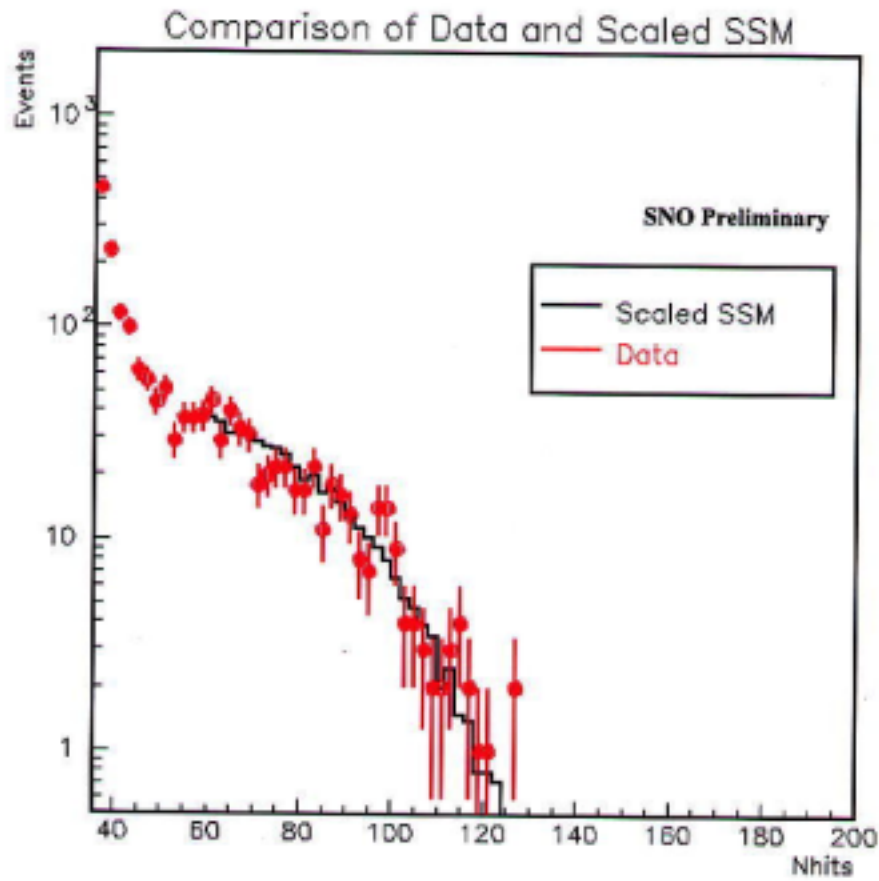
(mostly ν_e)

- Low counting rate
- Directional sensitivity (very forward peaked)

$$\text{Ratio} = \frac{\text{CC}}{\text{ES}} = \frac{(\nu_e) \text{ flux}}{0.86 \nu_e + 0.14(\nu_\mu + \nu_\tau) \text{ flux}}$$



SNO preliminary data



BOREXINO

Gran Sasso,
Italy

Real time experiment based on ν - e scattering

Interest focused in the ${}^7\text{Be}$ monochromatic line (863 KeV) and its time (day-night, seasonal) variation

Detection threshold 250 KeV →
46 evts/day in the 100 tons fiducial volume expected according to SSM

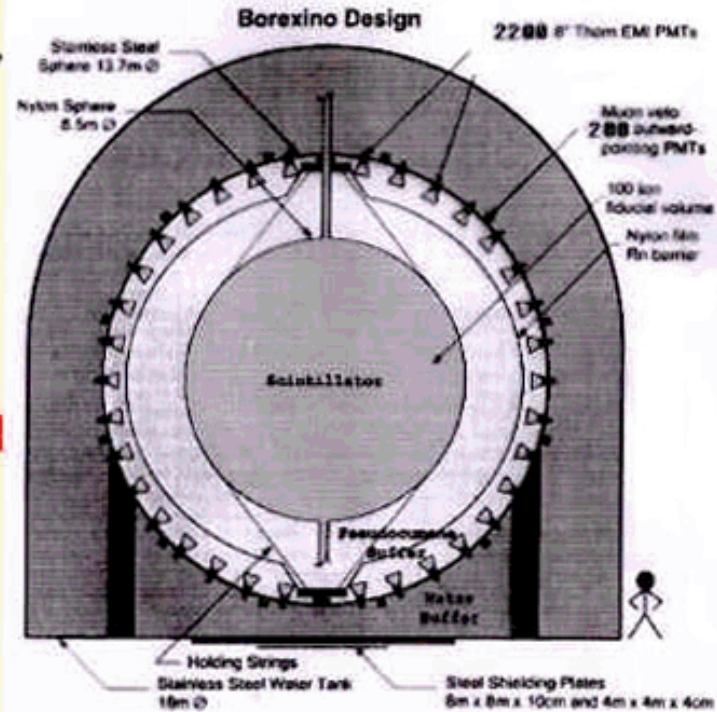
Extremely sensitive to radio impurities → Background level:

aim at 10^{-16} g of ${}^{238}\text{U/g}$

SMA ⇒ full suppression
LMA ⇒ half suppression

VO ⇒ seasonal variation

Borexino Design



300 tonnes of ultra-pure scintillator viewed by 2200 PMT

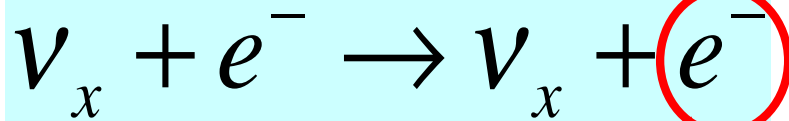
Outer ultra-pure water shield (2400 tonnes) viewed by 200 PMT

(7,2001)

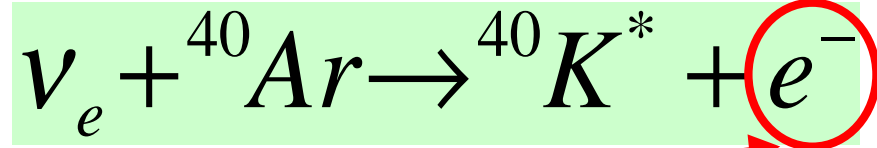
Solar neutrinos detection in ICARUS

- ❖ Two reactions can be measured independently:

Elastic scattering on
atomic electron



ν absorption on
Argon nuclei

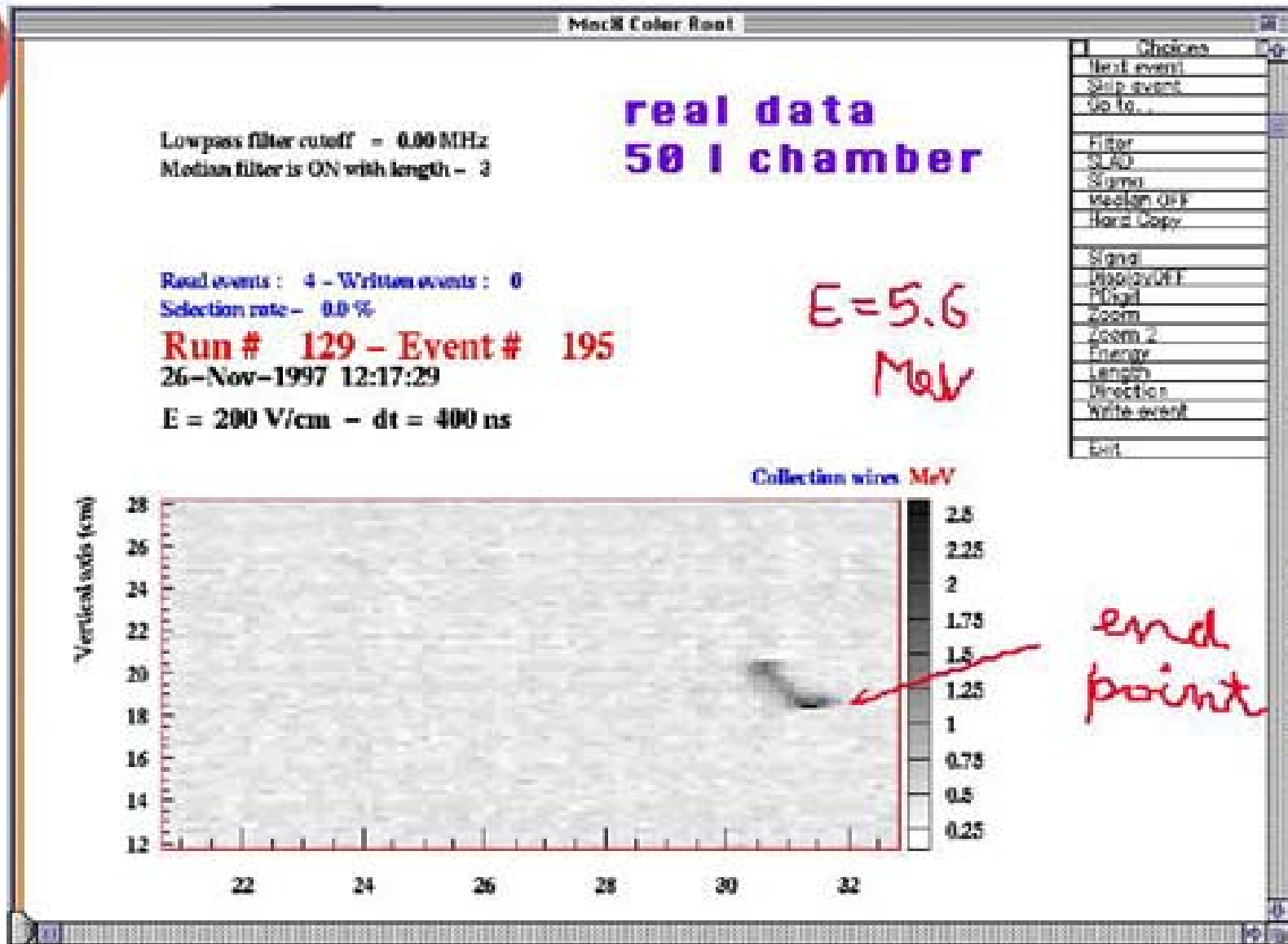
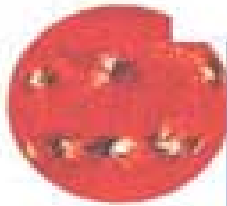


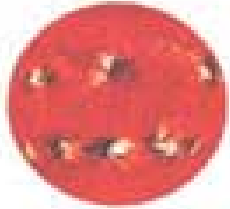
- ❖ **Signature:**

- ❖ Primary electron track
- ❖ Absorption: surrounded by low energy secondary tracks (${}^{40}\text{K}^*$ de-excitation).
- ❖ Prototype setup: electron track visible down to kinetic $T=150$ KeV
- ❖ Electron track **threshold** = **5 MeV** (needed to reduce background contribution and to establish the e^- direction in elastic scattering).
- ❖ Sensitive to ${}^8\text{B}$ component of the solar spectrum.

Radioactive source: 6 MeV γ 's

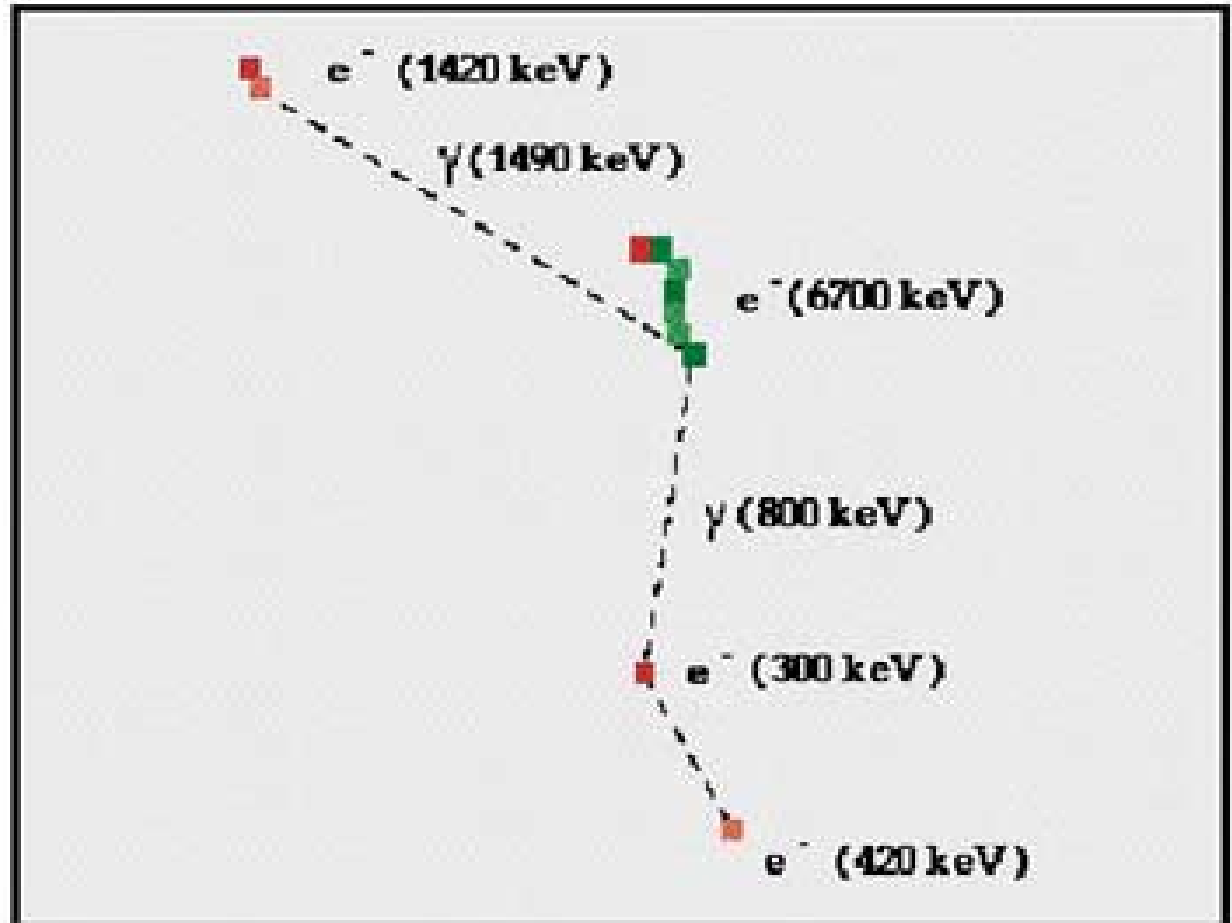
7





Typical Montecarlo Gamow -Teller digitised event

$E_{\text{main electron}}$ = 6788 keV
Associated compton energy = 2148 keV
Multiplicity = 3



Solar neutrino rates and sensitivity

470 ton fiducial, all cuts imposed

Events/year

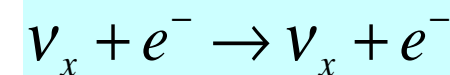
Elastic channel	212
Background	6
Absorption channels	759
Background	26

Events per year for a 600 ton detector

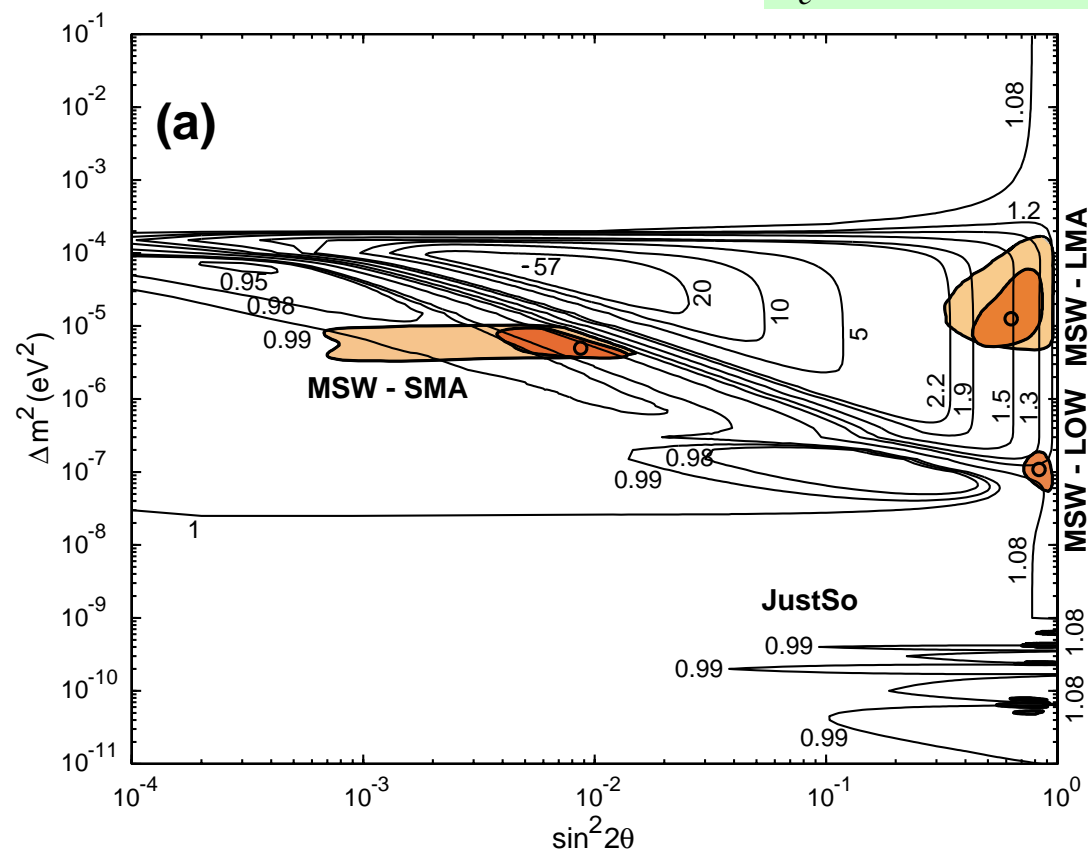
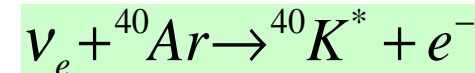
Te (MeV)	Neutrons
0.0	7400
1.0	3404
2.0	1554
3.0	696
4.0	318
5.0	144
6.0	66
7.0	30
8.0	13

$$R \equiv \frac{N^{ES} / N_{theory}^{ES}}{N^{ABS} / N_{theory}^{ABS}}$$

ES=elastic scattering



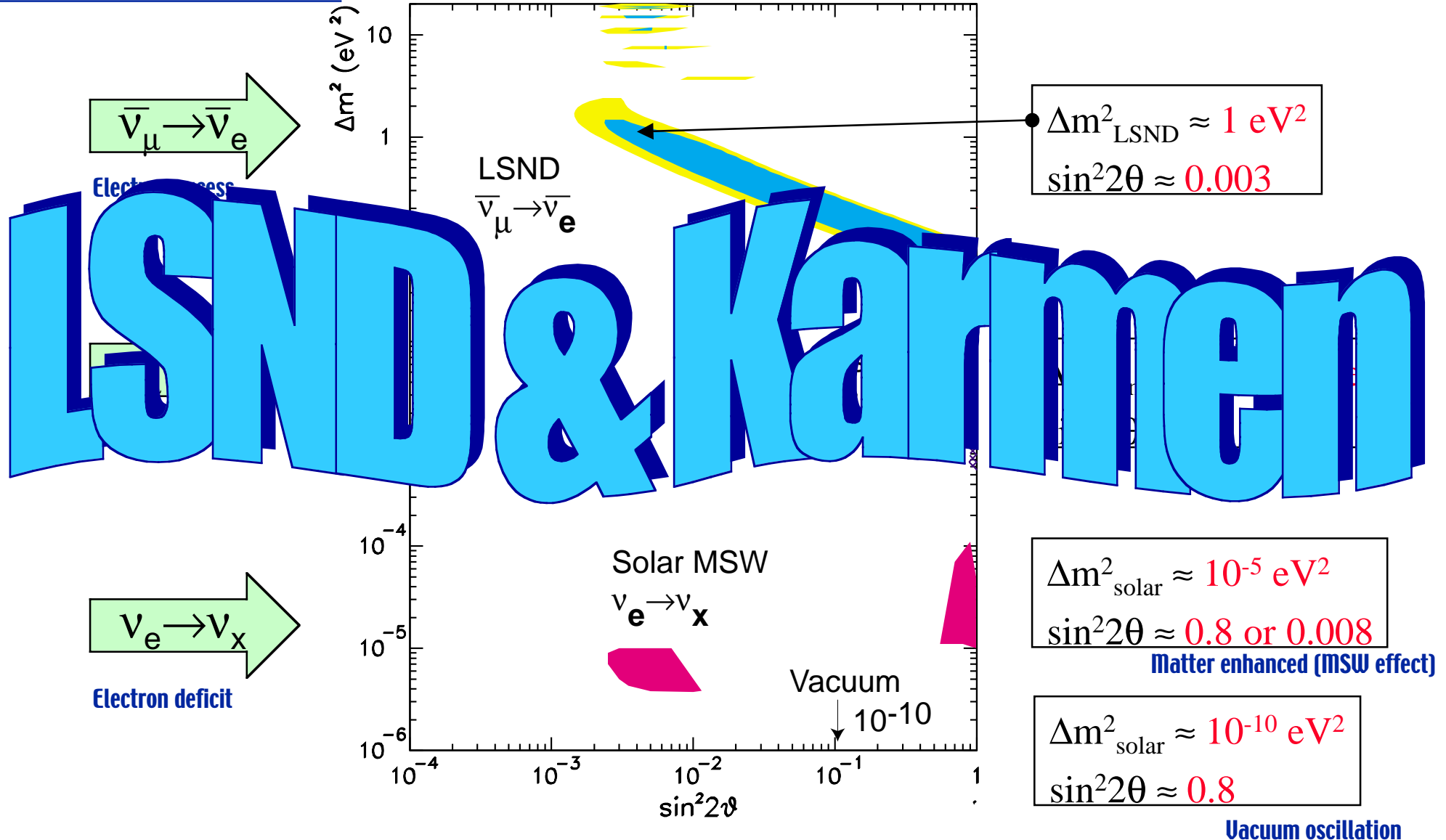
ABS=absorption events



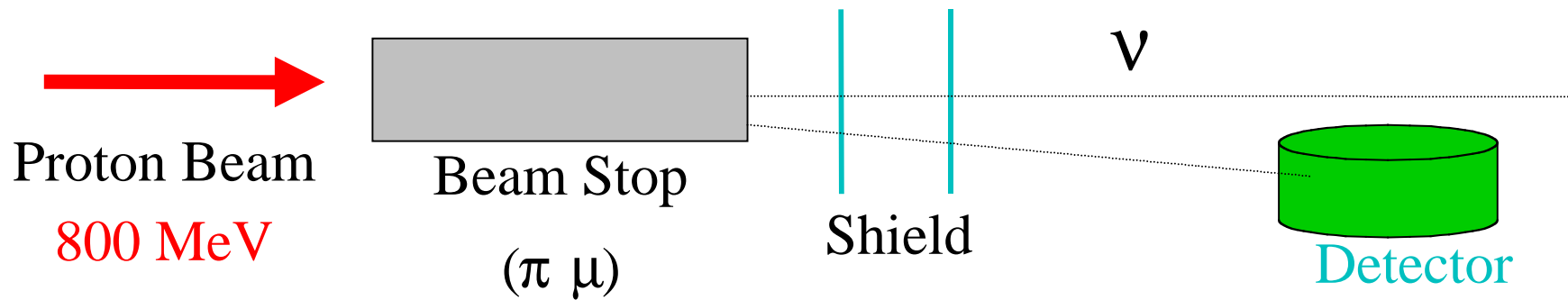
$$\Delta R / R \approx 7\% (1kt \times yr), 5\% (2kt \times yr), 4\% (4kt \times yr)$$

Oscillation map - "allowed regions"

Two-neutrino oscillation



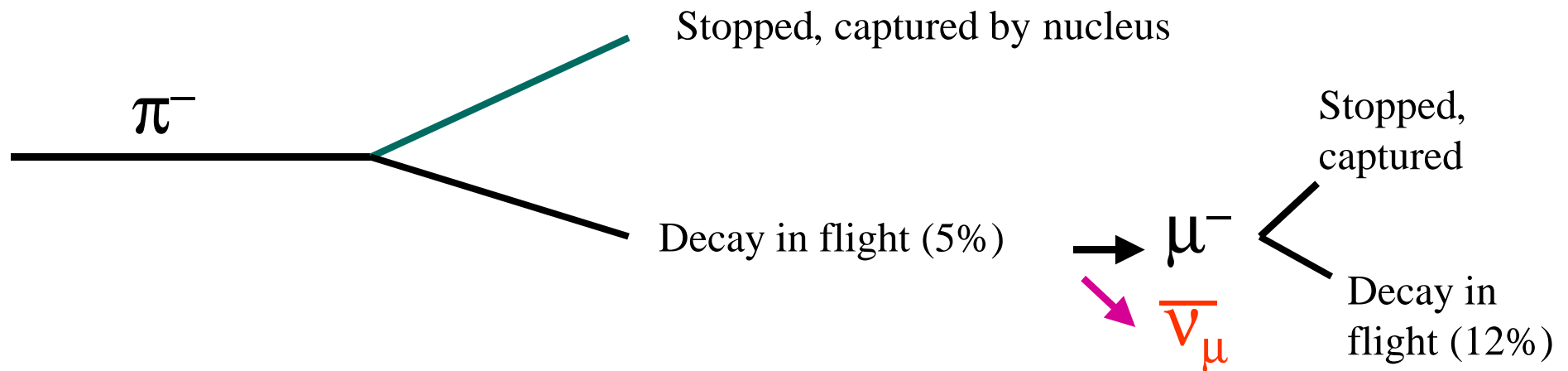
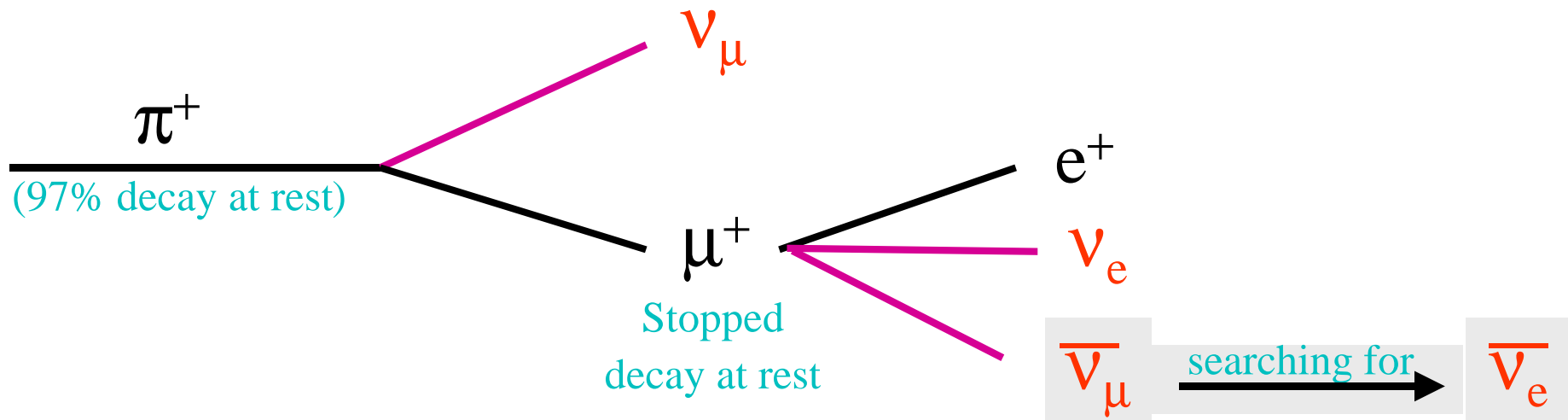
Medium Energy Accelerator



Detector

	LSND (U.S.A.)	KARMEN (U.K.)
Accelerator	LAMPF	ISIS
Proton Current	1 mA	0.2 mA
Beam Pulse	500 μ s	2 x 100 μ s
	8.3 ms pause	20 ms pause
Mass	180 tons	56 tons
Distance	17 m	30 m
Angle with beam	17 $^\circ$	90 $^\circ$

Neutrinos produced from π and μ decays



Small contamination

Search for oscillations at medium energy

Neutrinos mainly produced from decay at rest (DAR) of π and μ

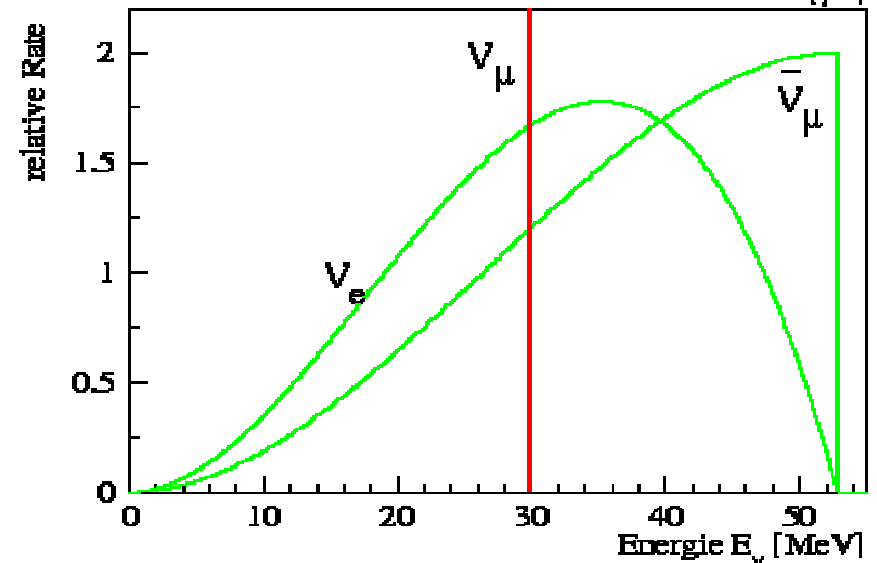
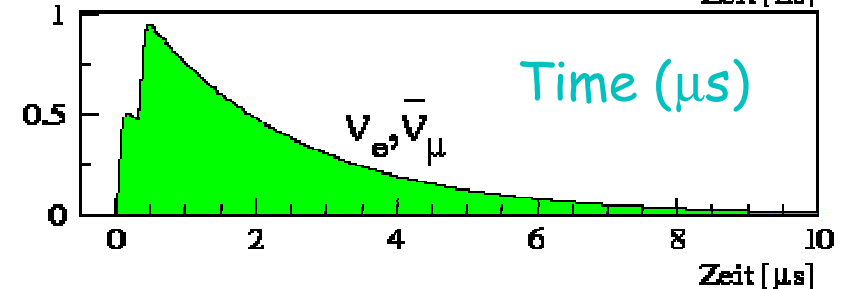
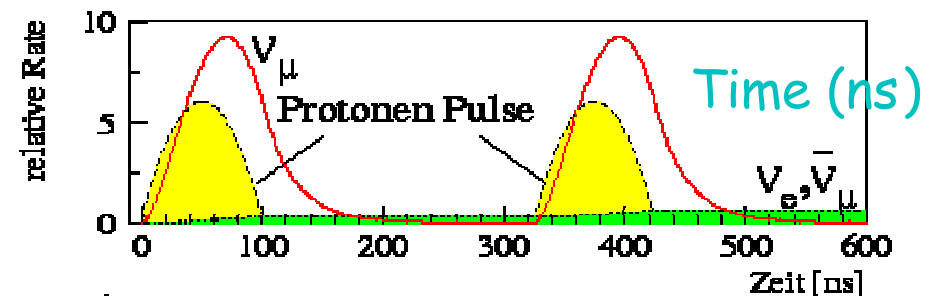
Also from decay in flight (DIF) of these particles

Mainly looking for $\bar{\nu}_e$ appearance

$$\bar{\nu}_e / \bar{\nu}_\mu \text{ (DAR)} = 4 \times 10^{-4}$$

★ At ISIS, time separation of π and μ decays

ISIS Source



LSND (Liquid Scintillator Neutrino Detector)

Cylindrical Tank 8.3 m long, 5.7 m in diameter

1220 8-inches PMT Filled with mineral oil (C_nH_{2n+2}) +
small admixture of b-PBD

*Detects scintillation
and Cerenkov light*

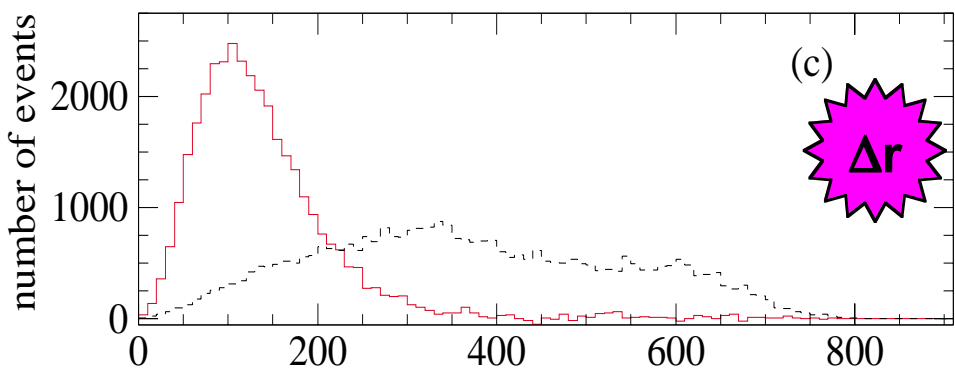
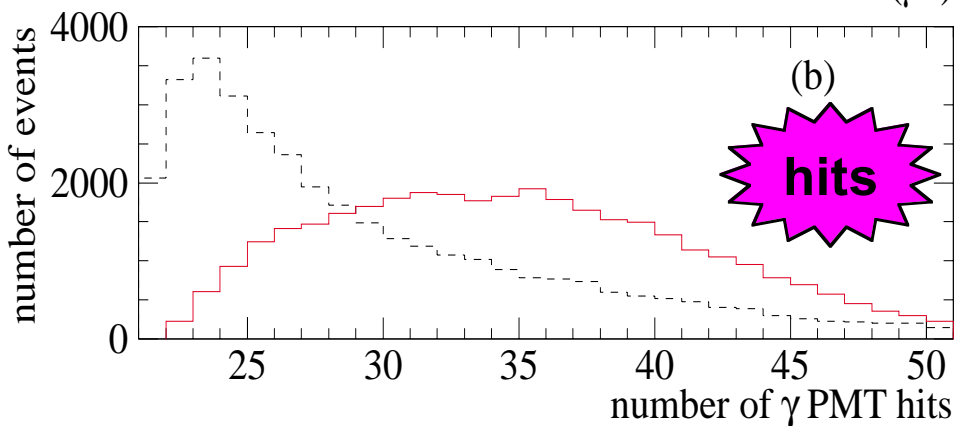
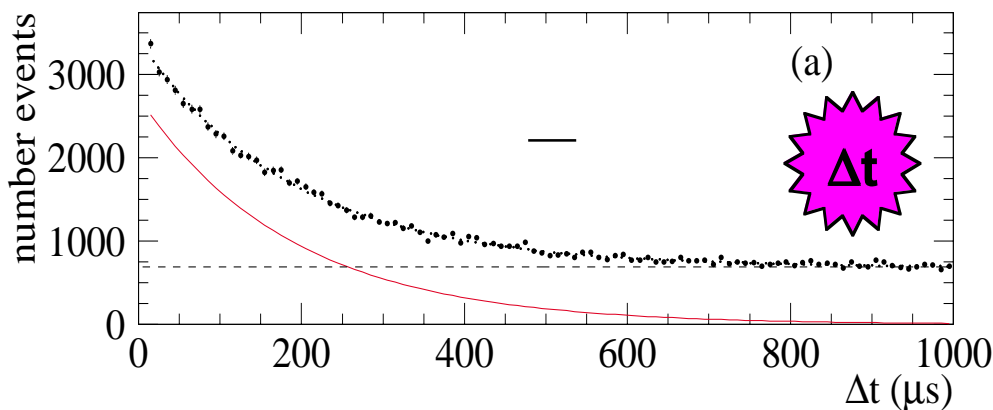
Energy resolution $\sim 7\%$
at ~ 50 MeV

Angular resolution $\sim 12^\circ$

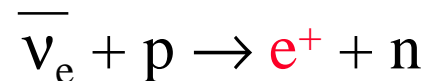
Position resolution ~ 30 cm



$\nu_\mu \rightarrow \nu_e$ search in LSND



Signature:



prompt positron signal, energy range



delayed correlated photon

① Particle identification (PID):

(Cerenkov light)

$\epsilon^- 80\%$; neutron rejection $\sim 10^{-3}$

② Signature discrimination:

From the p.d.f., build likelihood function:

$$L = P(\Delta t) \times P(\Delta r) \times P(\text{hits})$$

$$R = \frac{L(\text{correlated})}{L(\text{accidental})}$$

LSND DAR Oscillation Search

$36 < E_e < 60$ MeV, $R > 30$:

($R > 30$: $\epsilon_{\text{correlated}} = 23\%$, $\epsilon_{\text{accidental}} = 0.6\%$)

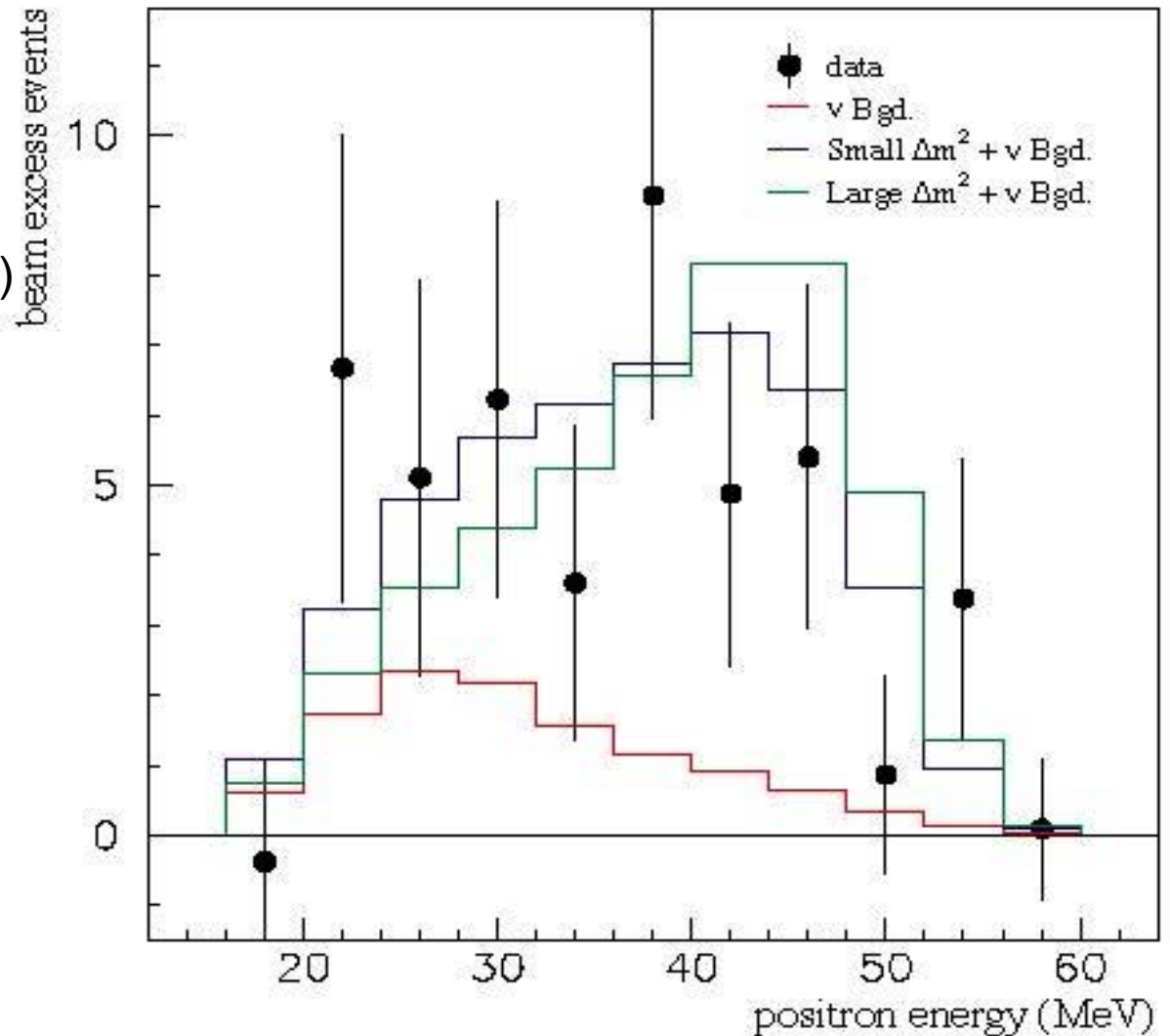
¥Signal: 22 events

¥Beam unrelated: 2.5 ± 0.4

¥Beam related: 2.1 ± 0.4

¥Excess: 17.4 ± 4.7 events

¥Prob stat. $\sim 4 \times 10^{-8}$



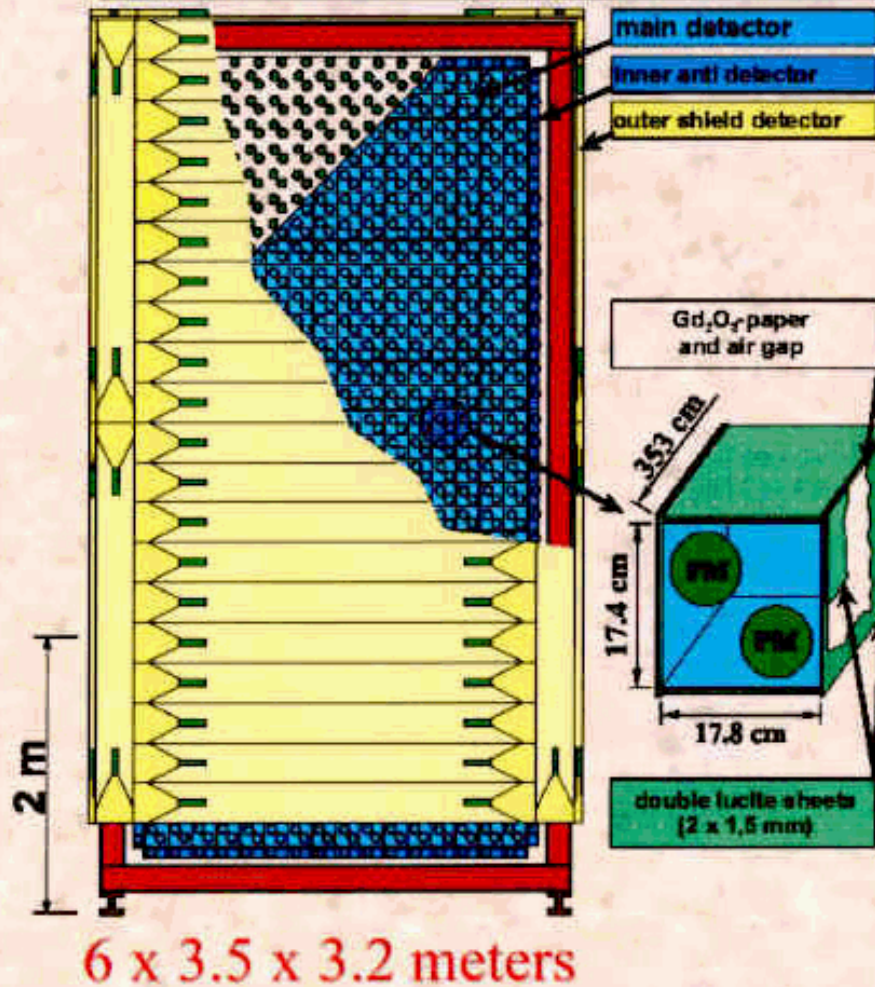
LSND

Preliminary 96 - 97 & Total

Selection	Beam On	Beam Off	ν Background	Total Excess
R > 30 20 < E ν < 60	61	15.6 \pm 1.0	11.5 \pm 1.5	33.9 \pm 8.0
R > 30 36 < E ν < 60	29	5.2 \pm 0.6	3.0 \pm 0.6	20.8 \pm 5.4

Data Sample	Fitted Excess	Total Excess	Oscillation Probability %
1993 - 1995	63.5 \pm 20.0	51.0 \pm 20.2	0.31 \pm 0.12 \pm 0.05
<u>1995 - 1997</u>	35.1 \pm 14.7	30.3 \pm 14.8	<u>0.32 \pm 0.15 \pm 0.05</u>
1993 - 1997	100.1 \pm 23.4	82.8 \pm 23.7	0.31 \pm 0.09 \pm 0.05

KARMEN



Filled with 65000 l of liquid scintillator

2048 3-inches PMT

Detects scintillation light
neutron capture in Gd

Energy resolution

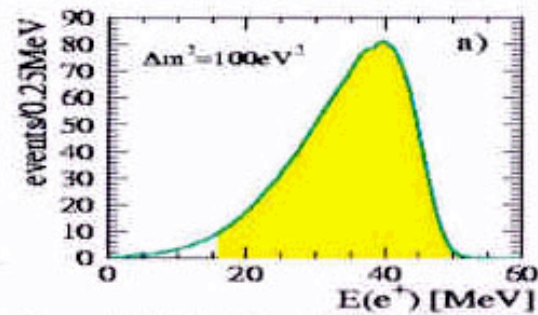
$$\sim 11.5\% / \sqrt{E(\text{MeV})}$$

Time resolution ~ 2 ns

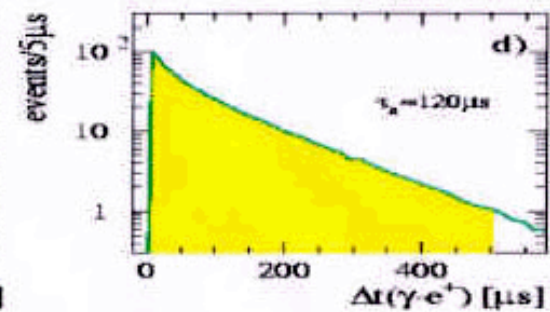
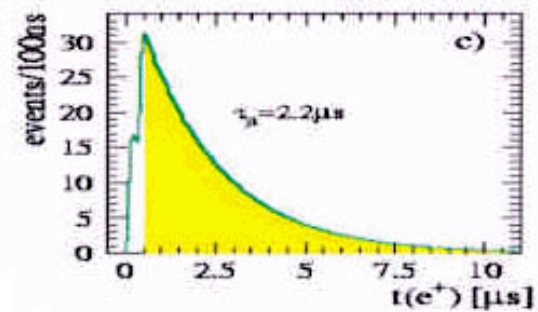
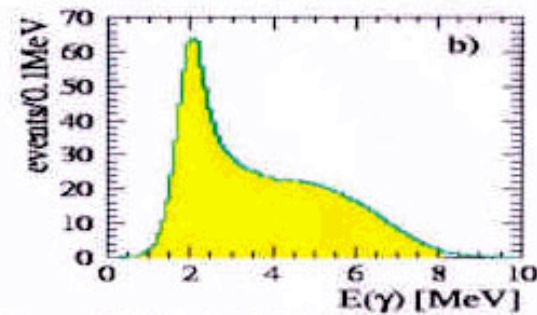
Position resolution ~ 6 cm

KARMEN SIGNAL

Positron Energy



Photon Energy

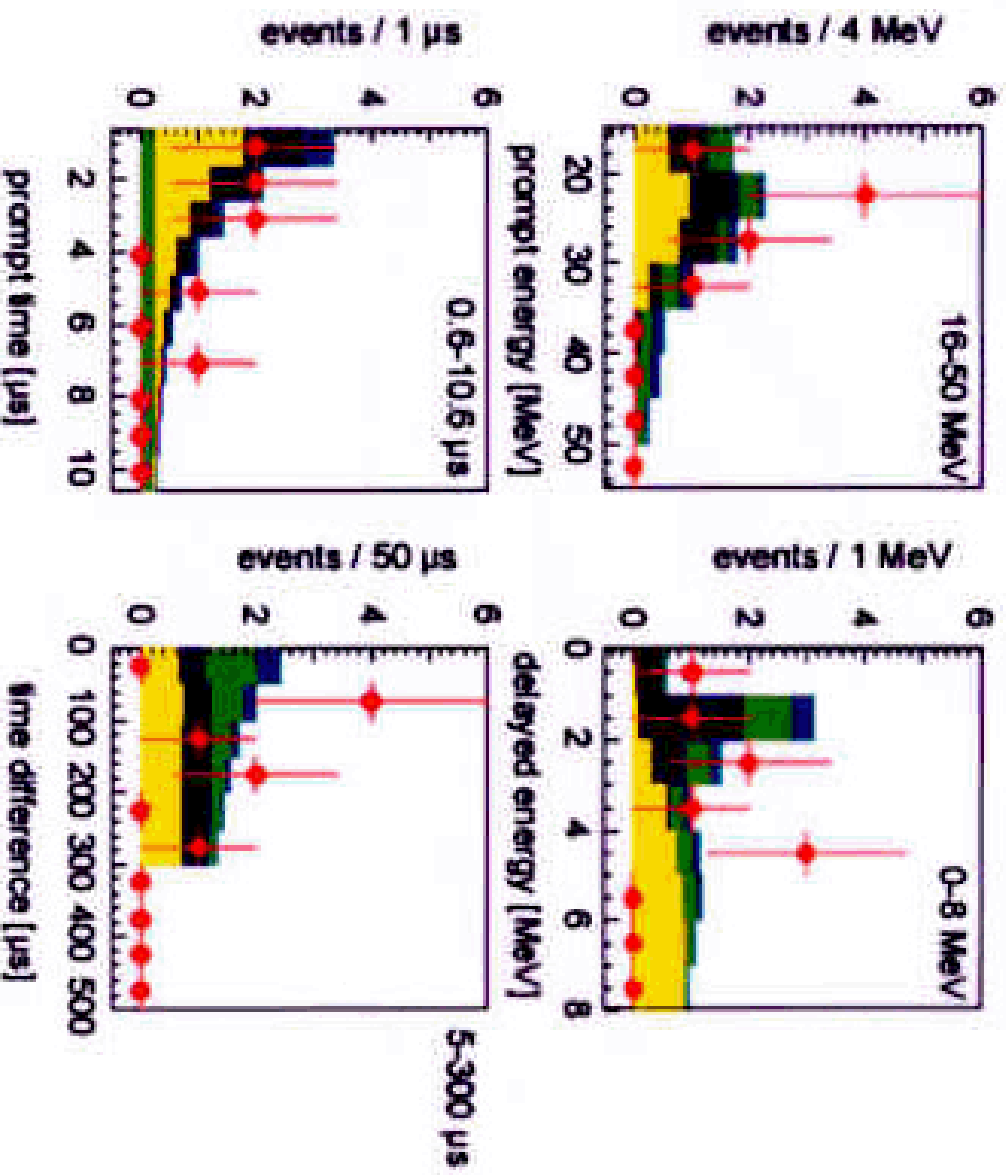


Event time

Time correlation
between e and γ

Neutrino Oscillation Search: Sequential Signatures

final step: veto information is used, optimised cuts



8 events measured

(7.8 \pm 0.5) events expected

■ cosmic background	:	1.9 \pm 0.1 events
■ seq. ν_e - ^{12}C reactions	:	2.6 \pm 0.3 events
■ rand. ν_e - ^{12}C + backgr.	:	2.3 \pm 0.3 events
■ intrins. contamination	:	1.1 \pm 0.1 events

Likelihood Analysis

prompt event : visible energy 16 - 50 MeV
time 0.6 - 10.6 μ s

delayed event : visible energy 0 - 8 MeV
time difference 5 - 300 μ s
position correlation $\Delta x < 80$ cm

+ no activity in any of 3 veto systems

data taking Feb. 97 - Feb. 99
protons on target 4670 C

total background 7.82 \pm 0.74
measured sequences 8

signal excluded by LH analysis \rightarrow 3.4 (90% CL)
expect oscillations (max. mixing) 1604 \pm 176

KARMEN2 Oscillation Limit

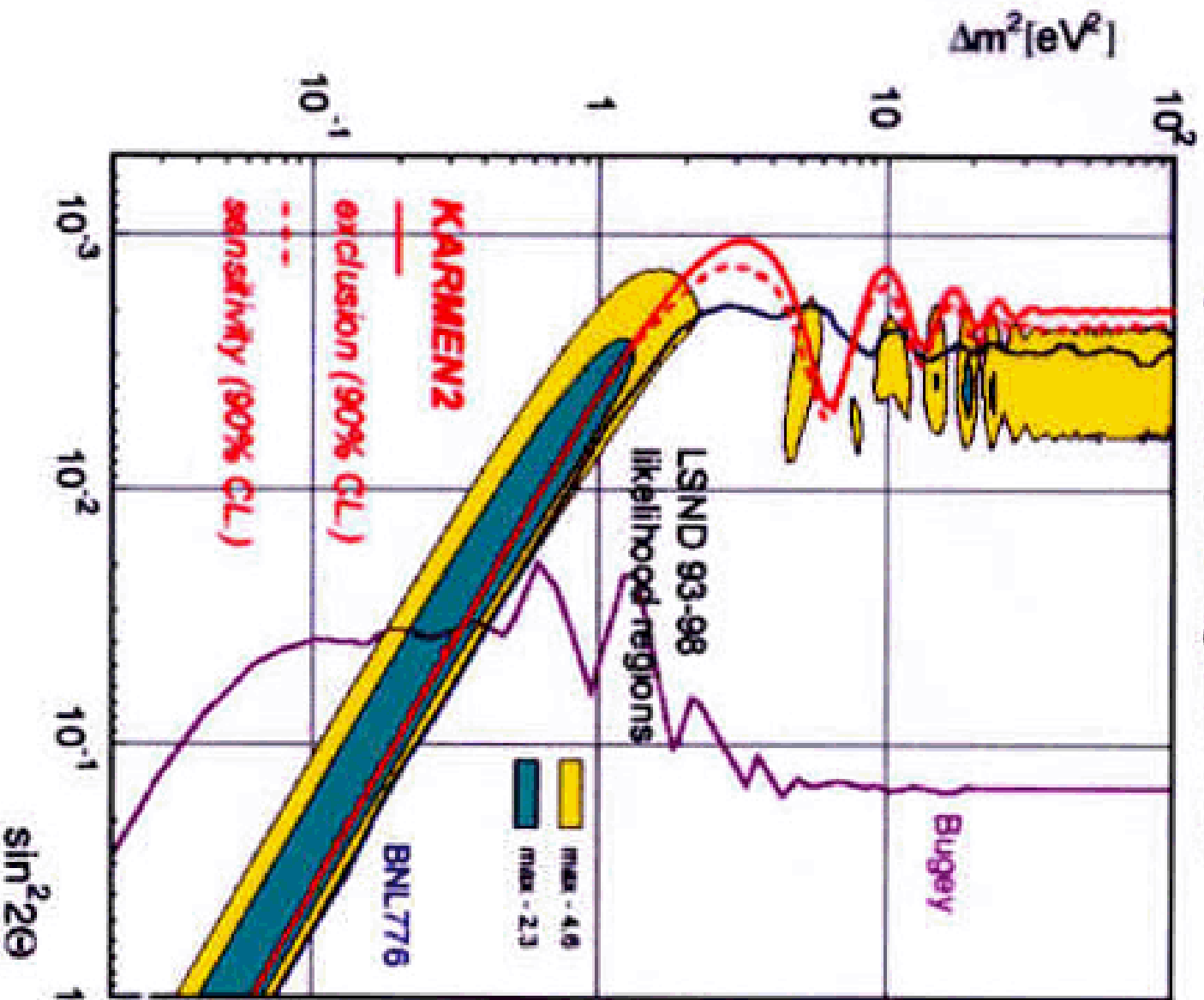
$$\sin^2 2\theta < 2.1 \times 10^{-3} \text{ (90\% CL.)}$$

large Δm^2

Unified approach

KARMEN2 oscillation limit

2 years data taking 2/97-2/99



$$\sin^2 2\theta < 2.1 \times 10^{-3} \text{ (90\% CL.)}$$

unified approach : R. D. Cousins, G.J. Feldman
Phys. Rev. D 57 (1998) 3873

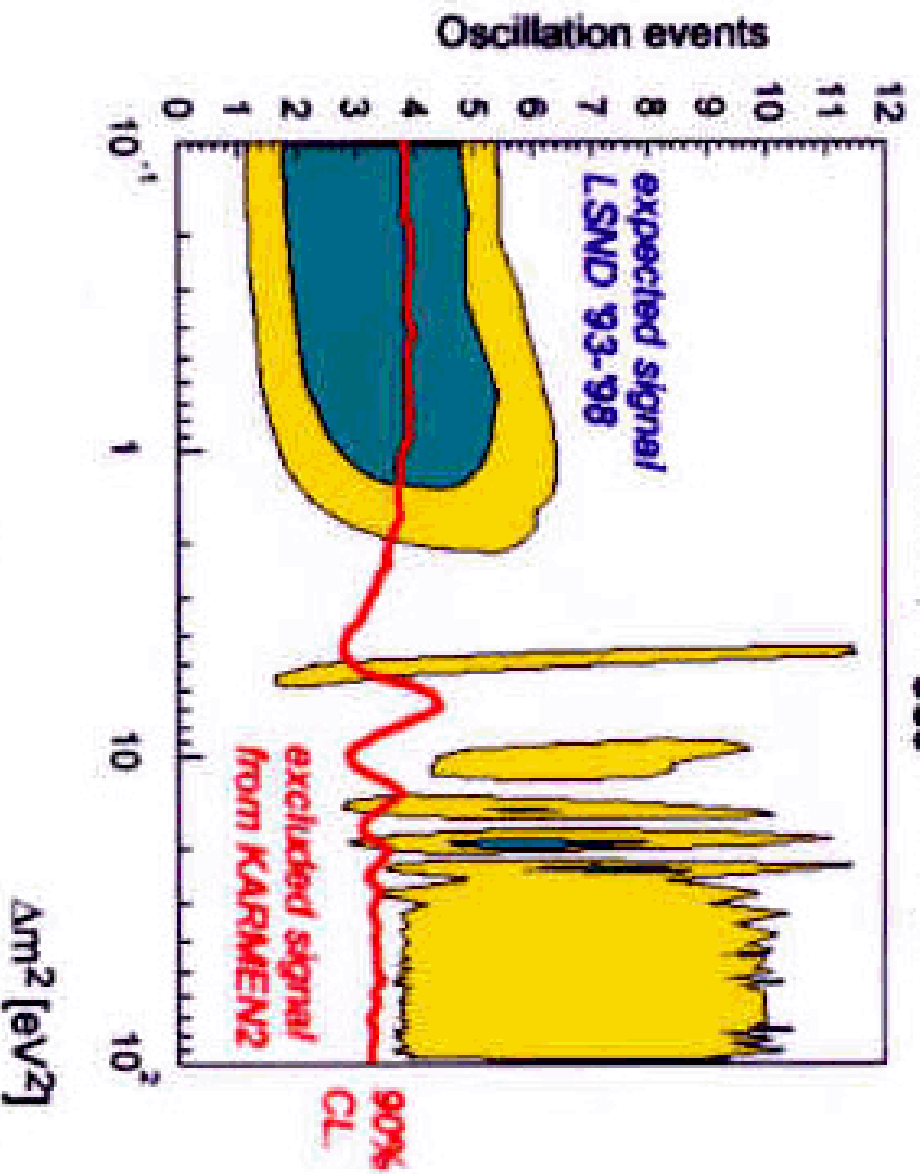
KARMEN2 oscillation analysis :

new event based likelihood method

correlated $E(e^+)$ $t(e^+)$ $E(\gamma)$ $\Delta t(\gamma)$ $\Delta r(\gamma)$

+ poisson distrib. background (N_{ev})

→ null result ($N_{osc} = 0.1$)



limit for small Δm^2

limit for large Δm^2

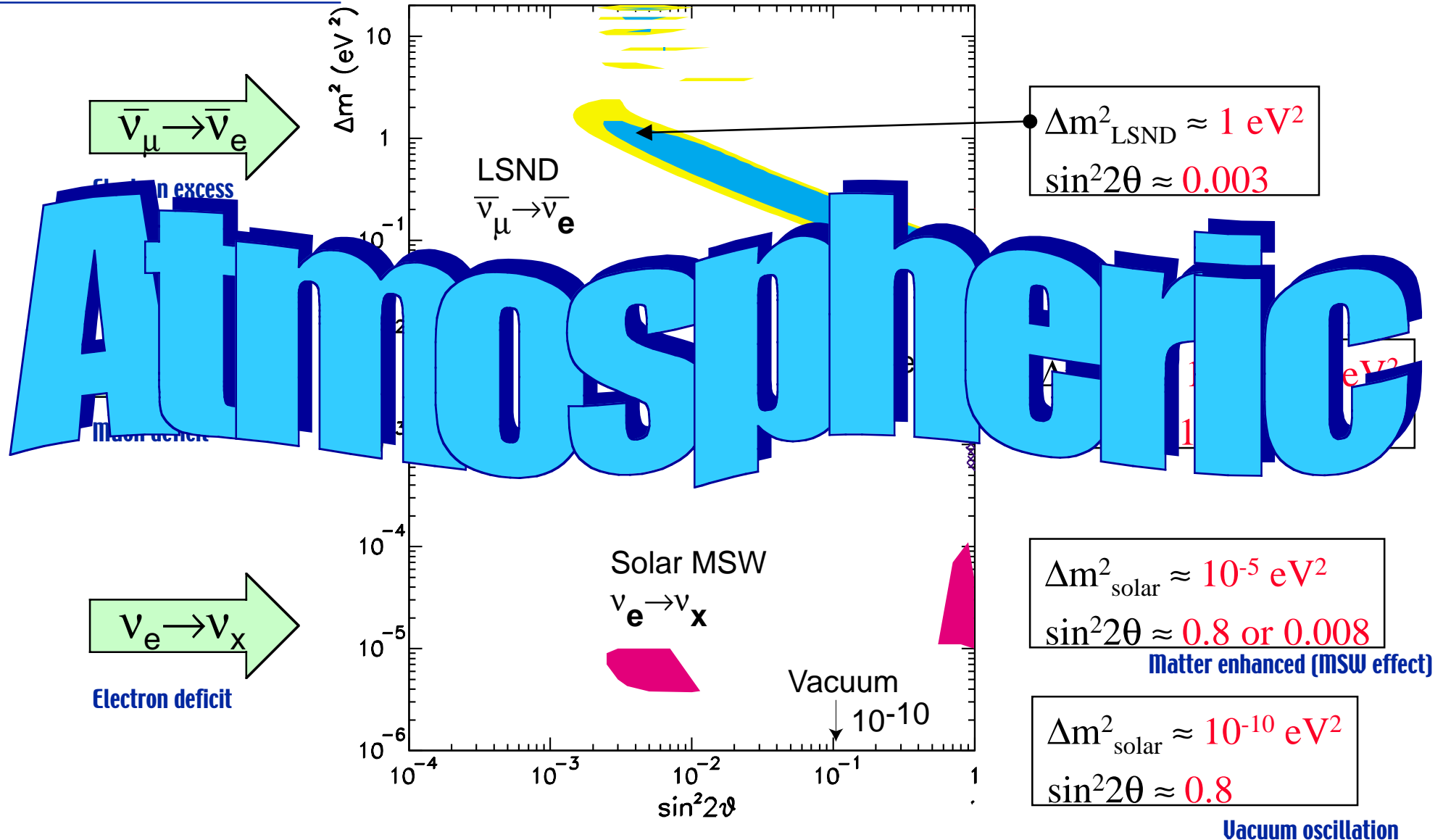
$N_{osc.} < 4.0$ events

$N_{osc.} < 3.4$ events

(90% confidence interval in unified approach)

Oscillation map - "allowed regions"

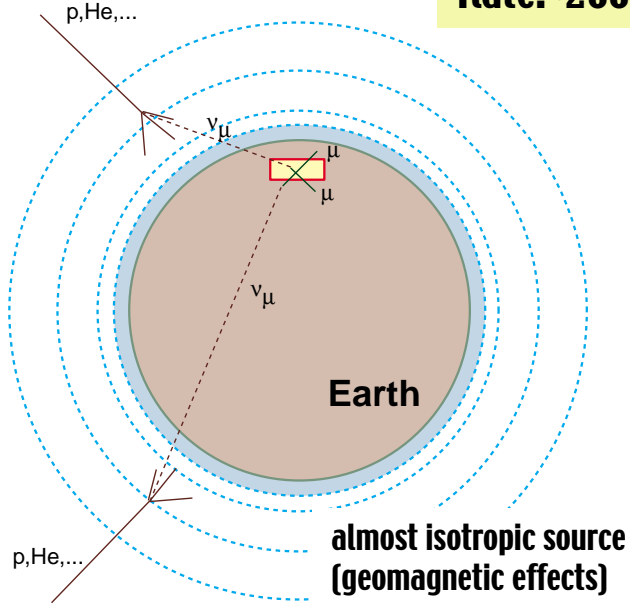
Two-neutrino oscillation



Atmospheric neutrinos

Earth is a splendid neutrino beam line!

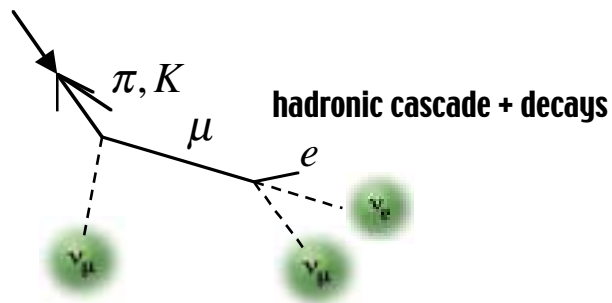
Rate: ≈ 200 events/kton/year



Use "double ratio":

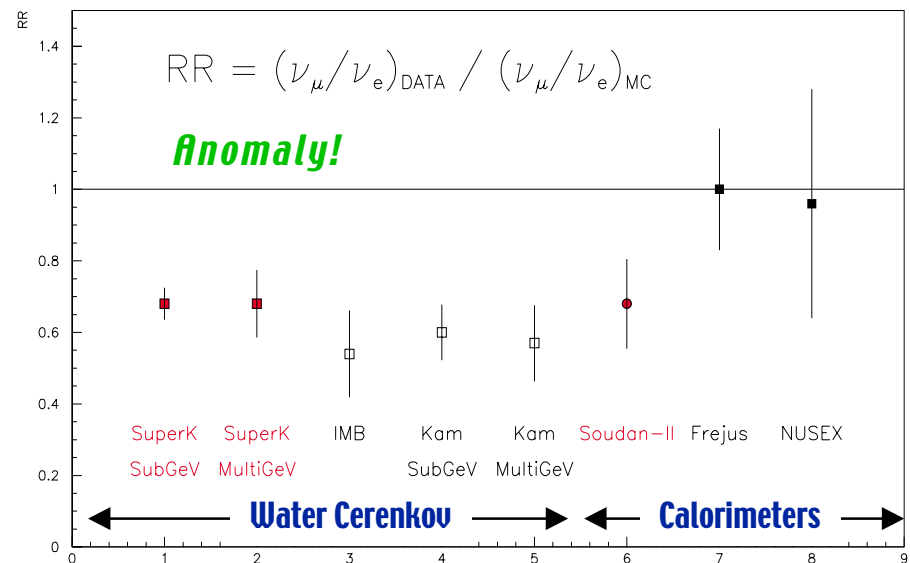
$$RR \equiv \frac{(\mu/e)_{measured}}{(\mu/e)_{predicted}}$$

Experiment	Kt.year	RR
SuperK subGeV	52.0	0.68-0.02-0.05
SuperK multiGeV	52.0	0.68-0.04-0.08
IMB	7.7	0.54-0.05-0.11
Kam subGeV	6.1	0.60-0.06-0.05
Kam multiGeV	6.1	0.57-0.08-0.07
Soudan-II	4.6	0.68-0.11-0.06
NUSEX	0.4	0.96+0.32-0.28
Fr jus	2.0	1.00-0.15-0.08

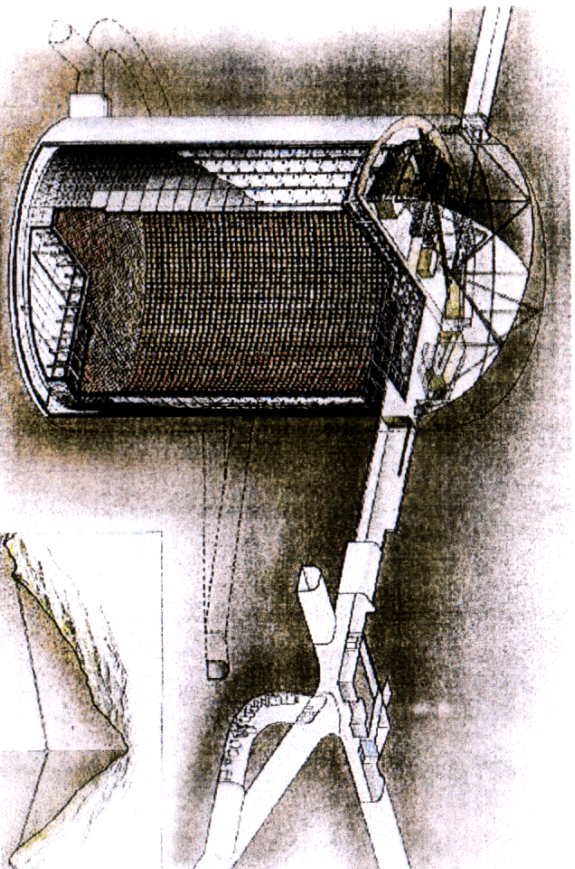


$$R = \frac{\nu_{\mu} + \bar{\nu}_{\mu}}{\nu_{e} + \bar{\nu}_{e}} \approx 2$$

Predicted ratio of muon to electron neutrinos

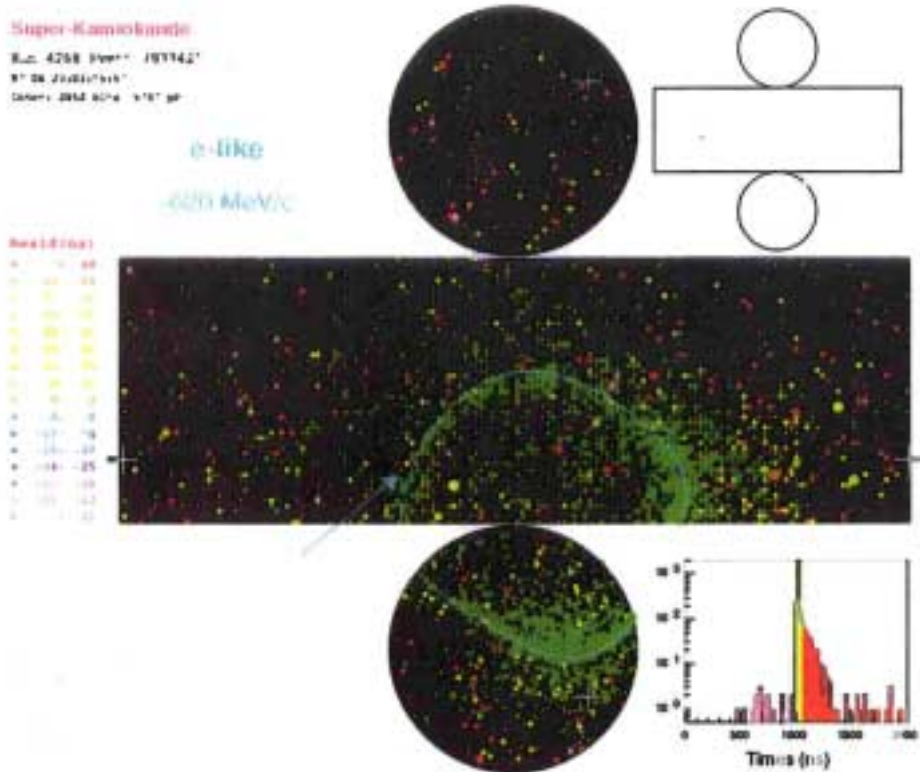


Super-Kamiokande

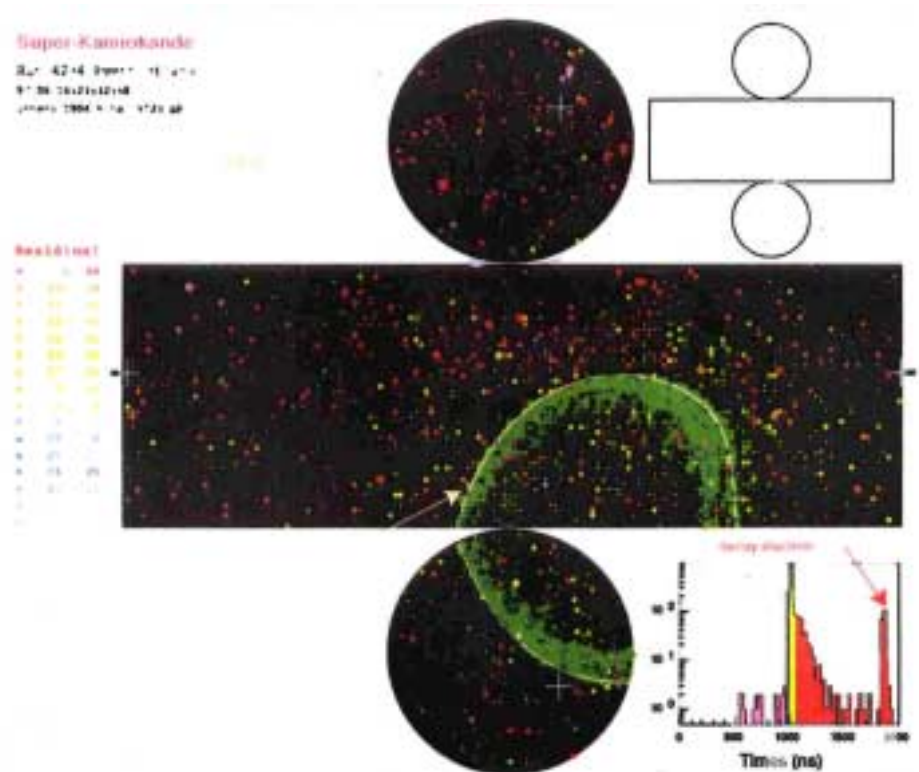
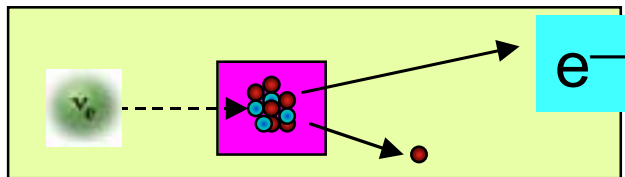


- **Giant water Cherenkov detector**
 - **Total mass:** 50,000 tons
 - **Fiducial mass:** 22,500 tons
 - **Location:** 1,000 m underground
 - **Inner detector:** 11,146 PMTs (50-cm ϕ)
 - **Outer detector:** 1,885 PMTs (20-cm ϕ)
- **Operation since April 1996**

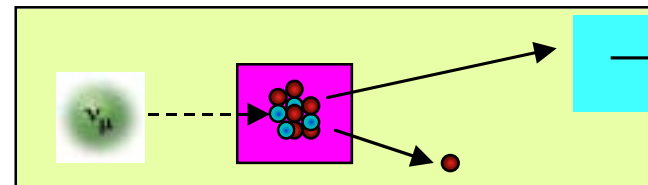
Electron and muon events in Superkamiokande



Electron-like event



Muon-like event



Note: at high energy, the direction & energy of outgoing e/μ is \sim that of incoming neutrino

Sub-GeV, Multi-GeV Event Summary

Sub-GeV event Summary

Evis < 1.33GeV
 $P_{\theta} > 100\text{MeV}/c$
 $P_{\mu} > 200\text{MeV}/c$

	DATA	MC(Honda)	MC(Bartol)
1R	4363	5219.2	5095.0
e-like	2185	2081.8	2049.1
μ -like	2178	3137.4	3045.9
2R	1144	1359.1	1337.2
23R	493	652.4	651.0
TOTAL	6000	7230.7	7083.2

$$\frac{(\mu/e)_{\text{DATA}}}{(\mu/e)_{\text{MC}}} = \frac{0.661 \pm 0.020}{0.020 \pm 0.052} \text{ (Honda)}$$

$$= 0.671 \pm 0.021 \text{ (stat.)} \pm 0.053 \text{ (Bartol) (sys.)}$$

Multi-GeV event Summary

(1) FC (Evis > 1.33gev)

	DATA	MC(Honda)	MC(Bartol)
1R	913	1121.3	1139.3
e-like	492	481.3	499.2
μ -like	421	640.0	640.1
2R	368	490.8	502.4
23R	659	783.0	817.5
TOTAL	1940	2395.1	2459.2

(2) PC

	DATA	MC(Honda)	MC(Bartol)
TOTAL	563	818.9	864.2

*All events are assumed to be μ -like.

*Fraction of CC ν_{μ}, ν_{τ} events in the PC sample is estimated to be (97-98)%.

$$\frac{(\mu/e)_{\text{DATA}}}{(\mu/e)_{\text{MC}}} = \frac{0.660 \pm 0.038}{0.038 \pm 0.078} \text{ (Honda)}$$

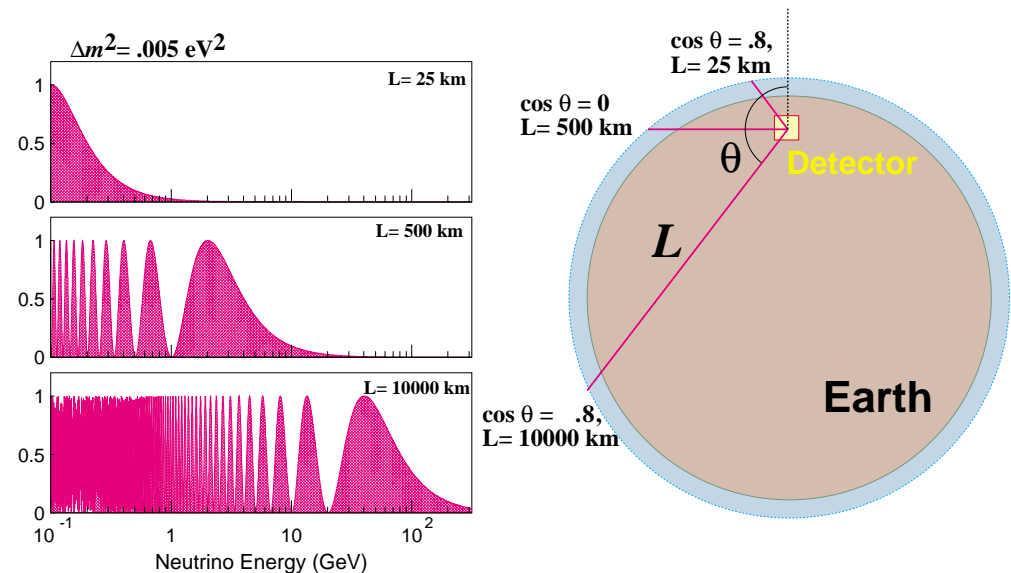
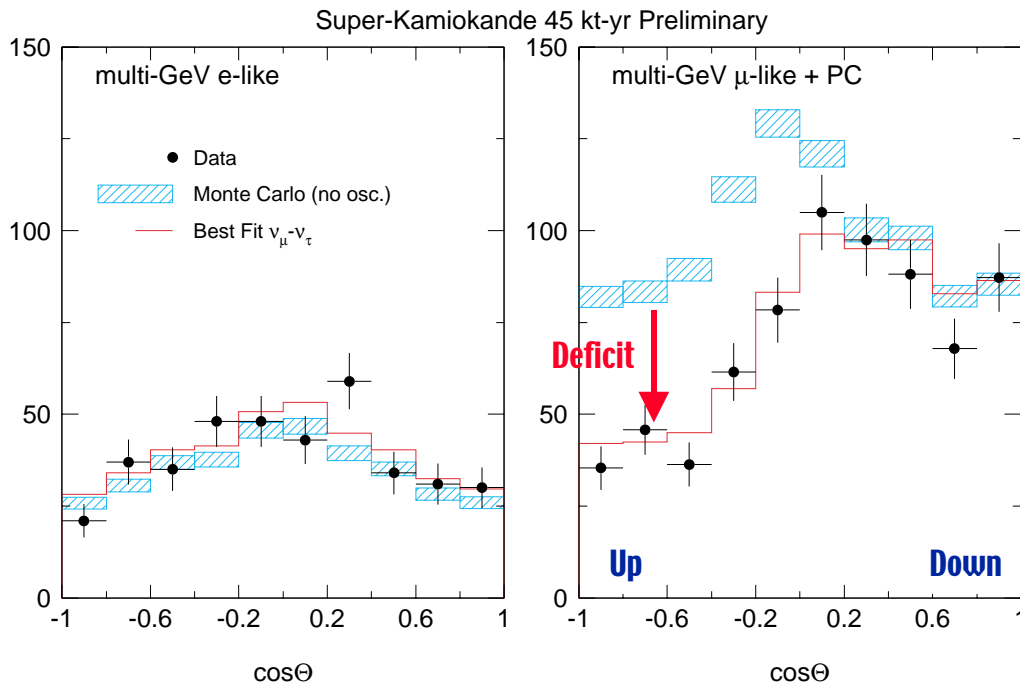
$$= 0.664 \pm 0.036 \text{ (stat.)} \pm 0.079 \text{ (Bartol) (sys.)}$$

$$= 0.643 \pm 0.044 \text{ (stat.)} \pm 0.094 \text{ (Honda) (sys.)}$$

$$= 0.667 \pm 0.046 \text{ (stat.)} \pm 0.098 \text{ (Bartol) (sys.)}$$

Zenith angle distribution

By looking in different zenith angle directions, one can select the neutrino “baseline” L ...



$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2\left(\frac{1.27 \Delta m^2 L}{E}\right)$$

➡ ν_μ deficit increases with L

➡ no apparent effect with ν_e

(Δm^2 in eV^2 , L in km, E in GeV)

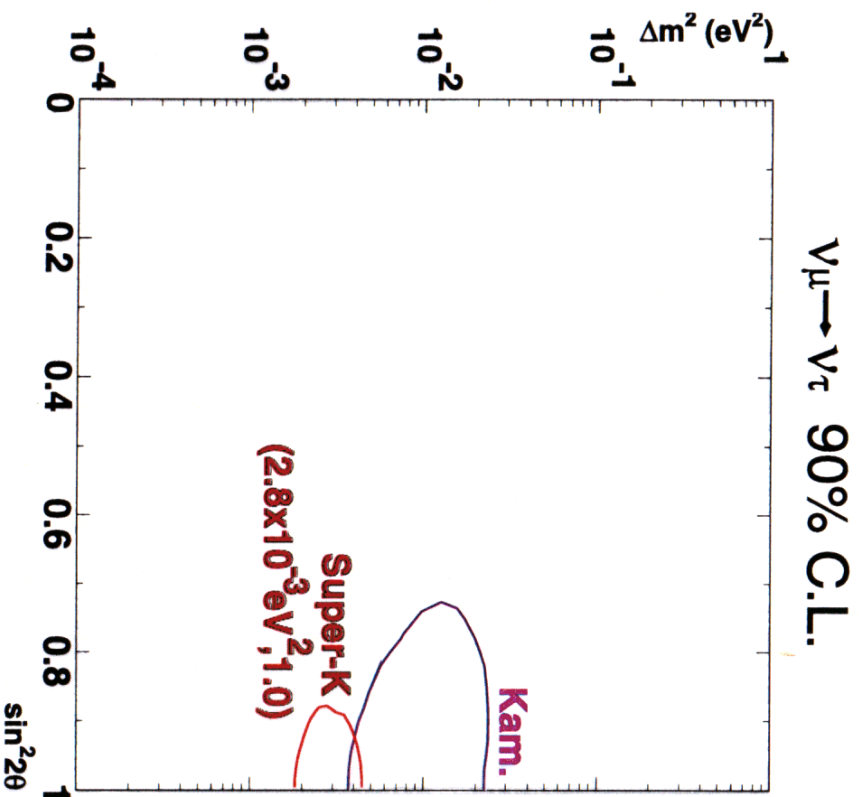
⇒ $\nu_\mu \rightarrow \nu_\tau$ oscillations?

$$U_{\mu 3}^2 = U_{\tau 3}^2 \approx \frac{1}{2}$$

Maximal mixing

Allowed region for FC + PC + up-going μ

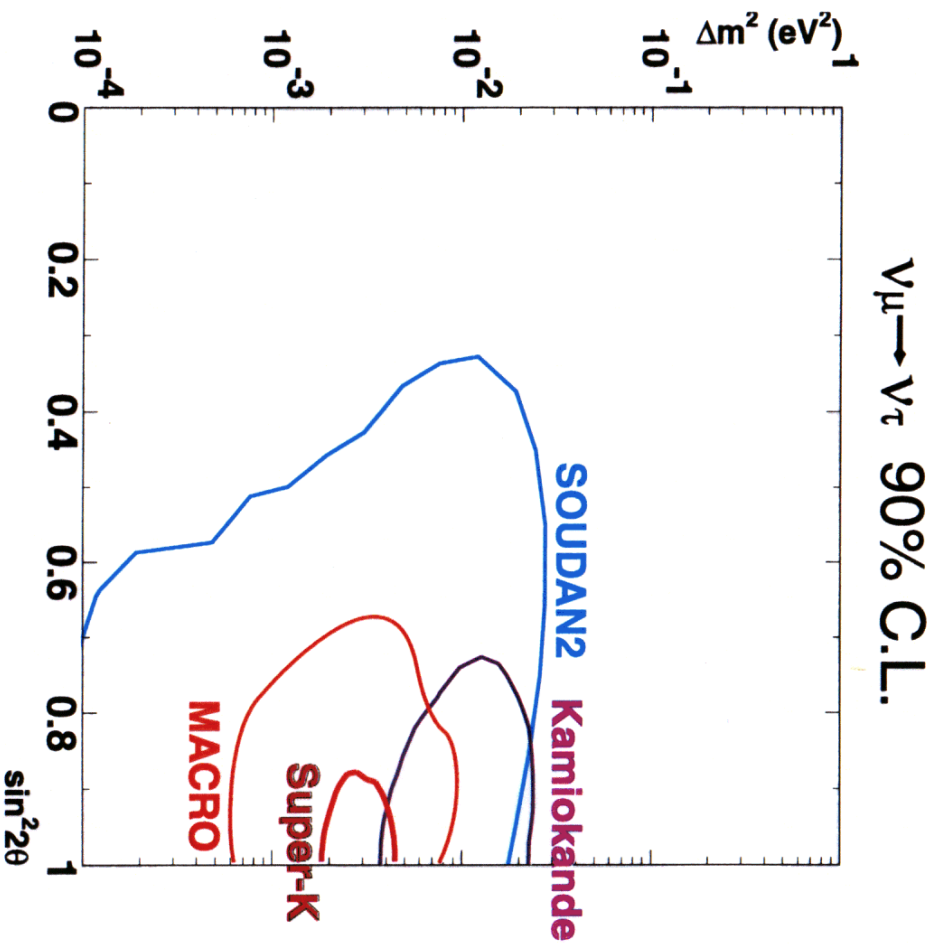
Allowed region
(FC, PC, up-going μ)



$\Delta m^2 \sim (2 - 5) \times 10^{-3} \text{ eV}^2$
 $\sin^2 2\theta > 0.88$
(SK 90% C.L. preliminary)

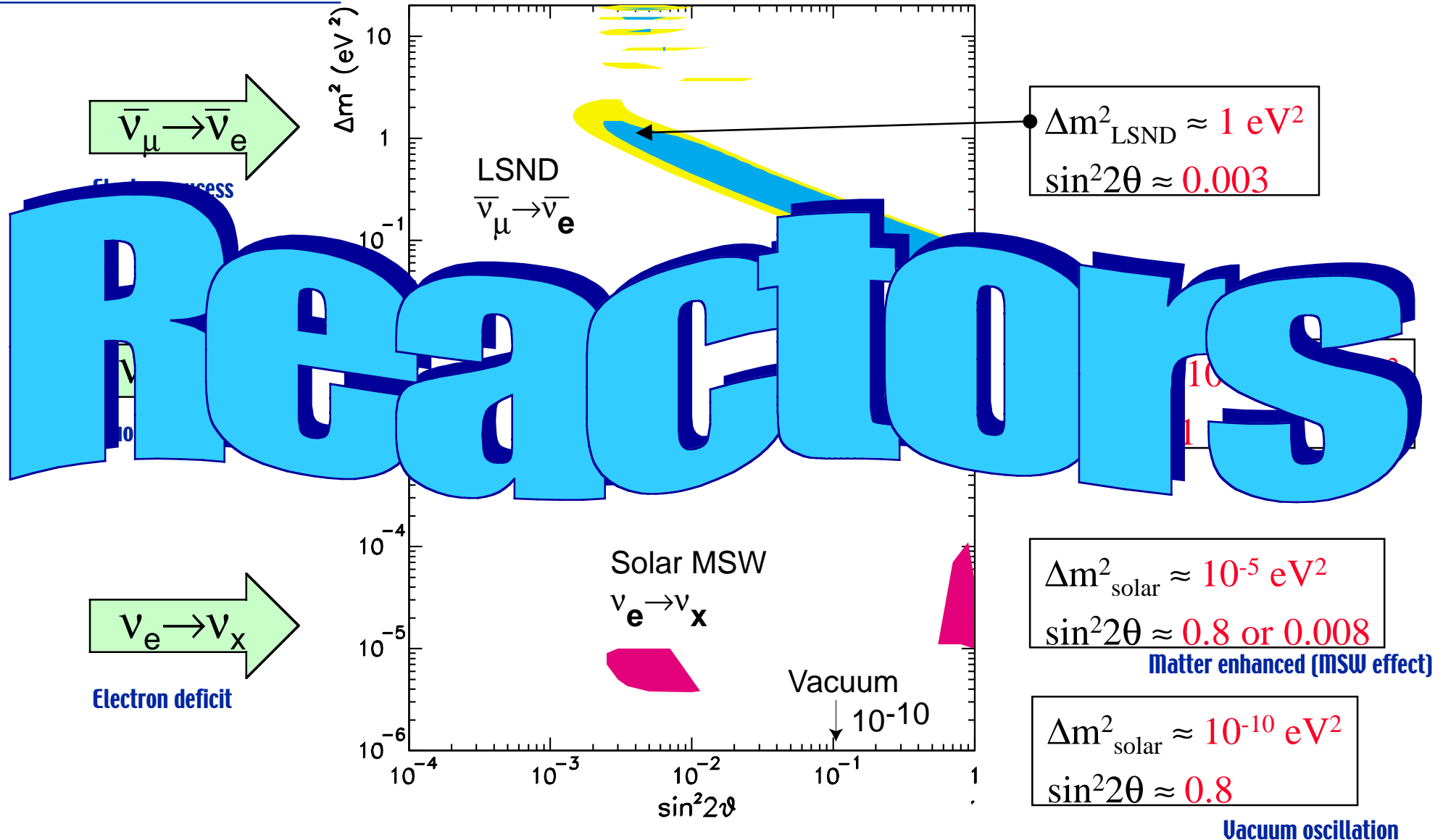
Comparison of allowed regions

Allowed regions



Oscillation map - "allowed regions"

Two-neutrino oscillation



$$P_{x \rightarrow y} = \sin^2 2\theta \sin^2 \frac{1.27 \Delta m^2 L}{E_\nu}$$

Complementary Properties of Reactor and Accelerator

Oscillation
Experiments

$E_\nu = \text{few MeV}$

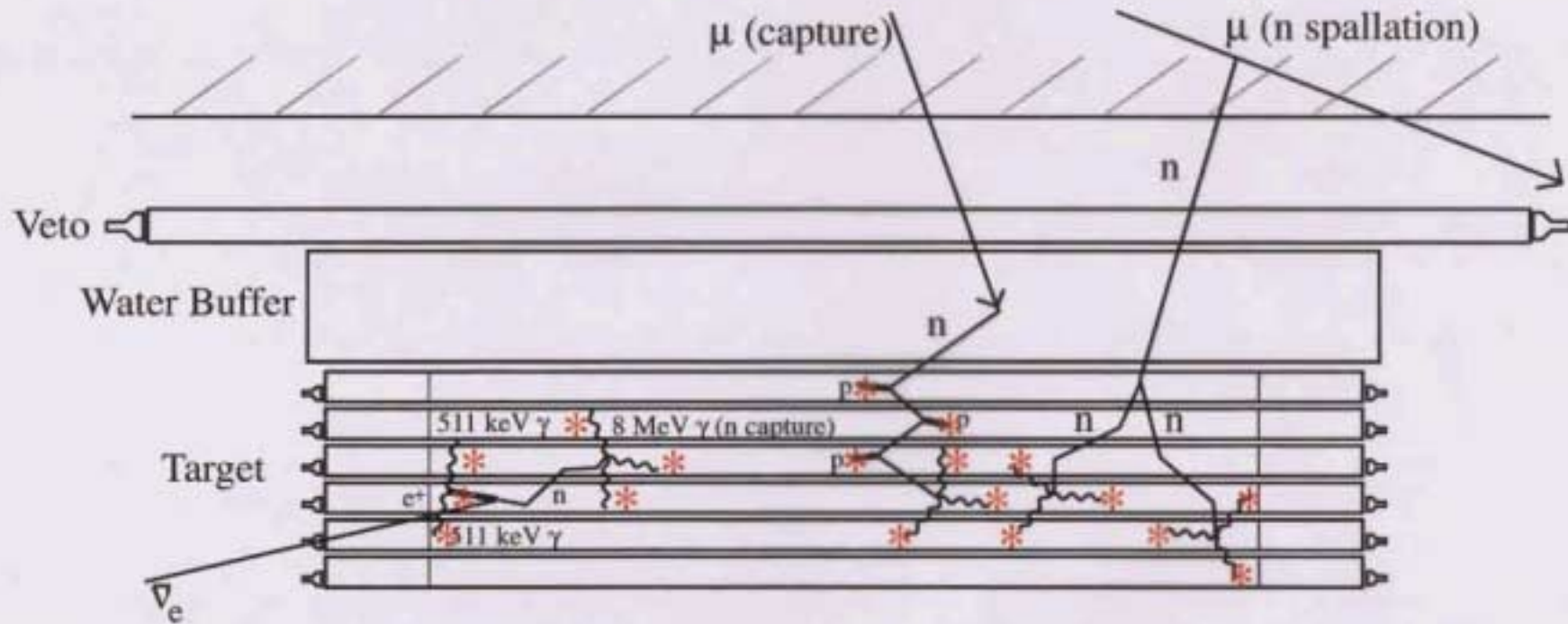
- Probe small Δm^2
- Disappearance only
(fair $\sin^2 2\theta$ sensitivity)
- 4π source (detector mass
grows with L^2)

$E_\nu = \text{few GeV}$

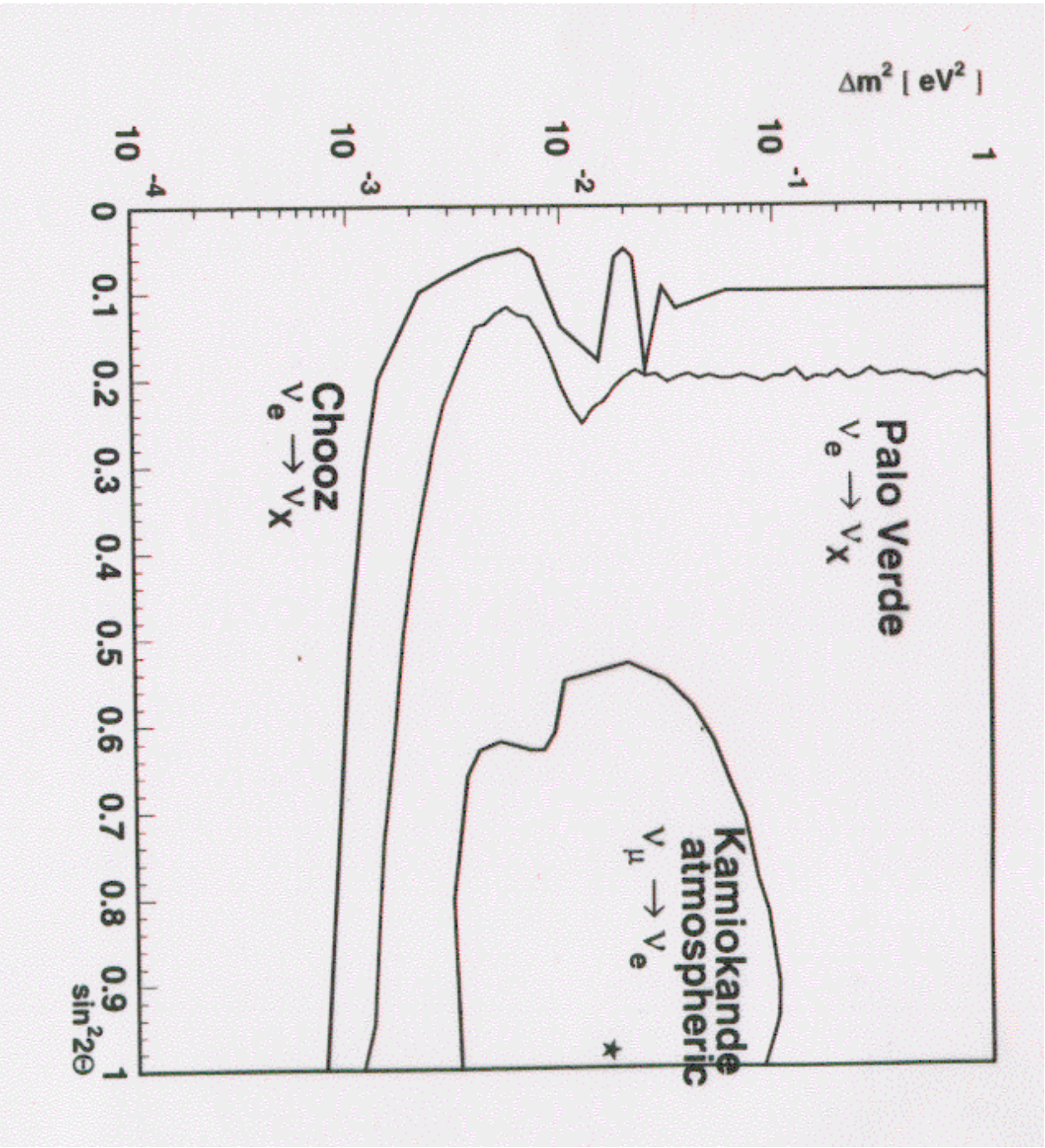
- Good mass sensitivity
requires very large L
- Appearance possible
(produce μ and τ)
- (More) collimated beam

Signal consists of 2 triples, separated by n-capture time

Gd-loading: - fast capture ($\approx 30 \mu\text{s}$ instead of $\approx 200 \mu\text{s}$ on p)
 - 8 MeV (total) high mult. γ cascade at capture

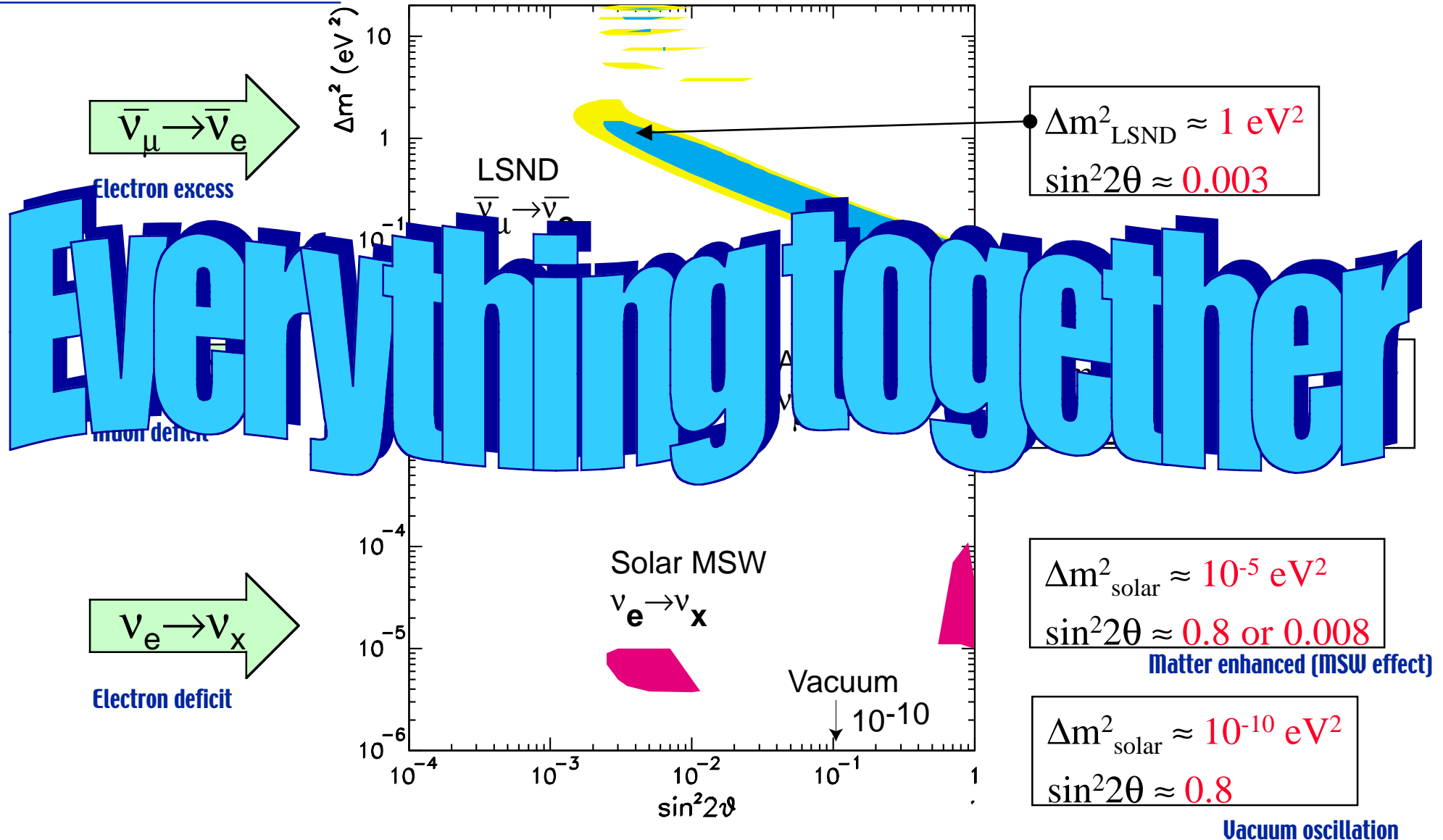


$$E_{\nu} \simeq E_{e^+} + (M_n + M_p + m_{e^+}) + m_{e^+}$$



Oscillation map - "allowed regions"

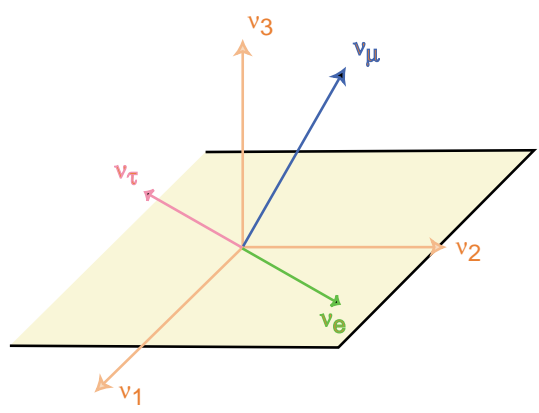
Two-neutrino oscillation



Three flavor mixing

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Weak eigenstates \rightarrow $\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$ \leftarrow Mass eigenstates $\begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$



$$P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) = P_{CP}(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \pm P_{CP}(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$$

$$P_{CP} = \delta_{\alpha\beta} - 4 \sum_{j>k} \text{Re } J_{\alpha\beta jk} \sin^2 \Delta_{jk}$$

CP-conserving

$$P_{CP} = 4 \sum_{j>k} \text{Im } J_{\alpha\beta jk} \sin \Delta_{jk} \cos \Delta_{jk}$$

CP-violating

$$J_{\alpha\beta jk} = U_{\alpha k} U_{\beta k}^* U_{\alpha j}^* U_{\beta j}$$

Mixing strength

$$\Delta_{jk} = \frac{1.27 \Delta m_{jk}^2 L}{E}$$

Oscillatory pattern

Δm_{jk}^2 in eV^2 , L in km, E in GeV

In general, the oscillation pattern may be complicated and involve **a combination of transitions** to ν_e, ν_μ, ν_τ and by symmetry with quark sector **it is natural to expect CP violation** at some level.

Three family oscillations

➔ **Parameterization à la CKM:**

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

U_{e3}

➔ **Current standard mass and mixing assignment:**

Atmospheric anomaly: $\nu_\mu \rightarrow \nu_\tau$

$$\Delta m_{32}^2 \approx \Delta m_{31}^2 \approx 3 \times 10^{-3} \text{ eV}^2, \quad \theta_{23} \approx 45^\circ$$

$\nu_\mu \rightarrow \nu_e$ $\nu_e \rightarrow \nu_\tau$

θ_{13} (small)

Solar deficit: $\nu_e \rightarrow \nu_{\mu/\tau}$

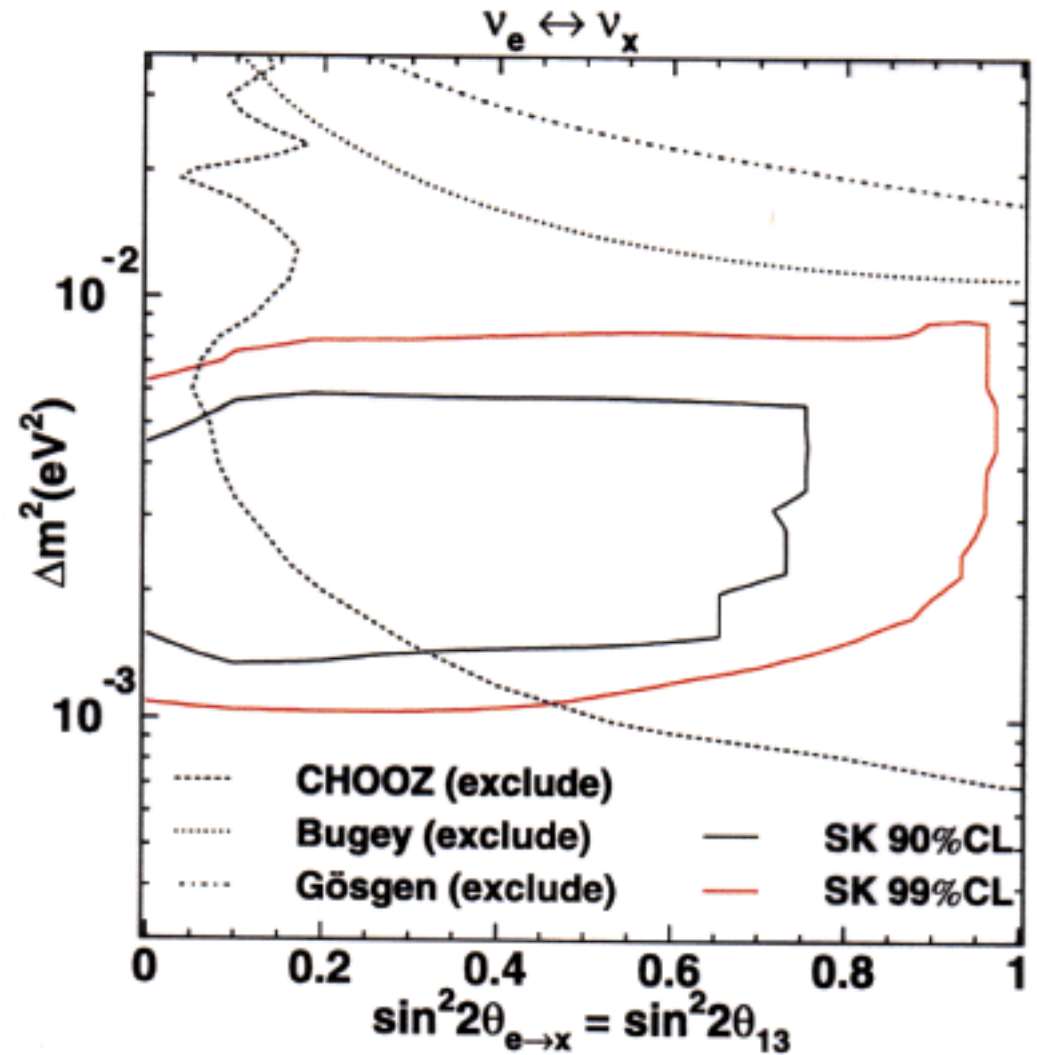
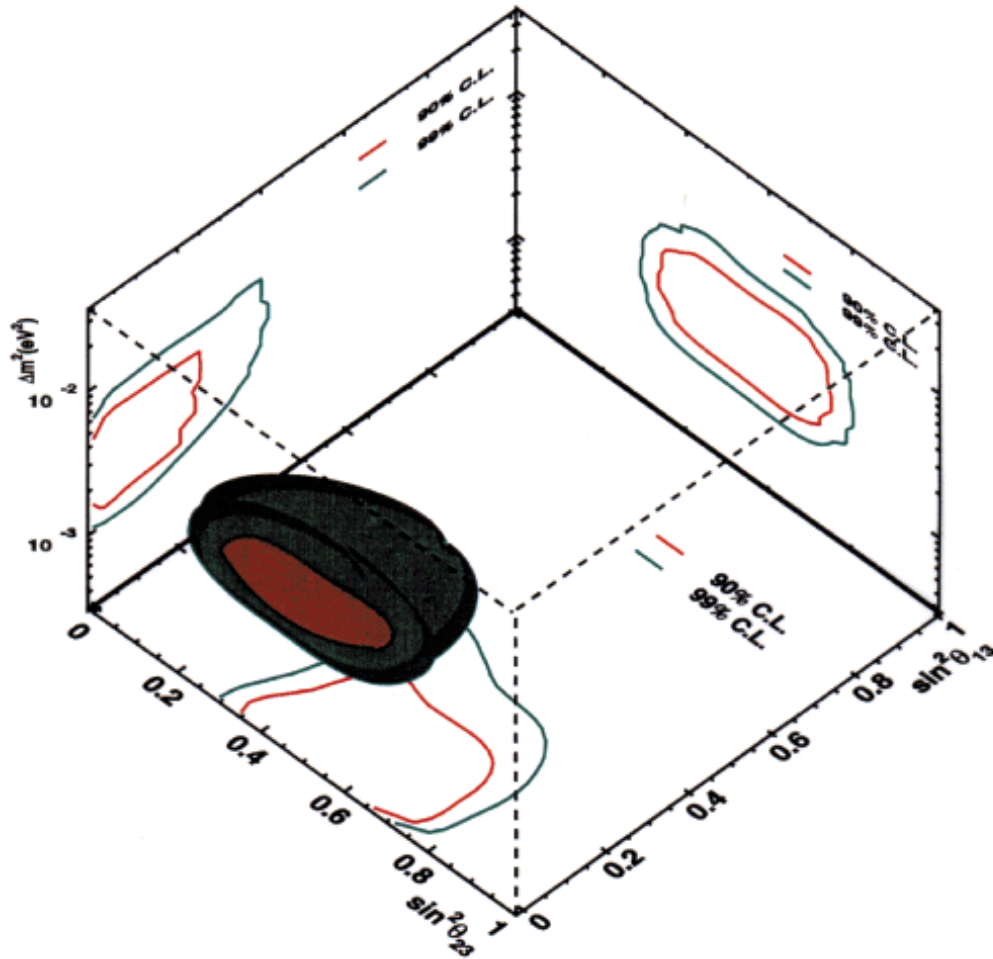
$$\Delta m_{12}^2, \quad \theta_{12}, \quad \theta_{23}$$

$P(\nu_\alpha \rightarrow \nu_\beta) \stackrel{?}{\neq} P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$

$\delta \neq 0?$

3 flavor mixing analysis of atmospheric

PRELIMINARY

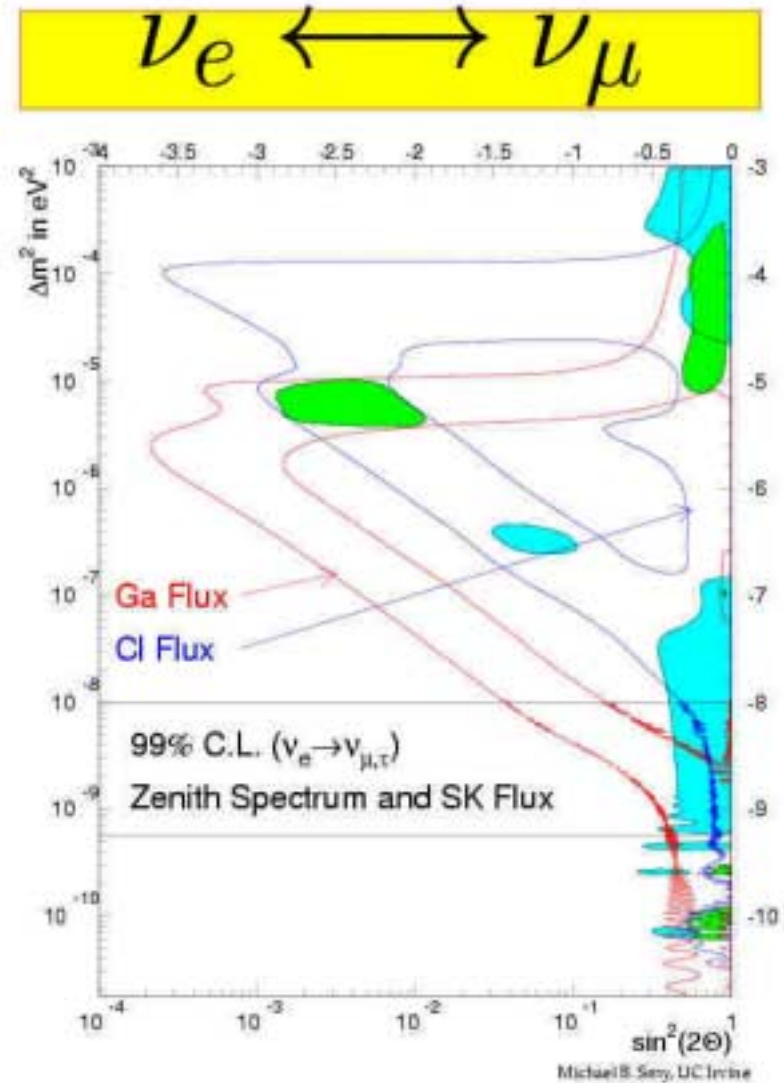
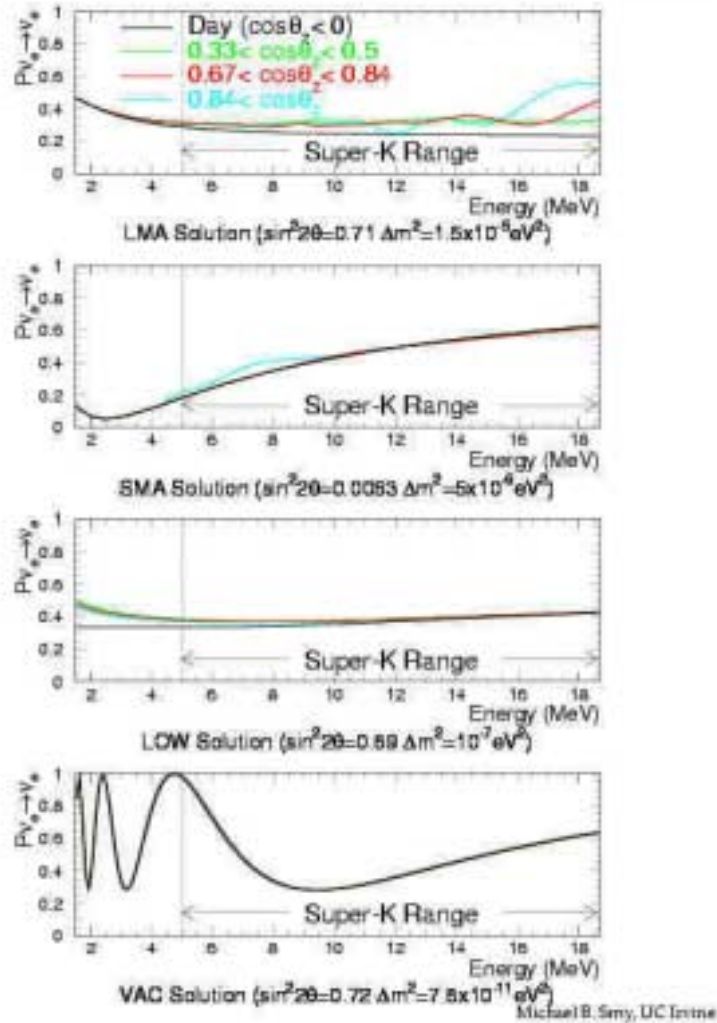


**Atmospheric neutrinos
analysis**

K. Nakamura, Nufact00, Monterey
(USA), May 2000

Solar neutrinos

Survival Probabilities

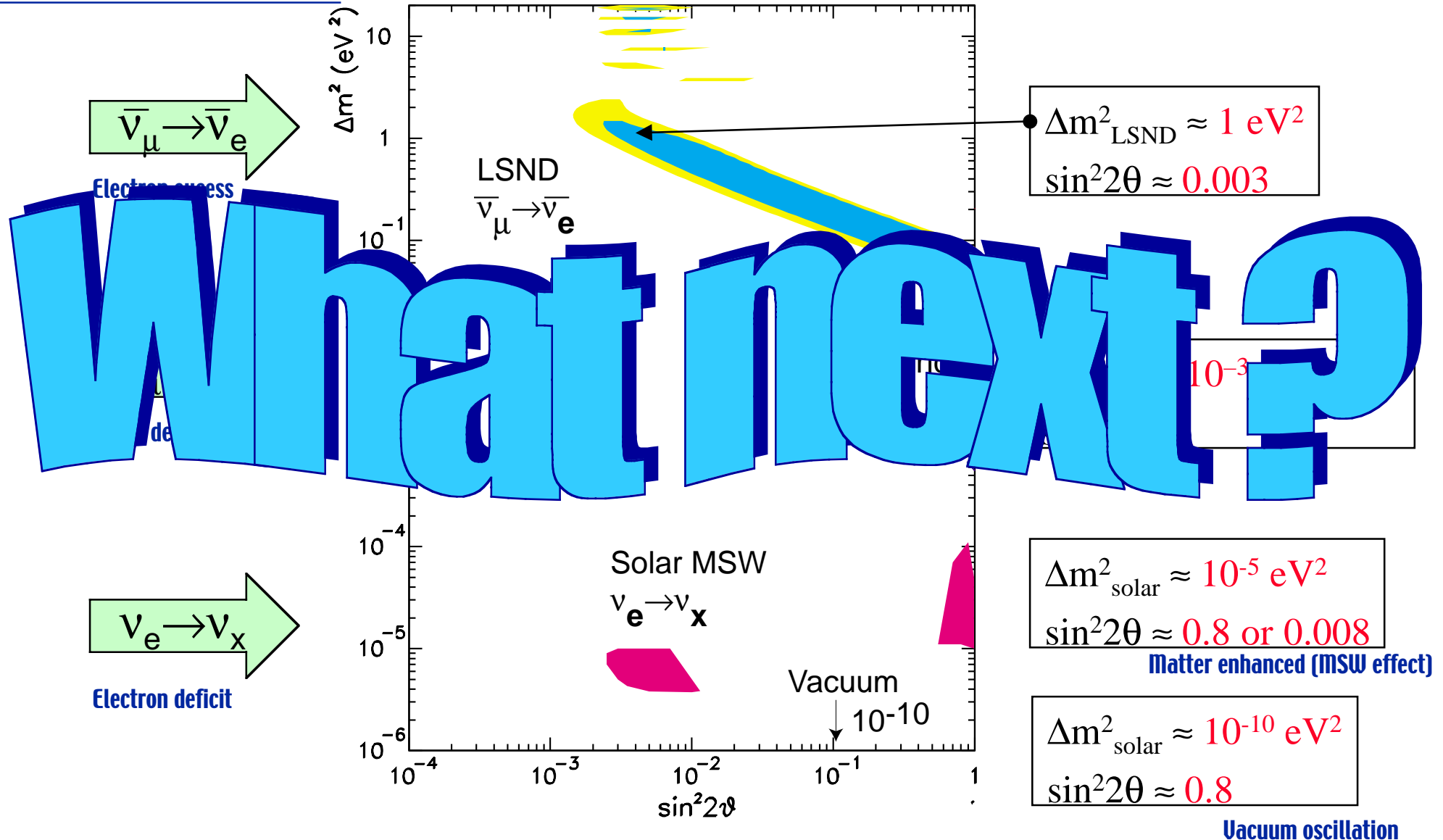


No smoking gun ?

M.B. Smy, NOON2000, Tokyo
(Japan), Dec 2000

Oscillation map - "allowed regions"

Two-neutrino oscillation



K2K (KEK-to-Kamioka)



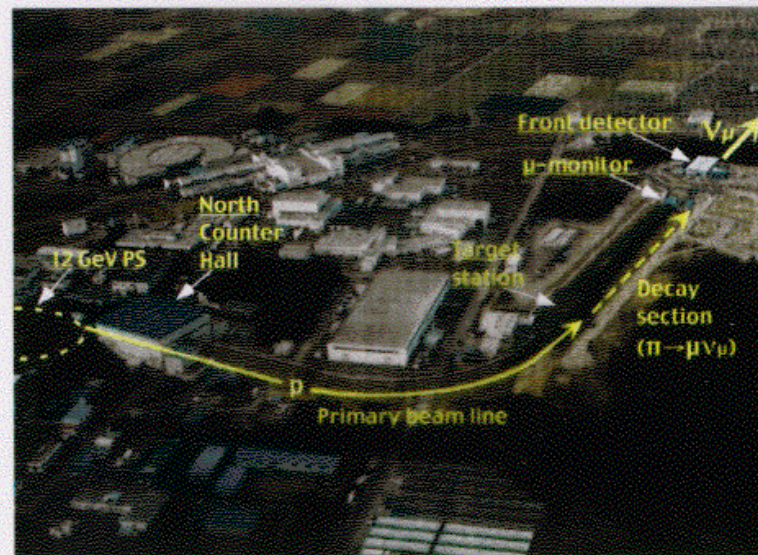
Super Kamiokande

Water Cherenkov detector
 Total mass: 50 kton
 Inner mass: 32 kton
 Fiducial mass: 22.5 kton



KEK

- Accelerator: 12 GeV proton synchrotron
 - Beam intensity: 6×10^{12} protons / pulse
 - Repetition: 1 pulse / 2.2 sec
 - Pulse width: 1.1 μ s (9 bunches)
- Horn-focused wide-band beam
 - Average neutrino energy: 1.4 GeV \rightarrow $\nu_{\mu} - \nu_{\tau}$ disappearance
- Near detector: 300 m from the target
- Far detector (Super-Kamiokande): 250 km from the target
- Goal: 10^{20} protons on target



Naka-03

K2K experiment

★ Experiment started in March 1999

- Some initial problems with optics system now apparently solved
- Beam intensity : 5.5×10^{12} ppp
- Total integrated (Apr99-Mar 00):
 16.64×10^{18} pots (goal: 10^{20} pots)

★ *Beam measured with near detectors* (FD)

- 3 different detectors: 1kt H₂O, SCIFI tracker+water, MUC (Fe μ ranger)
- Event rate & energy spectrum under study

★ *Extrapolation at far detector* (SK)

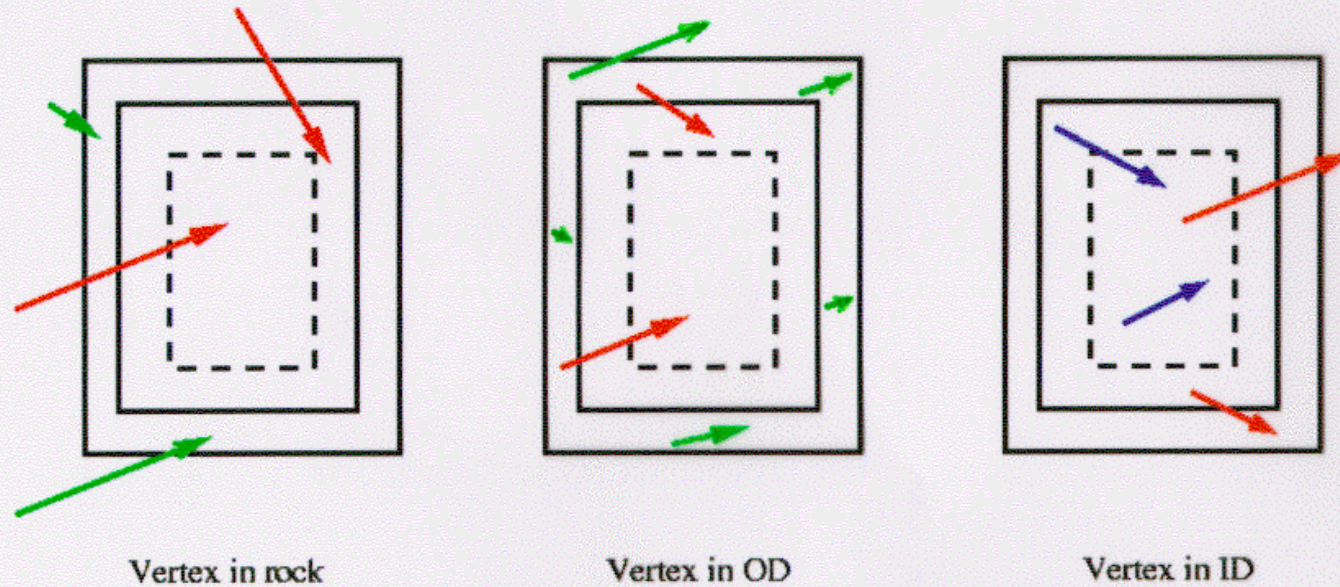


$L = 250$ km
 $E_\nu \approx 1$ GeV

Expected@SK: 29.2 ± 3.5
Events seen: 17 events

⇒ Disfavors no oscillations at 2σ level!

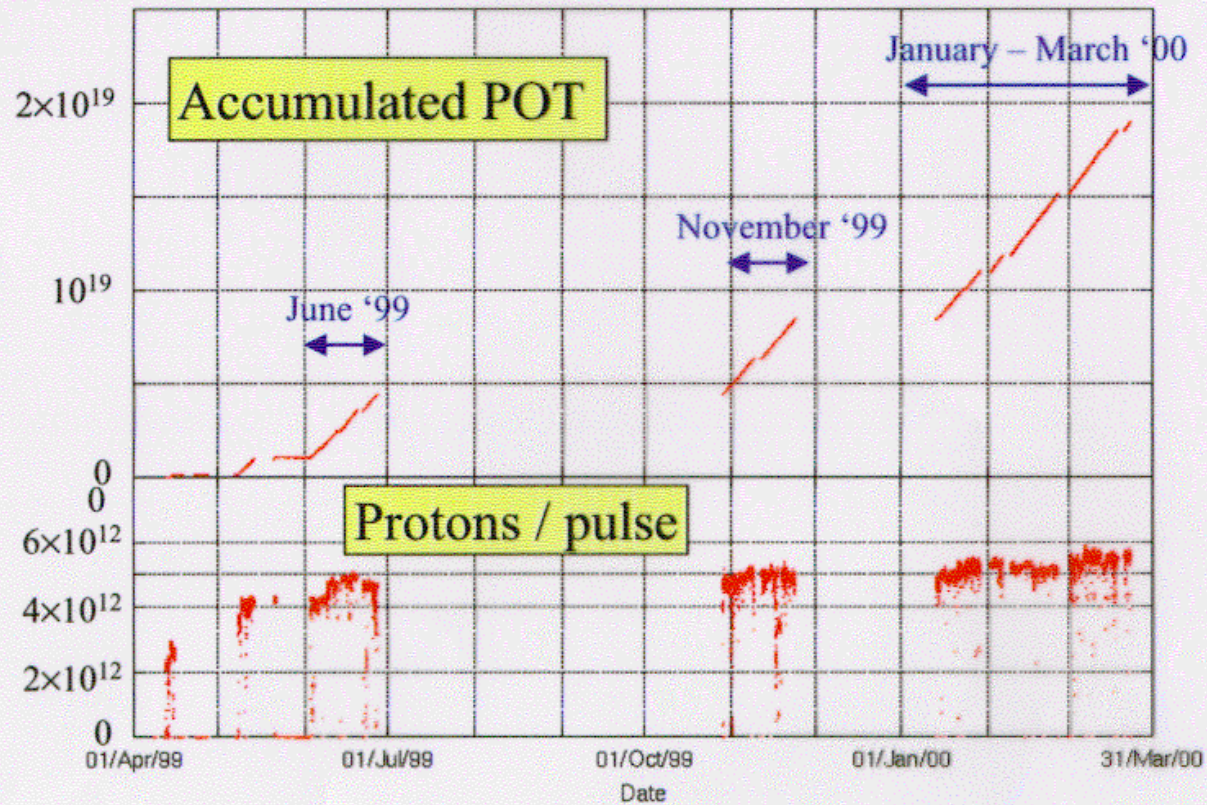
SK event category



SK observed vs. expected

	Obs.	Null Osc.	E x p e c t e d		
			$\Delta m^2 (\times 10^{-3} eV^2)$ $\sin^2 2\theta = 1$		
			3	5	7
FC 22.5kt	17	29.2 $^{+3.5}_{-3.3}$	19.3 $^{+2.5}_{-2.4}$	12.9 $^{+1.6}_{-1.6}$	10.8 $^{+1.4}_{-1.3}$
1-ring	10	17.6 \pm 2.5	10.4 \pm 1.7	6.8 \pm 1.1	6.2 \pm 1.0
μ -like	9	15.8 \pm 2.6	9.0 \pm 1.5	5.4 \pm 0.9	4.9 \pm 0.8
e-like	1	1.7 \pm 0.3	1.5 \pm 0.3	1.4 \pm 0.3	1.3 \pm 0.3
multi ring	7	11.6 \pm 2.0	8.8 \pm 1.6	6.1 \pm 1.1	4.6 \pm 0.8
FC Out of FV	9	12.4 \pm 2.5	8.1 \pm 1.7	5.5 \pm 1.1	4.8 \pm 1.0
OD contained	8	21.8 \pm 8.3	14.5	9.5	7.7
Crossing	10	10.4 \pm 3.8	7.9	5.2	3.6

Protons delivered to the target

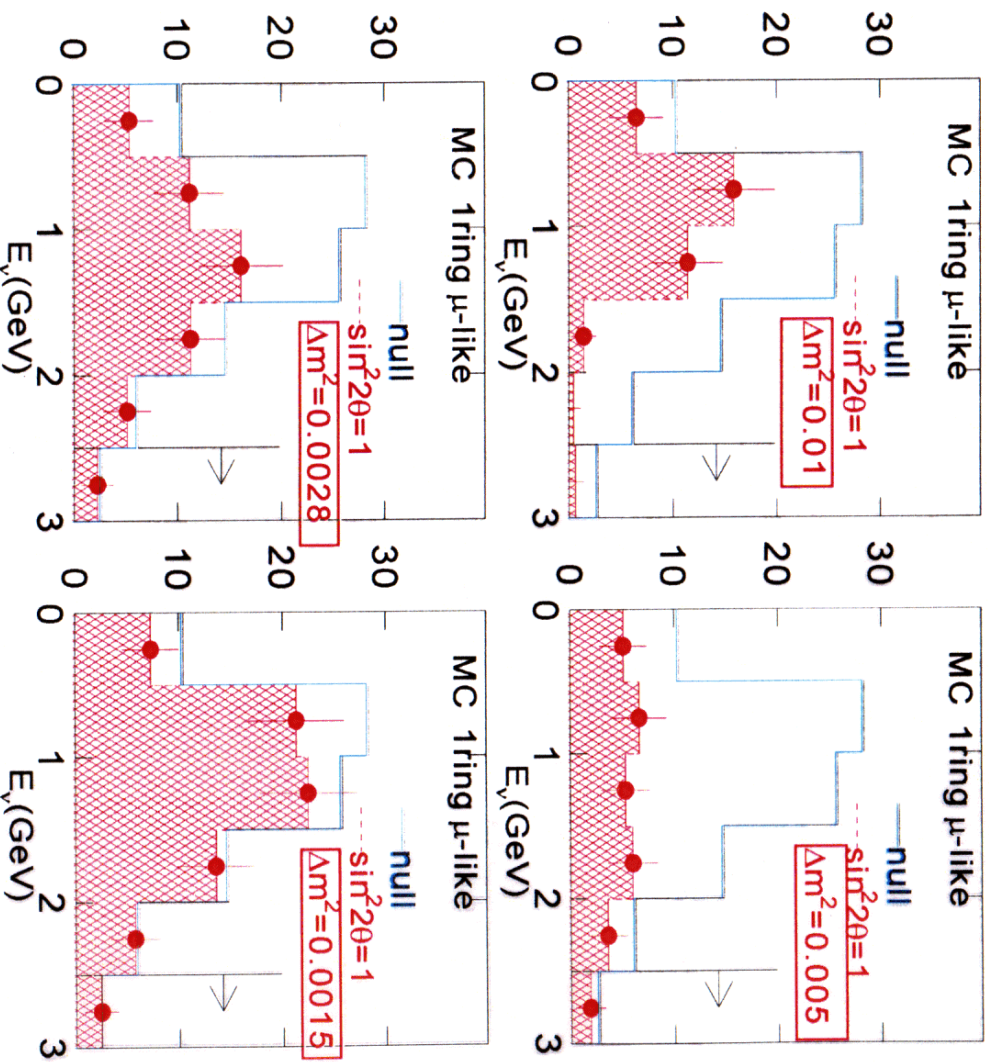


Naka-09

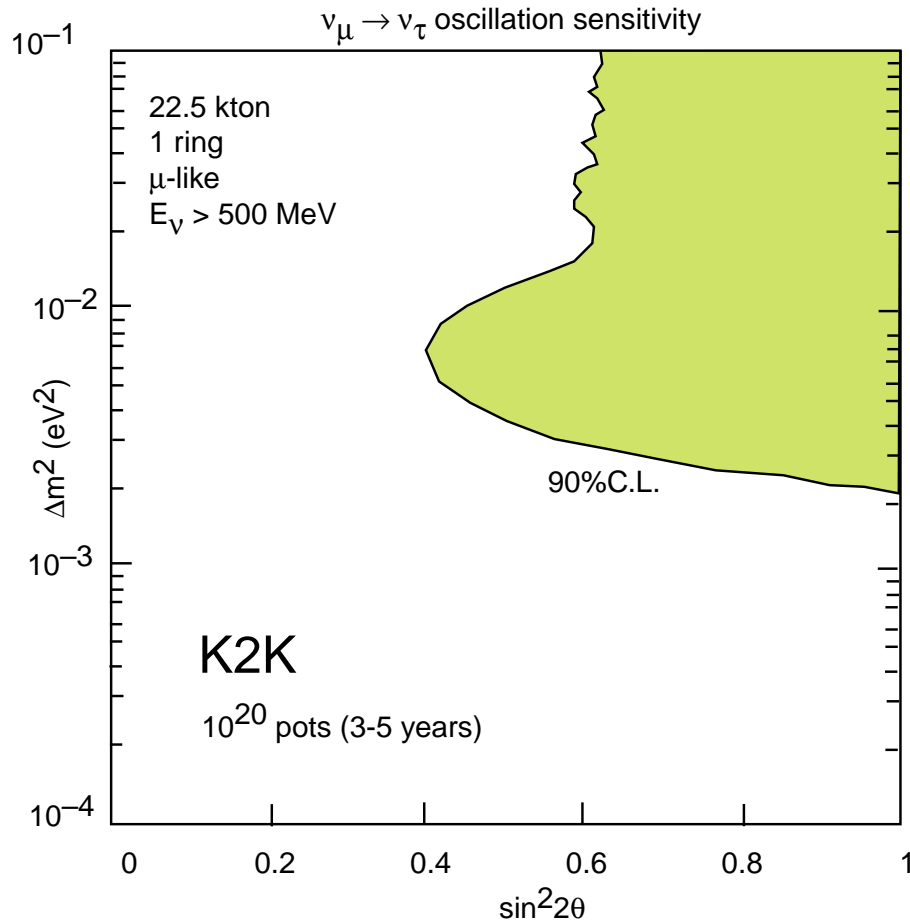
Expected SK events at 10²⁰ POT

172 interactions in 22.5ktons
88 μ candidates

Reconstructed Neutrino Energy (MC)



K2K sensitivity



1. Will provide first confirmation of muon disappearance with artificial beam!



$$\nu_{\mu} \rightarrow \nu_{\chi}$$

2. Measurement of Δm^2 & $\sin^2 2\theta$

Limited by statistics

3. No unambiguous flavor oscillation signature?

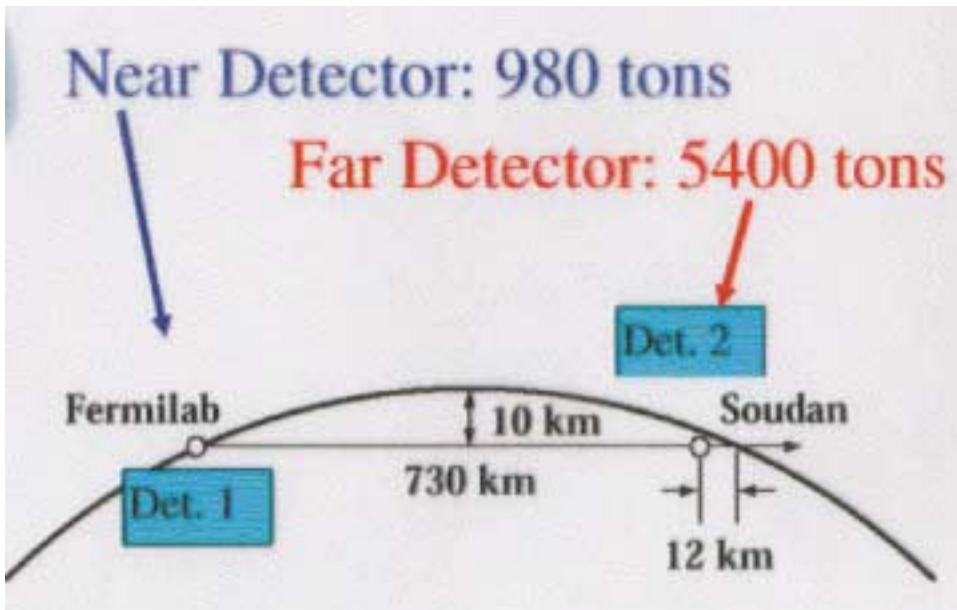
$$\nu_{\mu} \xrightarrow{?} \nu_{\tau} \not\rightarrow \tau + X$$

Neutrino energy is below production threshold

4. Subdominant $\nu_{\mu} \rightarrow \nu_e$ sensitivity?

Poor (statistics, „⁰ contamination)

NUMI-MINOS program (U.S.A.)



1. Will provide second confirmation of muon disappearance with artificial beam (near-far comparison)!

2. Precise measurement ($\pm 10\%$) of Δm^2 & $\sin^2 2\theta$

3. No unambiguous flavor oscillation signature

Limited by detector granularity

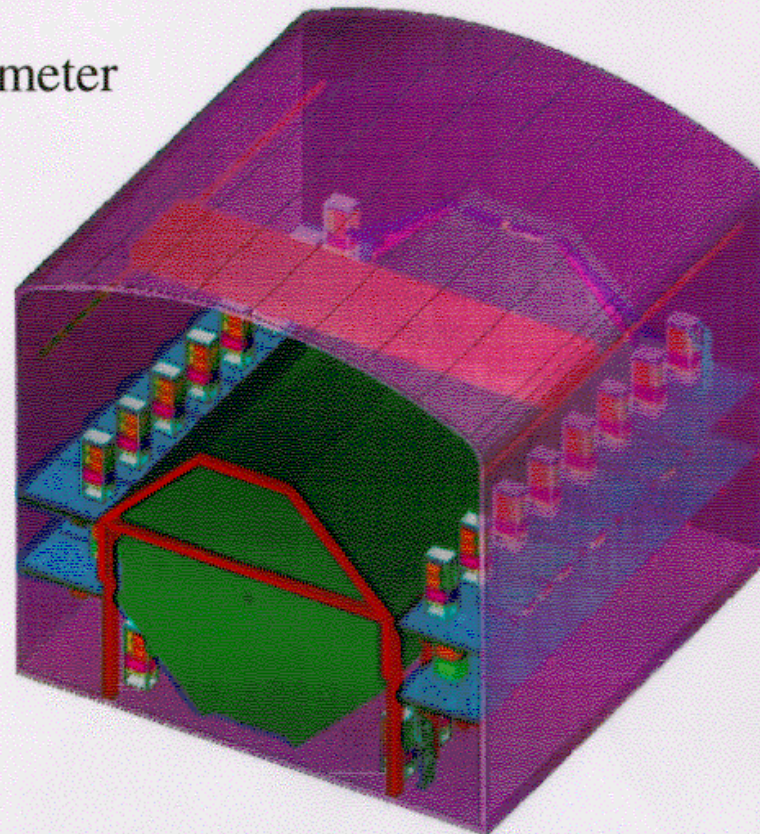
4. Subdominant $\nu_\mu \rightarrow \nu_e$ sensitivity?

Poor (π^0 contamination)



MINOS Far Detector

- 8m Octagonal Tracking Calorimeter
- 486 layers of 2.54cm Fe
- 2 sections, each 15m long
- 4.1cm wide solid scintillator strips with WLS fiber readout
- 25,800 m² active detector planes
- Magnet coil provides $\langle B \rangle \approx 1.3\text{T}$
- 5.4kt total mass

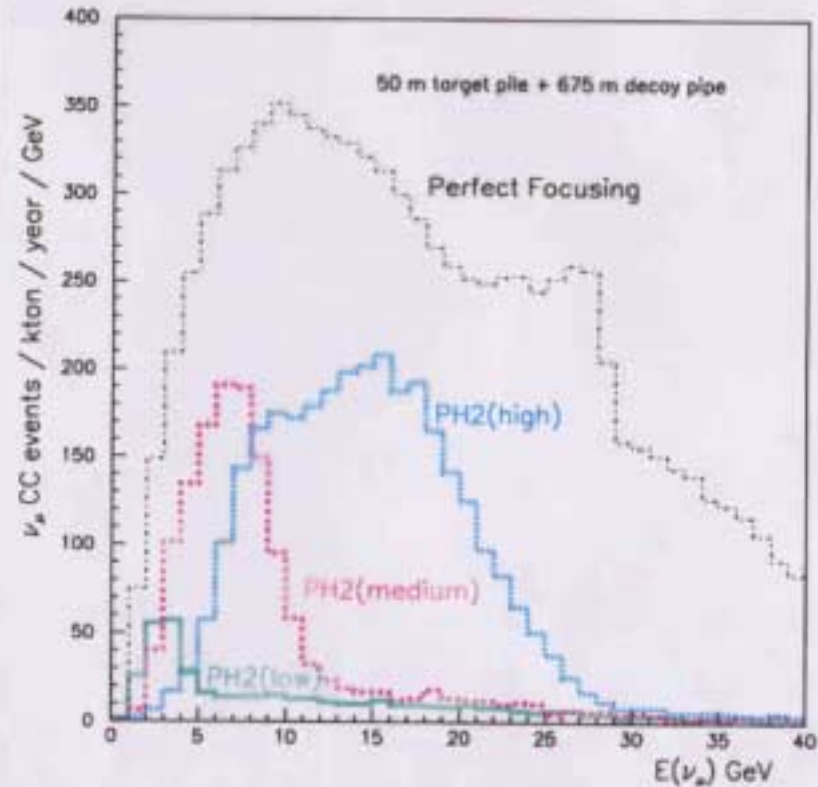
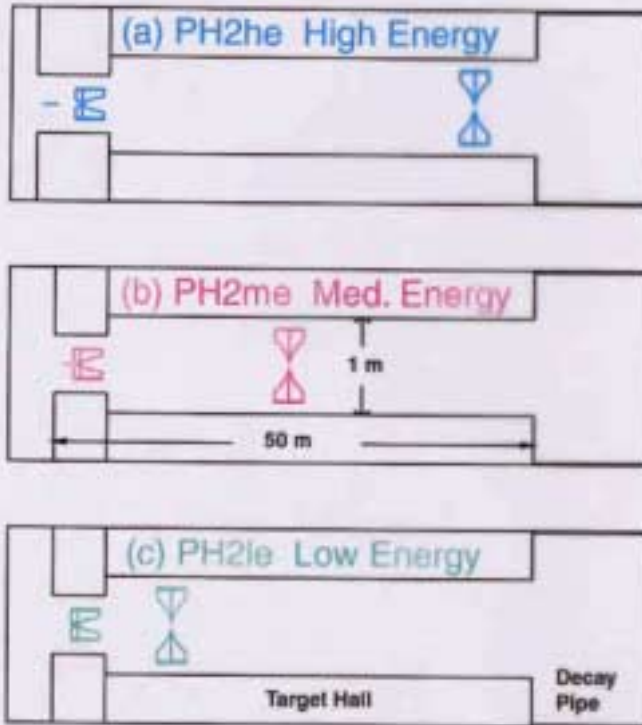


Half of the MINOS Far Detector



Tuning Neutrino Spectra by Horn/Target Reconfiguration

Neutrino 2000
June 17, 2000
Page 8

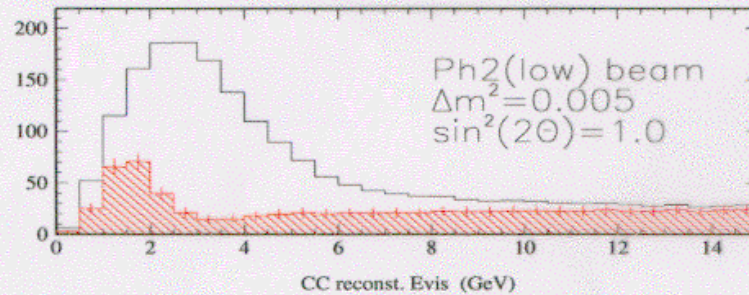
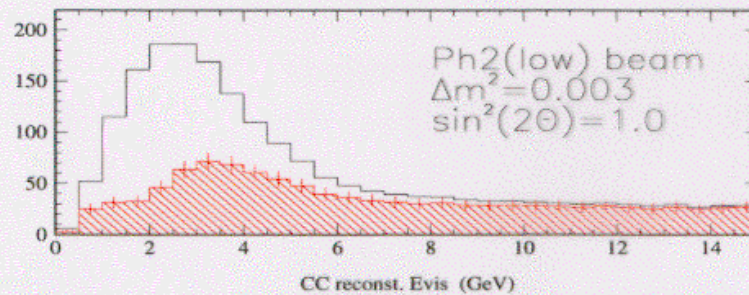
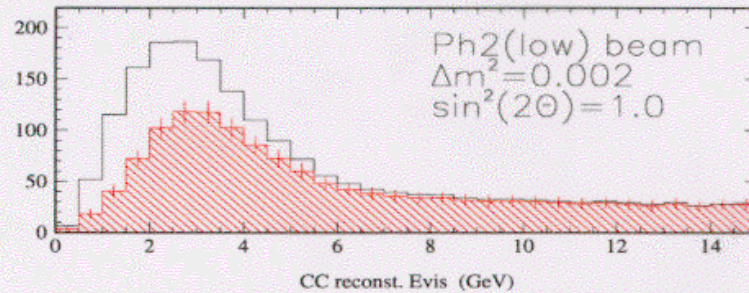


Wojcicki - 11

S. Wojcicki, Neutrino2000



MINOS Energy Spectra



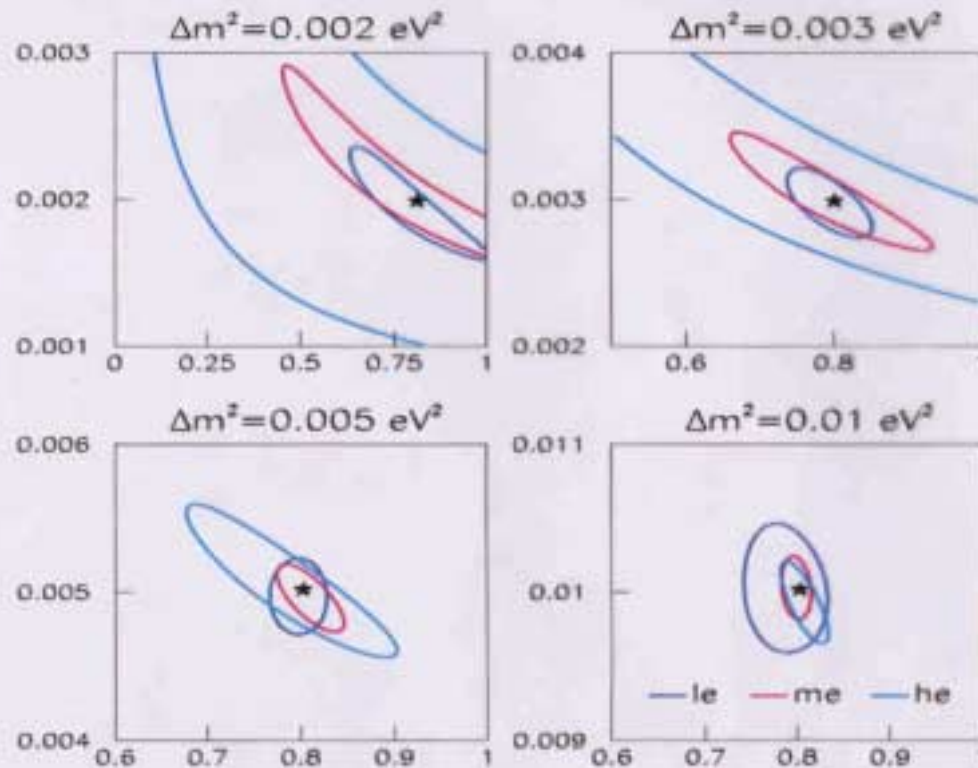
10 kt-yr Exposure

Solid lines - energy spectrum
without oscillations

Dashed histogram - spectrum
in presence of oscillations



Comparison of Different Beams



CC Energy Spectra
68% Contours
10kt-yr exposure

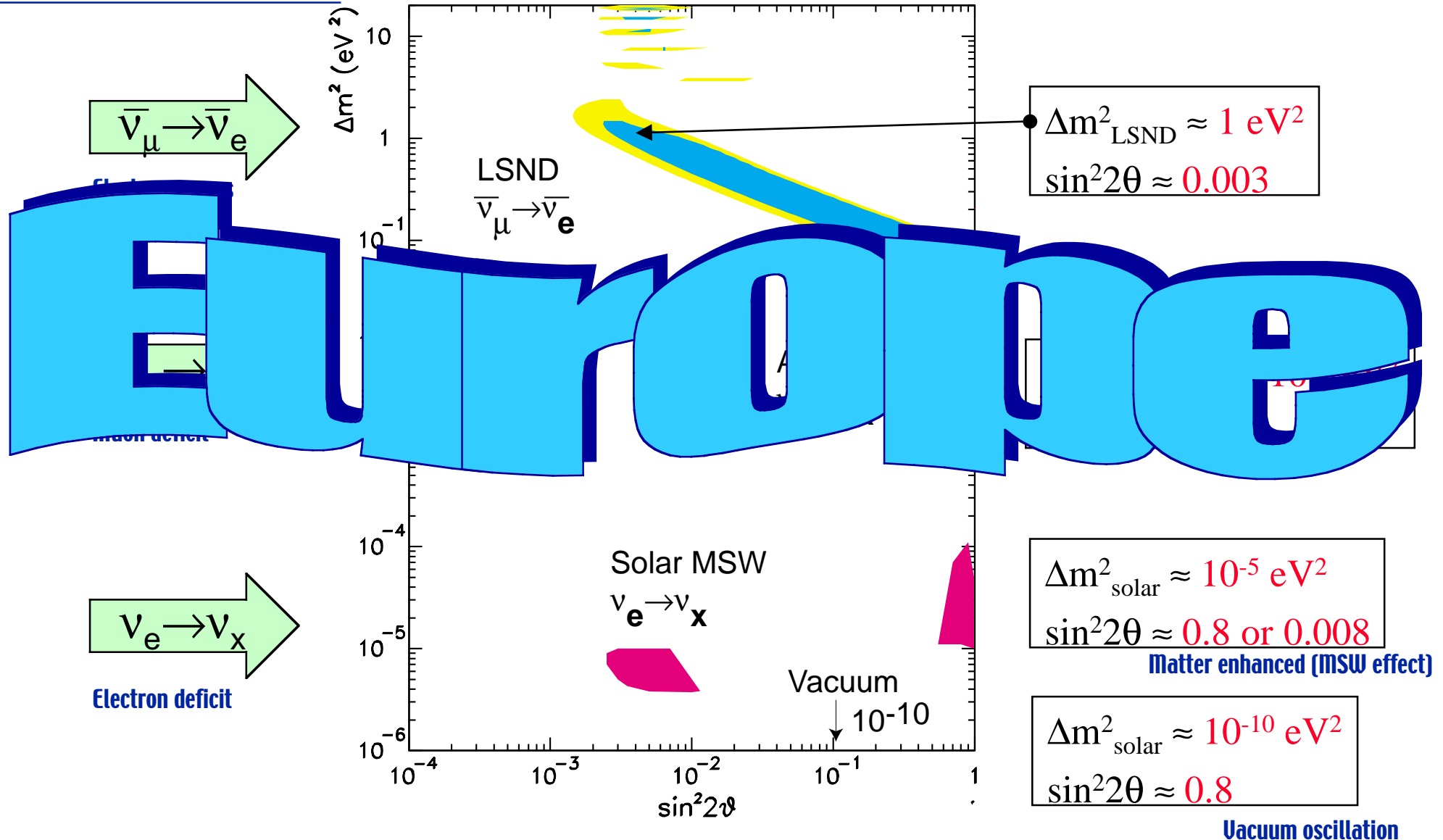


Schedule

- September, 2000 – Soudan Cavern Excavation Complete
- October, 2000 – Start of Scintillator Module Production
- March, 2001 - Start of Far Detector Installation
- September, 2002 – Completion of 1st MINOS SuperModule
- October, 2002 – Start of Installation of Beam Components and Near Detector
- June, 2003 – Start of System Commissioning
- July, 2003 – Completion of Detector Installation
- October, 2003 – Start of Physics Data Taking

Oscillation map - "allowed regions"

Two-neutrino oscillation



Detecting flavor oscillations by “appearance”

$$\nu_{\mu} \rightarrow \nu_{\tau}$$

$$\nu_{\tau} + N \rightarrow \tau + \text{jet}; \quad \tau \rightarrow \begin{cases} e\nu\nu & 18\% \\ \mu\nu\nu & 18\% \\ h^{-}nh^0\nu & 50\% \\ h^{-}h^{+}h^{-}nh^0\nu & 14\% \end{cases}$$

Charged current (CC)

1. High energy neutrinos

⇒ Sufficient energy to produce heavy tau ($m_{\tau} = 1777$ MeV)

2. Detector capable of identifying tau lepton

⇒ Detect the **decay products** and **missing momentum** from neutrinos

⇒ or look for tau track (≈ 1 mm length) to see “kink”

$$\nu_{\mu} \rightarrow \nu_e$$

$$\nu_e + N \rightarrow e + \text{jet}$$

Charged current (CC)

1. Excellent electron identification

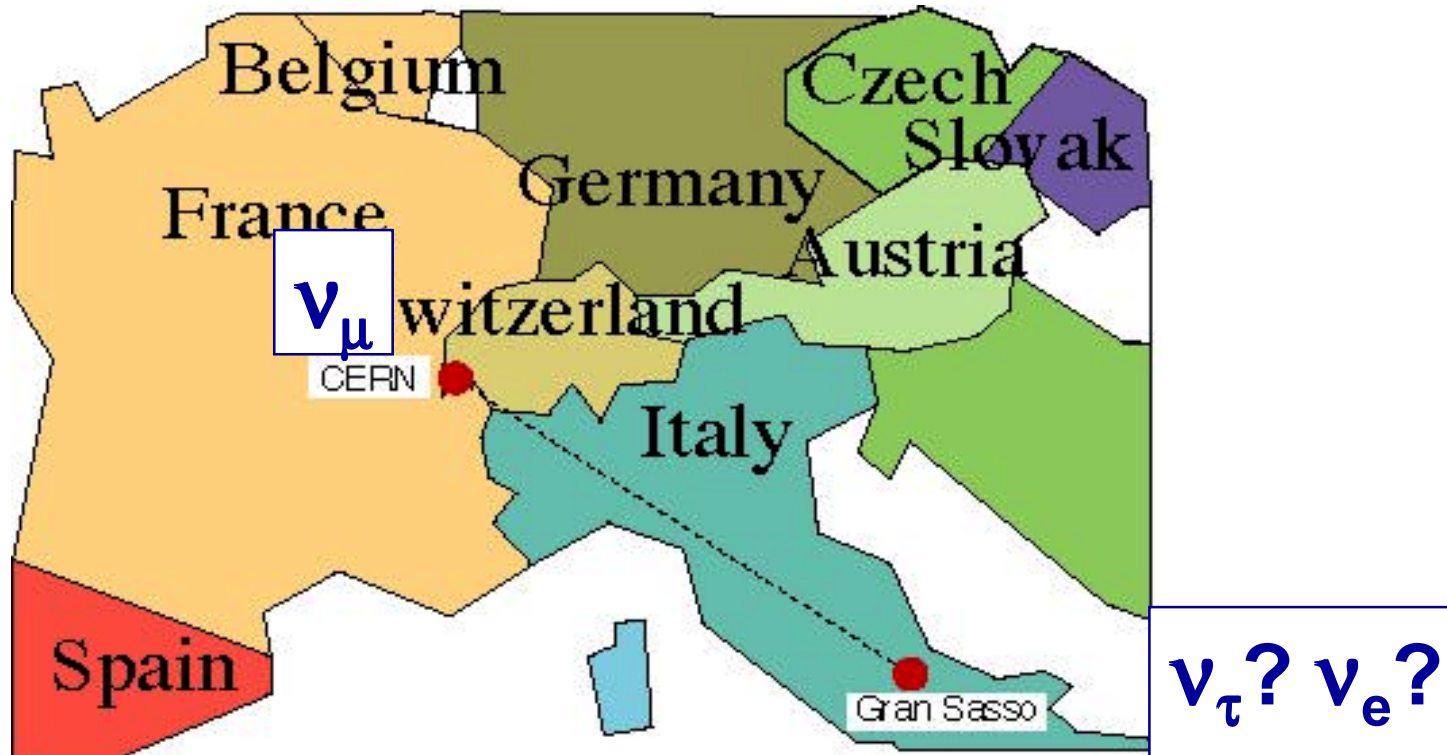
⇒ high granularity for **e/π^0 separation**

⇒ **in general, difficult tasks for large detectors!**

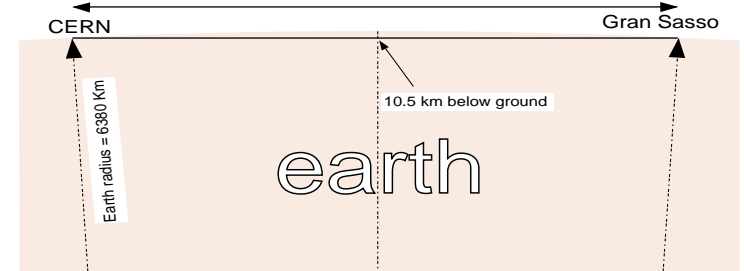
CNGS neutrino beam

The expected ν_e and ν_τ contamination of the CNGS beam are of the order of 10^{-2} and 10^{-7} respect to the dominant ν_μ .

CERN 98-02 - INFN/AE/98-05
CERN-SL/99-034(DI) - INFN/AE-99/05



CERN Neutrino Beam in the Direction of Gran Sasso
Distance = 732 Km



Planned beam commissioning: May 2005

CNGS event rates

- ★ Primary protons: **400 GeV; $4 \times 2.3 \times 10^{13}$ p/cycle; 26.4 s/cycle**
- ★ Pots per year: **4.5×10^{19} pots “shared”; 200x0.75 days/year**

Process	Rates (events/kton/year)
ν_μ CC	2450
$\bar{\nu}_\mu$ CC	49
ν_e CC	20
$\bar{\nu}_e$ CC	1.2
ν NC	823
$\bar{\nu}$ NC	17

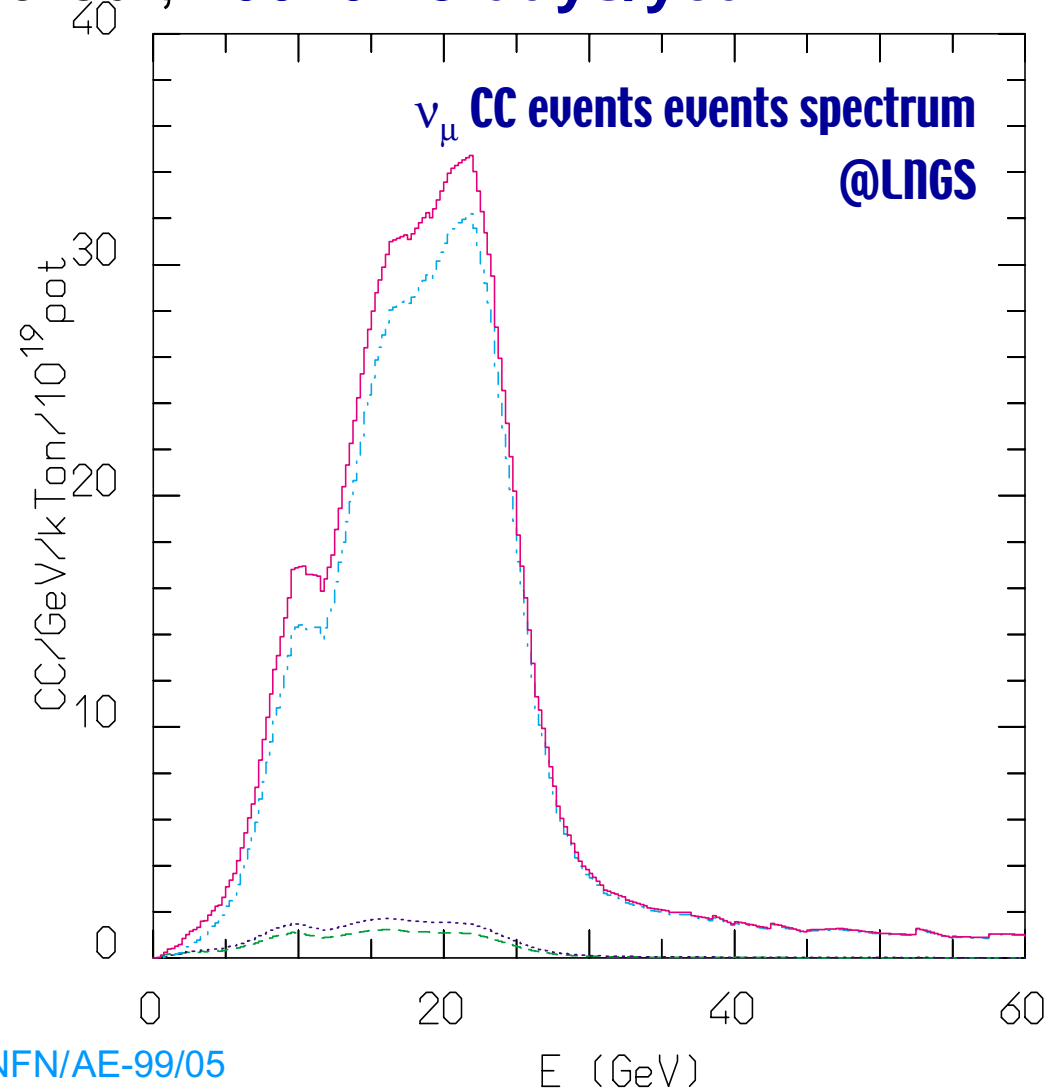
No oscillations

- ★ Optimized for $N_\tau \propto \int \phi_{\nu_\mu}(E) \times \sigma_{\nu_\tau}^{CC}(E) E^{-2} dE$

Δm^2 (eV ²)	Rates (events/kton/year)
1×10^{-3}	2.4
2.5×10^{-3}	15.1
3.5×10^{-3}	29.4
5×10^{-3}	58.6
1×10^{-2}	209.0

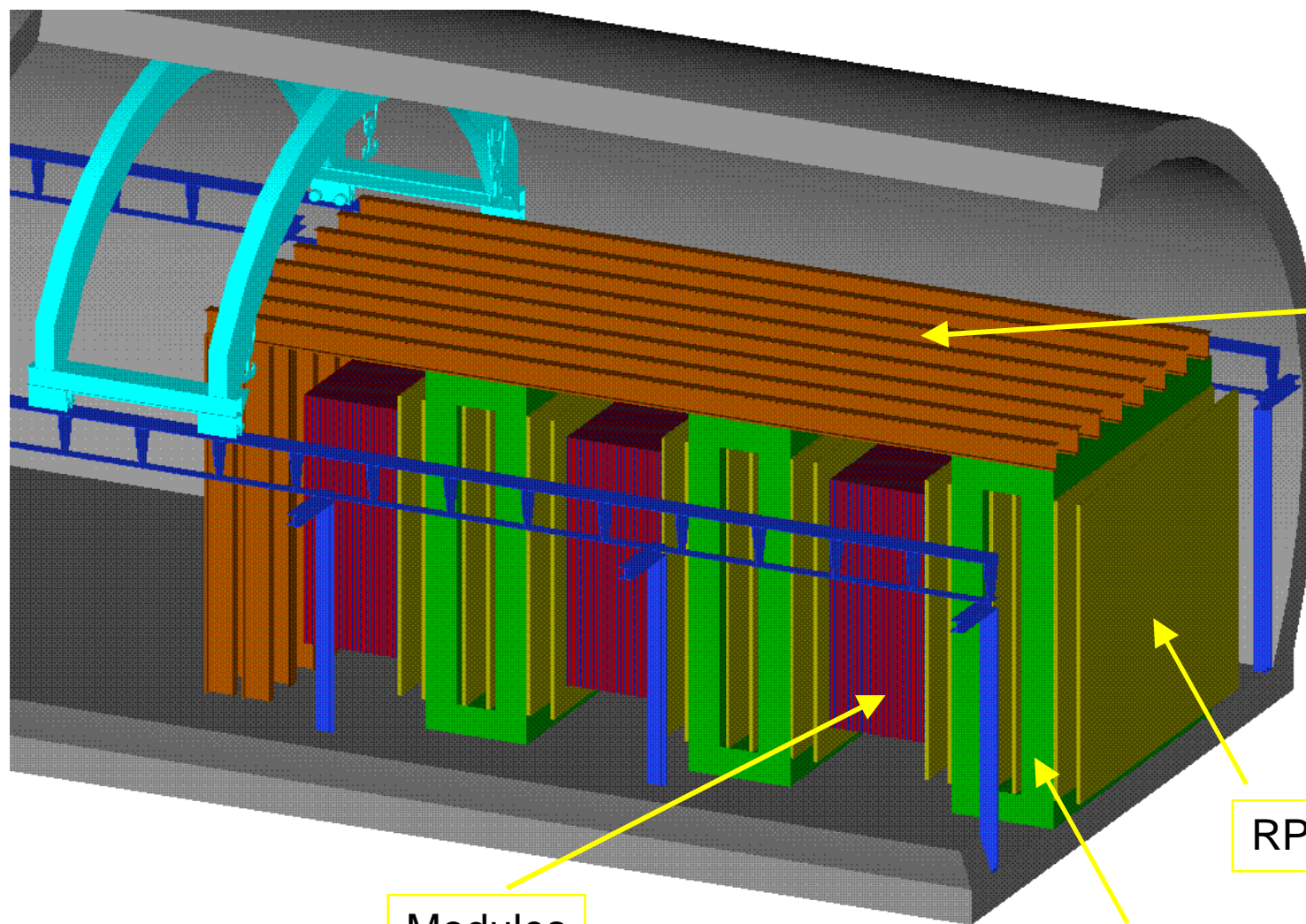
ν_τ CC event rates

- ★ **7.6×10^{19} pots/yr “dedicated”**



CERN 98-02 - INFN-AE/98-05; CERN-SL/99-034(DI) - INFN/AE-99/05

OPERA baseline design



Supporting
"roof"

Modules

RPC+drift tubes

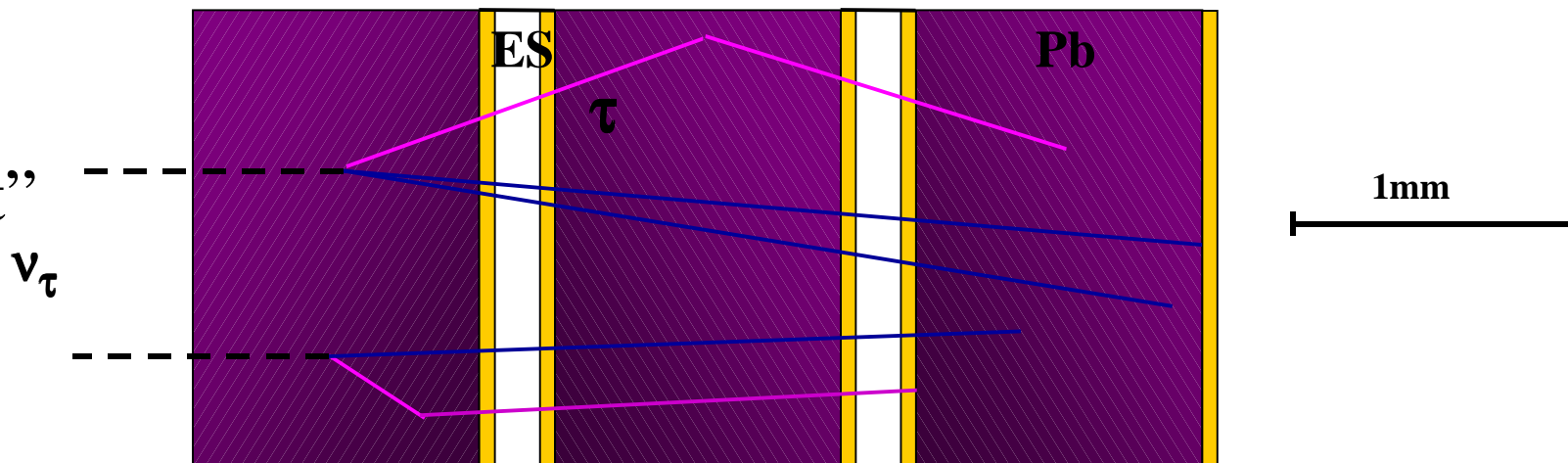
μ spectrometer dipoles

OPERA design is "LNGS Hall independent"

OPERA ECC elementary cell

Direct detection of τ_s by decay topology ($\gamma c\tau \sim 1\text{mm}$)

Baseline
option:
“compact”



Basic ECC (Emulsion Cloud Chamber) concept:

Passive target material and emulsion tracking



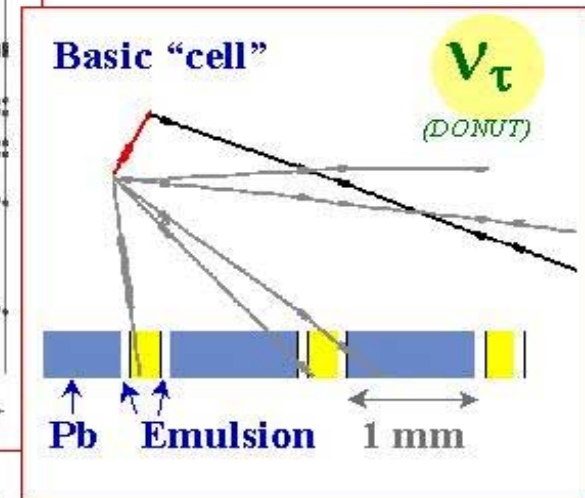
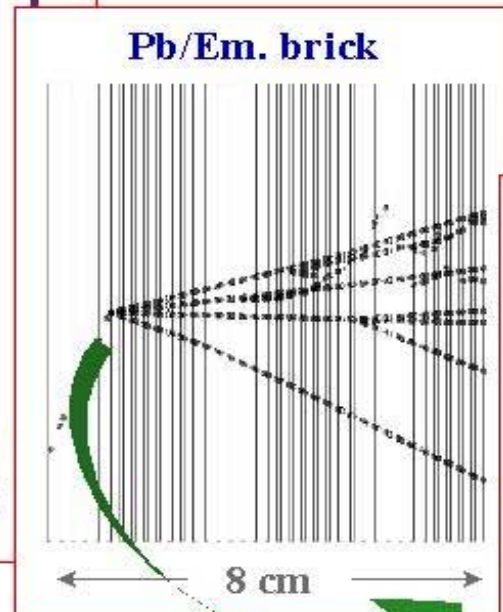
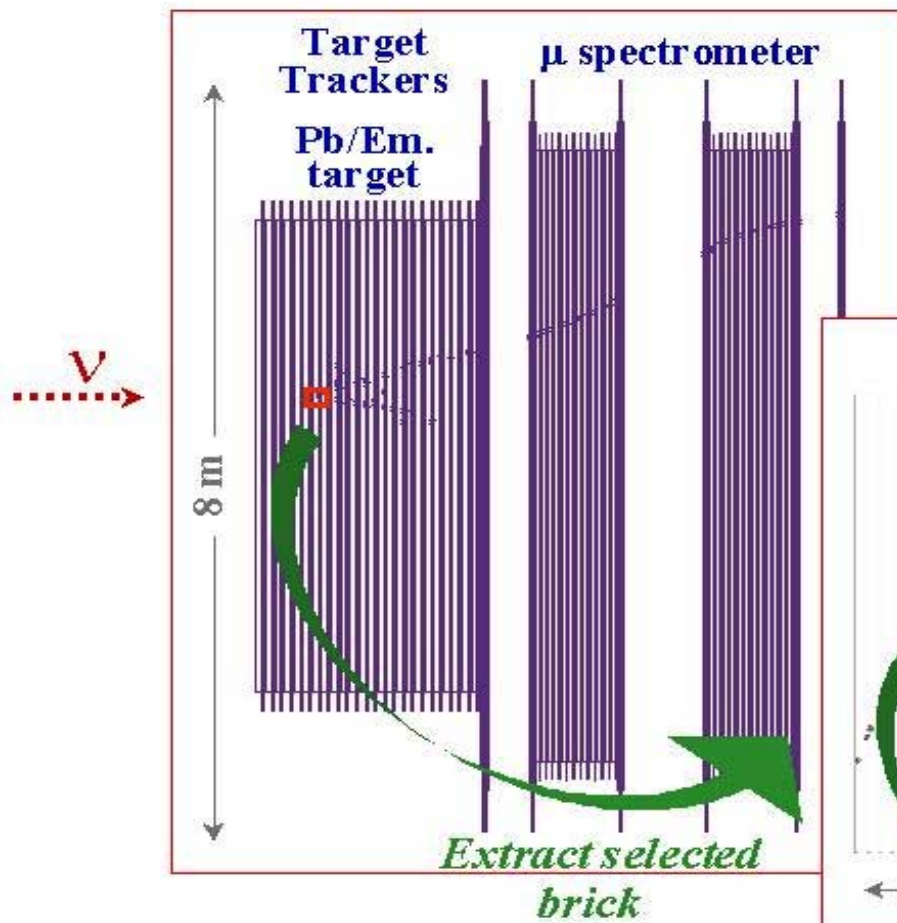
large mass



high space resolution

Prototype: Measured angular and position resolution with $100\ \mu\text{m}$

segments: $\sim 2\ \text{mrad}$ in angle and $\sim 0.6\ \mu\text{m}$ in position



A "hybrid" experiment

Electronic detectors

- select ν interaction brick
- μ ID, charge and p

Emulsion scanning

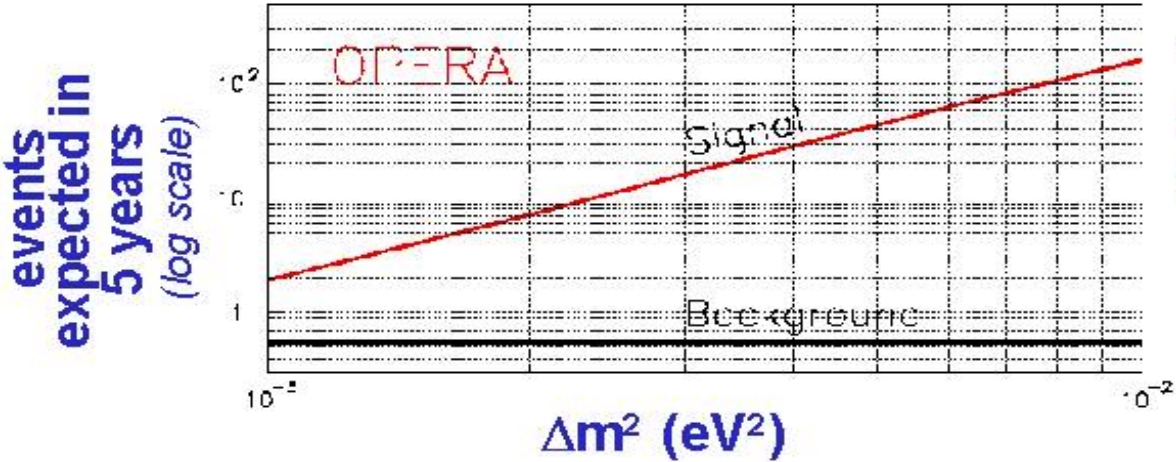
- vertex search
- decay search
- e/ γ ID, kinematics



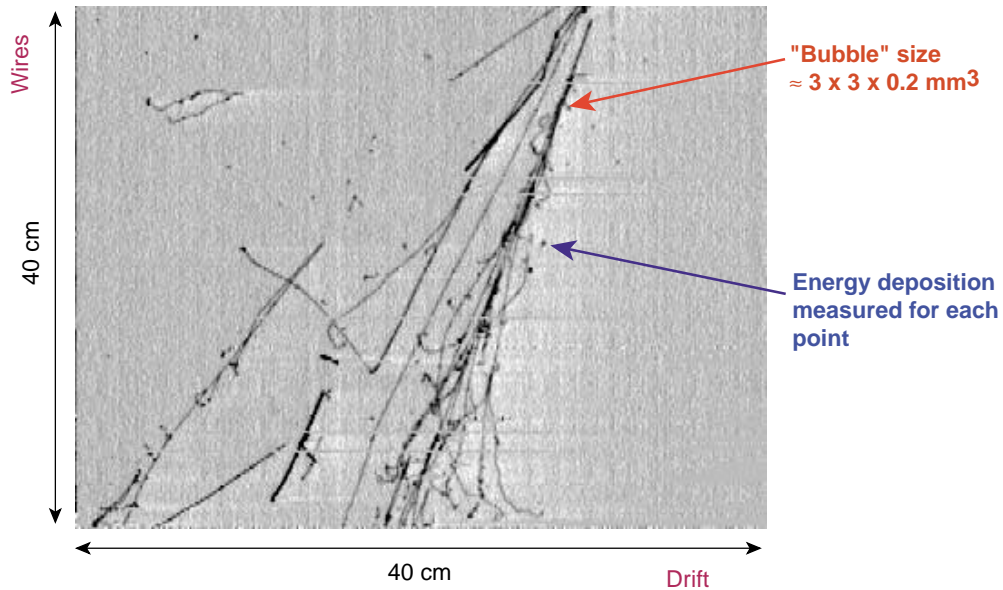
Expected numbers of events

τ decay	ν_τ events			b.g.
	Δm^2	(10^3 eV^2)		
	1.5	3.2	5.0	
e	1.7	7.7	18.5	0.19
μ	1.3	5.7	13.8	0.13
h	1.1	4.9	11.8	0.25
Total	4.1	18.3	44.1	0.57

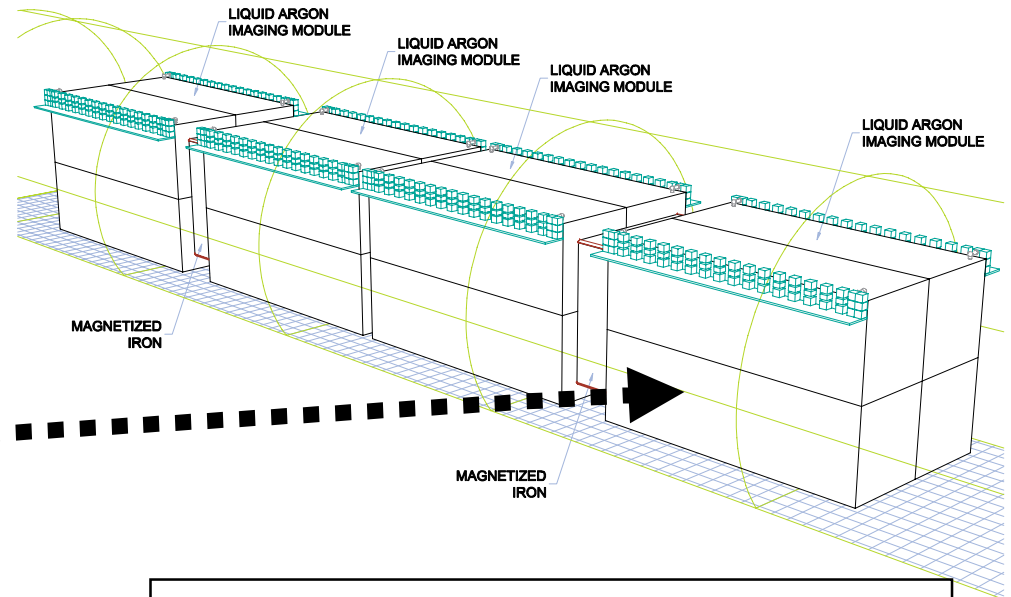
- Full mixing
- 5 years with shared SPS operation (2.25x10²⁰ pot)
- Average target mass = 1.8 kton
(accounting for mass reduction with time, due to brick removal for analysis)
- Uncertainties on background and efficiencies accounted for in the following



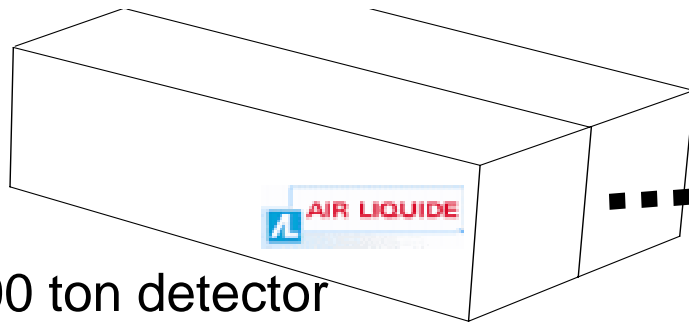
The ICARUS experiment



ICARUS 5kt (proposed)



ICARUS T600 (approved)



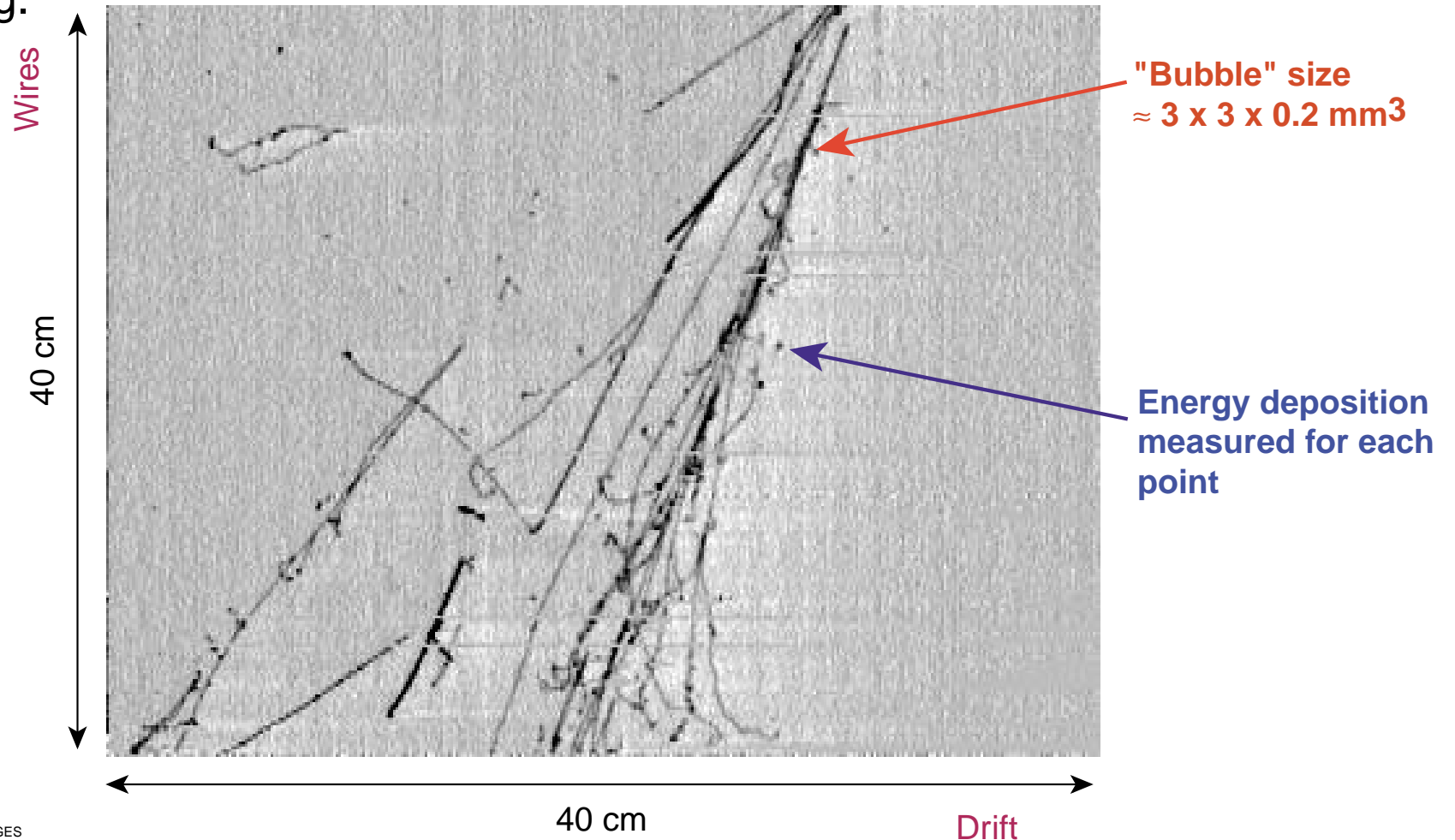
600 ton detector

*First test run in March 2001!
In LNGS Tunnel in 2002*

Two possible options:
A) $\approx 8 \times$ T600
B) $4 \times$ T1400 (better for physics)

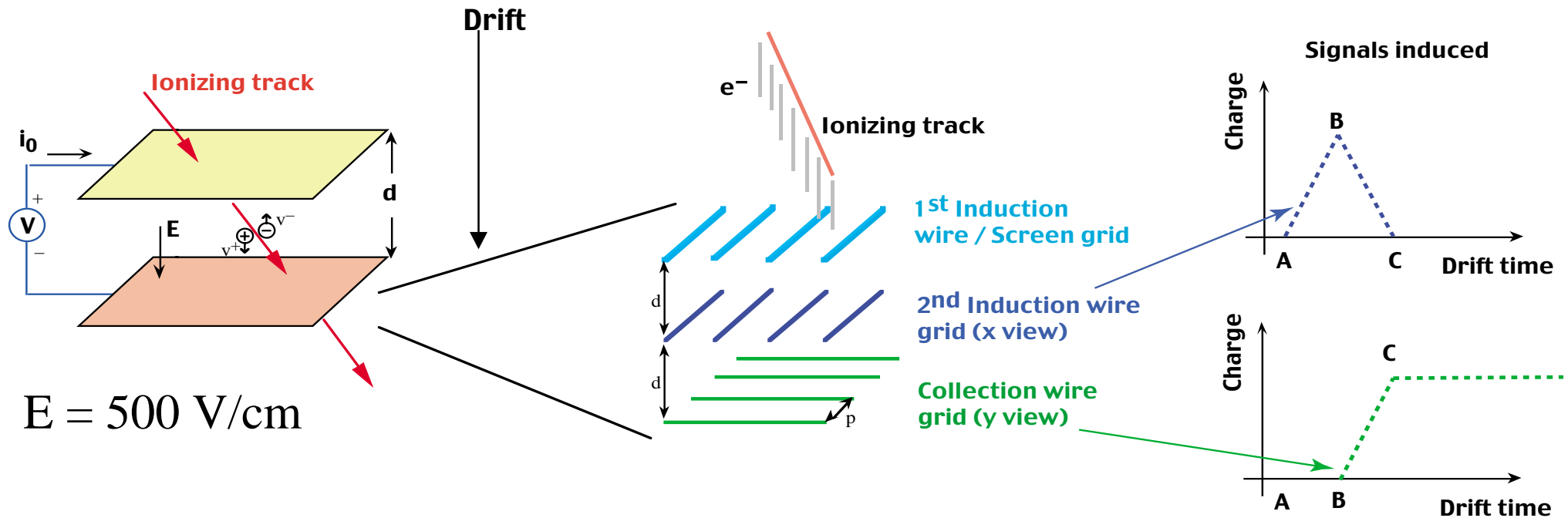
ICARUS liquid argon imaging TPC (I)

- ★ The LAr TPC technique is based on the fact that ionization electrons can drift over large distances (meters) in a volume of purified liquid Argon under a strong electric field. If a proper readout system is realized (i.e. a set of fine pitch wire grids) it is possible to realize a massive "electronic bubble chamber", with superb 3-D imaging.



ICARUS liquid argon imaging TPC (II)

- ★ Detect electrons produced by ionizing tracks crossing the LAr



Electron-ion pairs are produced
Electrons give the main contribution to the induced current due to the much larger mobility

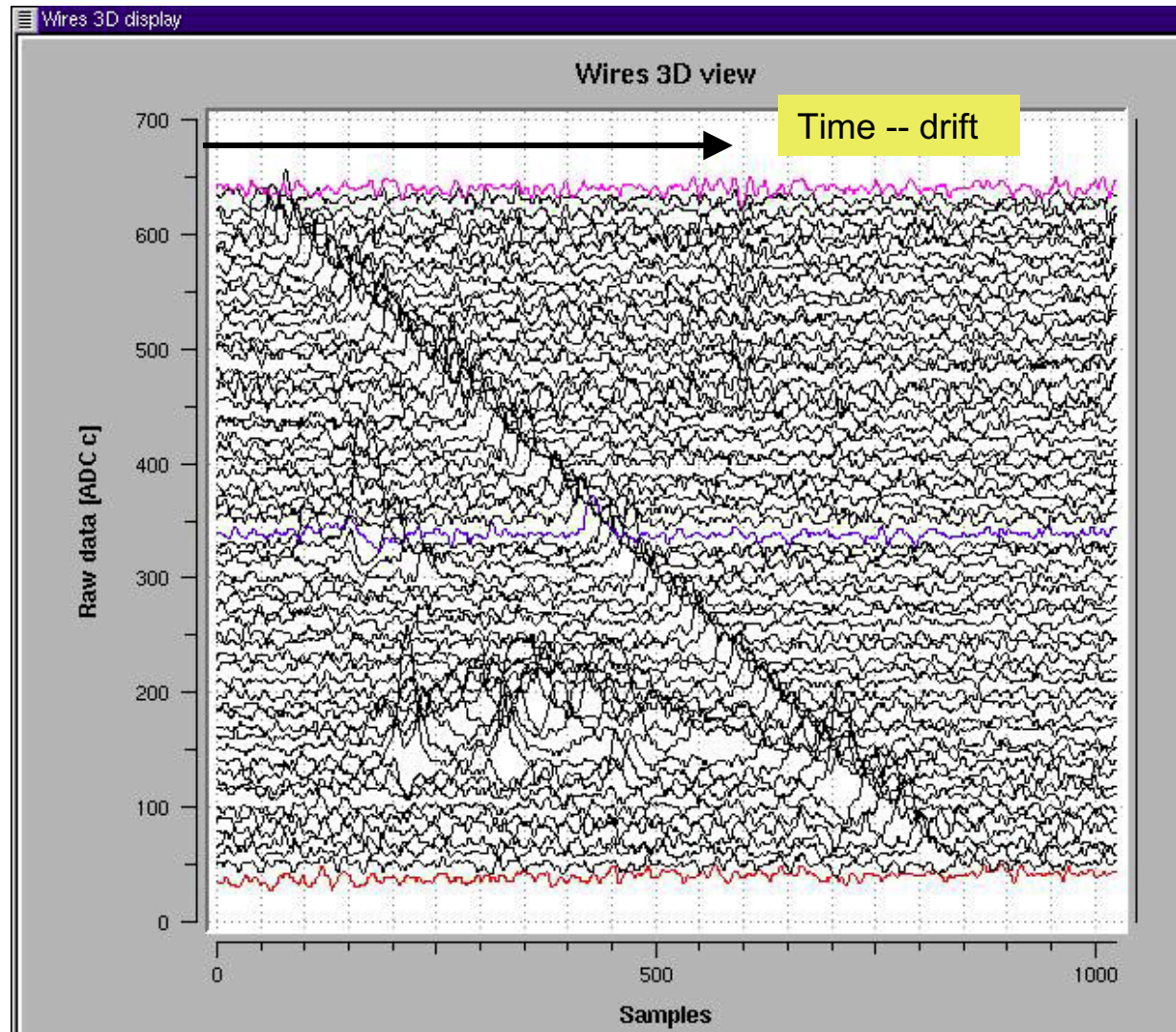
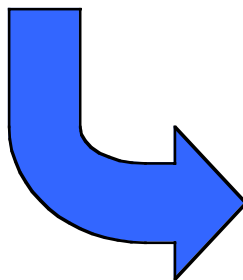
$$I_0 = e(v^+ + v^-)/d$$

A set of wires at the end of the drift give a sampling of the track
No charge multiplication occurs near the wires → electrons can be used to induce signals on subsequent wires planes with different orientations ⇒ **3D imaging**

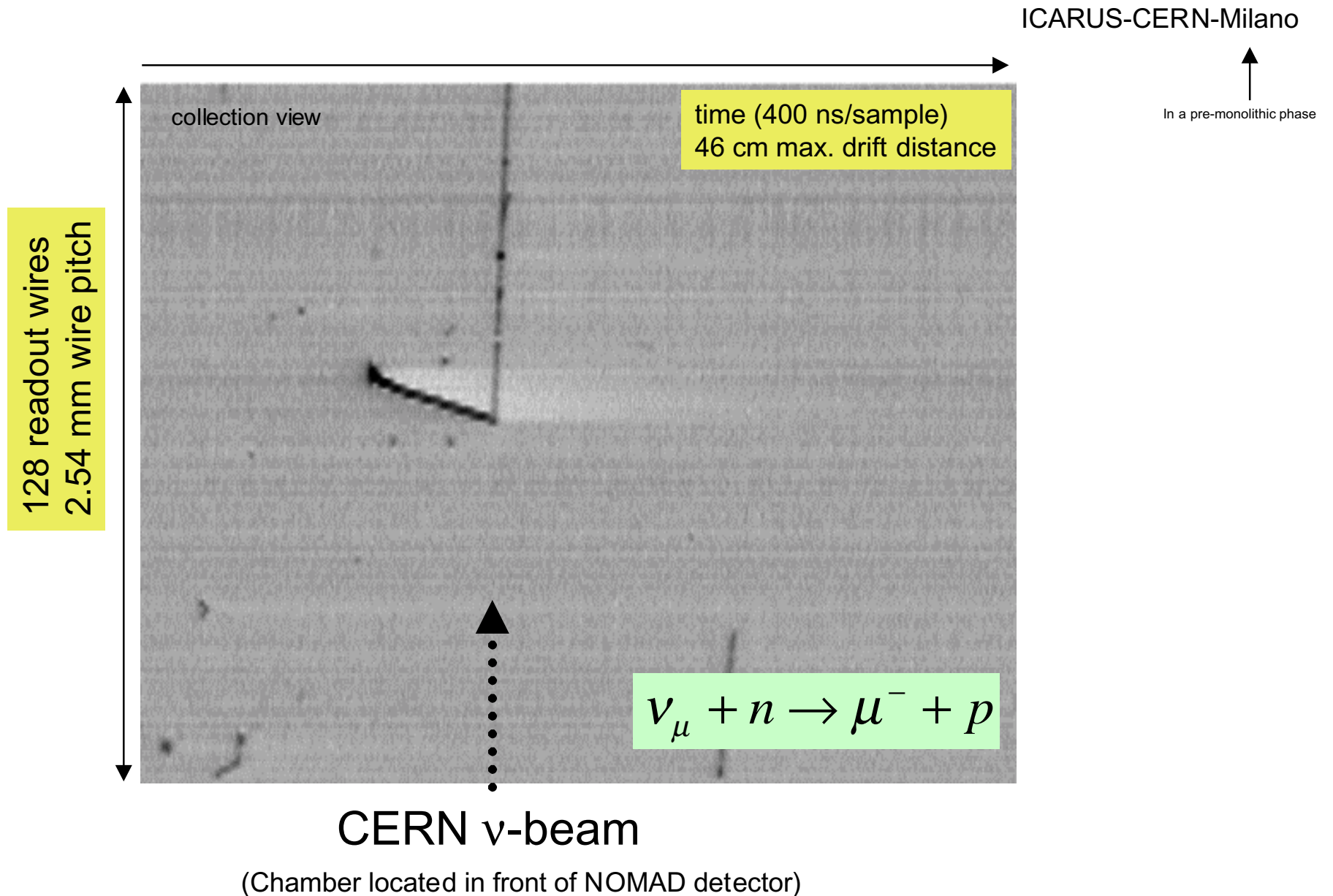
ICARUS liquid argon imaging TPC (III)

Detector is continuously sensitive, thus allowing to easily simultaneously collect atmospheric, CNGS and other rare events...

Real event from 15 ton



Neutrino event in 50 liter LAr TPC (1998)



The MONOLITH proposal

Large mass

~ 35 kton

Magnetized Fe spectrometer

$B = 1.3$ Tesla

Space resolution

~ 1 cm (rms on X-Y coordinates)

Time resolution

~ 1 ns (for up/down discrimination)

Momentum resolution

$\sigma_p/p \sim 20\%$ from track curvature for outgoing μ

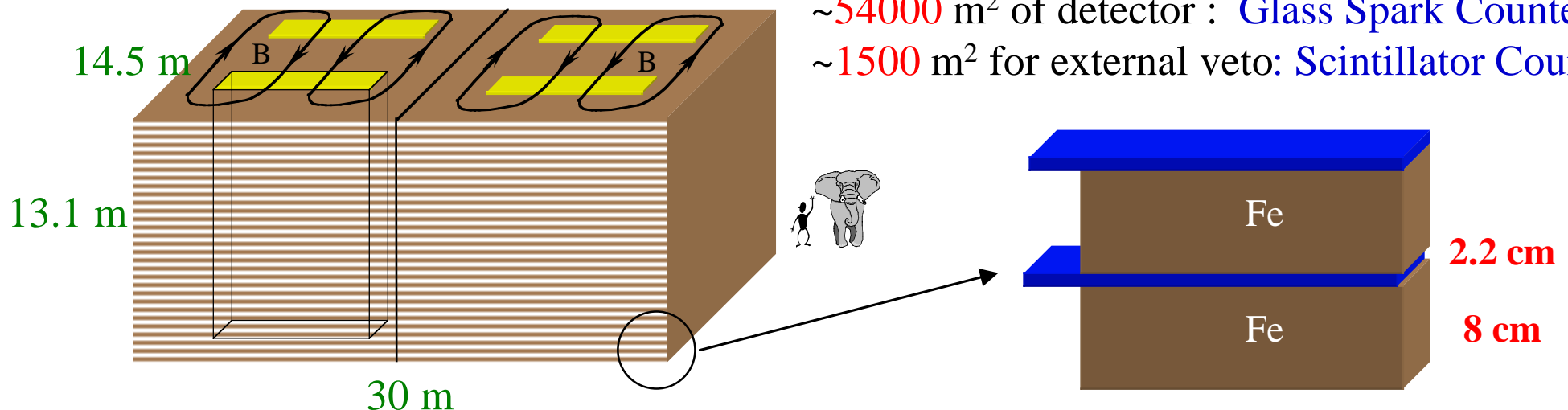
~ 6% from range for stopping muons

Hadron E resolution $\sigma_{E_h}/E_h \sim 90\%/\sqrt{E_h} \oplus 30\%$

$8.0 \times 3000 \times 1500 \text{ cm}^3 \times 7.87 \text{ g/cm}^3 = 285 \text{ ton/plane}$ 120 planes

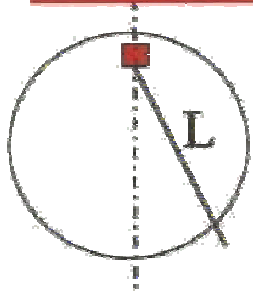
~54000 m² of detector : Glass Spark Counters

~1500 m² for external veto: Scintillator Counters

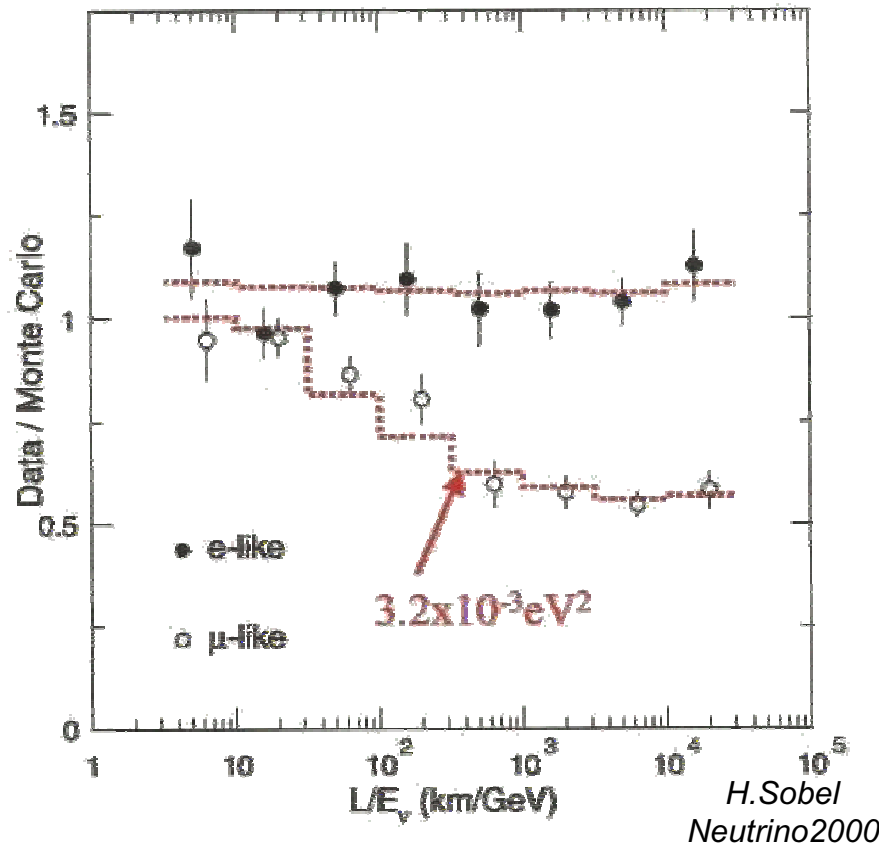


Oscillations?

Bin data as a function of L/E_ν



$$P_{\nu\nu'} = \sin^2 2\theta \sin^2(1.27 \Delta m^2 L/E_\nu)$$



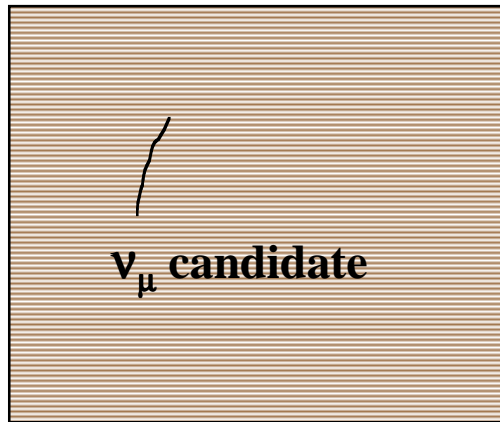
$$P(\nu_\alpha \rightarrow \nu_\beta) \stackrel{?}{=} \sin^2 2\theta \sin^2\left(1.27 \Delta m^2 \frac{L}{E}\right)$$

- ★ The L/E resolution of SuperKamiokande is not good enough to detect oscillations.
- ★ SuperKamiokande only sees a “deficit” with increasing L/E
- ★ *“There is as yet no direct evidence of an oscillation pattern”*
(J. Ellis, summary of Neutrino 2000)

Atmospheric neutrinos selection



Estimators: $\theta_v = \theta_\mu$ $E_v = E_\mu + E_h$



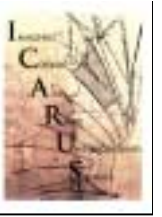
Y view



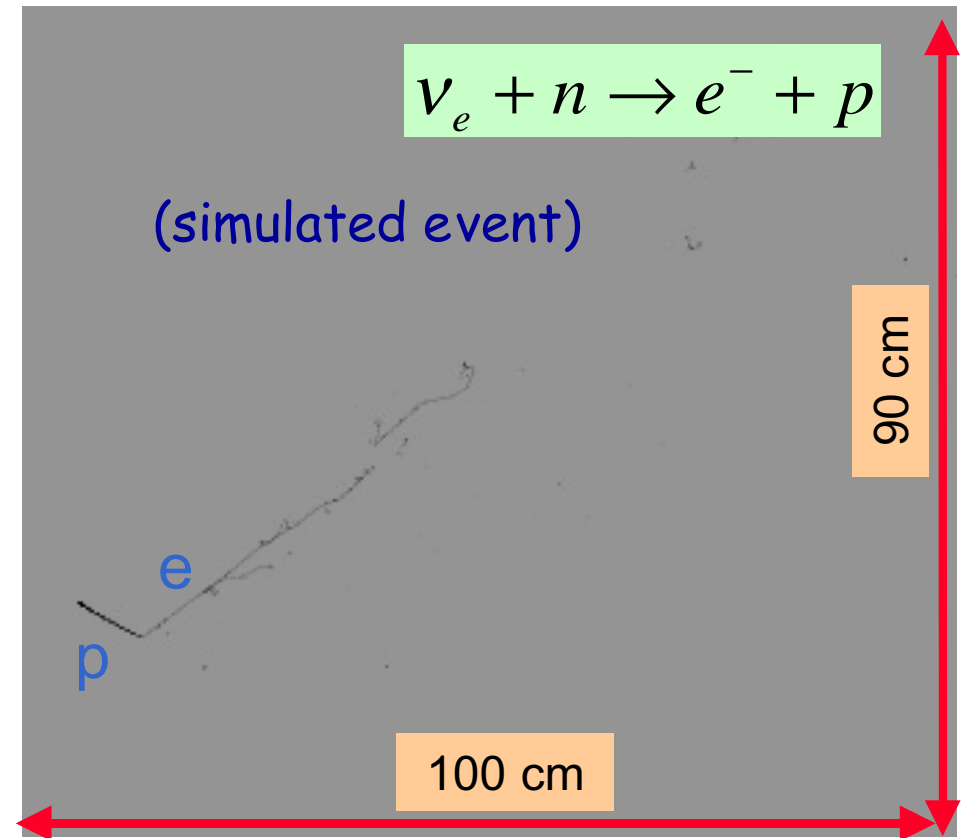
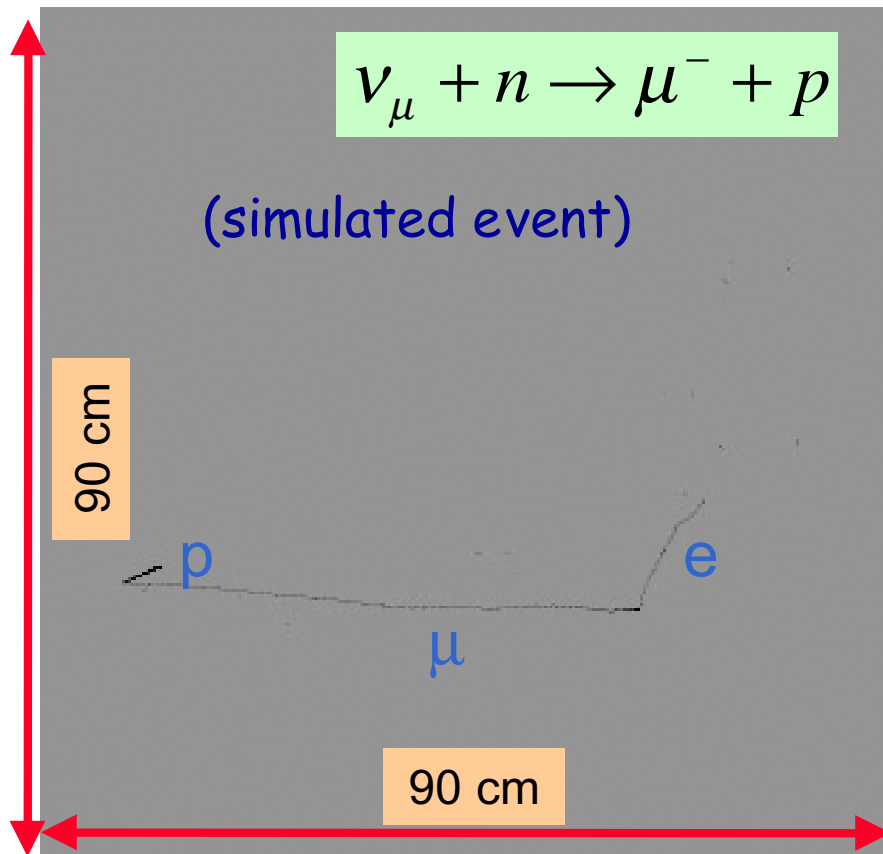
X view

- ✓ Minimal requirements: $p_\mu > 1.5 \text{ GeV}$, $\text{Range}(\mu_{\text{out}}) > 4 \text{ m}$
- ✓ Selection on combinations of the observables E_μ , θ_μ , E_h to ensure the required L/E resolution
- ✓ Cuts on visible vertex coordinates and muon direction to reject cosmic muons background

ICARUS event imaging

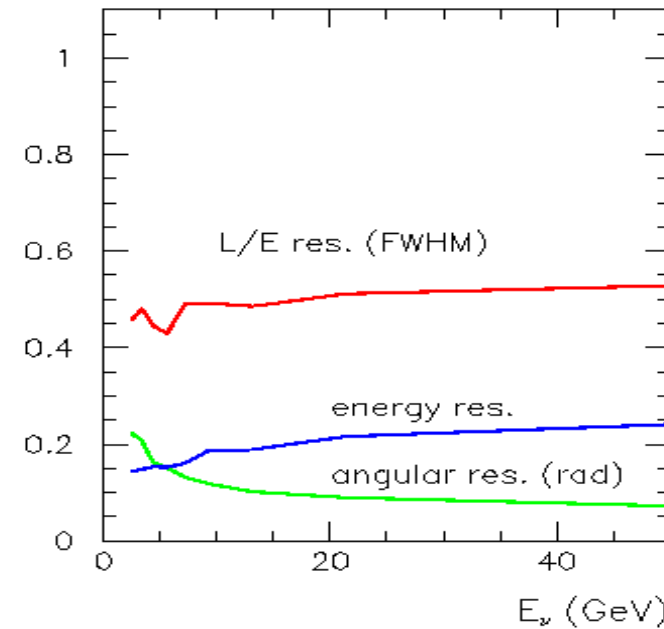
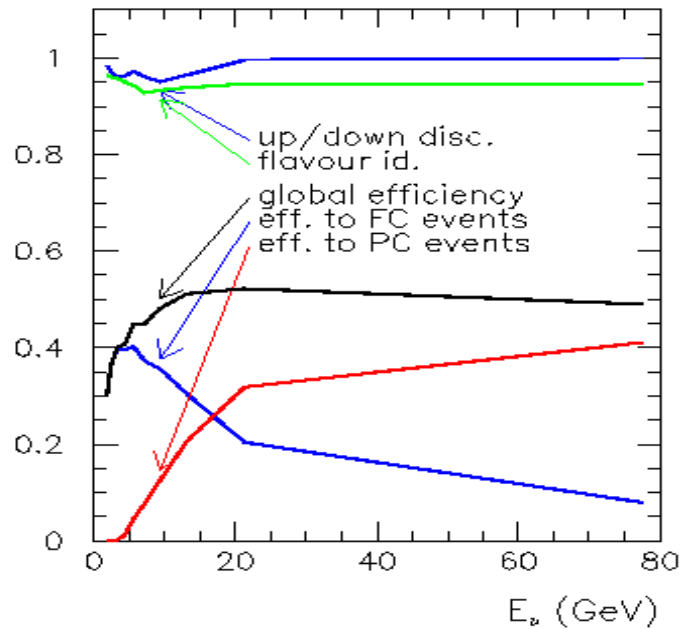


Estimators: complete final state event reconstruction
⇒ incoming neutrino direction & energy reconstructed
(dominated by Fermi motion at low E)





Efficiencies and resolutions

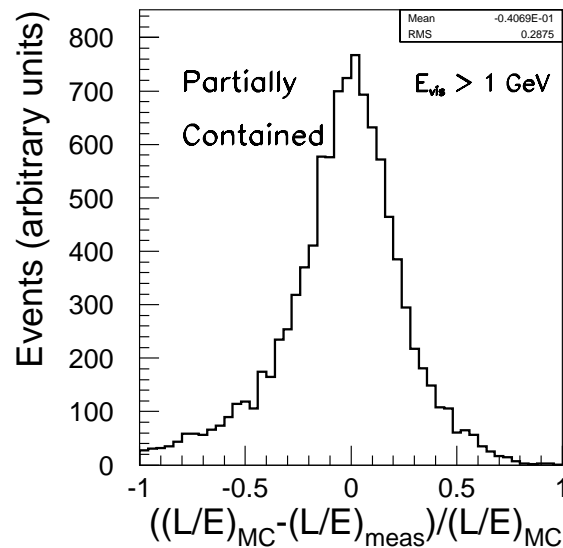
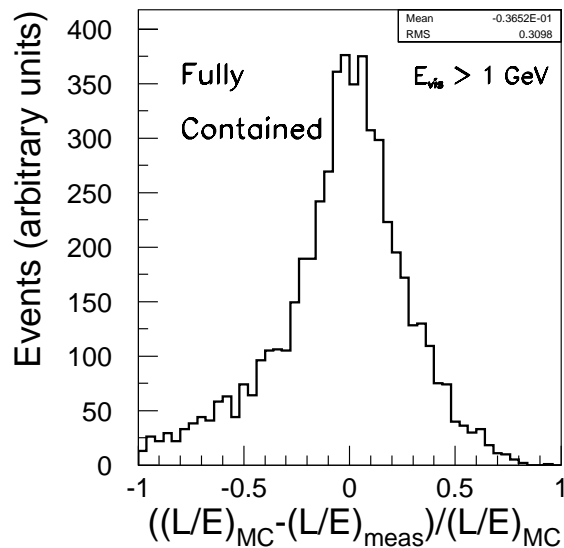
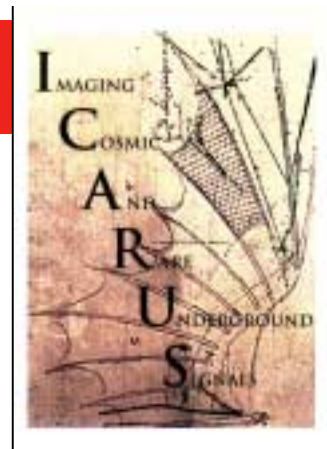


P. Antonioli
Now2000

★ Selected ν_μ CC (downgoing only!) after 4 years of data taking:

★ Fully contained:	931
★ Partially contained:	259
★ <u>Total:</u>	<u>1190</u>

ICARUS L/E selection



$$\Delta(L/E)_{RMS} \approx 30\%$$

- ★ Selected ν_μ CC after 4 years of data taking:
- ★ All muon-like events: 2700
- ★ $E_{vis} > 1$ GeV: 1080 (to suppress Fermi motion effect)
- ★ Total: 1080

It is not only a question of mass, but mass \times efficiency!

Reference sample



Excellent electron
identification &
measurement



*Electron sample can be used
as a reference for no oscillation
case*

★ Oscillation parameters (3 family
mixing):

→ $\Delta m^2_{32} = 3.5 \times 10^{-3} \text{ eV}^2$

→ $\sin^2 2\Theta_{23} = 0.9$

→ $\sin^2 2\Theta_{13} = 0.1$

25 kt x year

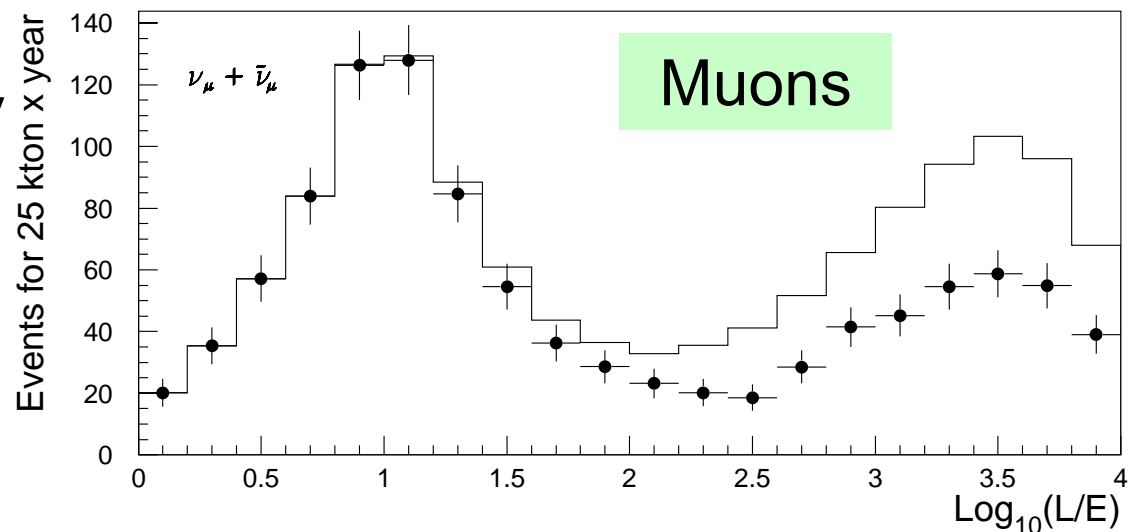
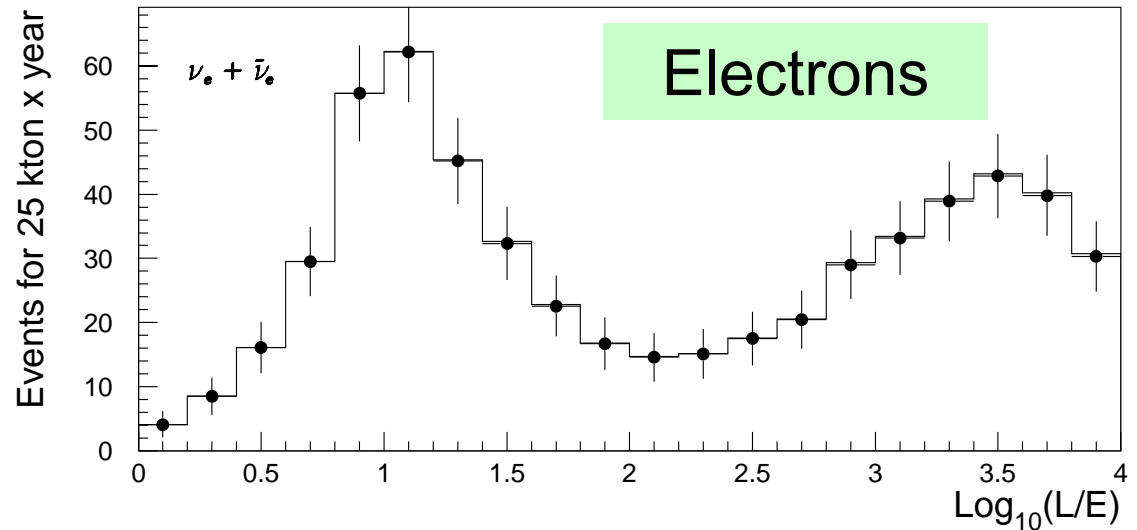


Image method



MONOLITH can only see muons; it must do with them...

The disappearance probability can be measured with a **single detector and two equal sources**:

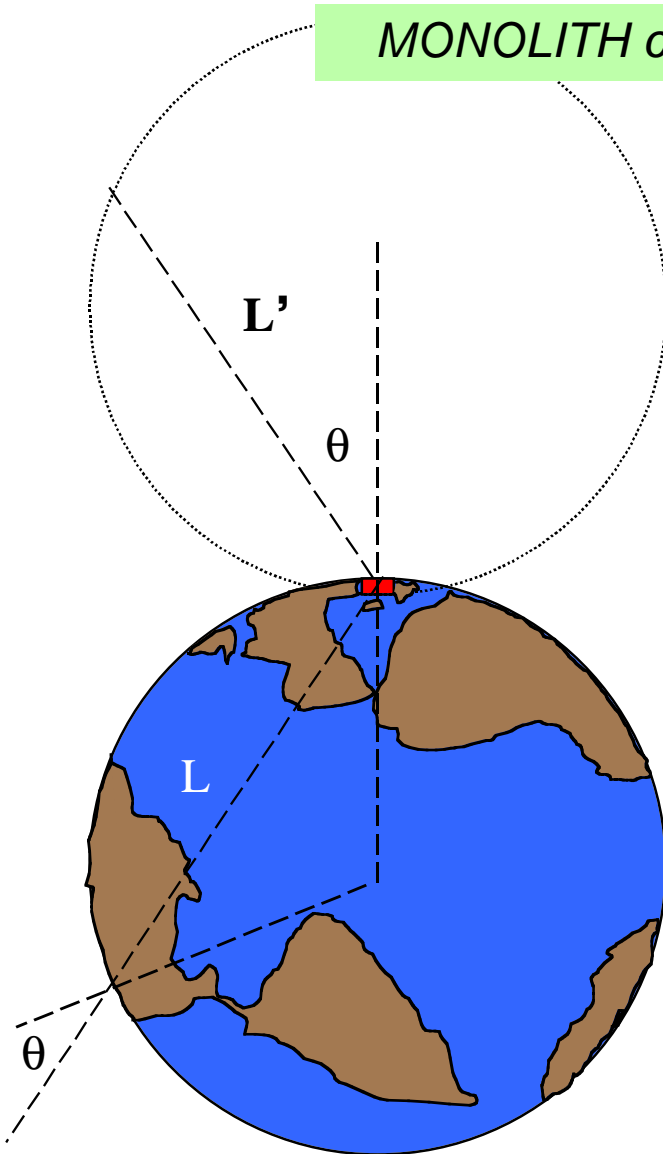
(equal source hypothesis only when geomagnetic effects are neglected \Rightarrow high energy)

$$L(\theta_{\text{up}}) = 2R\cos(\theta_{\text{up}}) \quad L'(\theta_{\text{down}}) = L(\pi - \theta_{\text{down}})$$

$$\frac{N_{\text{up}}(L/E)}{N_{\text{down}}(L'/E)} = P(\nu_{\mu} \rightarrow \nu_{\mu}; L/E)$$

$$= 1 - \sin^2(2\Theta) \sin^2(1.27 \Delta m^2 L/E)$$

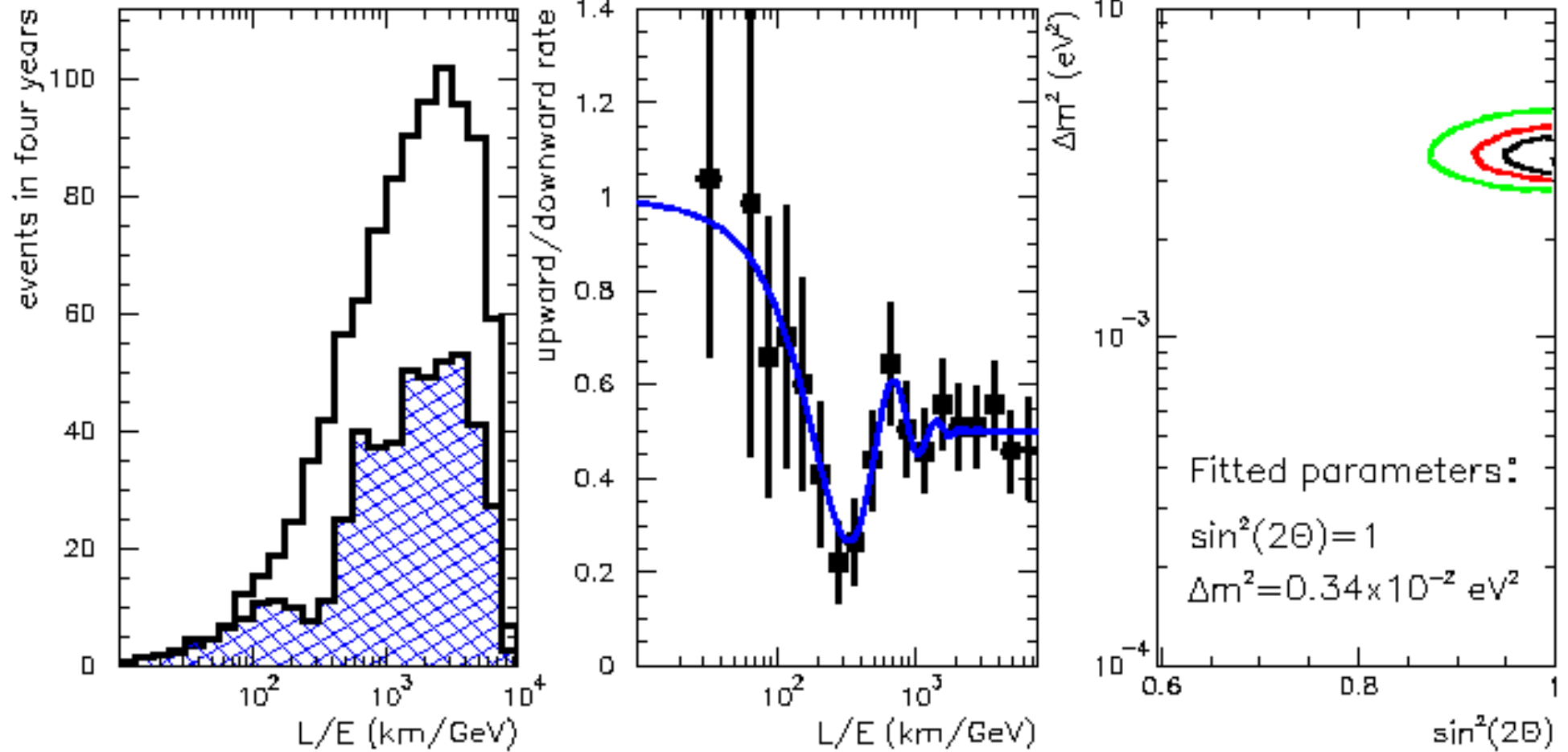
An *oscillation pattern* should appear in the experimental ratio of up to down fluxes



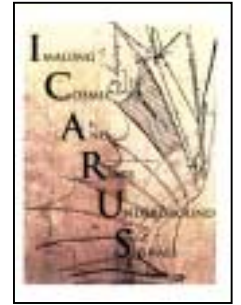
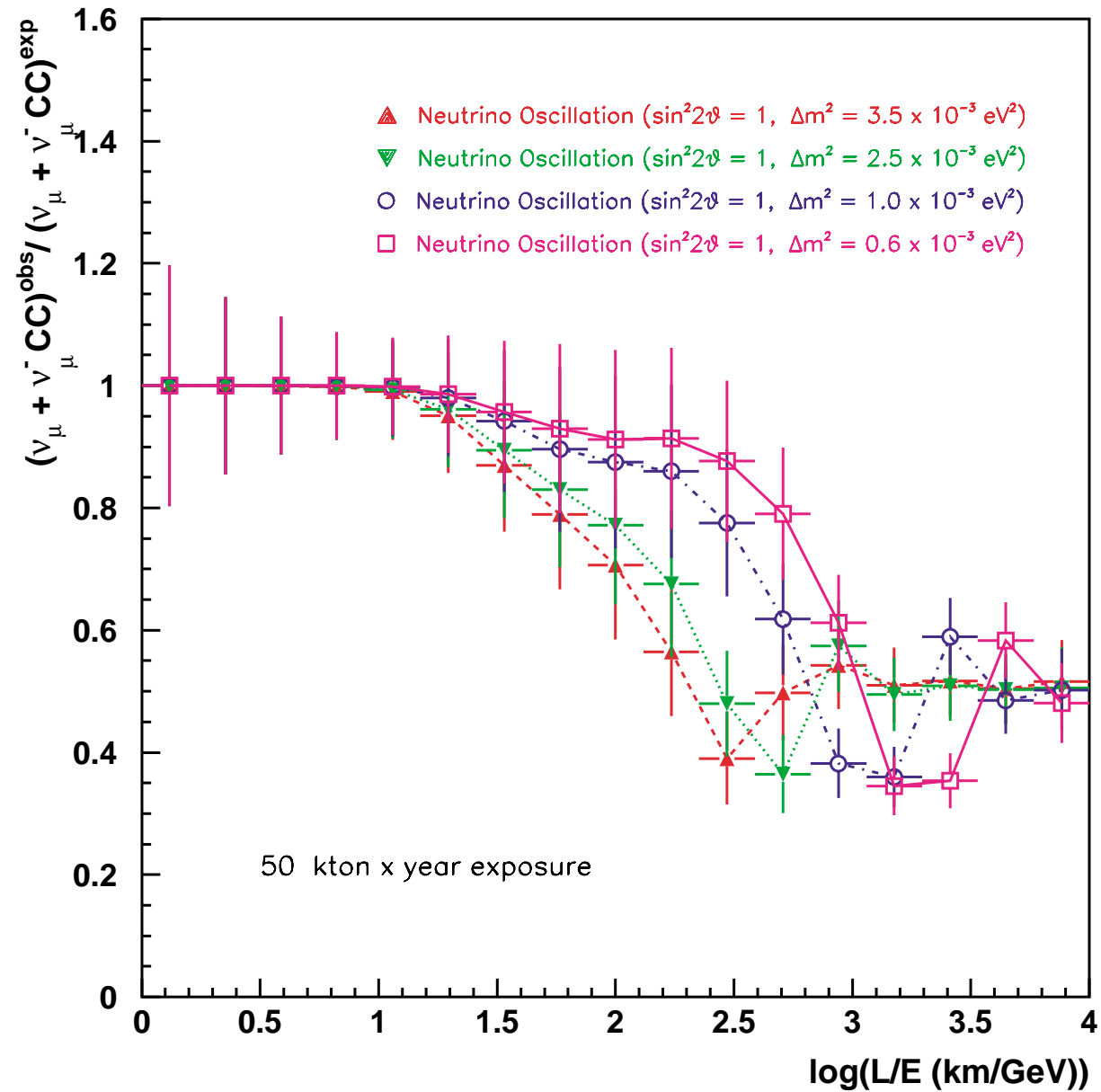


...after four years!

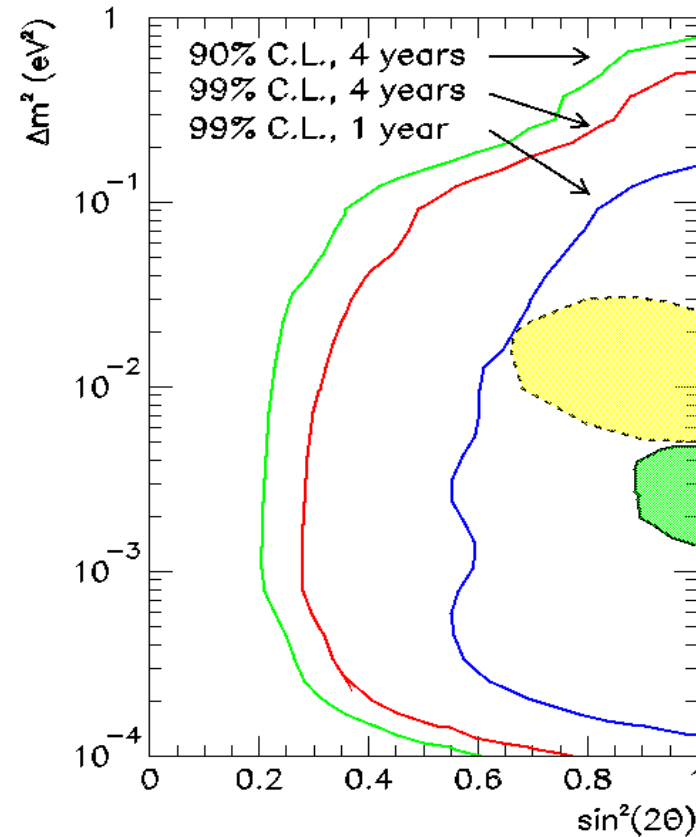
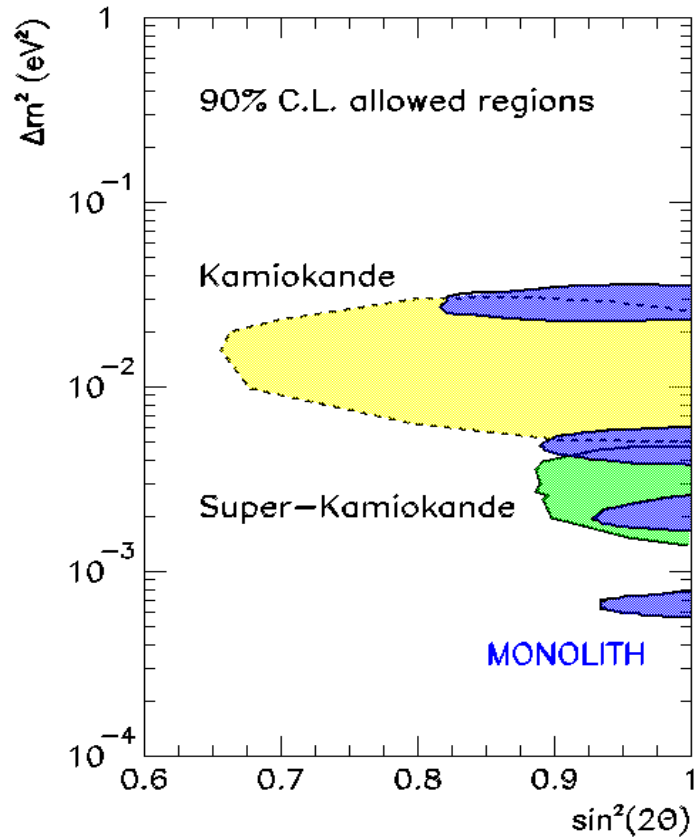
$$\Delta m^2 = 0.0035 \text{ eV}^2 \quad \sin^2(2\theta)_{-2}$$



...after ten years!



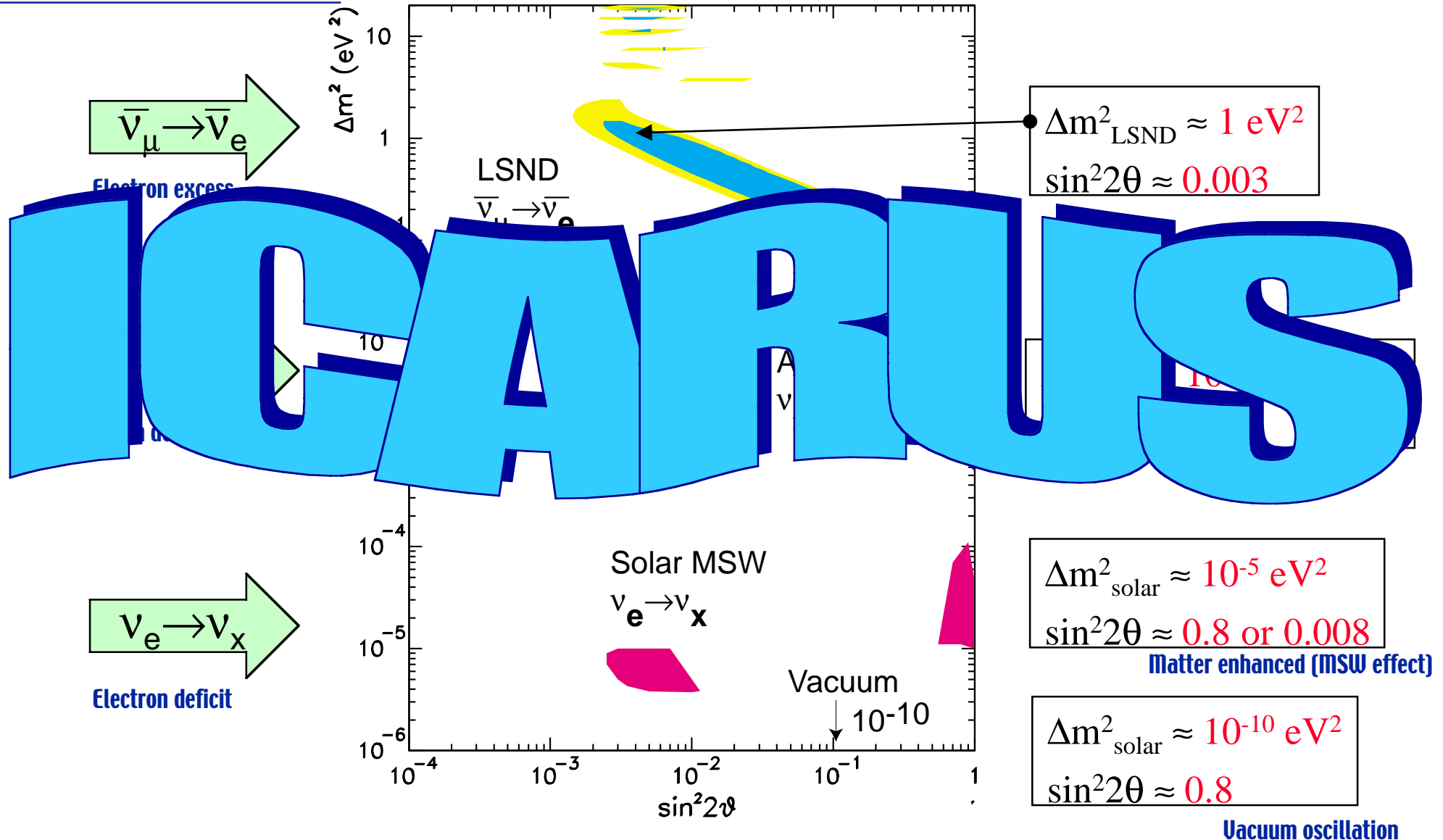
MONOLITH sensitivity – 4 years



- ★ Comparison of MONOLITH sensitivity to oscillations with Kamiokande and SuperKamiokande
- ★ 90% C.L. allowed regions after 4 years for different Δm^2 (left)
- ★ Exclusion regions if no effect is found (right)

Oscillation map - "allowed regions"

Two-neutrino oscillation



ICARUS physics potential (I)

👉 Atmospheric neutrinos

*Improvements over existing detection technique

- Detection down to production thresholds
- Complete event final state reconstruction
- Identification all neutrino flavors
- Identification of neutral currents

*Excellent resolution on L/E reconstruction

*Direct τ appearance search

$$\Delta m^2_{32}, \theta_{23}$$

$$\Delta m^2_{12}$$

👉 Neutrinos from CERN

*Search for $\nu_\mu \rightarrow \nu_\tau$

*Search for $\nu_\mu \rightarrow \nu_e$

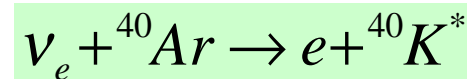
$$\Delta m^2_{32}, \theta_{23}, \theta_{13}$$

👉 Solar neutrinos

*Energy threshold: 5 MeV

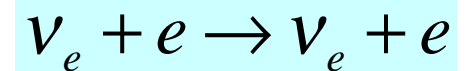
*Large statistics, high precision measurements

*Experimental signal



Absorption

$$\Delta m^2_{12}, \theta_{12}$$



Elastic

ICARUS physics potential (II)

👍 Proton decay

* Large variety of decay modes accessible

⇒ study branching ratios free of systematics

* Background free searches

⇒ linear gain in sensitivity with exposure

Physics at Unification scales?

$$m_\nu \stackrel{?}{=} \frac{m}{M_{heavy}}$$

👍 Neutrinos “factory”

* Precise measurement of Δm^2_{23} , Θ_{23} , Θ_{13}

* Matter effects, sign of Δm^2_{23}

* First observation of $\nu_e \rightarrow \nu_\tau$

* CP violation

$$\Delta m^2_{32}, \theta_{23}, \theta_{13}$$

$$\Delta m^2_{32} > 0 \text{ or } \Delta m^2_{32} < 0 ?$$

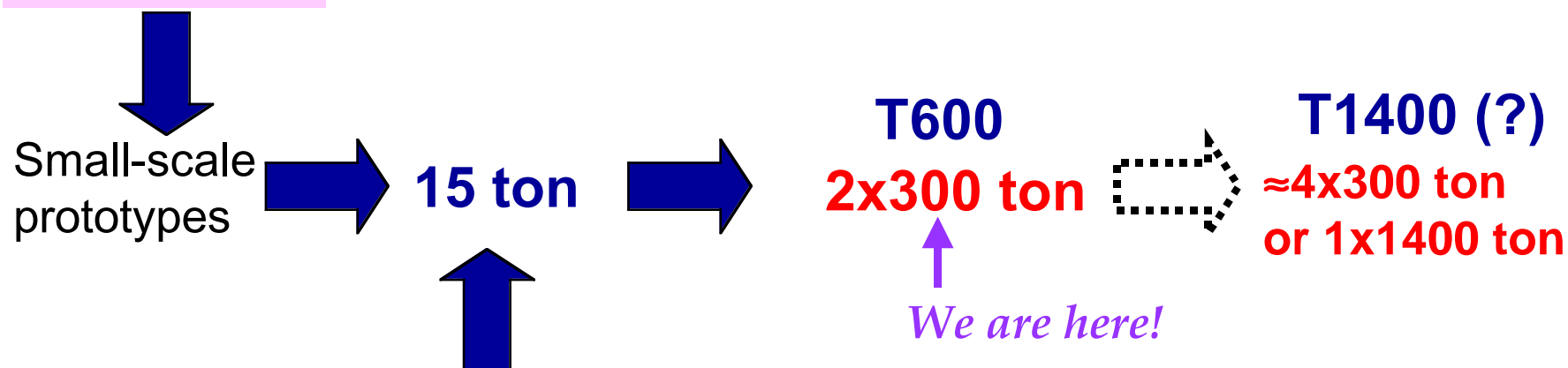
Unitarity of mixing matrix

$$\delta \neq 0 ?$$

ICARUS: a graded strategy

- ✓ After several years of R&D and prototyping, the ICARUS collaboration is now realizing the first **600 ton module**, which will be installed at Gran Sasso in the year 2001.

Lab activities:



Cooperation with specialized industries:

- Air Liquide for Cryostat and Argon purification
- BREME Tecnica for internal detector mechanics
- CAEN for readout electronics

ICARUS 15 ton (10m³) prototype (1999-2000)

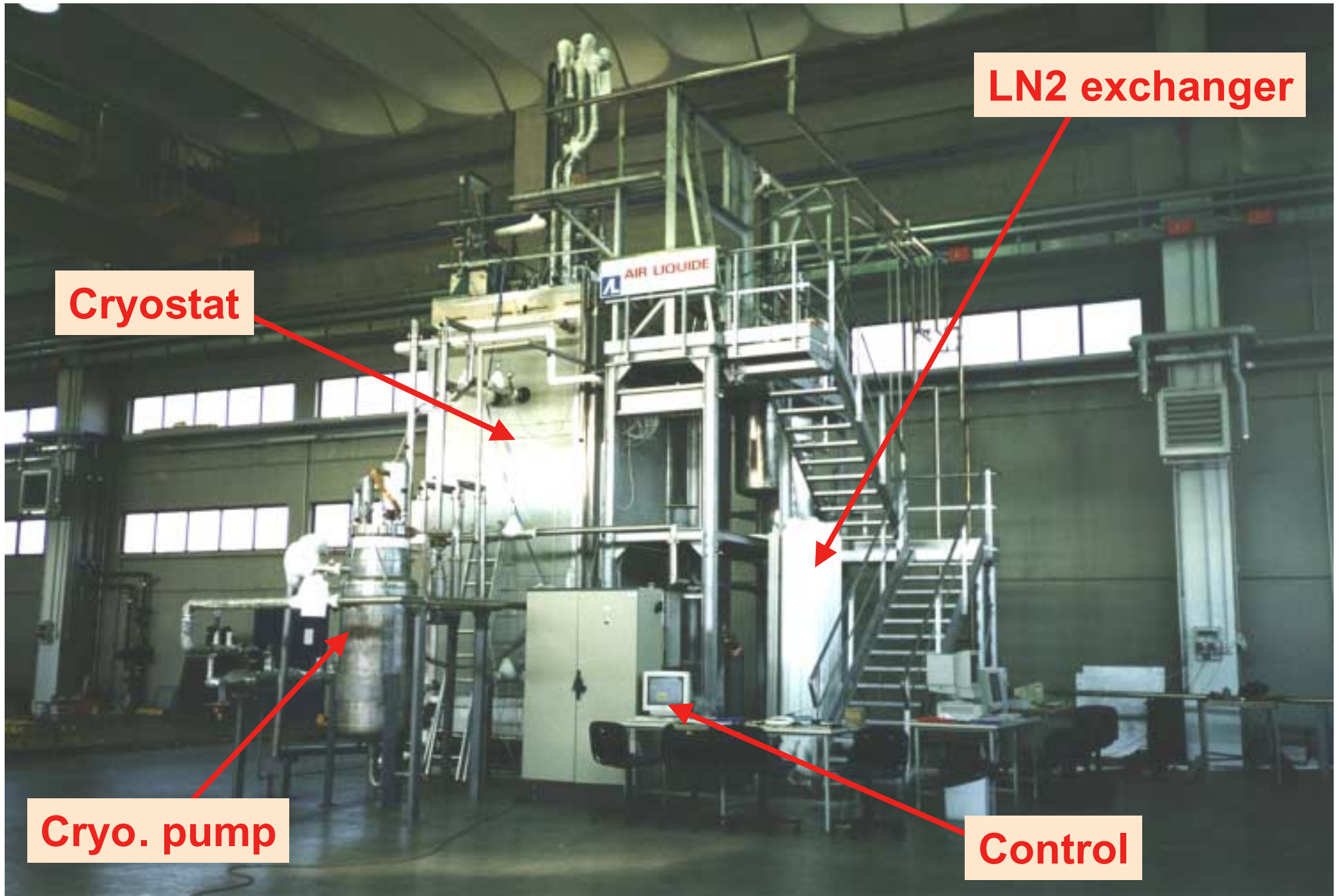
★ A major step of the R&D program has been the construction and operation of a **10m³ prototype**

- ① **Test of the cryostat technology**
- ② **Test of the “variable-geometry” wire chamber**
- ③ **Test of the liquid phase purification system**
- ④ **Test of trigger via scintillation light**
- ⑤ **Large scale test of final readout electronics**

→ *First operation of a 15 ton LAr mass as an actual “detector”*

T15 installation @ LNGS (Hall di Montaggio)





Cryostat

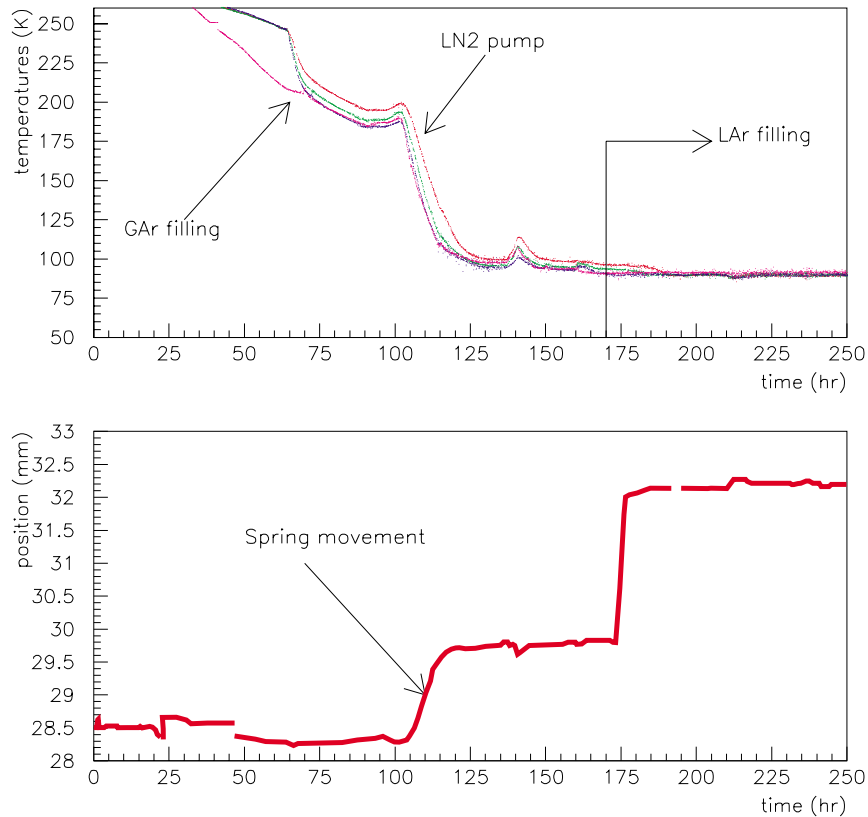
LN2 exchanger

Cryo. pump

Control

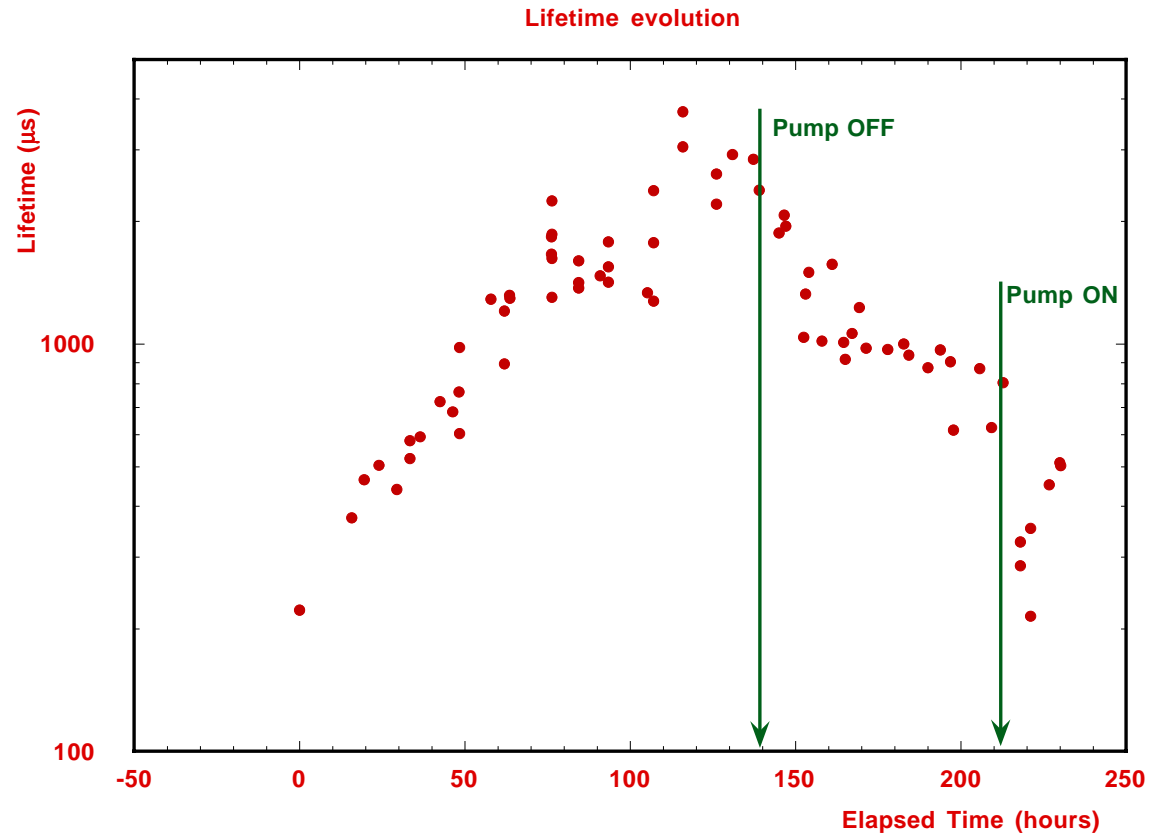
Cooling 15 ton prototype March '99

Temperature / Wire stretching



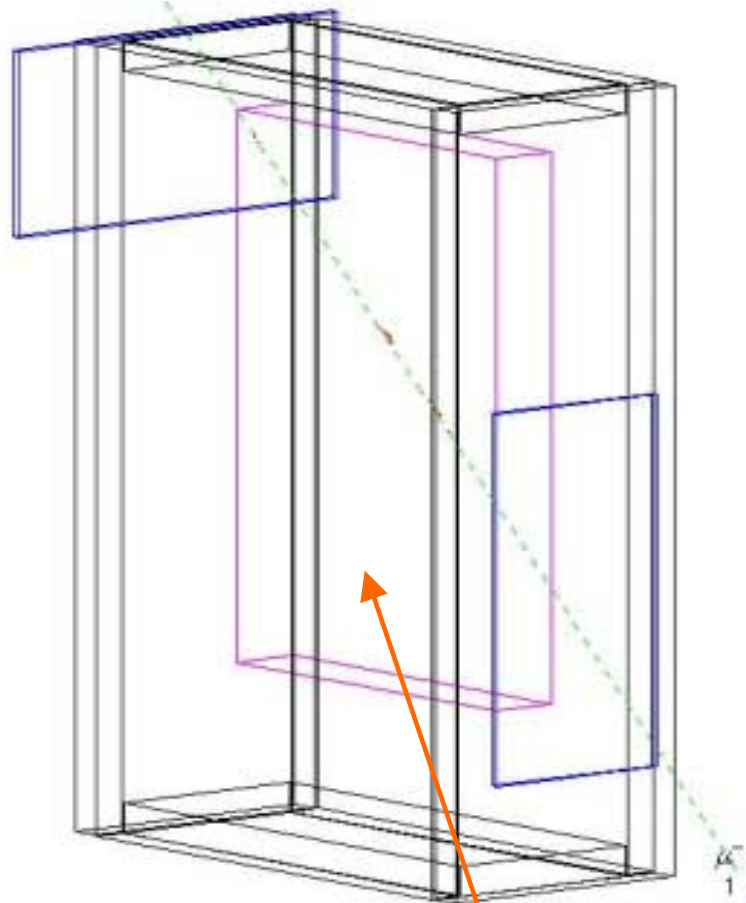
✘ Confirmation of the functionality of the *variable geometry* mechanics

LAr purity



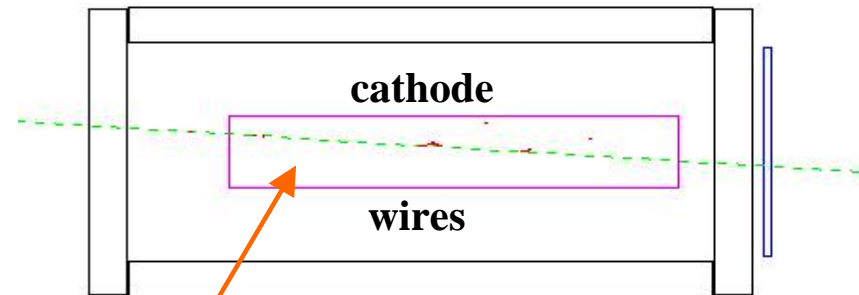
✘ The electrons lifetime, after about 4 days of recirculation, was between 2 ms to 3 ms.

Internal Volumes Layout

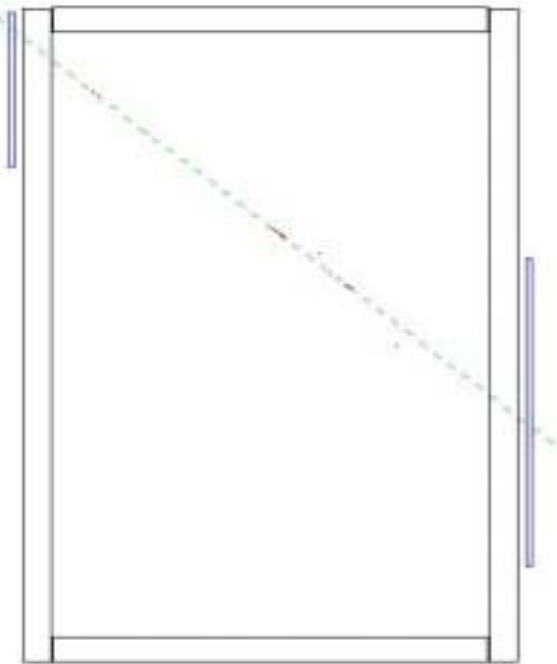


Imaging region (35 cm drift)

Top View



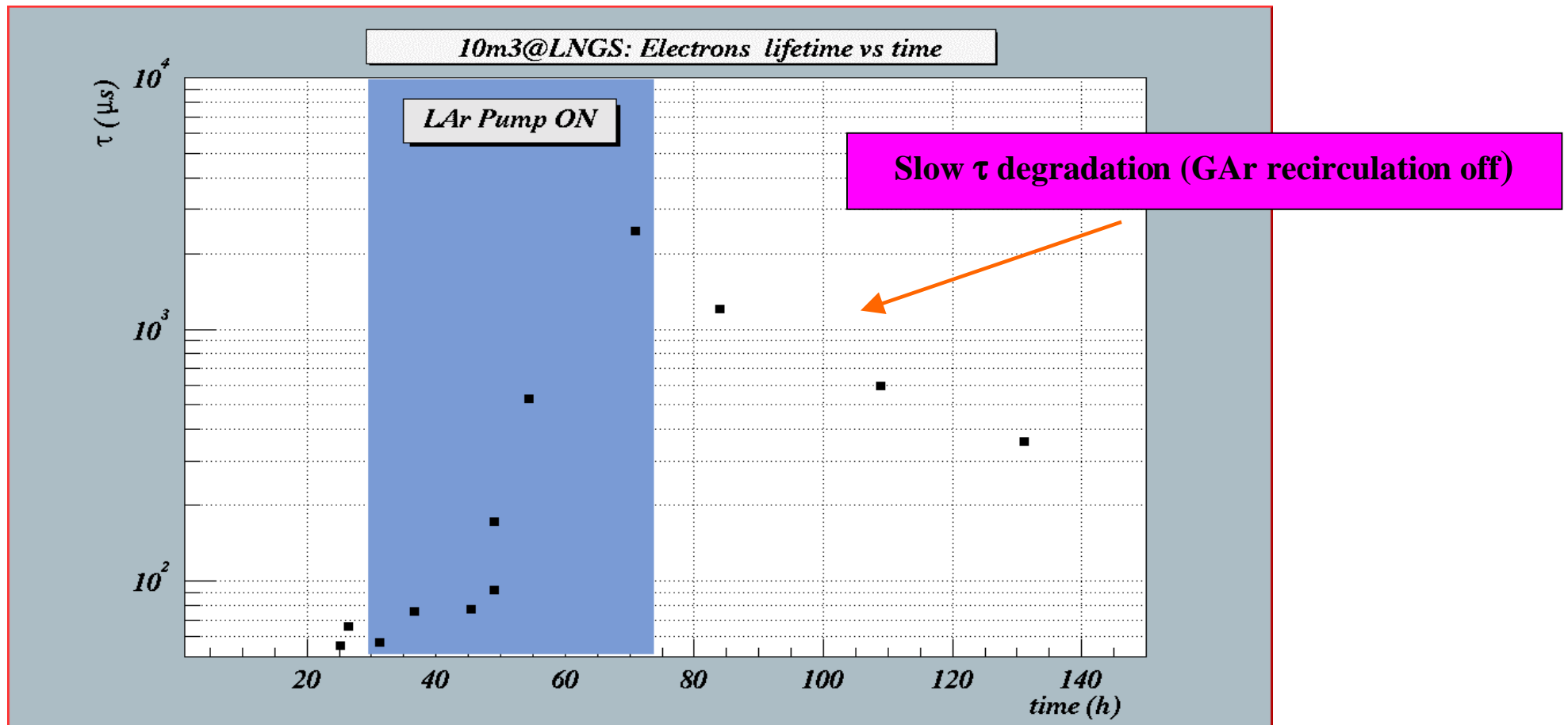
Lateral View



External trigger

Electron Lifetime Measurements

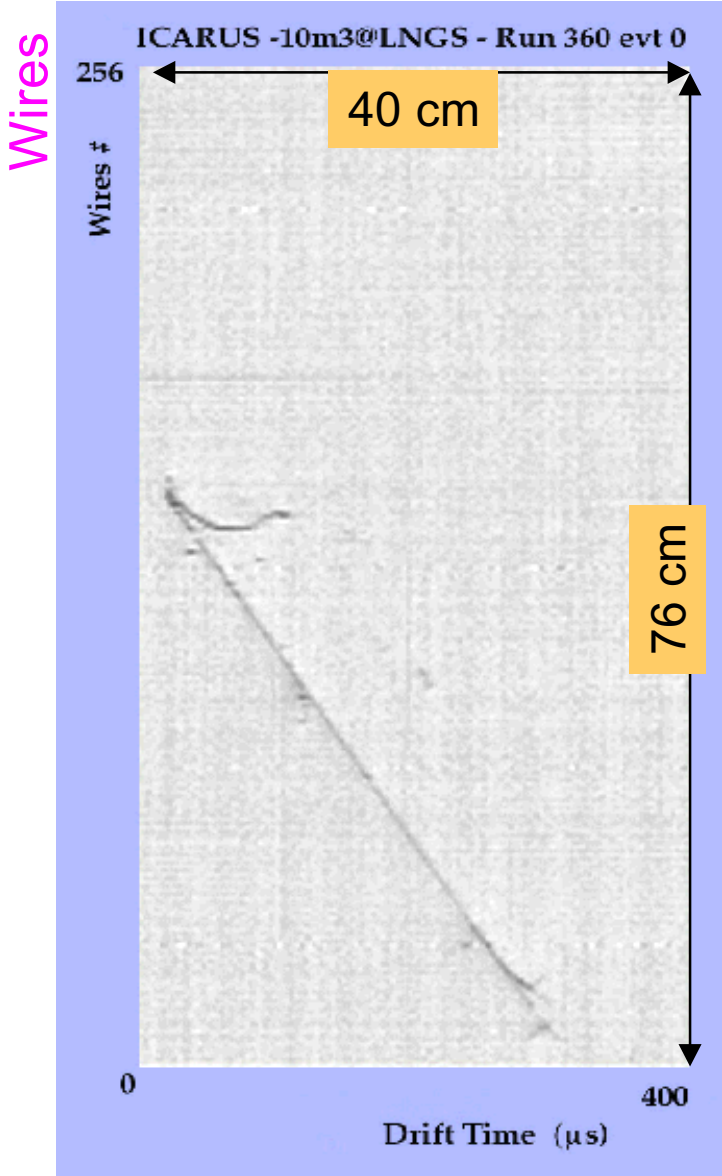
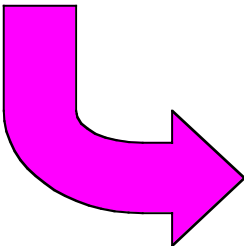
- Just after LAr filling: $\tau \sim 100\mu\text{s}$, according to expectations based on residual leak rates (10^{-5} mbar/s).
- In a few days of LAr pump operation: $\tau > 2$ ms



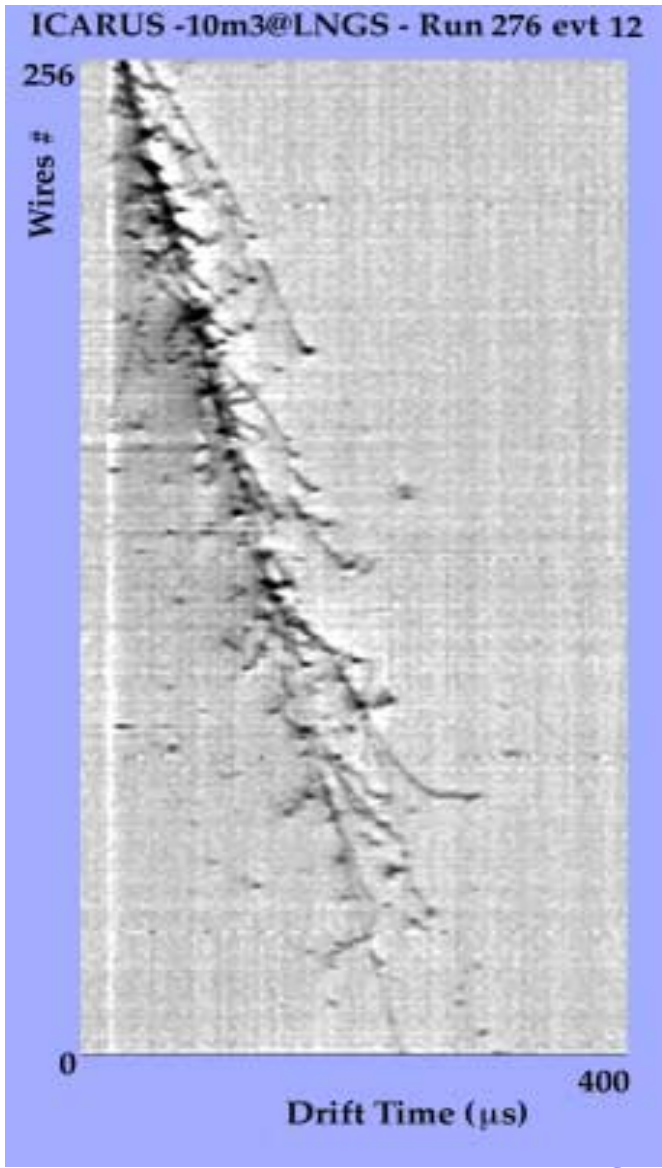
Tracks in 15 ton prototype

10m³ Module
at LNGS

Cosmic Ray tracks
recorded during the
10 m³ operation



Drift



Drift

The ICARUS T600 module

Under construction

Number of independent containers = 2

Single container Internal Dimensions: Length = 19.6 m , Width = 3.9 m , Height = 4.2 m

Total (cold) Internal Volume = 534 m³

Sensitive LAr mass = 476 ton

Number of wires chambers = 4

Readout planes / chamber = 3 at 0° , ± 60° from horizontal

Maximum drift = 1.5 m

Operating field = 500 V / cm

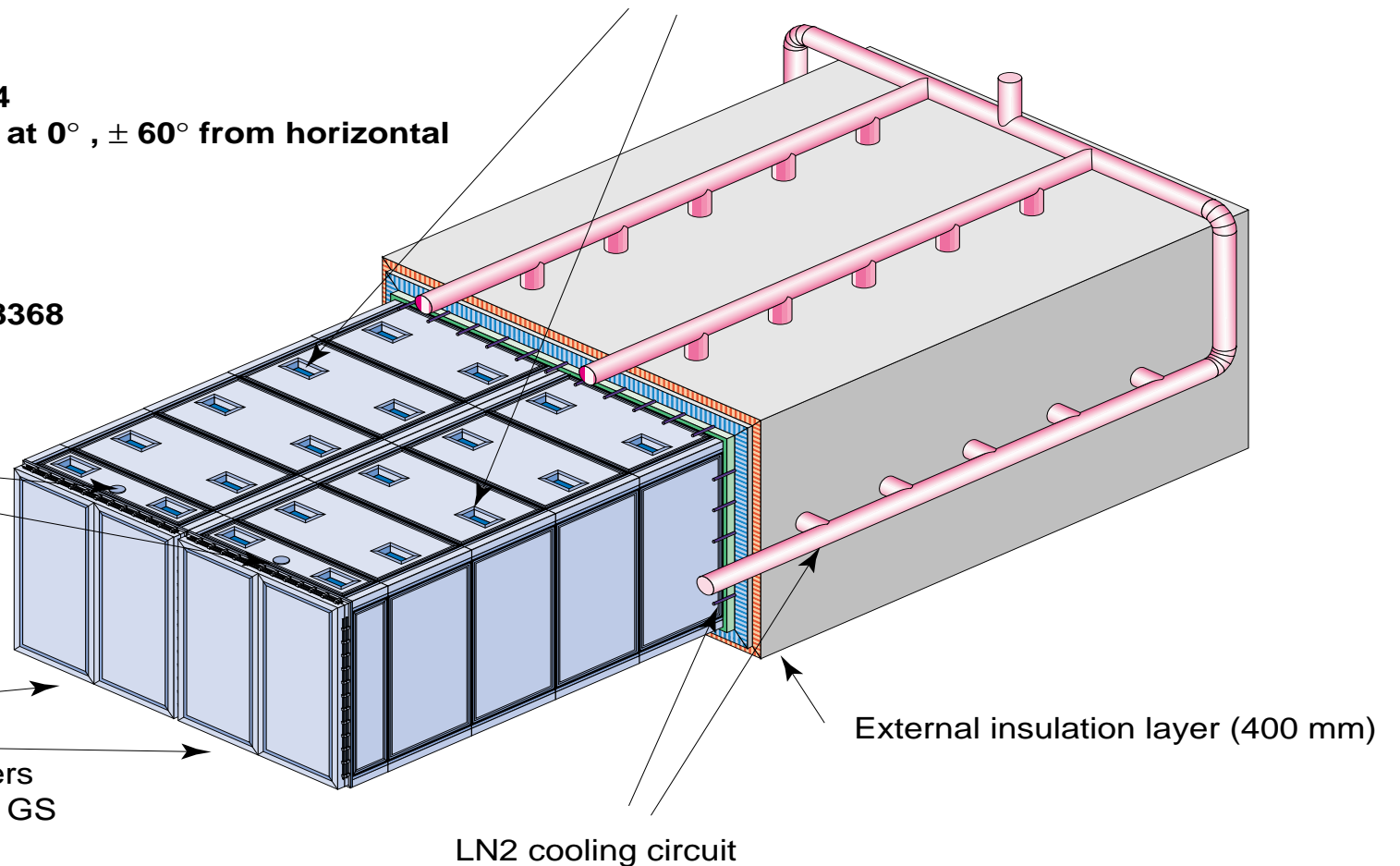
Maximum drift time ≈ 1 ms

Wires pitch = 3 mm

Total number of channels = 58368

HV feedthroughs

Signal feedthroughs



2 independent aluminum containers
each one transportable inside the GS
Laboratory

LN2 cooling circuit

External insulation layer (400 mm)

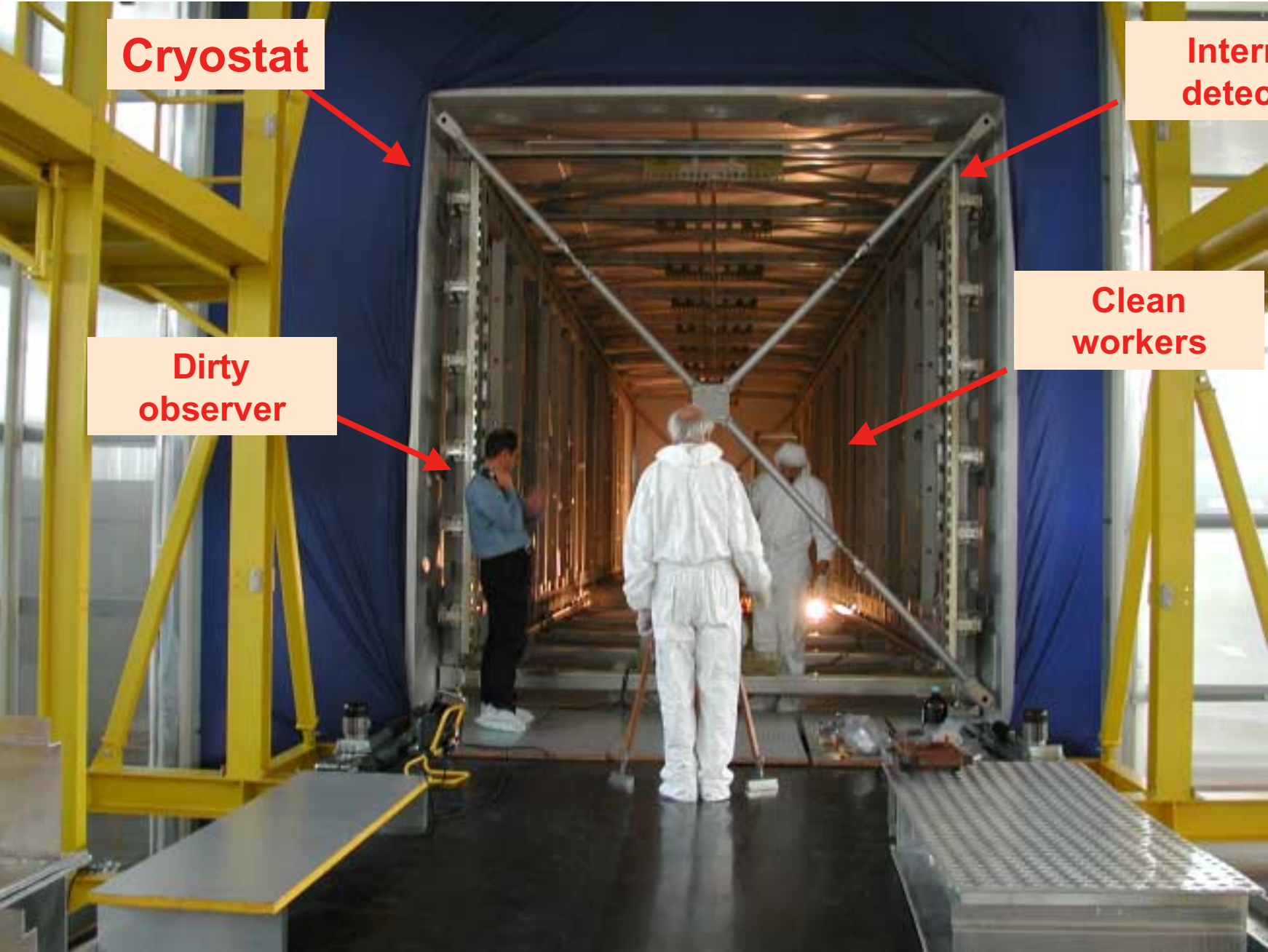
T600 assembly schedule

- ★ Completed **site preparation** in Pavia for the T600 cryostat (**Nov 1999**)
 - “clean room”, “assembly island”, floor, ...
- ★ Delivery of the **1st cryostat** by AirLiquide (**Feb 2000**)
 - Successful vacuum tightness and mechanical stress tests
- ★ Beginning of **assembly of the internal detector mechanics** (**Mar 2000**)
- ★ Completion of assembly and positioning of inner detector frames (**Jul 2000**)
- ★ Installation of **30000 wires + signal cables** (**Jul 2000-Oct 2000**)
- ★ Delivery of the **2nd cryostat** of AirLiquide (**Aug 2000**)
 - Successful vacuum tightness and mechanical stress tests
- ★ Installation of **scintillation light** and all **slow control devices** (**Jul 2000-Dec 2000**)
- ★ **H.V. and field electrodes system** installation (**Oct 2000- Jan 2001**)
- ★ Installation of the **48 electronic racks** on top of dewar (**Dec 2000-ongoing**)
- ★ Installation of **external heat insulation** (for both dewars) and **LAr and LN₂ cryogenic circuits** (**Dec 2000-Jan 2001**)
- ★ Semi-module now ready to be sealed.

First half-module delivery in Pavia (Feb 29, 2000)



Assembly of the T600 internal detector (Mar-Jul 2000)

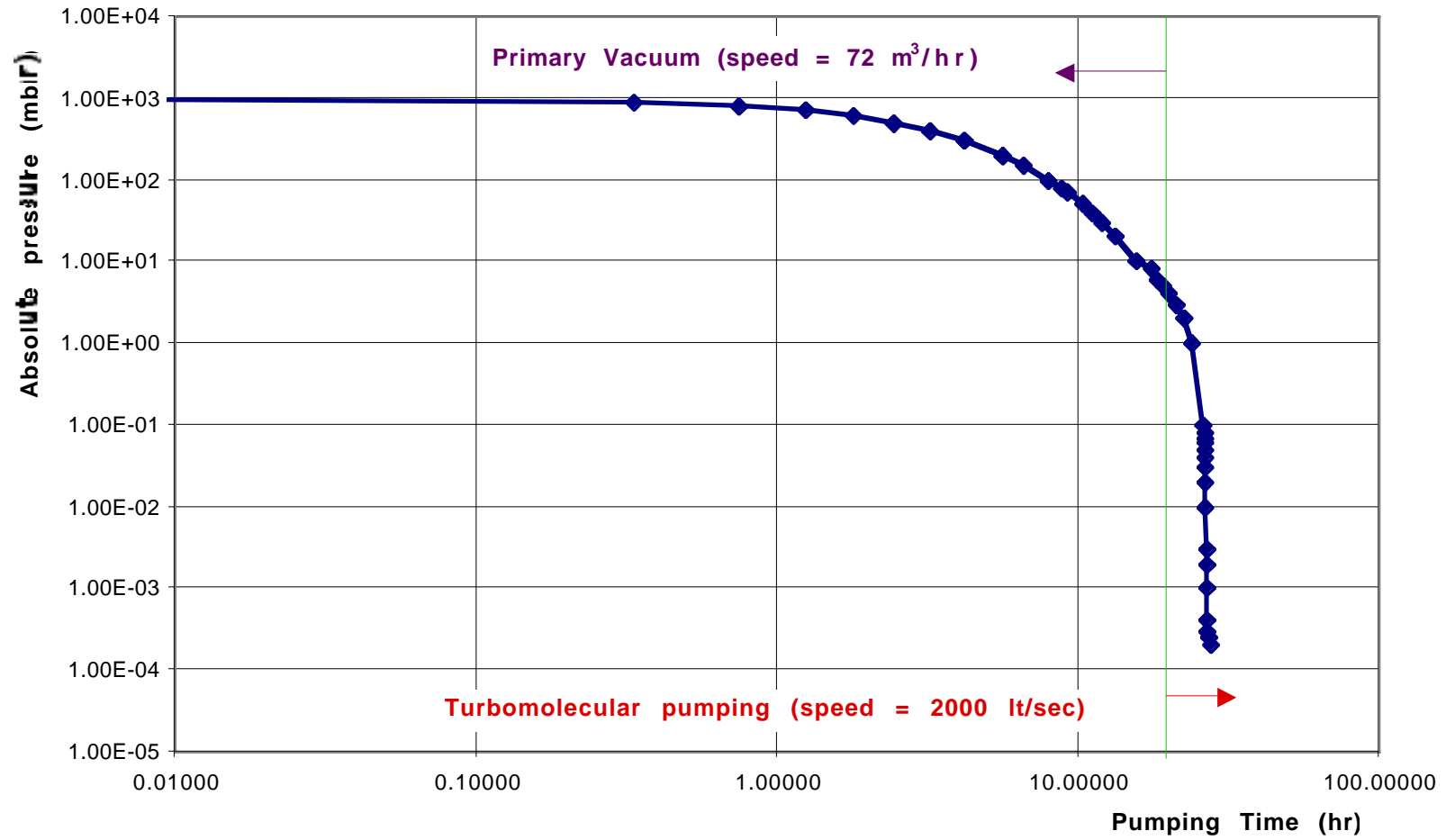


Second half-module during the vacuum test (Jul 2000)



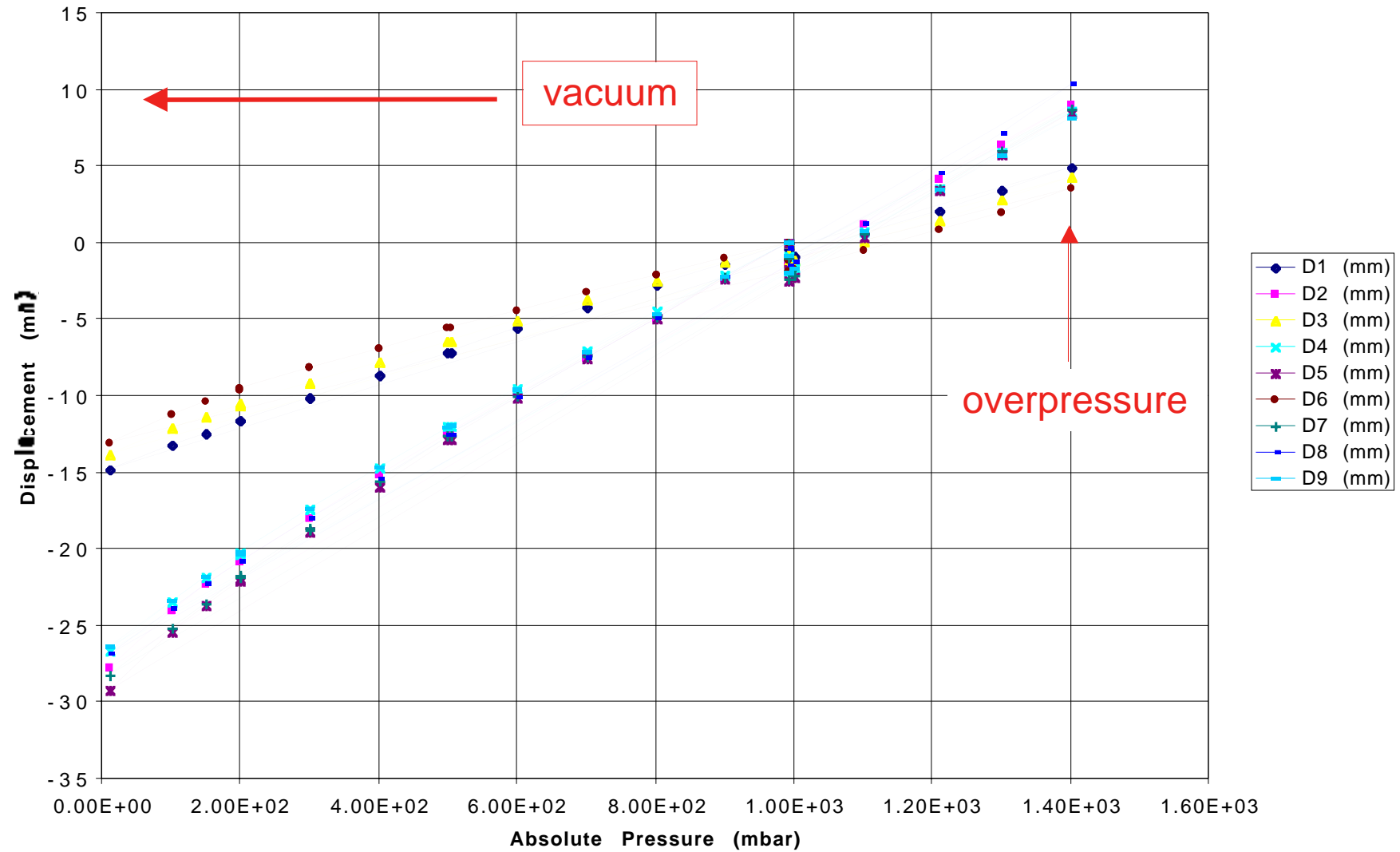
Vacuum Tests - Second half-module

Vacuum curve

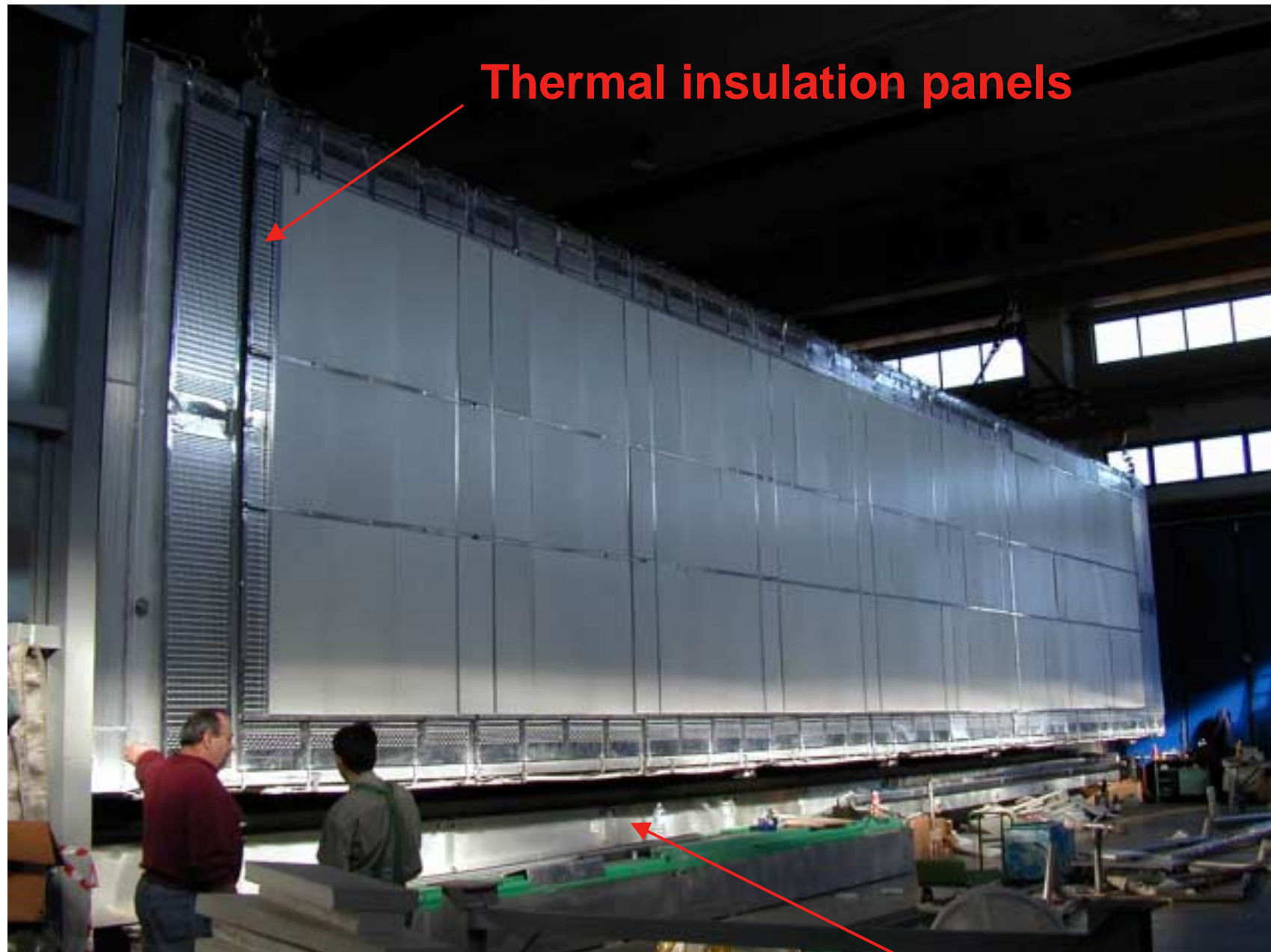


Dewar behaviour under inner pressure change

Walls Displacement:

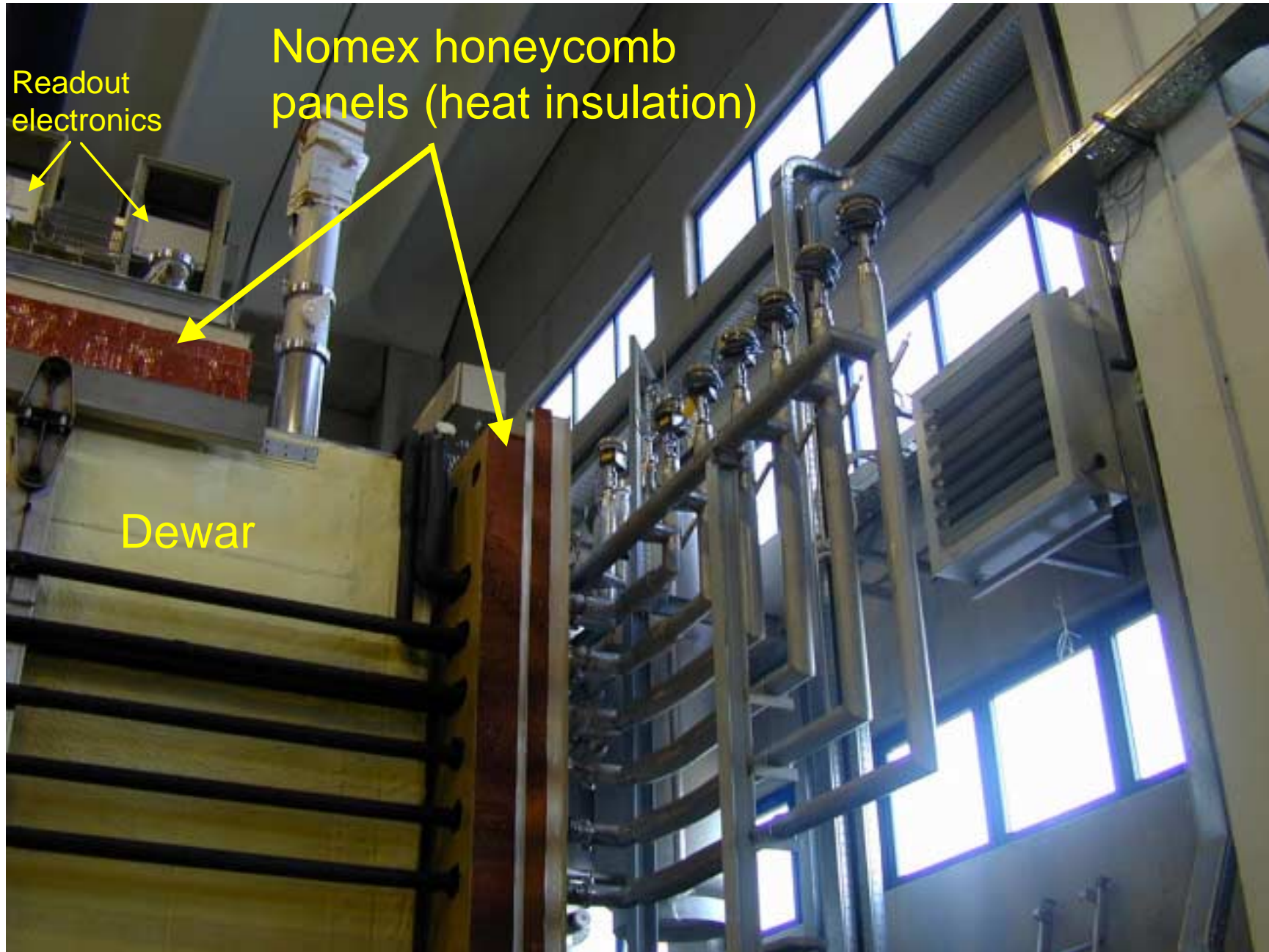


Second half-module (delivered Aug 2000)



Thermal insulation panels

Thermal floor



Installation slow control devices (Jul 2000)

Wire stretching
sensor



**Wire installation in T600 internal
detector (Jul-Oct 2000)**



The three wire planes at $0^\circ, \pm 60^\circ$ (wire pitch = 3mm)

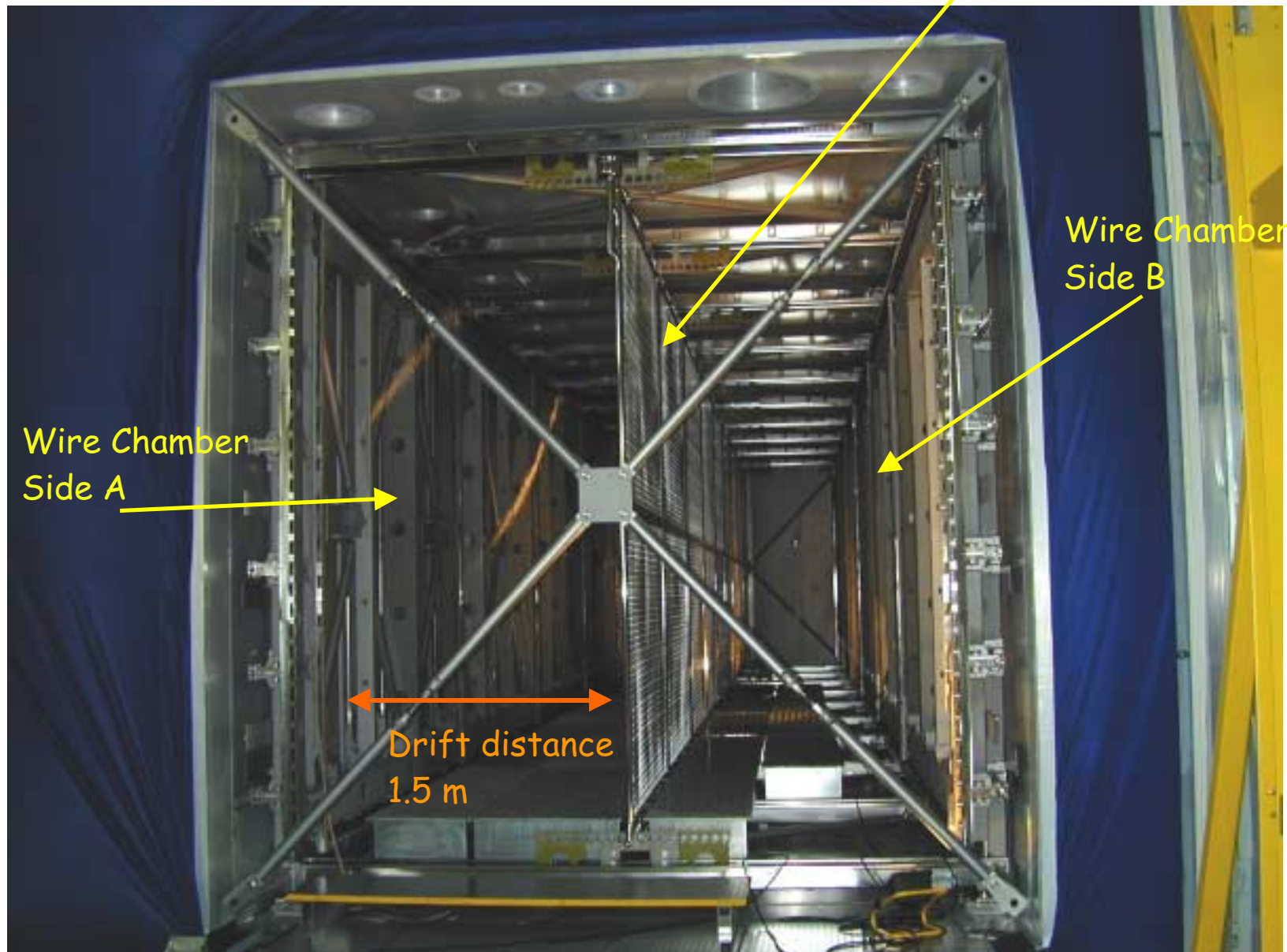


and one PMT

Wires crossing the spacers (wire pitch = 3mm)



T600 - Completed Internal Detector view

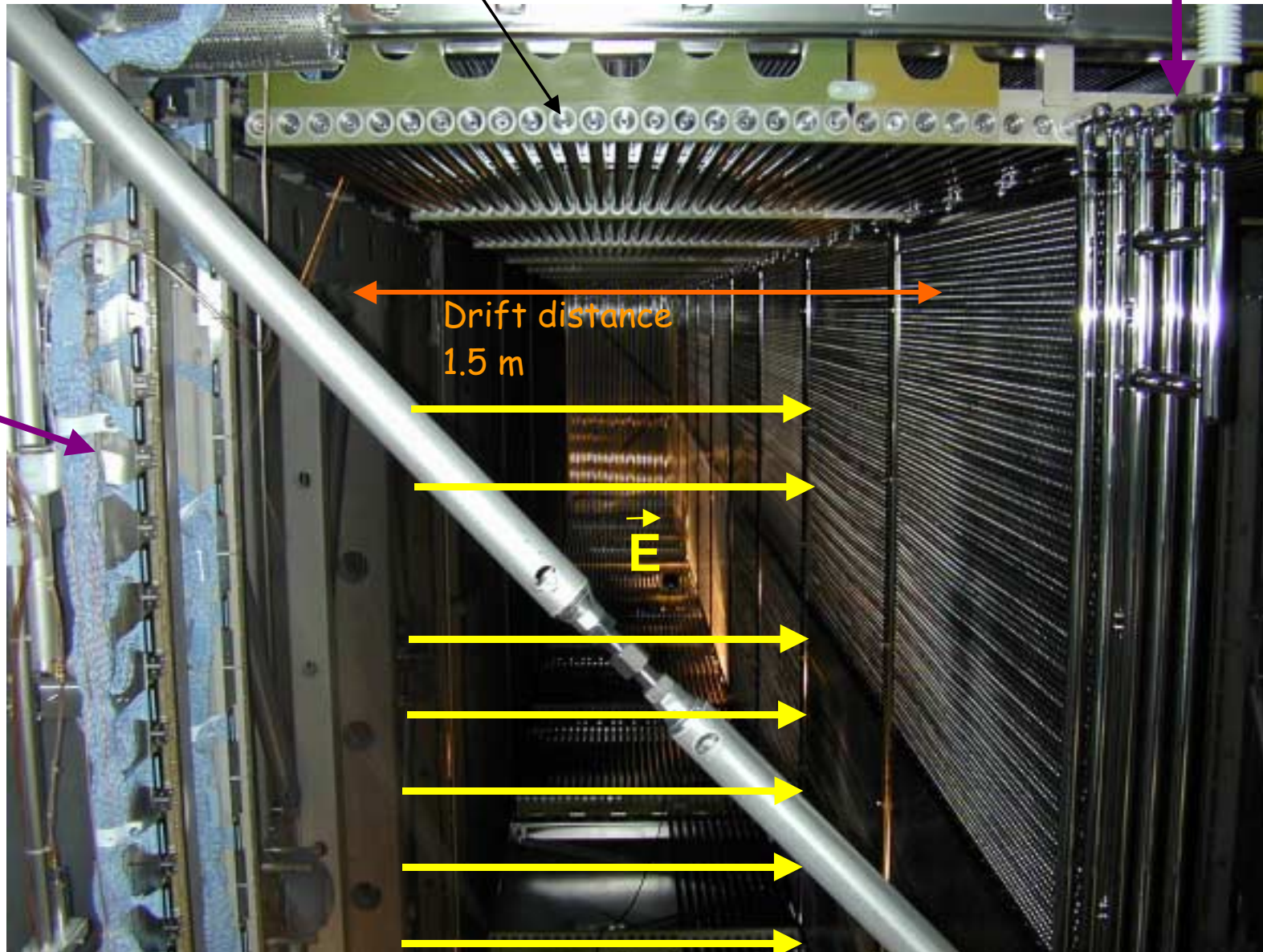


Drift H.V. and field electrodes system

Race-track

-75kV

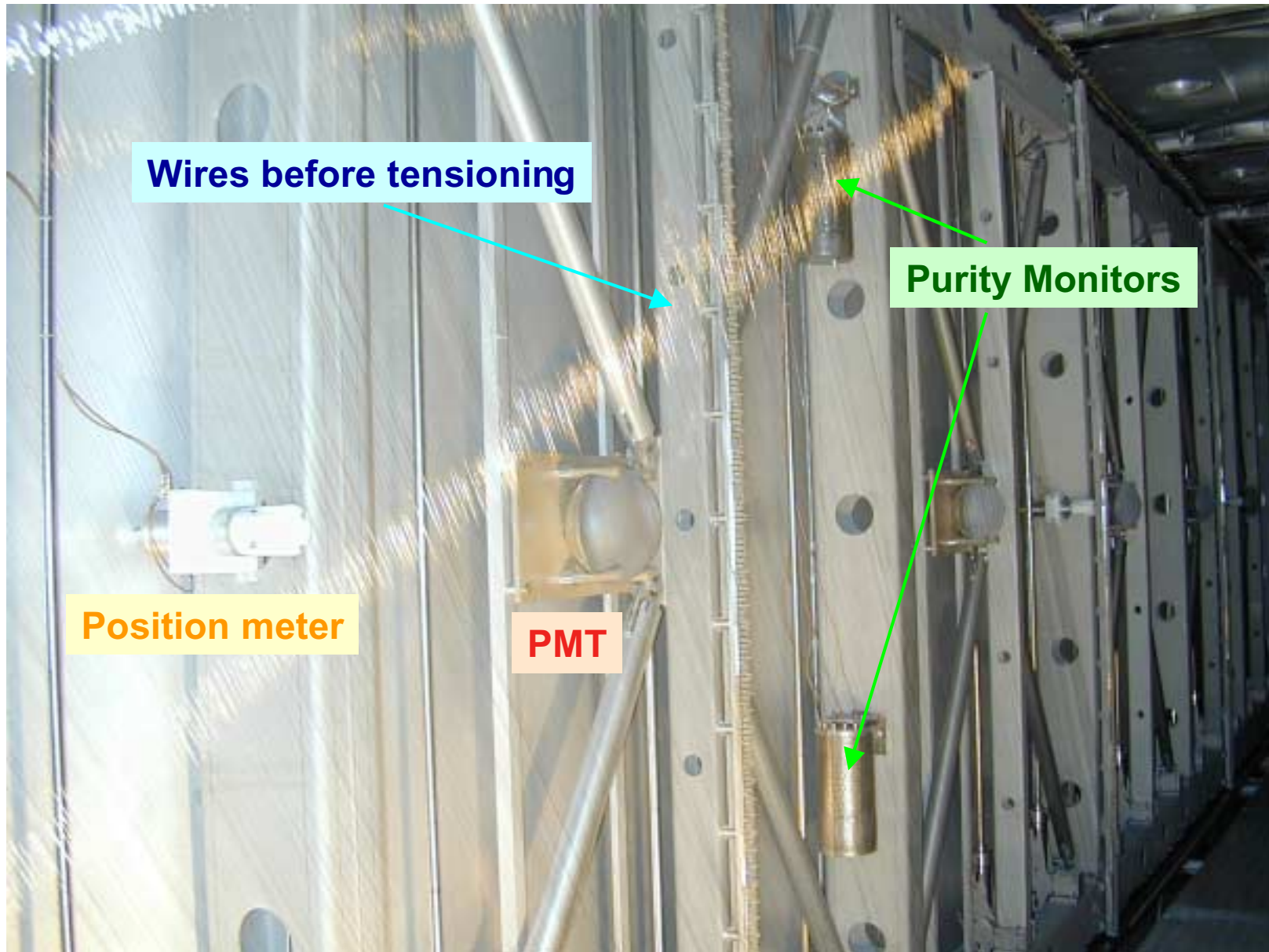
Horizontal wires readout cables



Slow control system and scintillation light detection

- ★ Several **instrumentation**, most of it custom designed to work at LAr temperature in high purity environment, has been built tested and installed:
 - LAr Purity monitors
 - High precision LAr level meters
 - Position meters for the wires tensioning springs and for the container walls
 - Temperature probes
- ★ **Detection of LAr scintillation light** (VUV $\lambda=128$ nm, attenuation length in LAr ≈ 90 cm, $1\div 2 \times 10^4$ γ per MeV deposited)
 - Provide **help for triggering** and **T_0 measurement**.
 - Bare PMT's immersed in LAr with wavelength shifter deposited on the glass window
 - Test and qualification of PMT at LAr temperature: 8 inches EMI PMTs with special treated bialkali photocathode to work at cryogenic temperature.
 - Choice of most efficient **wavelength shifter** (**TPB** = TetraPhenylButadiene), deposition method (spray), aging properties, pollution of LAr, etc.

Slow control sensor (behind wire planes)

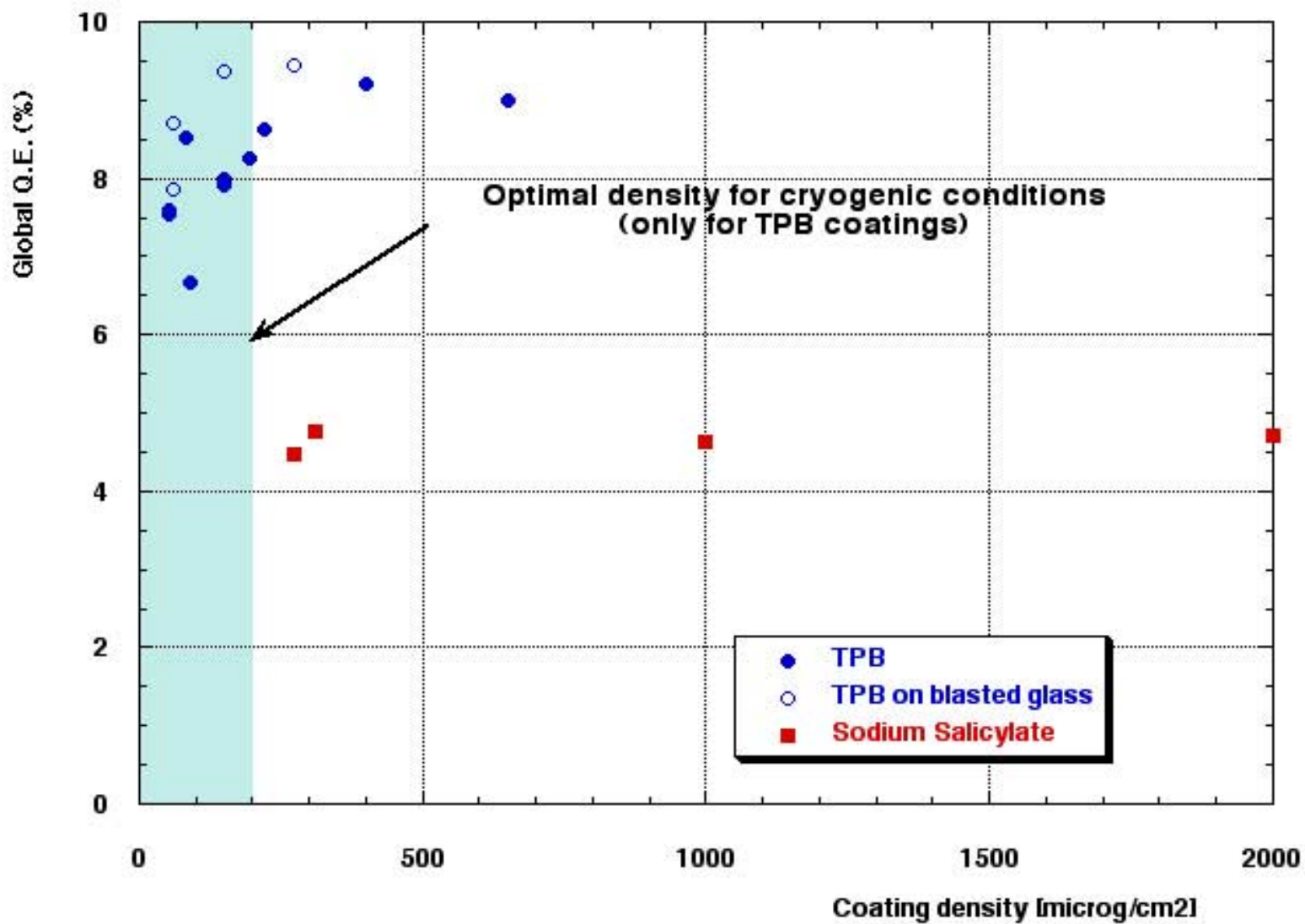


**Scintillation light collection
(in total 20 PMTs on detector walls)**



8" PMT coated with TPB

Global Quantum Efficiency (PMT + wavelenght-shifter coating)



Readout electronic installation on top of dewar (Dec 2000-now)

Electronic rack

chimneys



Readout electronic installation on top of dewar (Dec 2000-now)



Man-hole (after sealing, the only way to get inside!)



Perspectives of ICARUS

★ The ICARUS T600 detector

→ has a **physics program** of its own, immediately relevant for neutrino oscillation physics: **solar+SN neutrinos, atmospheric neutrinos**

→ Though with limited statistics, due its relatively small mass, compared to the standard for underground detectors set by the operating SuperKamiokande.

★ However, the T600 should also be considered as one more step towards larger detector masses.

→ solving technical issues associated with actual operation of a large mass LAr device in an underground site (LNGS Tunnel).

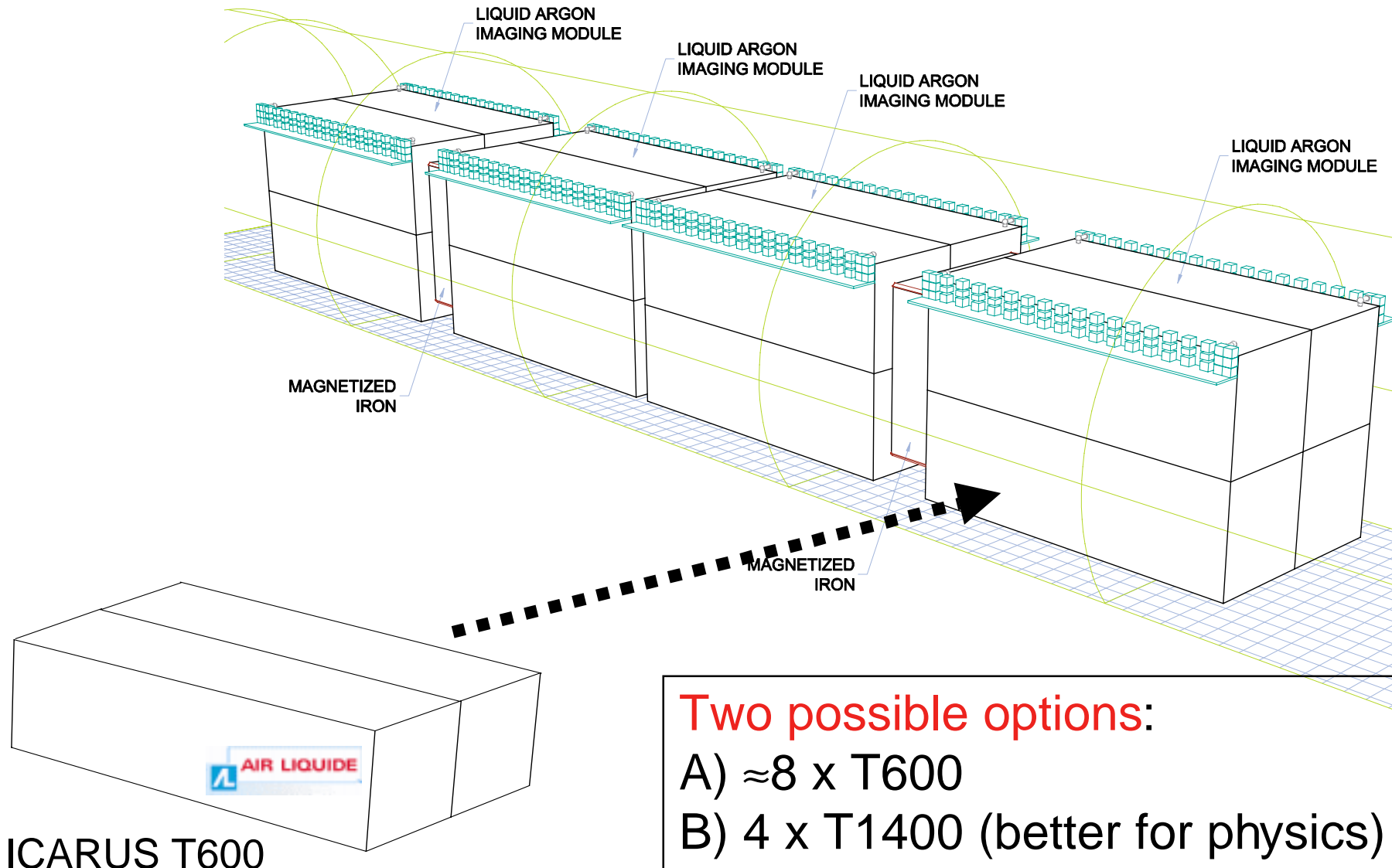
→ fully establish the imaging, PID, calorimetric energy reconstruction capabilities of REAL events, during steady detector operations

→ In situ proof of actual physics performance of this novel detector technique, in particular measurement of backgrounds, extrapolable to larger mass detectors

★ Physics issues for both present and future LAr detectors:

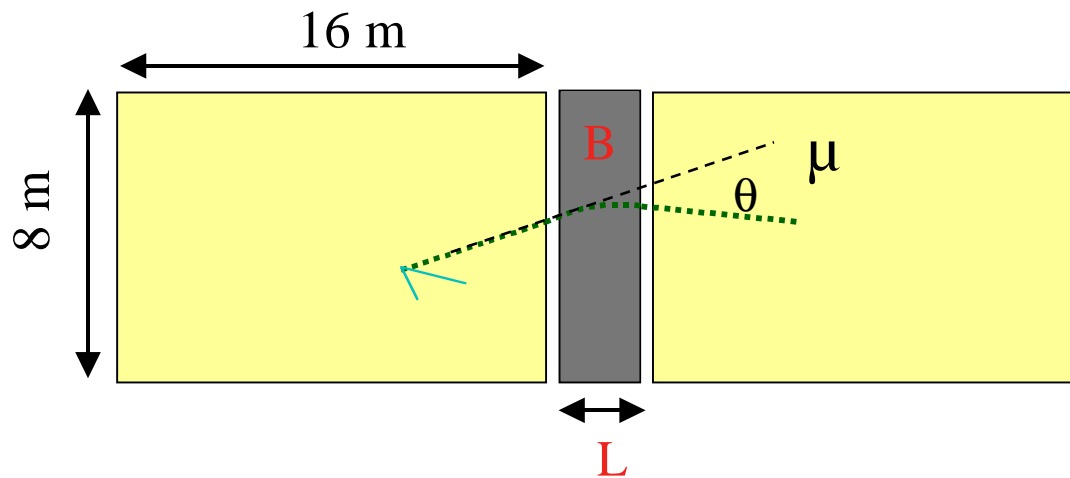
Atmospheric ν
Solar+SN ν
CNGS+Nufactory ν
p decay

Proposed setup ICARUS 5kt in LNGS Hall B



Muon bending measurement

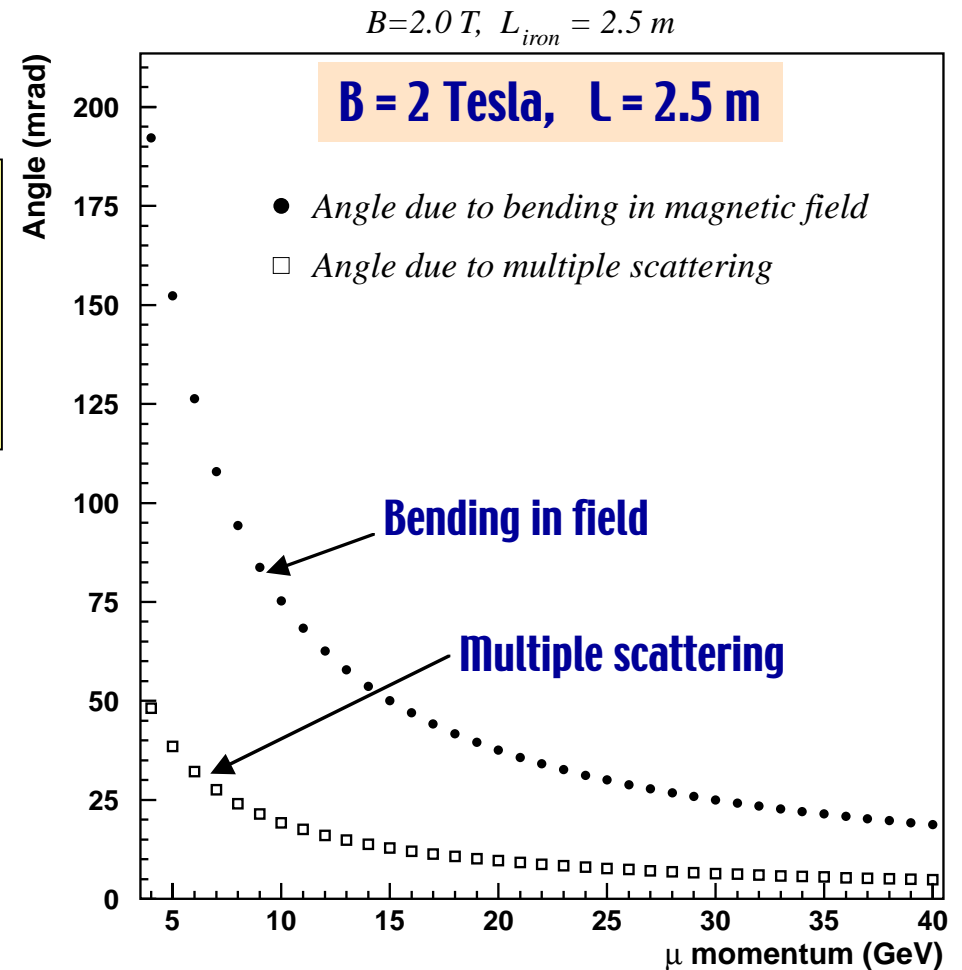
- ★ We consider a design in which the muon escaping the liquid Argon is bent by a magnetized piece of iron



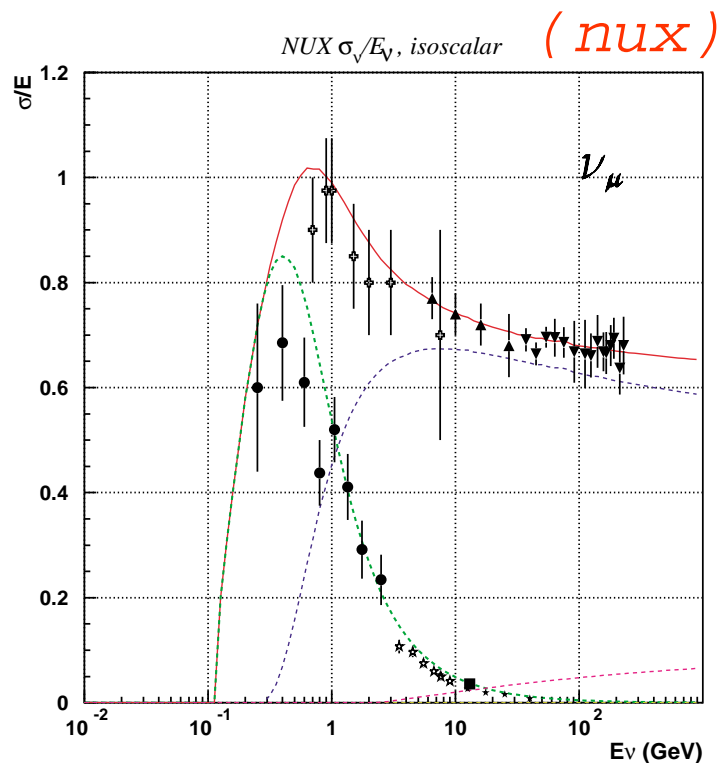
The bending angle θ is measured with the tracks observed in two subsequent liquid argon module

$$\Delta p/p \approx 25\%$$

Charge confusion: $\sim 10^{-4}$



Atmospheric neutrino rates (5 kt x year)



—— **Total**
- - - - **QE**
. **DIS**
- · - · - **Charm**

Nuclear effects

fully embedded in *FLUKA*
nuclear model

	No osci	Δm_{23}^2 (eV ²)			
		5×10^{-4}	1×10^{-3}	3.5×10^{-3}	5×10^{-3}
Muon-like	675 ± 26	515 ± 23	495 ± 22	470 ± 22	455 ± 21
Contained	418 ± 20	319 ± 18	307 ± 18	291 ± 17	282 ± 17
Partially-Contained	257 ± 16	196 ± 14	188 ± 14	179 ± 13	173 ± 13
No proton	260 ± 16	190 ± 14	185 ± 14	170 ± 13	165 ± 13
One proton	205 ± 14	160 ± 13	150 ± 12	145 ± 12	140 ± 12
Multi-prong	210 ± 14	165 ± 13	160 ± 13	155 ± 12	150 ± 12
<i>P_{lepton}</i> < 400 MeV	285 ± 17	205 ± 14	200 ± 14	185 ± 14	175 ± 13
<i>P_{lepton}</i> ≥ 400 MeV	390 ± 20	310 ± 18	295 ± 17	285 ± 17	280 ± 17
Electron-like	380 ± 19	380 ± 19	380 ± 19	380 ± 19	380 ± 19
No proton	160 ± 13	160 ± 13	160 ± 13	160 ± 13	160 ± 13
One proton	120 ± 11	120 ± 11	120 ± 11	120 ± 11	120 ± 11
Multi-prong	100 ± 10	100 ± 10	100 ± 10	100 ± 10	100 ± 10
<i>P_{lepton}</i> < 400 MeV	185 ± 14	185 ± 14	185 ± 14	185 ± 14	185 ± 14
<i>P_{lepton}</i> ≥ 400 MeV	195 ± 14	195 ± 14	195 ± 14	195 ± 14	195 ± 14
NC	480 ± 22	480 ± 22	480 ± 22	480 ± 22	480 ± 22
TOTAL	1535 ± 39				

Events/year

CNGS events in 5 kton, 4 years running

20 kton × year (4 years running)

$\theta_{23} = 45^\circ, \theta_{13} = 7^\circ$

	No osci	Δm_{23}^2 (eV ²)		
		1×10^{-3}	3.5×10^{-3}	5×10^{-3}
ν_μ CC	54300	53820	49330	44910
$\bar{\nu}_\mu$ CC	1090	1088	1070	1057
ν_e CC	437	437	437	436
$\bar{\nu}_e$ CC	29	29	29	29
ν NC			17550	
$\bar{\nu}$ NC			410	
$\nu_\mu \rightarrow \nu_e$ CC	-	7	74	143
$\nu_\mu \rightarrow \nu_\tau$ CC	-	52	620	1250
$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ CC	-	< 1	< 1	1
$\bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$ CC	-	< 1	6	13

$\nu_\mu \rightarrow \nu_\tau$ oscillations (I)

★ Analysis of the electron sample

- Exploit the small intrinsic ν_e contamination of the beam (0.8% of ν_μ CC)
- Exploit the unique e/π^0 separation

$$\nu_\mu \rightarrow \nu_\tau$$

$$\nu_\tau + N \rightarrow \tau + \text{jet}; \quad \tau \rightarrow e \nu \nu$$

Charged current (CC) Br \approx 18%

$$\Delta m^2 = 3.5 \times 10^{-3} eV^2 \Rightarrow 110 \text{ events}$$

Background:

$$\nu_e + N \rightarrow e + \text{jet}$$

Charged current (CC)

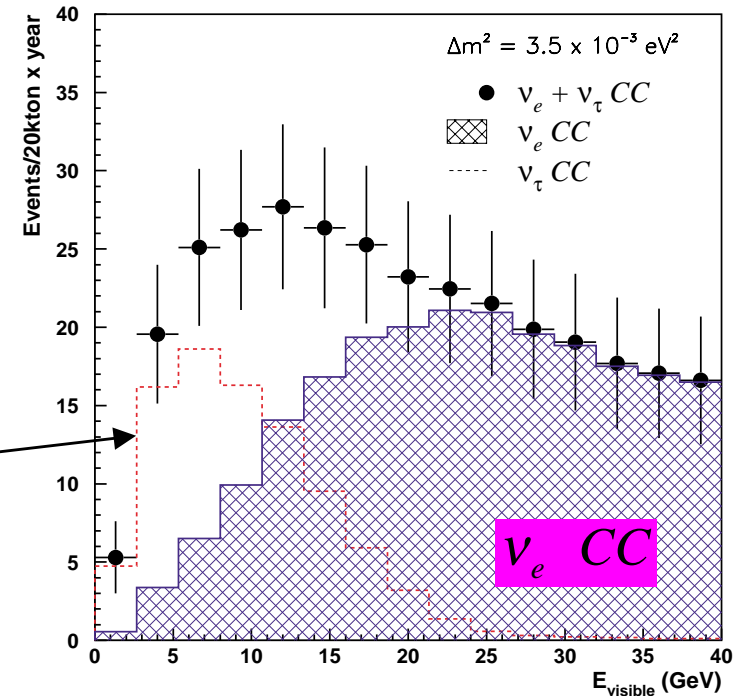
$$470 \nu_e \text{ CC}$$

Statistical excess visible before cuts \Rightarrow this is the main reason for performing this experiment at long baseline !

$\nu_\mu \rightarrow \nu_\tau$ oscillations (II)

★ Reconstructed visible energy spectrum of electron events clearly evidences excess from oscillations into tau neutrino

signal

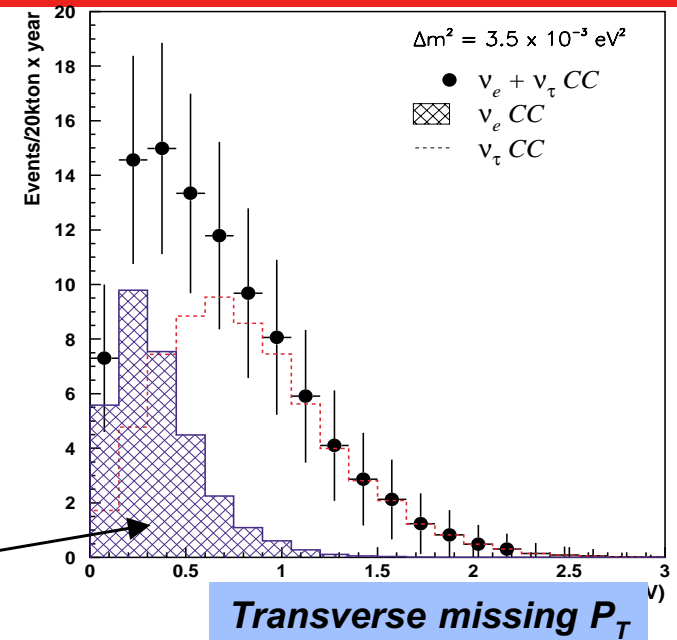


Reconstructed energy

Cuts	ν_τ Eff. (%)	ν_e CC	$\bar{\nu}_e$ CC	ν_τ CC $\Delta m^2 = 10^{-3} \text{ eV}^2$	ν_τ CC $\Delta m^2 = 2.8 \times 10^{-3} \text{ eV}^2$	ν_τ CC $\Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2$	ν_τ CC $\Delta m^2 = 10^{-2} \text{ eV}^2$
Initial	100	437	29	9.3	71	111	779
Fiducial volume	88	383	25	8.2	64	97	686
One candidate with momentum > 1 GeV	72	365	25	6.7	50	80	561
$E_{vis} < 18 \text{ GeV}$	67	64	5	6.2	46	75	522

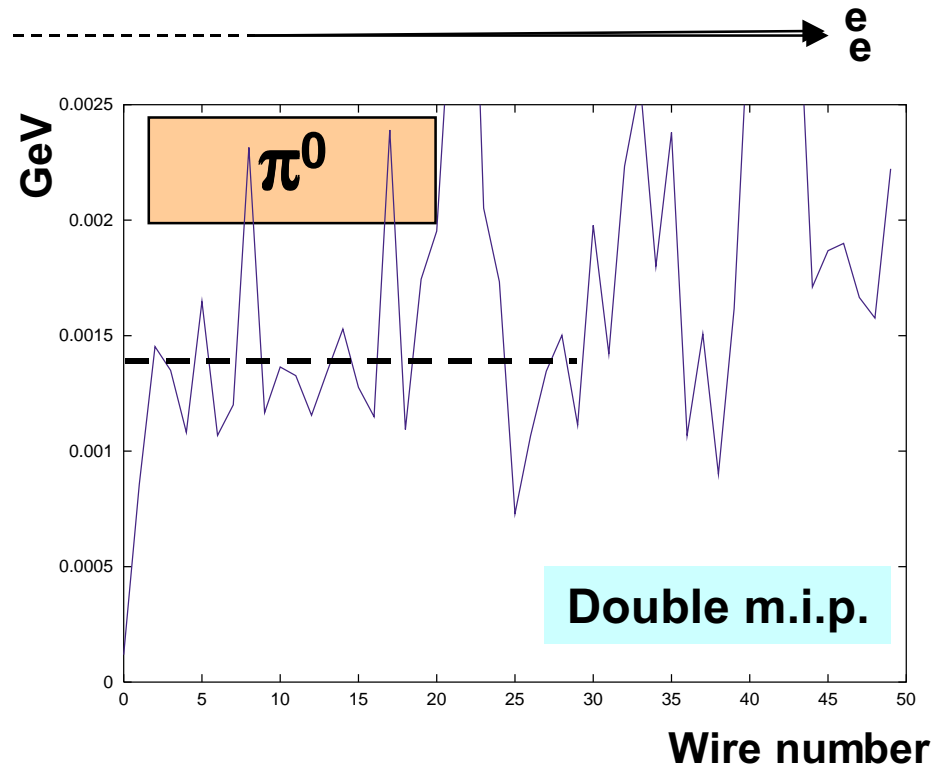
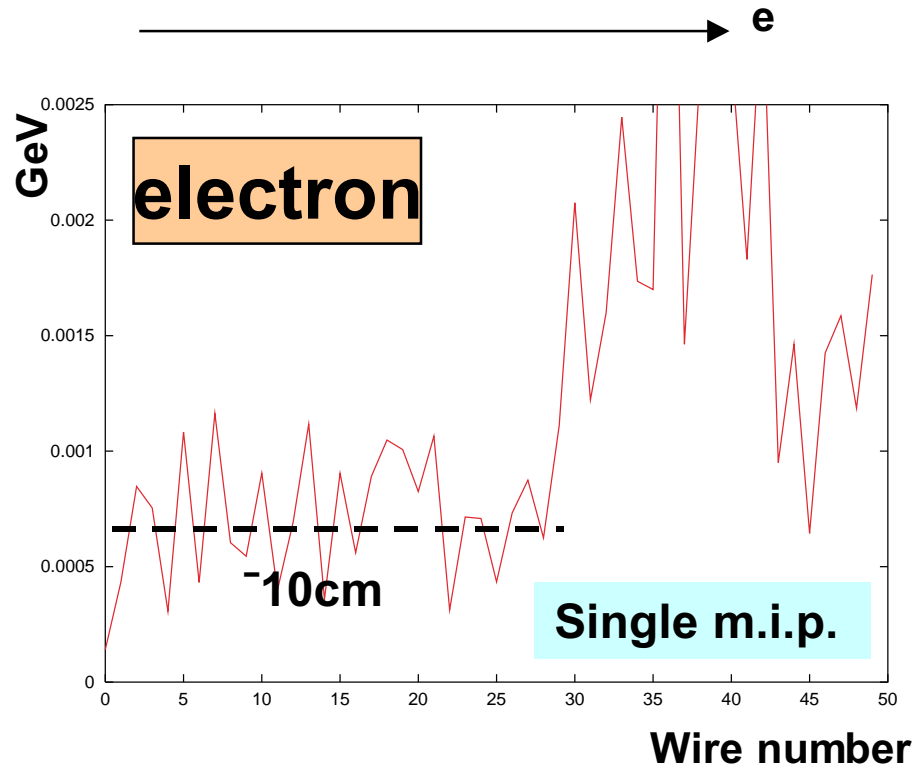
$\nu_\mu \rightarrow \nu_\tau$ oscillations (III)

- ★ Kinematical selection in order to enhance S/B ratio
- ★ Can be tuned “a posteriori” depending on the actual Δm^2
- ★ For example, with cuts listed below, reduction of background by factor 100 for a signal efficiency 33%



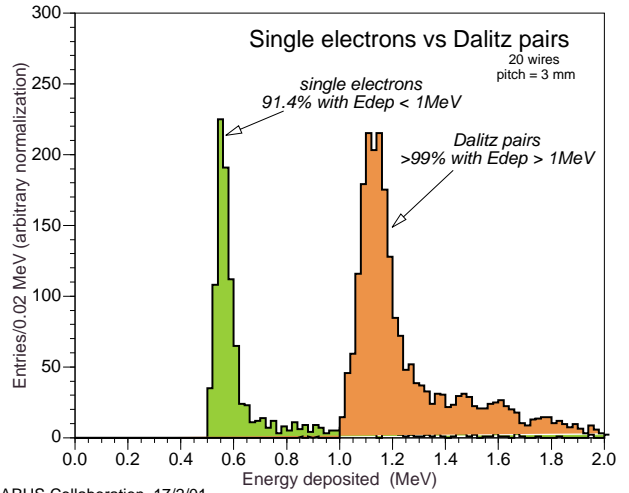
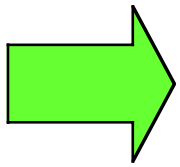
Cuts	ν_τ Eff. (%)	ν_e CC	$\bar{\nu}_e$ CC	ν_τ CC $\Delta m^2 = 10^{-3} \text{ eV}^2$	ν_τ CC $\Delta m^2 = 2.8 \times 10^{-3} \text{ eV}^2$	ν_τ CC $\Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2$	ν_τ CC $\Delta m^2 = 10^{-2} \text{ eV}^2$
Initial	100	437	29	9.3	71	111	779
Fiducial volume	88	383	25	8.2	64	97	686
One candidate with momentum $> 1 \text{ GeV}$	72	365	25	6.7	50	80	561
$E_{vis} < 18 \text{ GeV}$	67	64	5	6.2	46	75	522
$P_T^e < 0.9 \text{ GeV}$	54	31	3	5.0	38	60	421
$P_T^{lep} > 0.3 \text{ GeV}$	51	29	2	4.7	35	56	397
$P_T^{miss} > 0.6 \text{ GeV}$	33	4	0.4	3.1	23	37	257

e/ π^0 discrimination



Wire pitch = 3 mm \sim 0.02 X_0

**Combined rejection: dE/dx +
photon converted within 3 cm
of vertex: > 500**



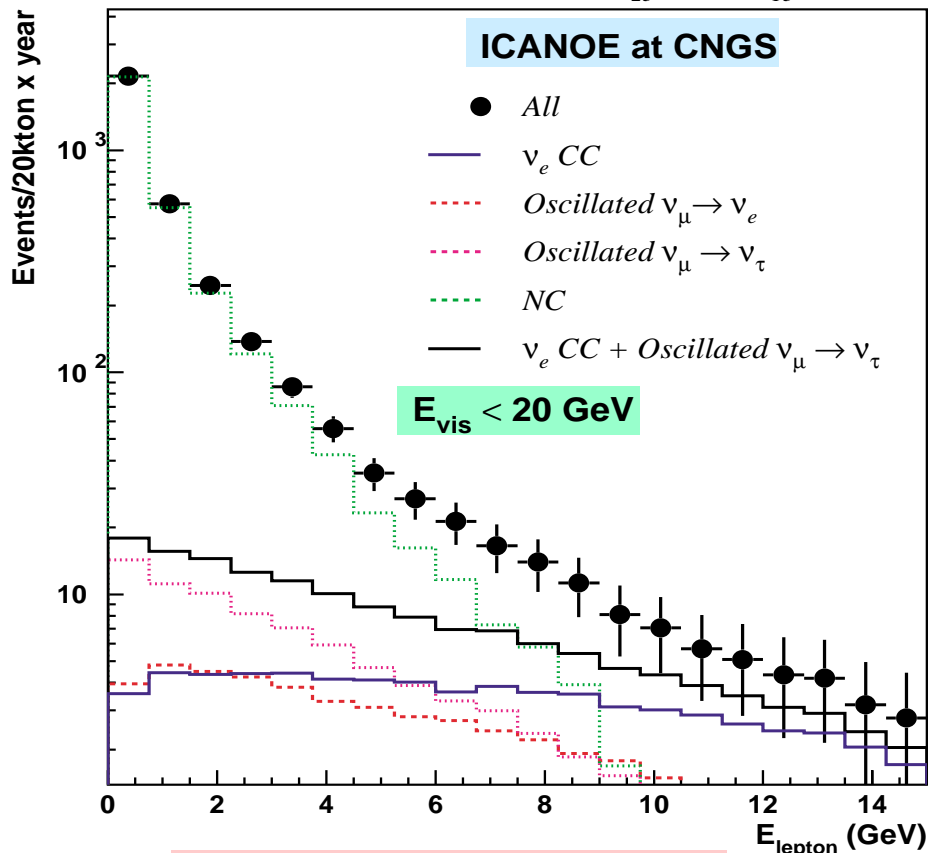
ν_e CC versus ν NC discrimination (I)

NC

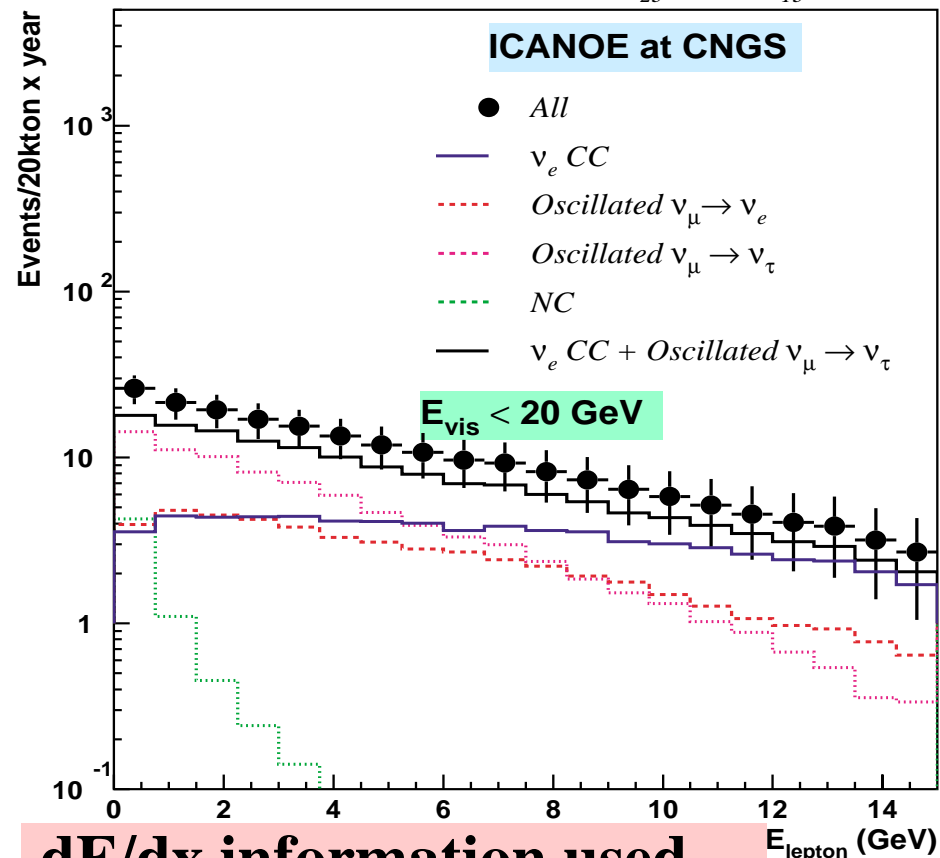
γ converting within 3 cm (10 samples) from primary vertex considered as electron candidate

$$L = 732 \text{ Km } \Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2 \Theta_{23} = 45^\circ \Theta_{13} = 7^\circ$$

$$L = 732 \text{ Km } \Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2 \Theta_{23} = 45^\circ \Theta_{13} = 7^\circ$$



**NO dE/dx
information used**



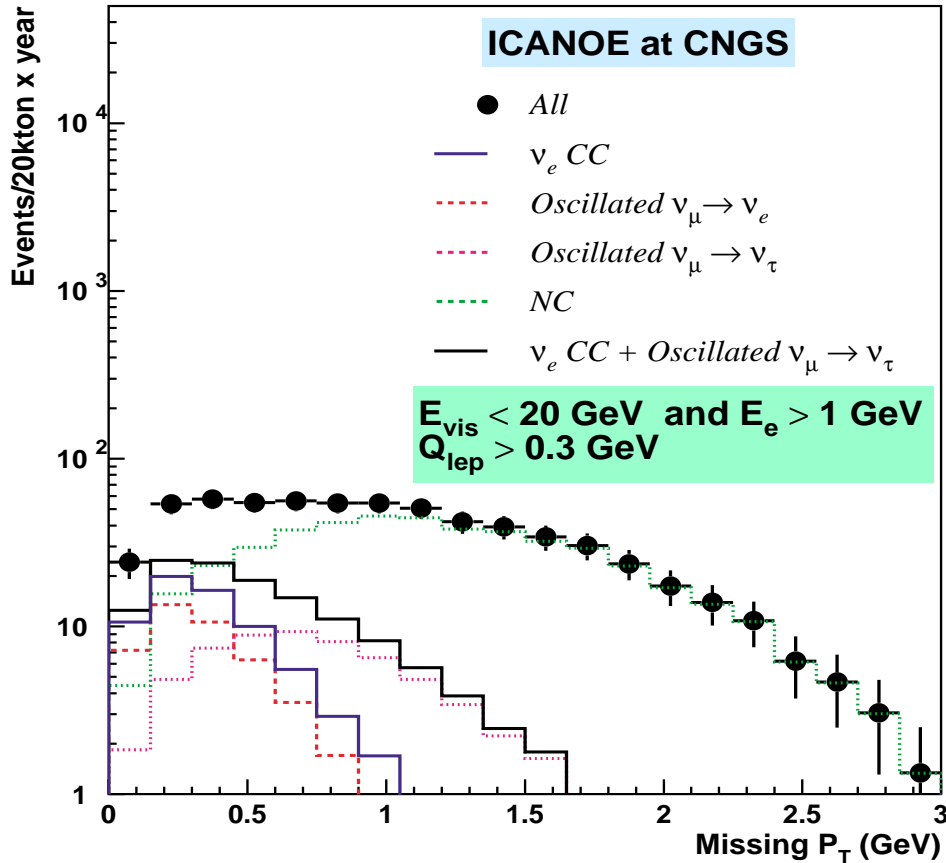
dE/dx information used
Single vs double m.i.p.
algorithm provides >500
rejection factor

ν_e CC versus ν NC discrimination (II)

NC rejection 

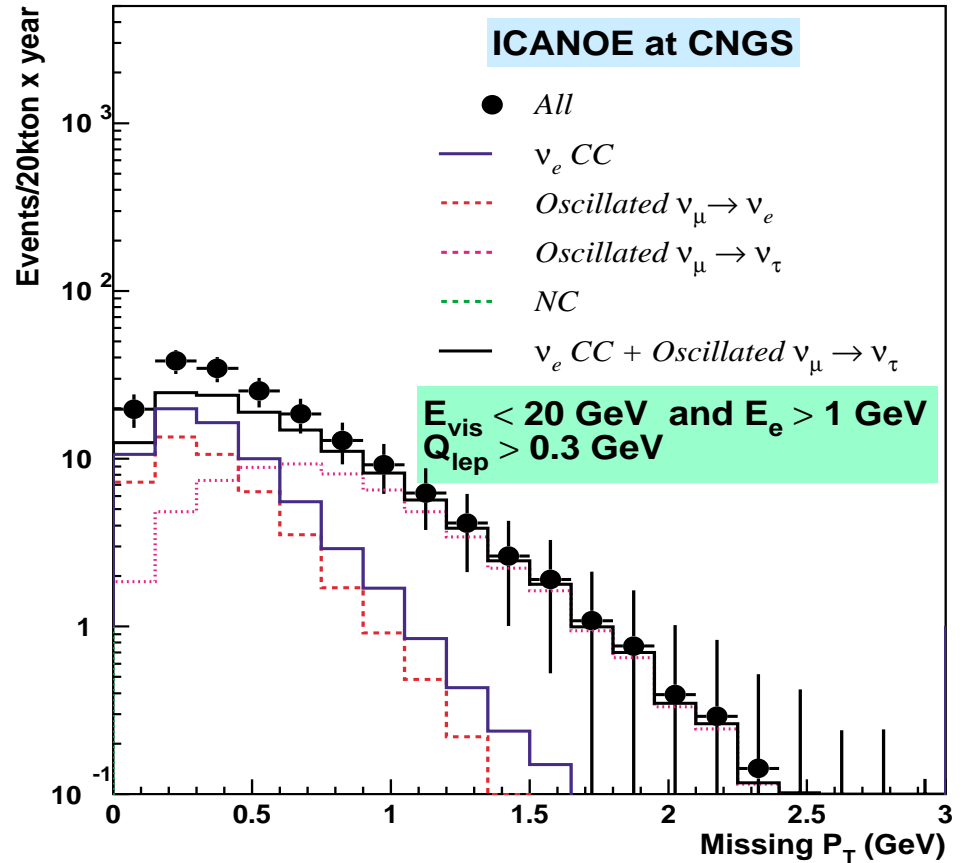
Additional discrimination power provided by event kinematics

$L = 732 \text{ Km } \Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2 \Theta_{23} = 45^\circ \Theta_{13} = 7^\circ$



NO dE/dx information used

$L = 732 \text{ Km } \Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2 \Theta_{23} = 45^\circ \Theta_{13} = 7^\circ$



dE/dx information used

Search for $\theta_{13} \neq 0$

$$\Delta m_{32}^2 = 3.5 \times 10^{-3} \text{ eV}^2; \sin^2 2\theta_{23} = 1$$

ICARUS
4 years

Cuts: Fiducial, $E_e > 1 \text{ GeV}$, $E_{vis} < 20 \text{ GeV}$

$$\Delta m_{23}^2 = 3.5 \times 10^{-3} \text{ eV}^2, \theta_{23} = 45^\circ$$

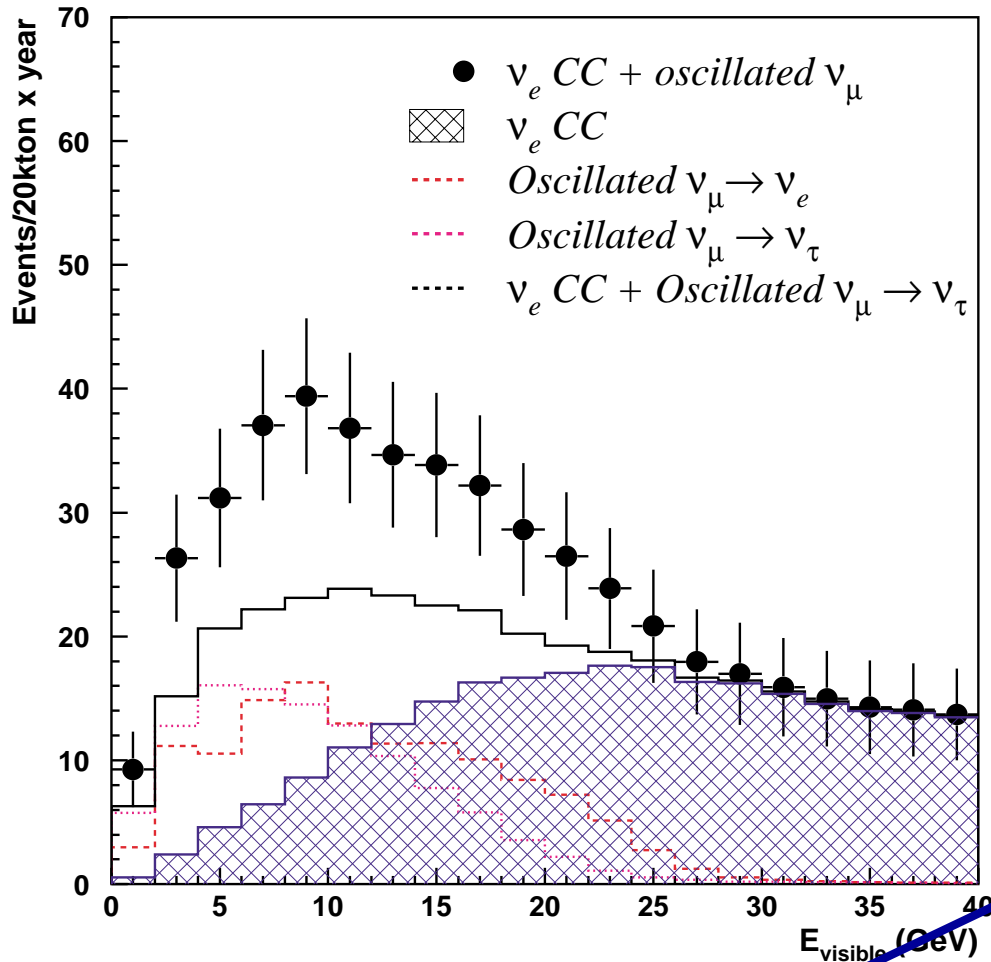
θ_{13} (degrees)	$\sin^2 2\theta_{13}$	ν_e CC	$\nu_\mu \rightarrow \nu_\tau$ $\tau \rightarrow e$	$\nu_\mu \rightarrow \nu_e$	Total	Statistical significance
9	0.095	79	74	84	237	6.8σ
8	0.076	79	75	67	221	5.4σ
7	0.058	79	76	51	206	4.1σ
5	0.030	79	77	26	182	2.1σ
3	0.011	79	77	10	166	0.8σ

$$P(\nu_\mu \rightarrow \nu_\tau) = \cos^4 \theta_{13} \sin^2 2\theta_{23} \Delta_{32}^2$$

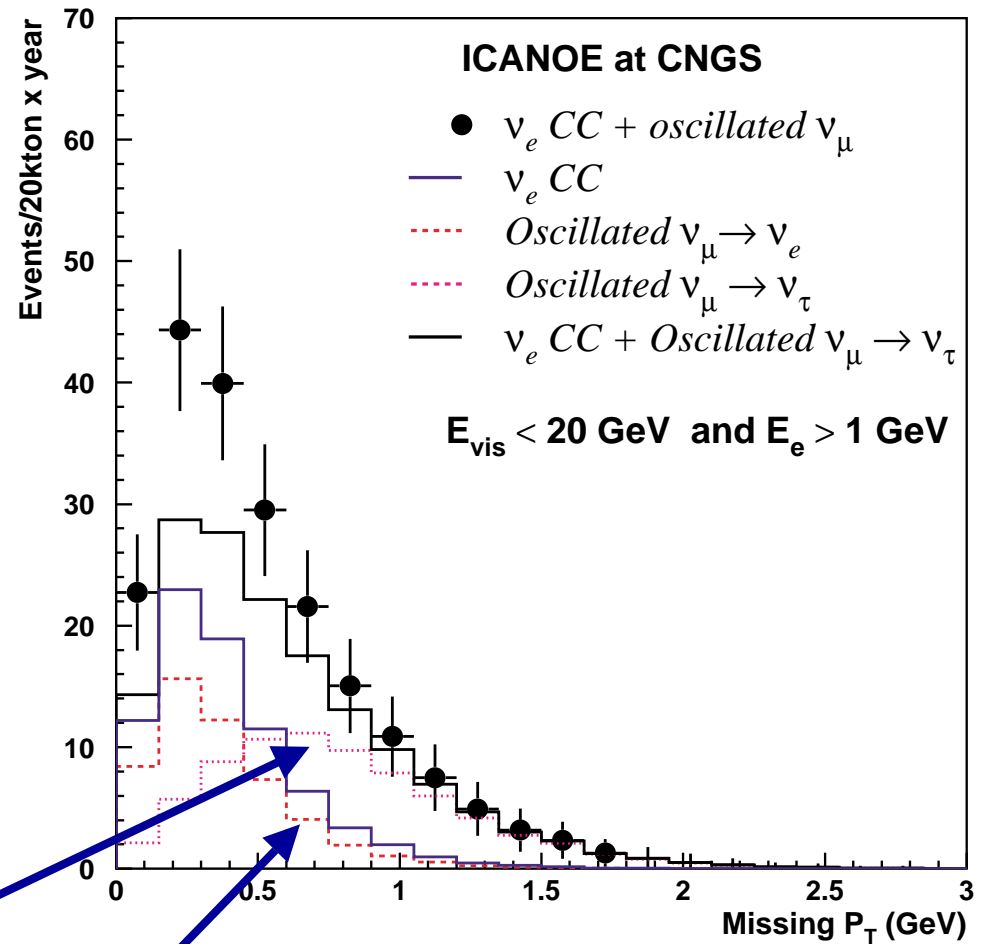
$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \Delta_{32}^2$$

$$\Delta m_{32}^2 = 3.5 \times 10^{-3} \text{ eV}^2; \sin^2 2\theta_{23} = 1; \sin^2 2\theta_{13} = 0.05$$

Total visible energy



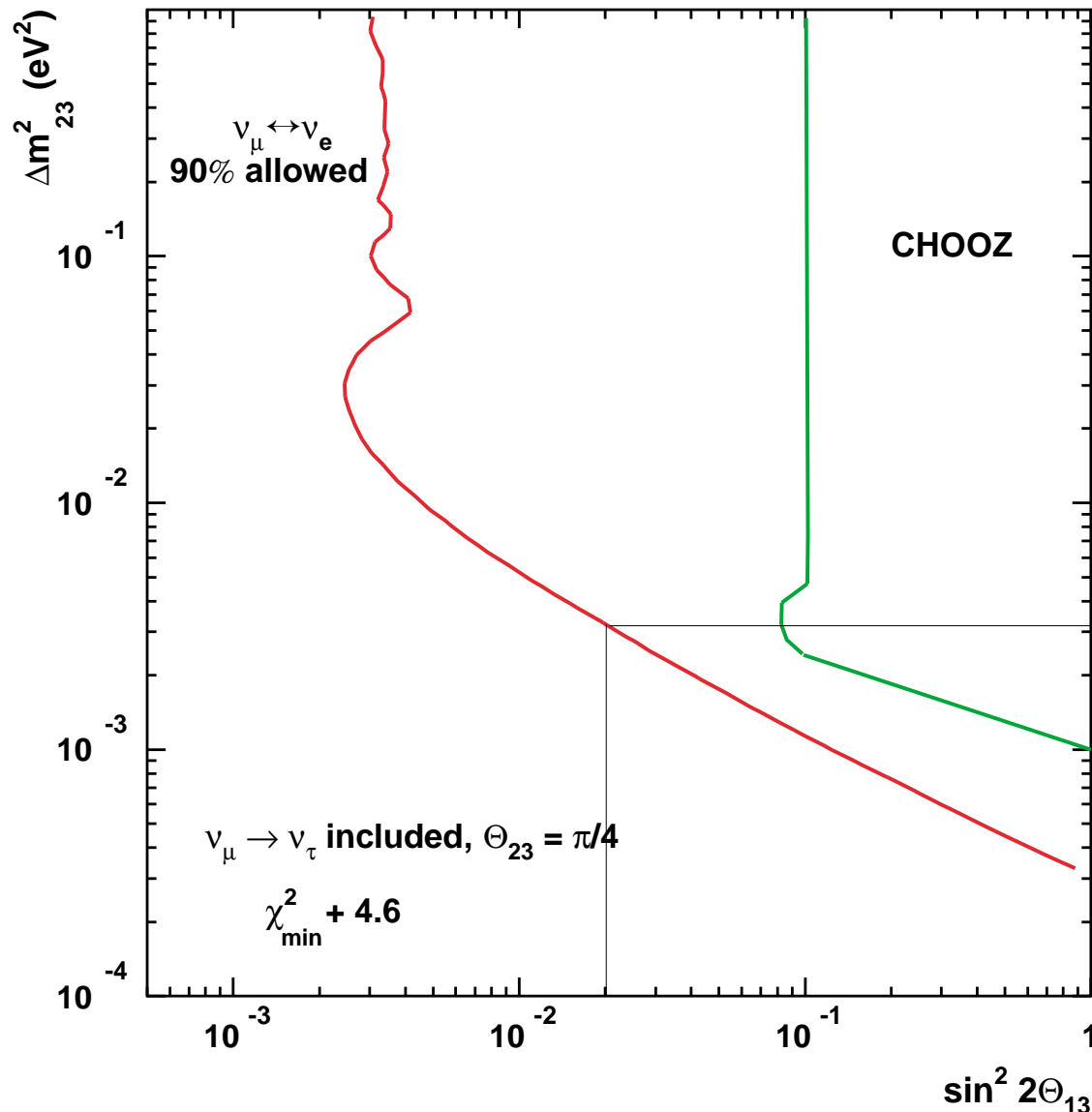
Transverse missing P_T



$$P(\nu_\mu \rightarrow \nu_\tau) = \cos^4 \theta_{13} \sin^2 2\theta_{23} \Delta_{32}^2$$

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \Delta_{32}^2$$

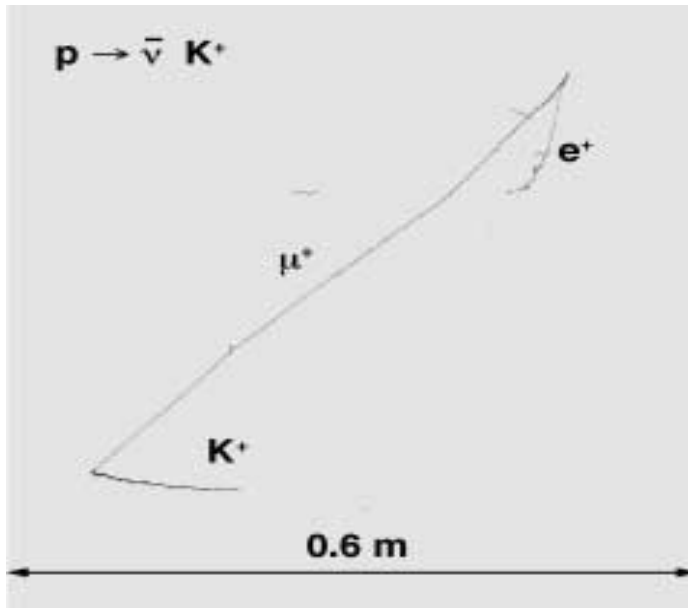
Sensitivity to θ_{13} in three family-mixing



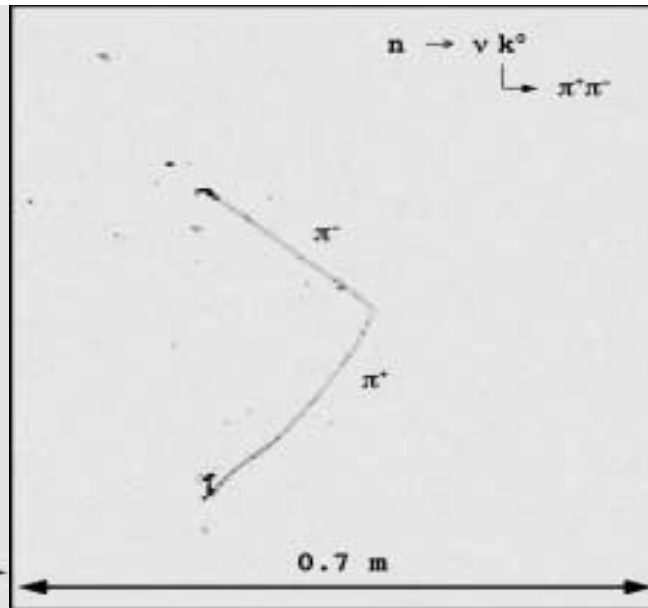
- Sensitivity to $\nu_{\mu} \rightarrow \nu_e$ oscillations in presence of $\nu_{\mu} \rightarrow \nu_{\tau}$ (three family mixing)
- Factor 5 improvement on $\sin^2 2\theta_{13}$ at $\Delta m^2 = 3 \times 10^{-3} \text{ eV}^2$
- Almost two-orders of magnitude improvement over existing limit at high Δm^2

Nucleon decay search

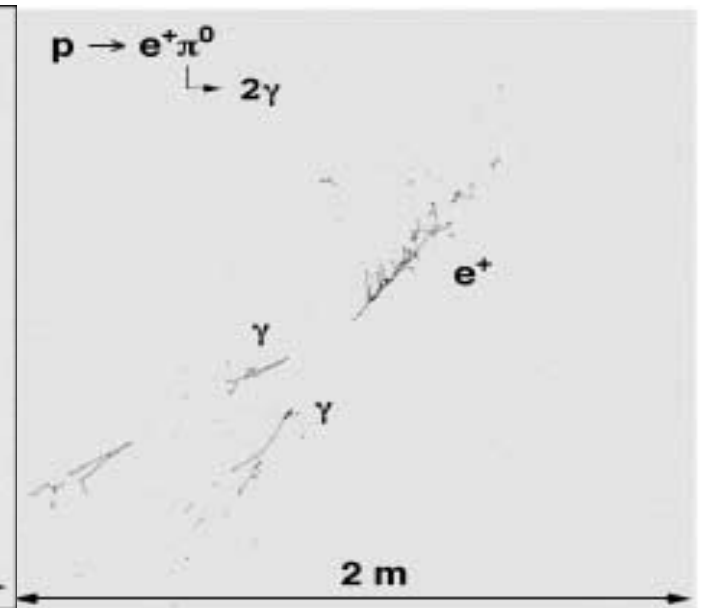
$p \rightarrow \bar{\nu} K^+$ decay



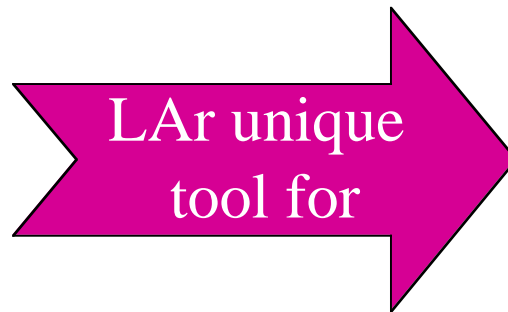
$n \rightarrow \bar{\nu} K^0$ decay



$p \rightarrow e^+ \pi^0$ decay

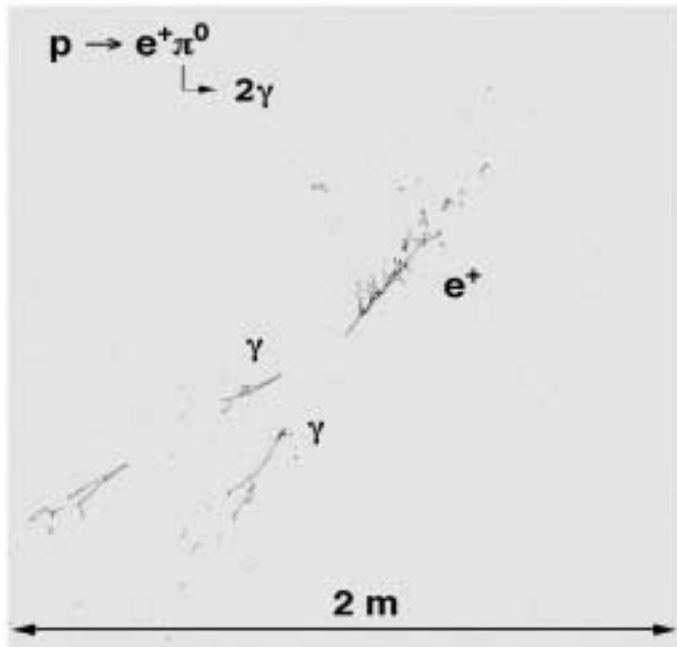


Thanks to excellent tracking and particle *id* capabilities

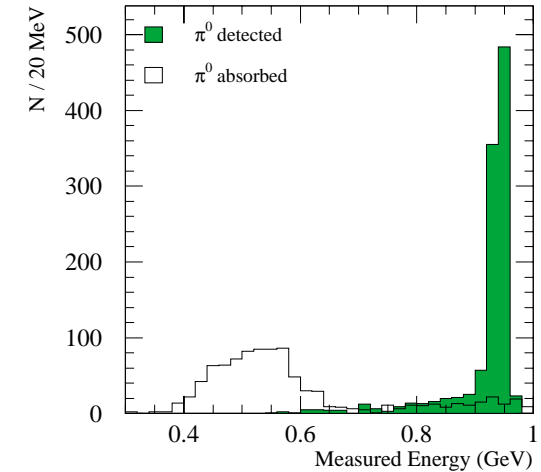
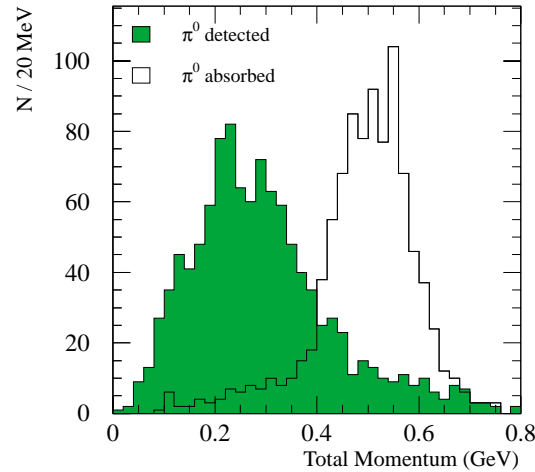


Extremely efficient background rejection
High detection efficiency
Bias-free, fully exclusive channel searches!

$p \rightarrow e^+ \pi^0$ decay kinematics



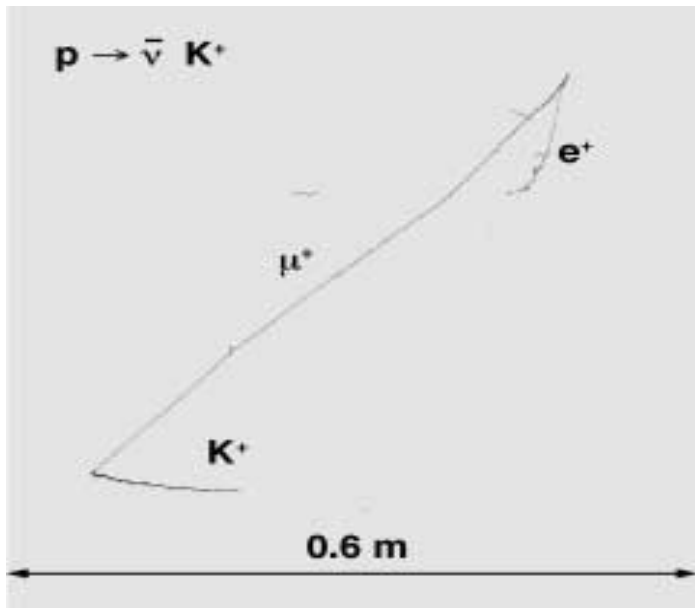
Nuclear effects: pion absorption and rescattering included (FLUKA)



$\approx 45\%$ π^0 absorbed in Ar nucleus

Exclusive Channel Cuts	$p \rightarrow e^+ \pi^0$	ν_e CC	$\bar{\nu}_e$ CC	ν_μ CC	$\bar{\nu}_\mu$ CC	ν NC	$\bar{\nu}$ NC
One π^0	54.00%	6599	2136	15221	5789	8058	3095
One electron	54.00%	6567	2126	19	0	0	0
$T_{proton} < 100$ MeV	52.65%	2715	1448	4	0	0	0
0.93 GeV $<$ Total E $<$ 0.97 GeV	38.30%	28	17	0	0	0	0
Total Momentum $<$ 0.46 GeV	37.50%	2	0	0	0	0	0

$p \rightarrow K^+ \bar{\nu}$ decay kinematics

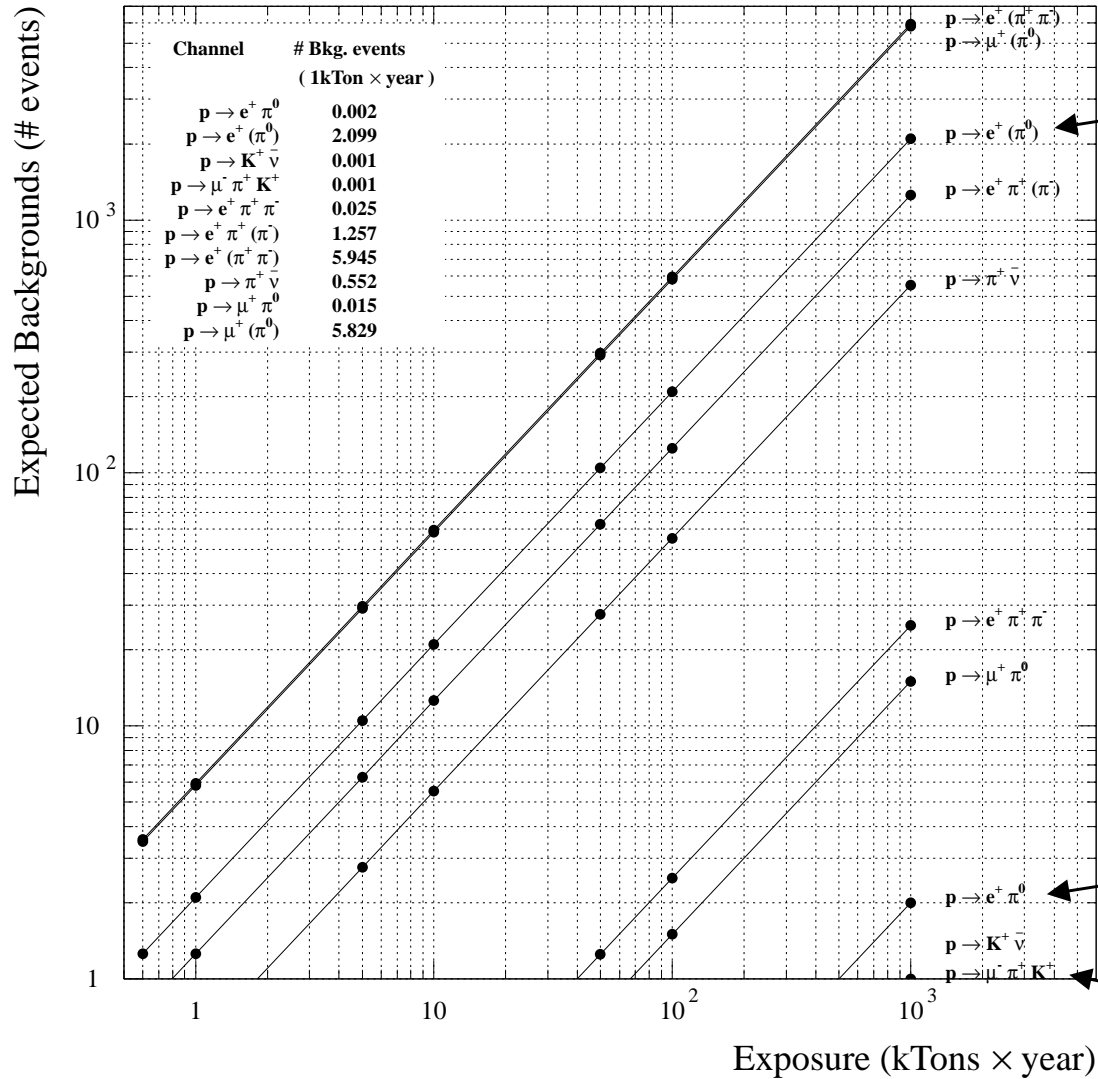


At least we see the kaon!

Cuts	$p \rightarrow K^+ \bar{\nu}$	ν_e CC	$\bar{\nu}_e$ CC	ν_μ CC	$\bar{\nu}_\mu$ CC	ν NC	$\bar{\nu}$ NC
One Kaon	97.30%	310	59	921	214	370	104
No π^0	97.15%	161	30	462	107	197	51
No electrons	97.15%	0	0	455	107	197	51
No muons	97.15%	0	0	0	0	197	51
No charged pions	97.15%	0	0	0	0	109	22
Total Energy < 0.8 GeV	97.15%	0	0	0	0	0	0

Proton decay: expected backgrounds vs channel

ICARUS Proton Decay: Expected Backgrounds



$p \rightarrow e^+ X$

Extremely good exclusive signal signatures
 \Rightarrow Excellent background rejection
Discovery with a single event!

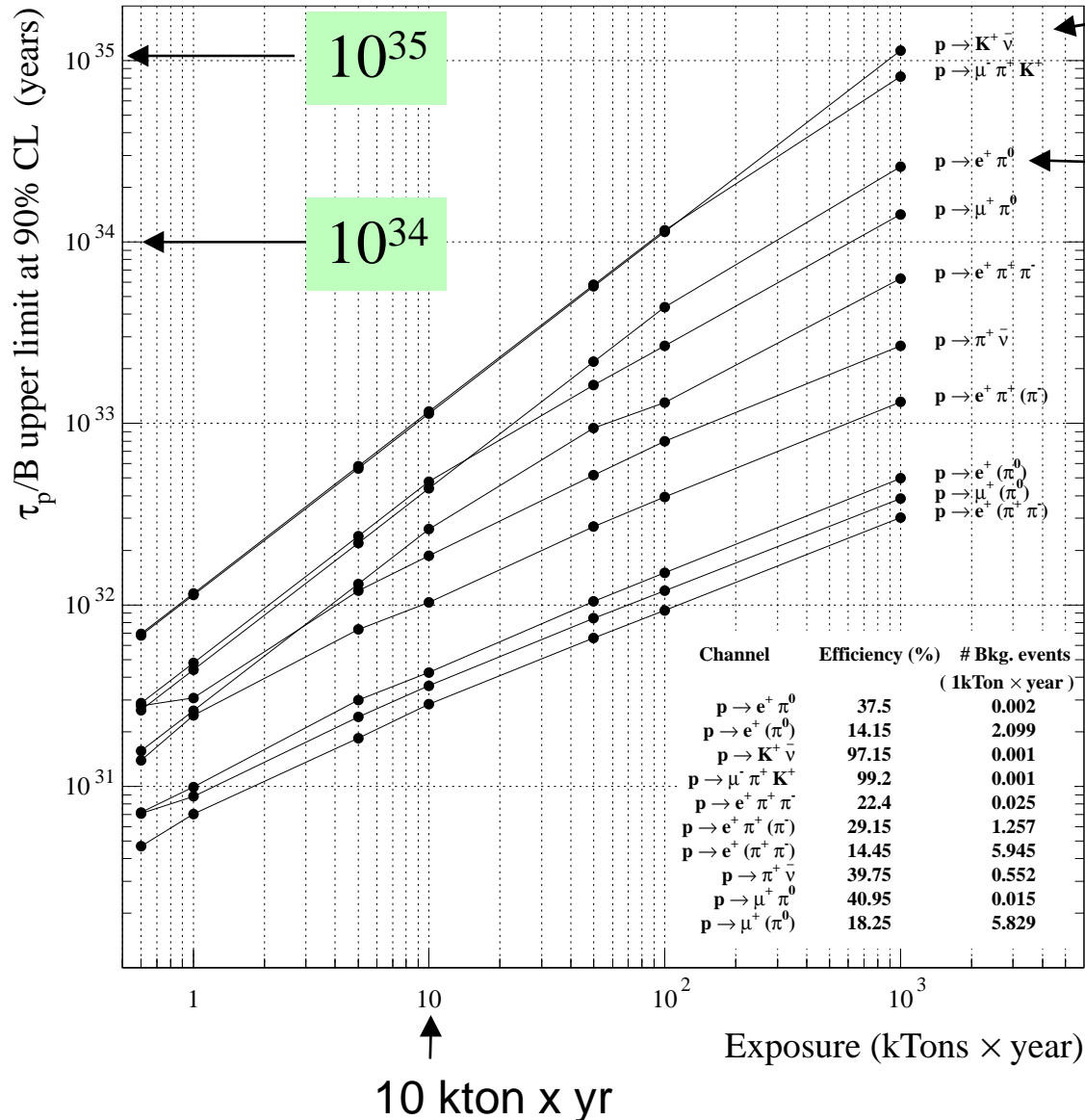
$p \rightarrow e^+ \pi^0$

$p \rightarrow K^+ \bar{\nu}$

↑ 1 Mton x yr

Sensitivity vs exposure

ICARUS: Limits on Proton Decay



$p \rightarrow K^+ \nu$

$p \rightarrow e^+ \pi^0$

Extremely good exclusive signal signatures
 \$\Rightarrow\$ Excellent background rejection
Discovery with a single event!

Exposure needed to reach PDG limit

Channel	Efficiency (%)	Background (1 kton×year)	PDG limit (10^{30} years)	Needed Exposure (in ktons×year)
$p \rightarrow e^+ \pi^0$	37.5	<0.1	1600	36.6
$p \rightarrow K^+ \bar{\nu}$	97.1	<0.1	670	6.0
$p \rightarrow \mu^- \pi^+ K^+$	99.2	<0.1	245	2.2
$p \rightarrow e^+ \pi^+ \pi^-$	22.4	<0.1	82	3.2
$p \rightarrow \pi^+ \bar{\nu}$	39.7	0.6	25	0.6
$p \rightarrow \mu^+ \pi^0$	40.9	<0.1	473	9.9
$n \rightarrow e^- K^+$	96.9	<0.1	32	0.3
$n \rightarrow e^+ \pi^-$	47.9	<0.1	158	2.3
$n \rightarrow \mu^- \pi^+$	48.2	<0.1	100	1.5
$n \rightarrow \pi^0 \bar{\nu}$	44.8	0.5	112	2.4

Given our poor knowledge of the physics at the GUT scale, we need to look for all possible channels, in unbiased, free of background searches.

Neutrino factory

$$\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$$

$$\nu_\mu \rightarrow \nu_e \rightarrow e^- \quad \text{appearance}$$

$$\nu_\mu \quad \text{disappearance}$$

$$\nu_\mu \rightarrow \nu_\tau \rightarrow \tau^- \quad \text{appearance}$$

$$\bar{\nu}_e \quad \text{disappearance}$$

$$\bar{\nu}_e \rightarrow \bar{\nu}_\mu \rightarrow \mu^+ \quad \text{appearance}$$

$$\bar{\nu}_e \rightarrow \bar{\nu}_\tau \rightarrow \tau^+ \quad \text{appearance}$$

Plus their charge conjugates with μ^+ beam

Ideal detector should be able to measure **12 different processes**

\Rightarrow Detect: $\mu^+, \mu^-, e, \text{NC}, \tau$

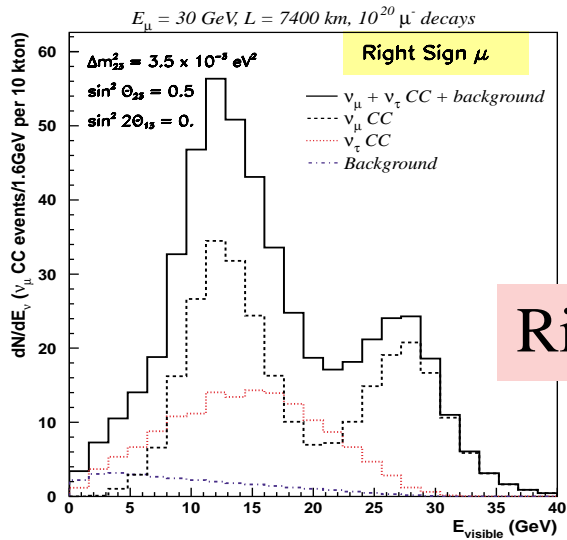
\Rightarrow Various baselines

\Rightarrow Various muon energies

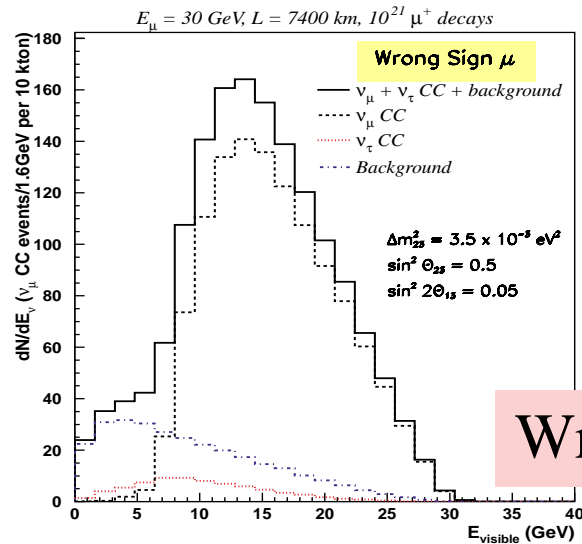
$O(10^{21}) \mu$ required

Baseline \rightarrow		L=732 km	L=2900 km	L=7400 km
Muon Energy \rightarrow		$E_\mu = 5 \text{ GeV}$	$E_\mu = 30 \text{ GeV}$	$E_\mu = 30 \text{ GeV}$
10^{21} decays	ν_μ CC	6150	72000	11300
	ν_μ NC	1590	20600	3400
	$\bar{\nu}_e$ CC	2150	27600	4370
	$\bar{\nu}_e$ NC	630	9950	1500
10^{21} decays	$\bar{\nu}_\mu$ CC	2450	31900	5000
	$\bar{\nu}_\mu$ NC	750	11200	1750
	ν_e CC	5550	64500	9900
	ν_e NC	1350	18300	2900

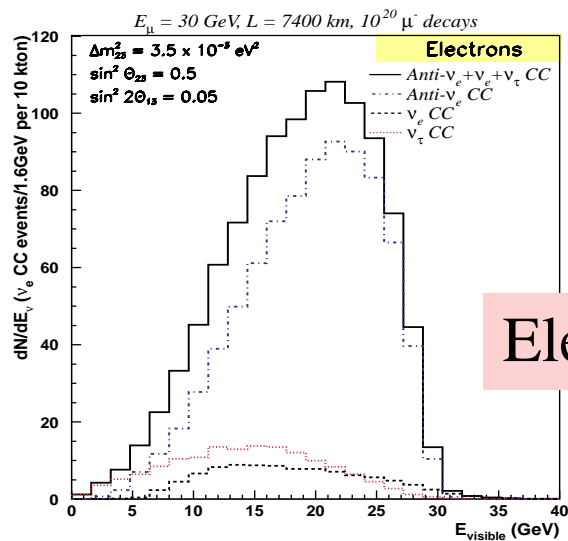
Event classes



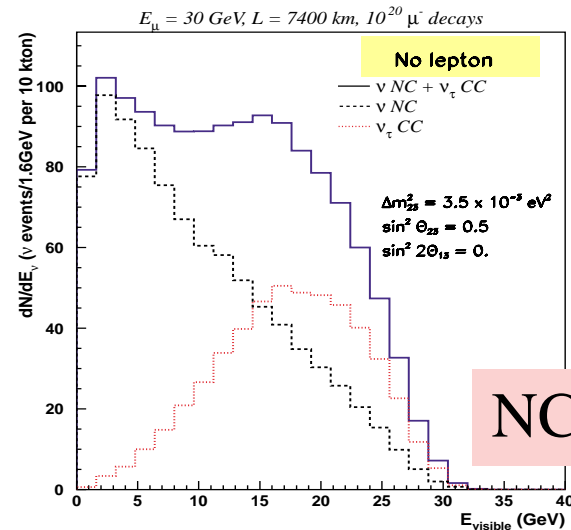
Right sign μ



Wrong sign μ



Electrons



NC-like

Combining all classes \Rightarrow (over-constrained) sensitivity to all oscillations!

Conclusion

A very exciting field !

