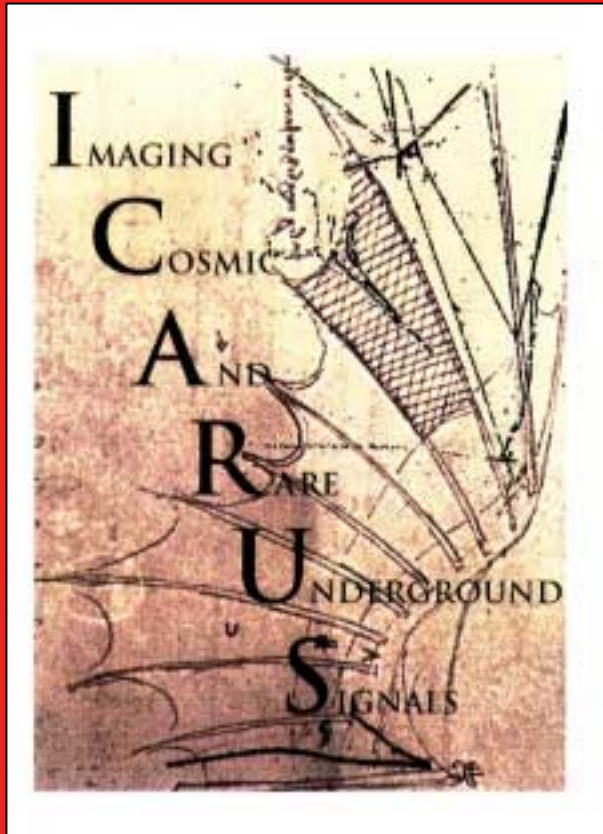


# *The ICARUS Project*



**CERN**

**China**  
IHEP

**Italy**

Aquila, LNGS, Milano, Padova, Pavia, Pisa, Torino

**Switzerland**  
ETH/Zurich

**Poland**

Katowice, Krakow, Warszawa,  
Wroclaw

**USA**  
UCLA

André Rubbia  
ETH Zürich

***SNOW in Uppsala***

*8th-10th February, 2001*

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# Neutrino and rare process physics

- ★ The performance of a **neutrino detector** is *proportional* to its *total mass* and also to its *geometrical granularity* with which the events can be reconstructed.

## What we get for 5 ktons:

### ● Atmospheric neutrinos:

- $\approx 1000$  atm CC events / year
- $\approx 5 \nu_\tau$  CC /year from oscillations

### ● Solar neutrinos:

- $17500 \times f_{\text{gB}}$  solar neutrinos / year @  $E > 5$  MeV

### ● Neutrinos from CERN (CNGS):

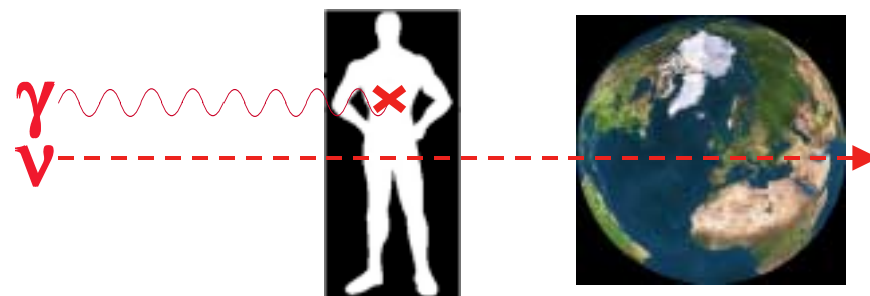
- $13600 \nu_\mu$  CC per  $4.5 \times 10^{19}$  pots @  $L = 730$  km

### ● Neutrino factory:

- $1200 \nu_\mu$  CC per  $10^{20} \mu$  @  $L = 7400$  km

### ● Number of targets for nucleon stability:

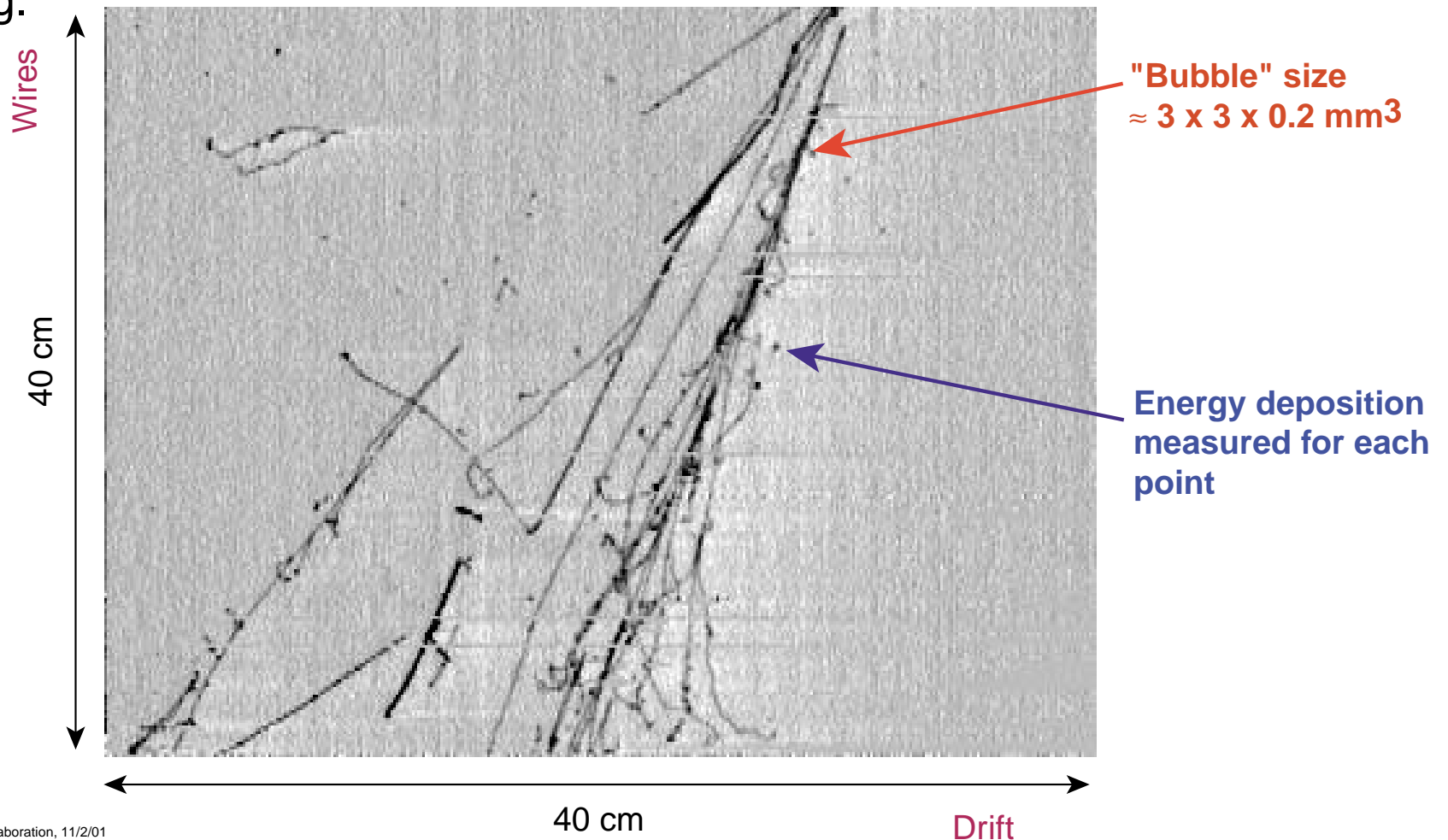
- $3 \times 10^{33}$  nucleons  $\Rightarrow \tau_p (10^{32} \text{ years}) > 6 \times T(\text{yr}) \times \epsilon$  @ 90 C.L.



**A bonus!**

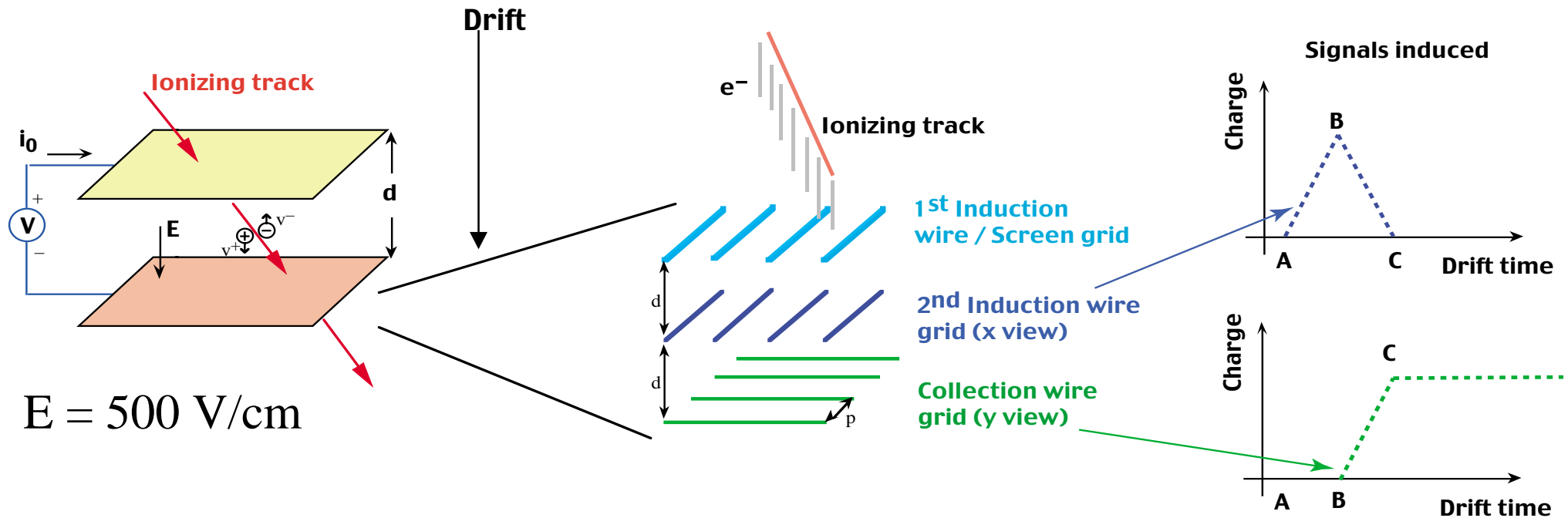
# ICARUS liquid argon imaging TPC (I)

- ★ The LAr TPC technique is based on the fact that ionization electrons can drift over large distances (meters) in a volume of purified liquid Argon under a strong electric field. If a proper readout system is realized (i.e. a set of fine pitch wire grids) it is possible to realize a massive "electronic bubble chamber", with superb 3-D imaging.



# ICARUS liquid argon imaging TPC (II)

- ★ Detect electrons produced by ionizing tracks crossing the LAr



Electron-ion pairs are produced  
Electrons give the main contribution to the induced current due to the much larger mobility

$$I_0 = e(v^+ + v^-)/d$$

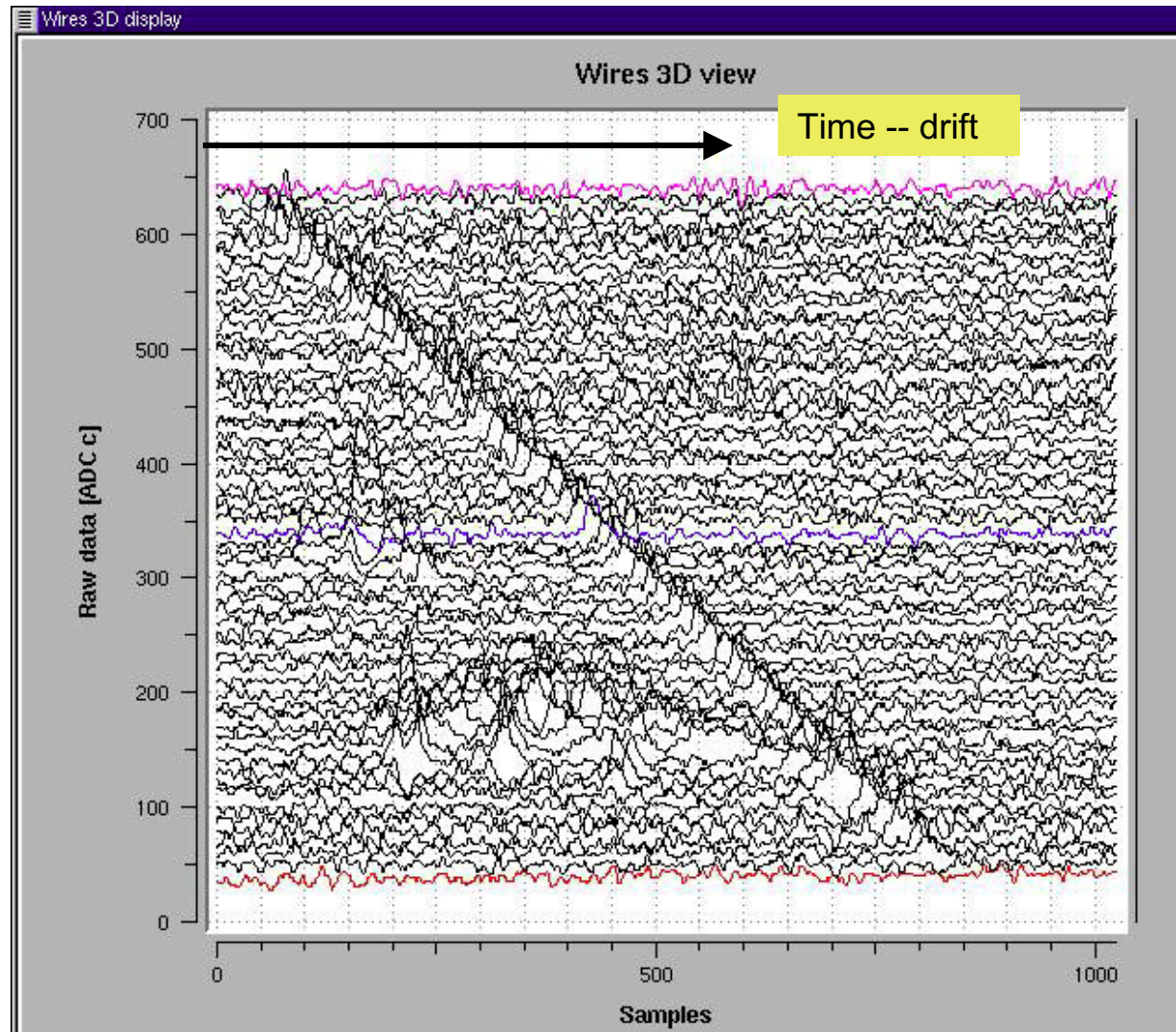
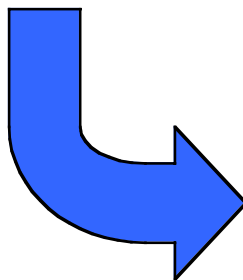
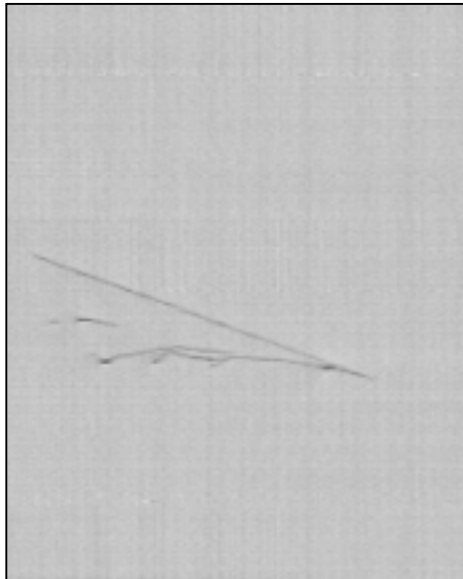
A set of wires at the end of the drift give a sampling of the track  
No charge multiplication occurs near the wires  $\ddot{E}$  electrons can be used to induce signals on subsequent wires planes with different orientations  $\Rightarrow$  **3D imaging**



# ICARUS liquid argon imaging TPC (III)

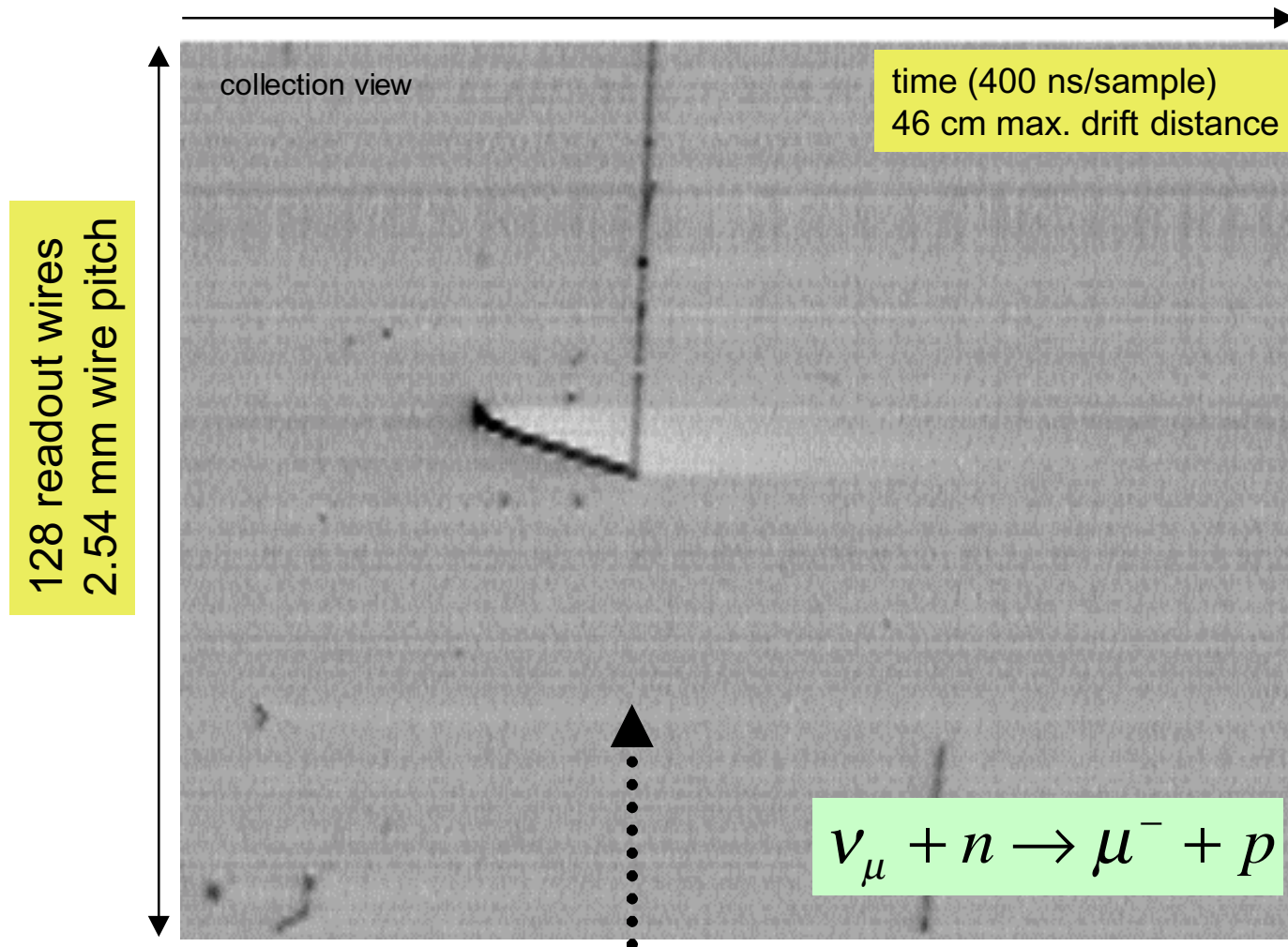
**Detector is continuously sensitive, thus allowing to easily simultaneously collect atmospheric, CNGS and other rare events...**

Real event from 15 ton



# Neutrino event in 50 liter LAr TPC (1998)

ICARUS-CERN-Milano



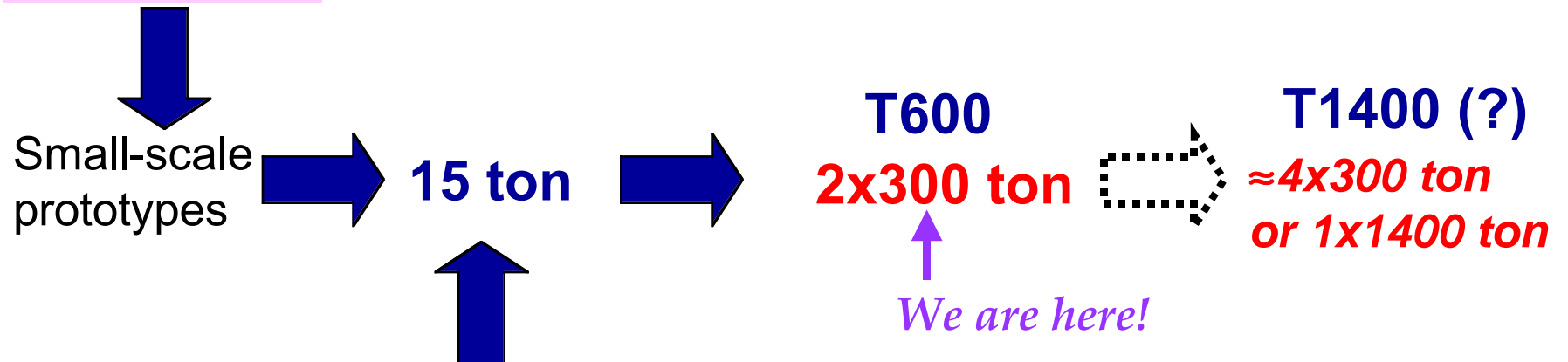
CERN  $\nu$ -beam

(Chamber located in front of NOMAD detector)

# ICARUS: a graded strategy

- ✓ After several years of R&D and prototyping, the ICARUS collaboration is now realizing the first **600 ton module**, which will be installed at Gran Sasso in the year 2001.

## Lab activities:



## Cooperation with specialized industries:

- Air Liquide for Cryostat and Argon purification
- BREME Tecnica for internal detector mechanics
- CAEN for readout electronics



# ICARUS 15 ton ( $10\text{m}^3$ ) prototype (1999-2000)

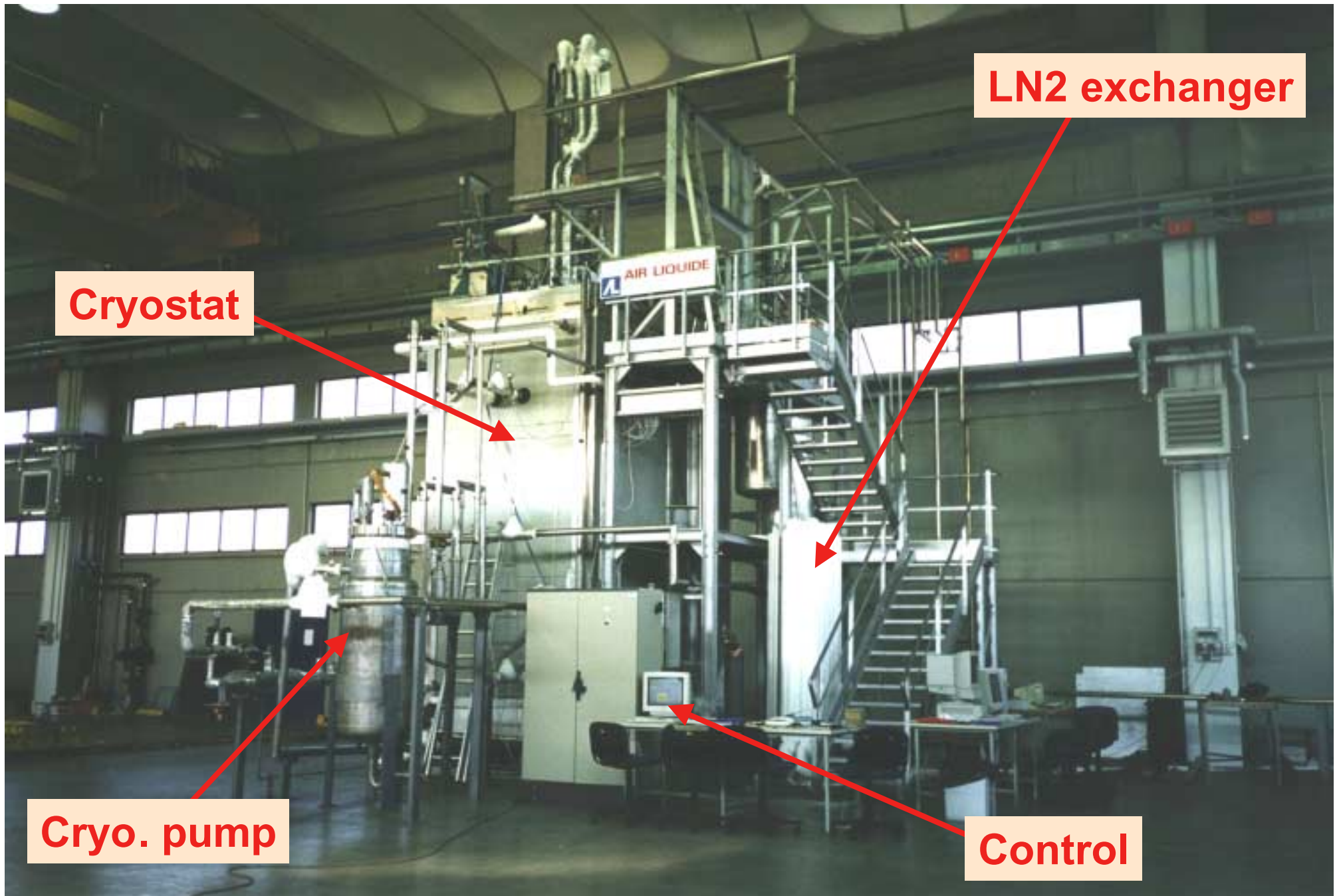
★ A major step of the R&D program has been the construction and operation of a  **$10\text{m}^3$  prototype**

- ① **Test of the cryostat technology**
- ② **Test of the “variable-geometry” wire chamber**
- ③ **Test of the liquid phase purification system**
- ④ **Test of trigger via scintillation light**
- ⑤ **Large scale test of final readout electronics**

→ **First operation of a 15 ton LAr mass as an actual “detector”**

T15 installation @ LNGS (Hall di Montaggio)





**LN2 exchanger**

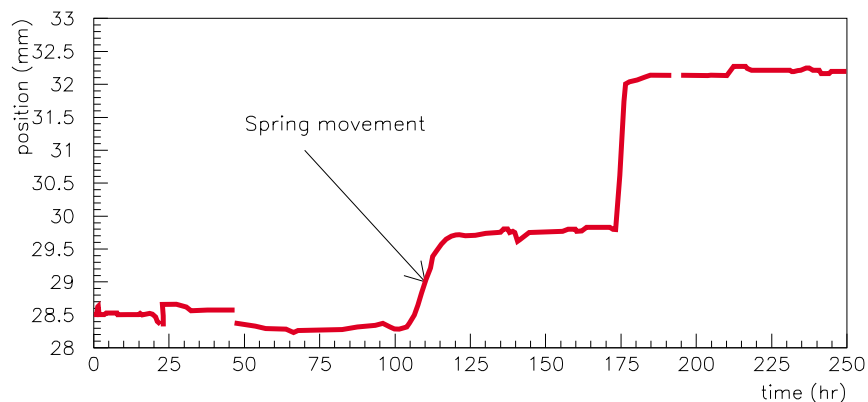
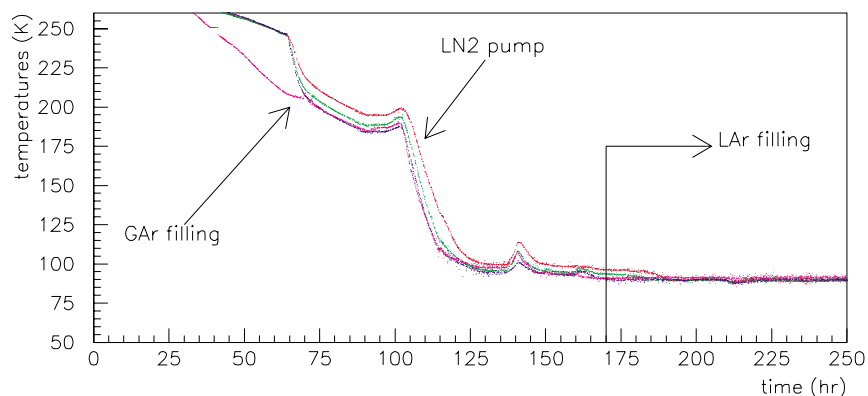
**Cryostat**

**Cryo. pump**

**Control**

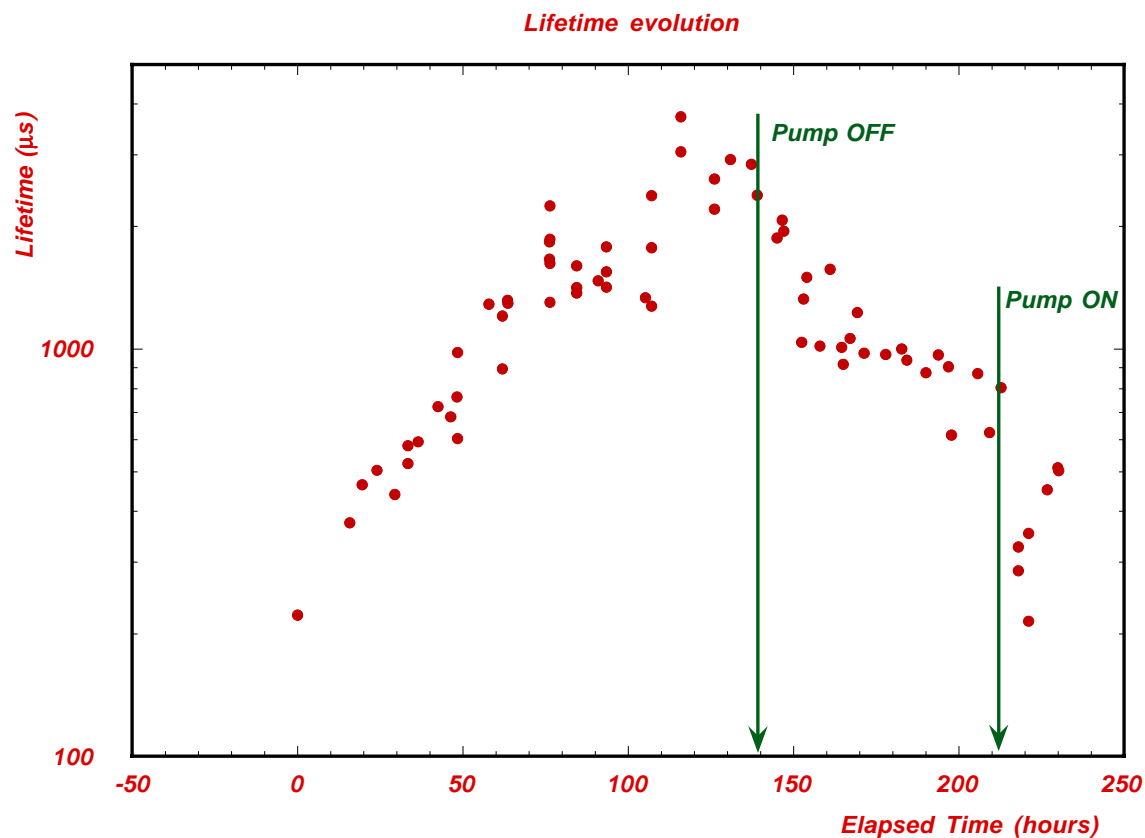
# Cooling 15 ton prototype March '99

## Temperature / Wire stretching



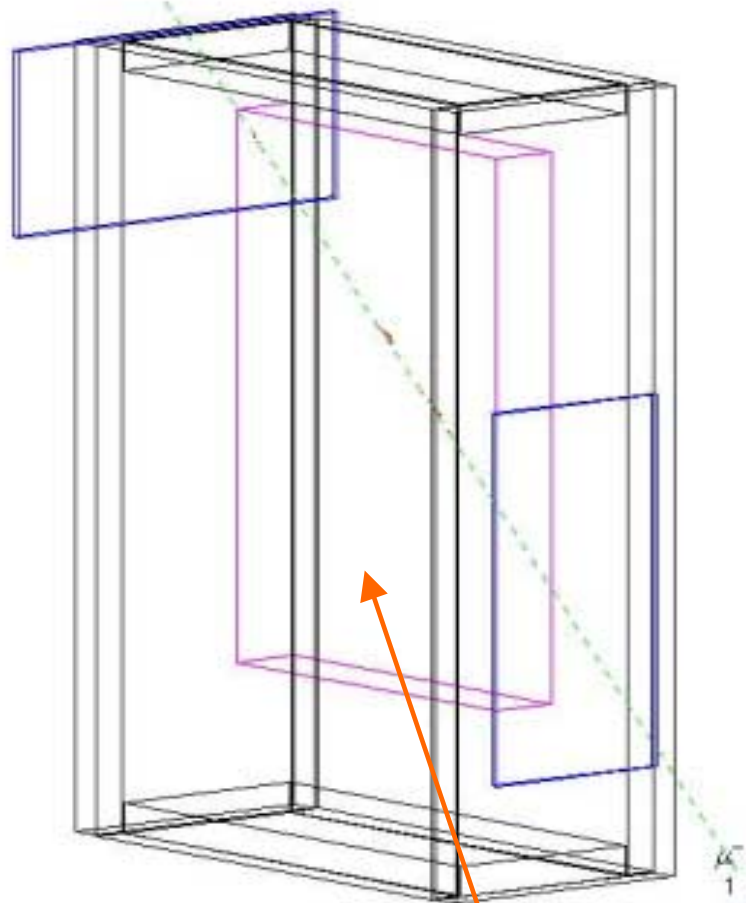
✘ Confirmation of the functionality of the *variable geometry* mechanics

## LAr purity



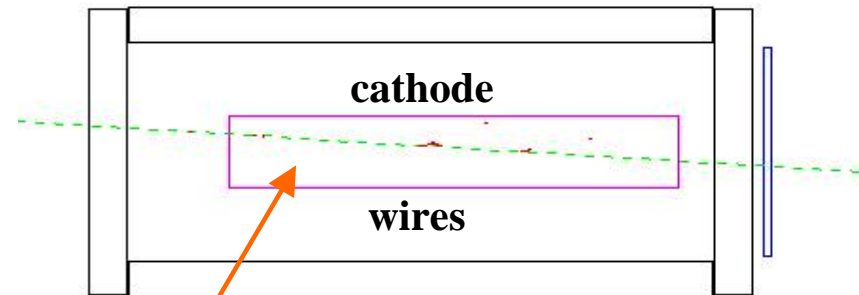
✘ The electrons lifetime, after about 4 days of recirculation, was between 2 ms to 3 ms.

# Internal Volumes Layout

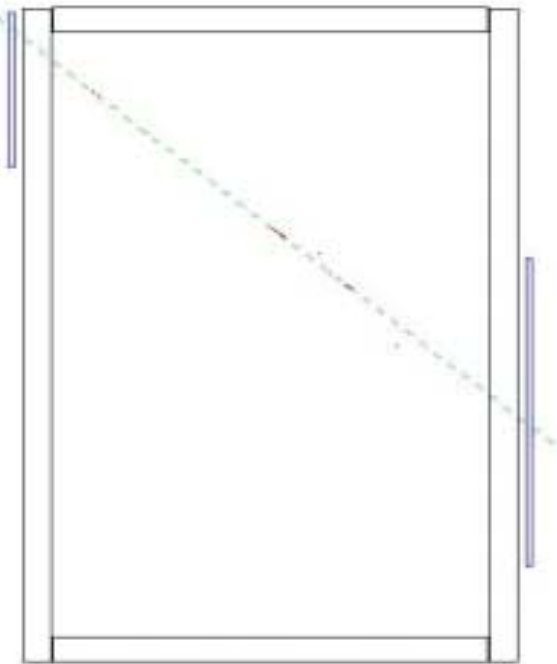


Imaging region (35 cm drift)

Top View



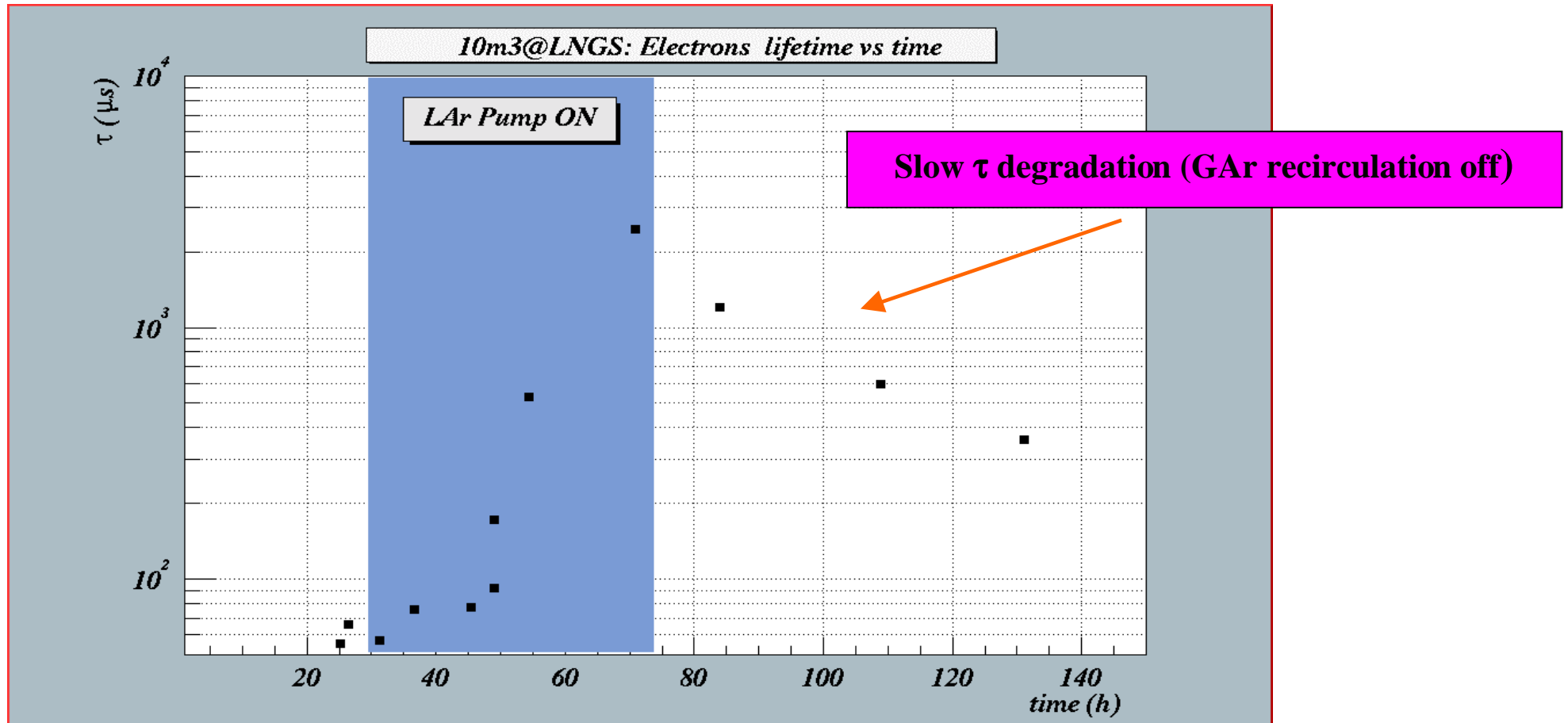
Lateral View



External trigger

# Electron Lifetime Measurements

- Just after LAr filling:  $\tau \sim 100\mu\text{s}$ , according to expectations based on residual leak rates ( $10^{-5}$  mbar/s).
- In a few days of LAr pump operation:  $\tau > 2$  ms

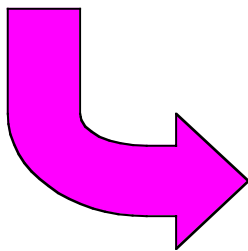




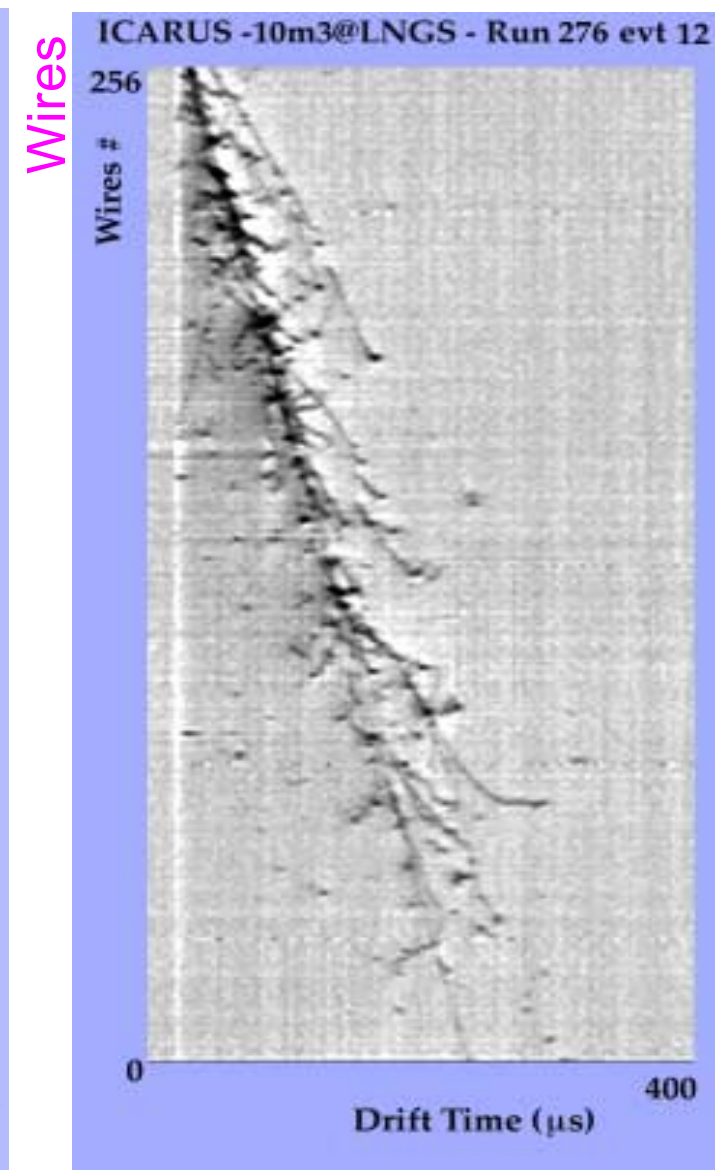
# Tracks in 15 ton prototype

10m<sup>3</sup> Module  
at LNGS

Cosmic Ray tracks  
recorded during the  
10 m<sup>3</sup> operation



Drift



Drift

# The ICARUS T600 module

Under construction

Number of independent containers = 2

Single container Internal Dimensions: Length = 19.6 m , Width = 3.9 m , Height = 4.2 m

Total (cold) Internal Volume = 534 m<sup>3</sup>

Sensitive LAr mass = 476 ton

Number of wires chambers = 4

Readout planes / chamber = 3 at 0° , ± 60° from horizontal

Maximum drift = 1.5 m

Operating field = 500 V / cm

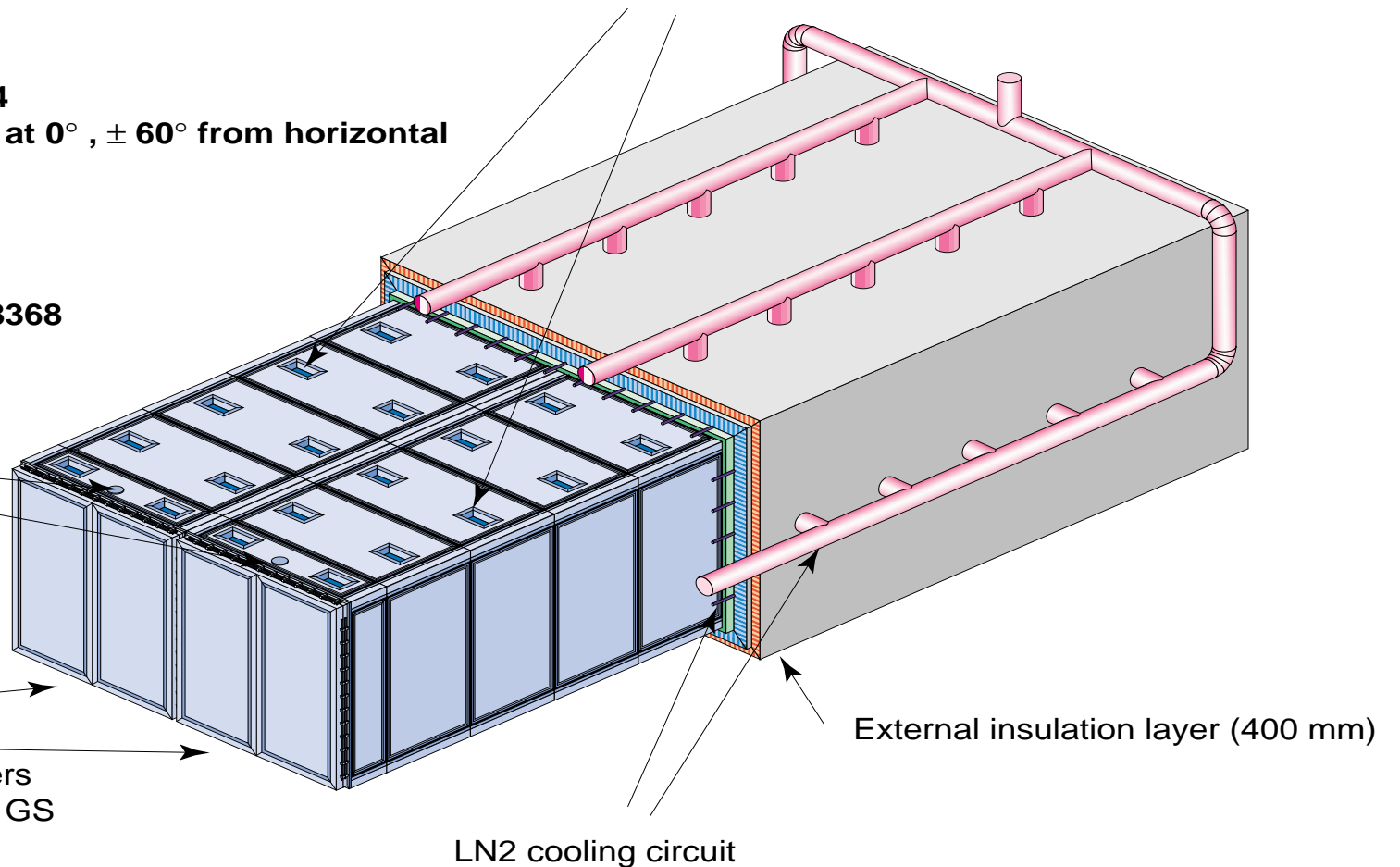
Maximum drift time ≈ 1 ms

Wires pitch = 3 mm

Total number of channels = 58368

HV feedthroughs

Signal feedthroughs



2 independent aluminum containers  
each one transportable inside the GS  
Laboratory

# T600 assembly schedule

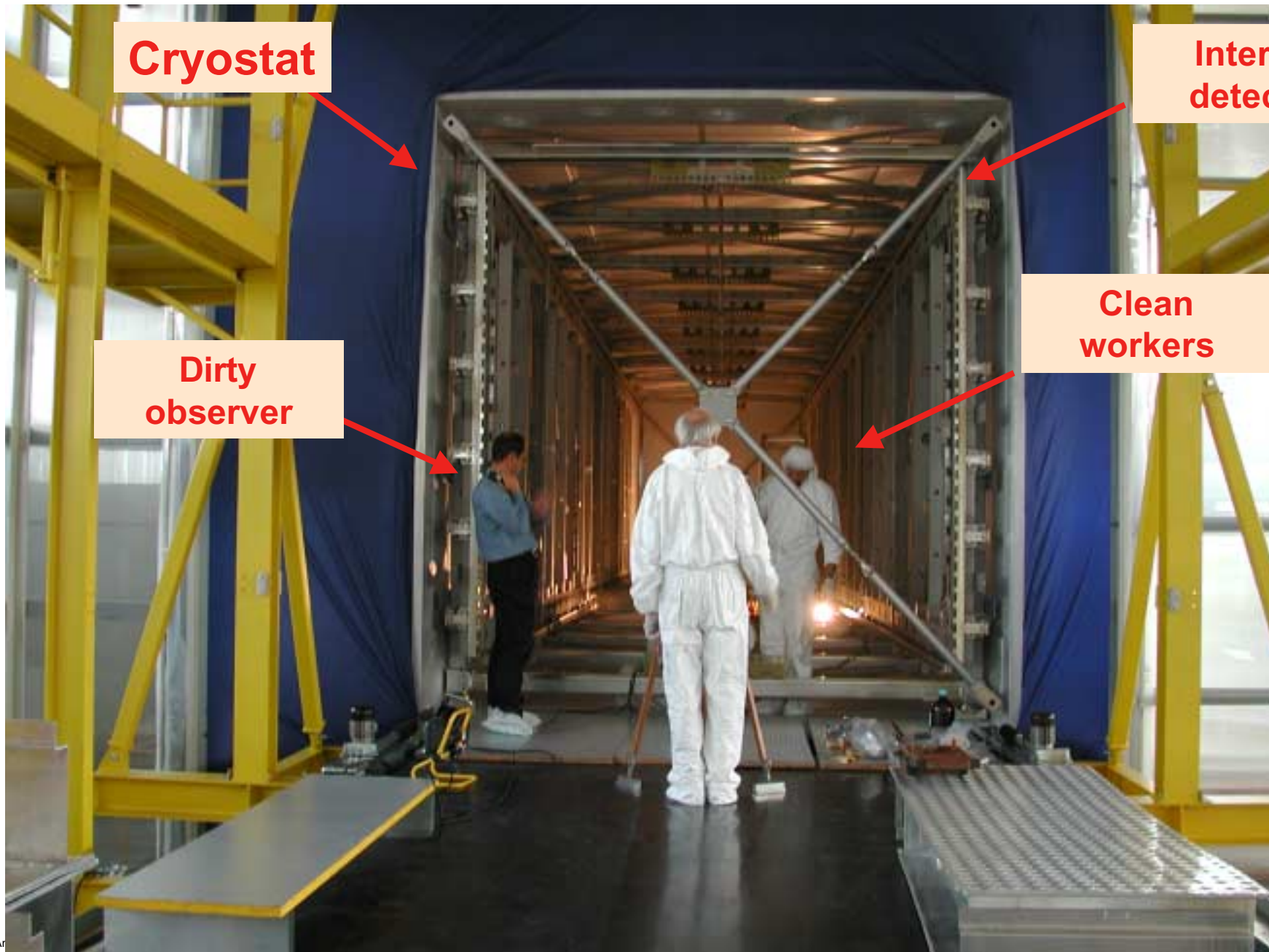
- ★ Completed **site preparation** in Pavia for the T600 cryostat (**Nov 1999**)
  - “clean room”, “assembly island”, floor, ...
- ★ Delivery of the **1<sup>st</sup> cryostat** by AirLiquide (**Feb 2000**)
  - Successful vacuum tightness and mechanical stress tests
- ★ Beginning of **assembly of the internal detector mechanics** (**Mar 2000**)
- ★ Completion of assembly and positioning of inner detector frames (**Jul 2000**)
- ★ Installation of **30000 wires + signal cables** (**Jul 2000-Oct 2000**)
- ★ Delivery of the **2<sup>nd</sup> cryostat** of AirLiquide (**Aug 2000**)
  - Successful vacuum tightness and mechanical stress tests
- ★ Installation of **scintillation light** and all **slow control devices** (**Jul 2000-Dec 2000**)
- ★ **H.V. and field electrodes system** installation (**Oct 2000- Jan 2001**)
- ★ Installation of the **48 electronic racks** on top of dewar (**Dec 2000-ongoing**)
- ★ Installation of **external heat insulation** (for both dewars) and **LAr and LN<sub>2</sub> cryogenic circuits** (**Dec 2000-Jan 2001**)
- ★ Semi-module now ready to be sealed.

# *First half-module delivery in Pavia (Feb 29, 2000)*





## *Assembly of the T600 internal detector (Mar-Jul 2000)*



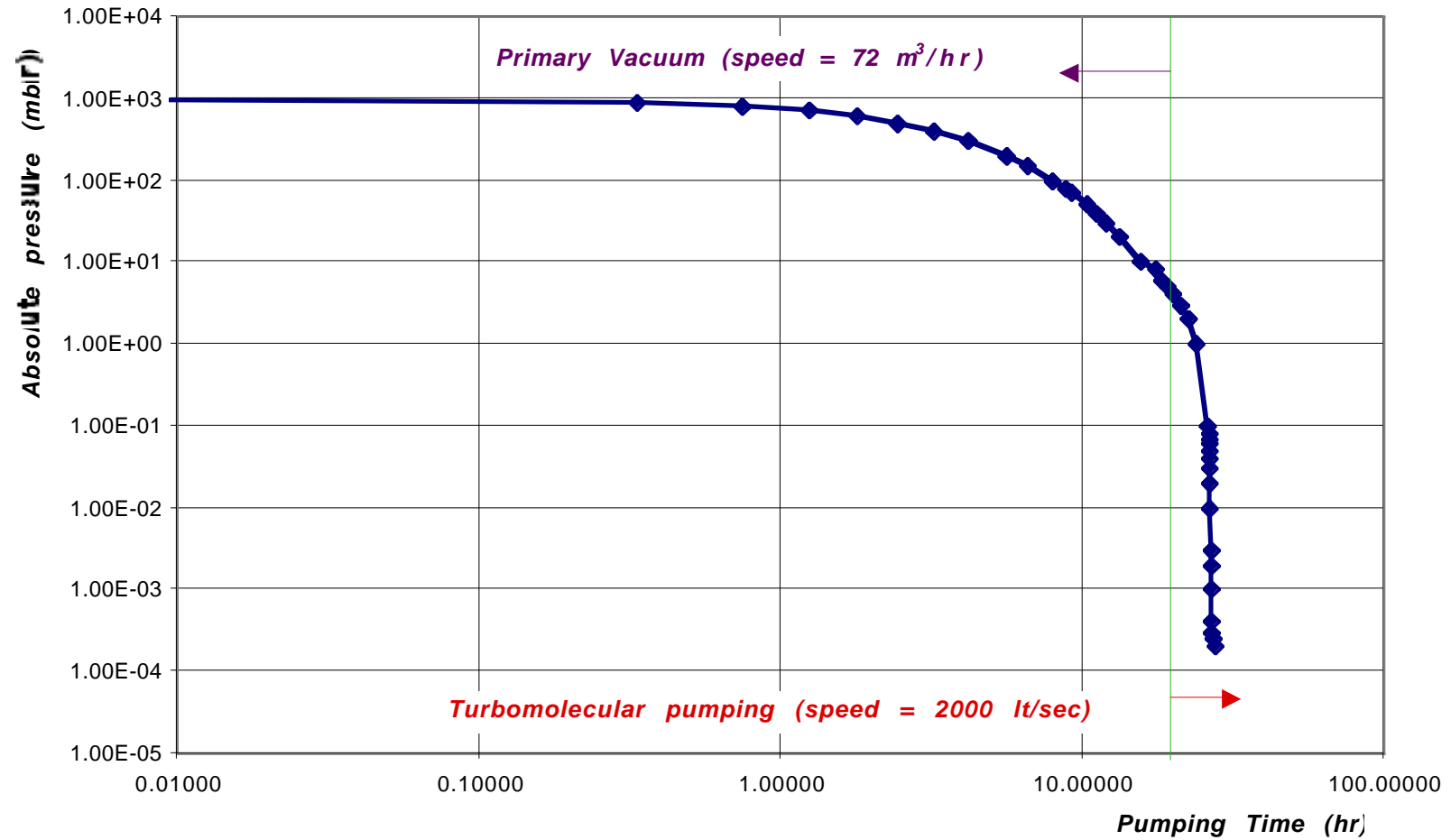


## *Second half-module during the vacuum test (Jul 2000)*



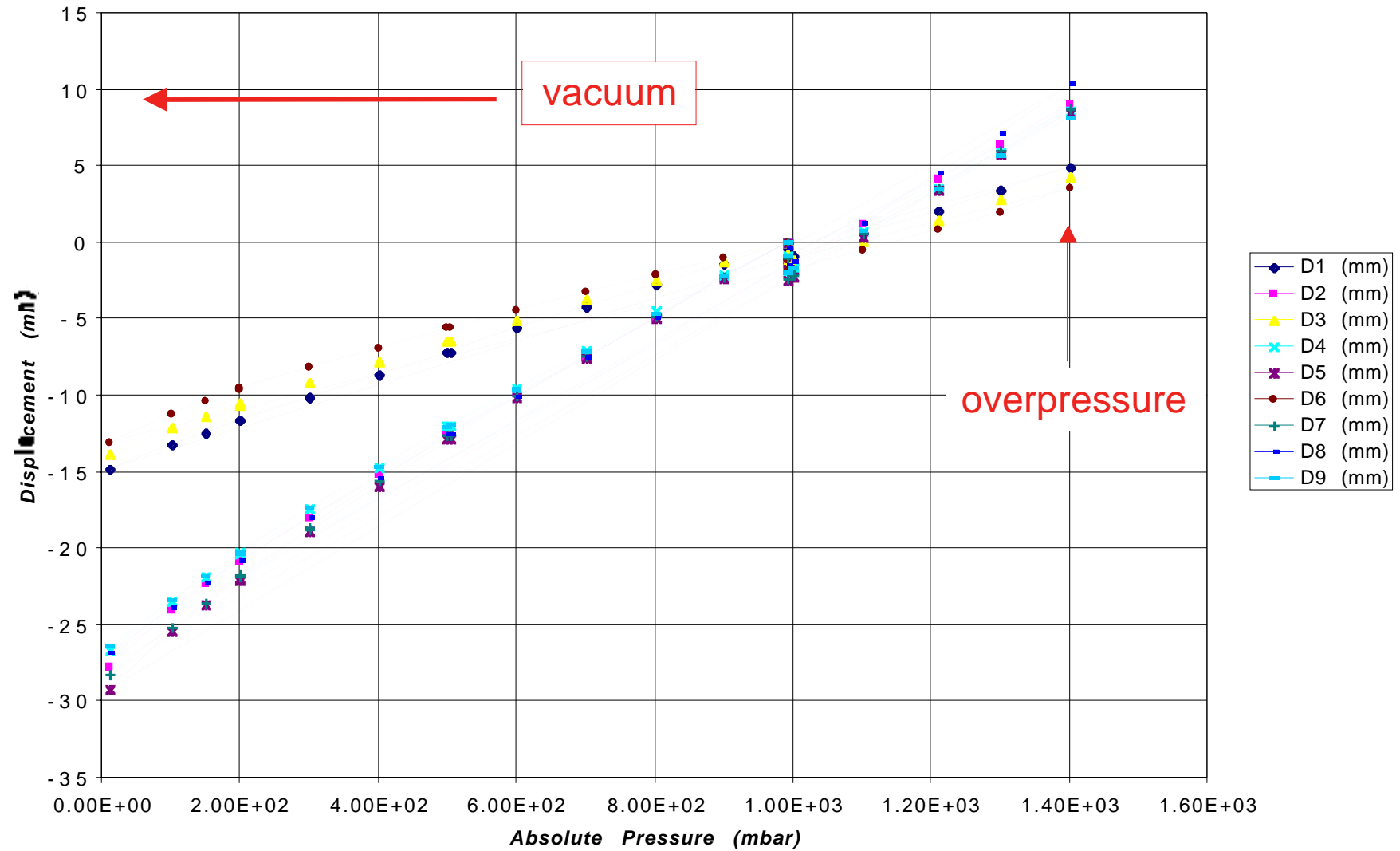
# Vacuum Tests - Second half-module

## Vacuum curve

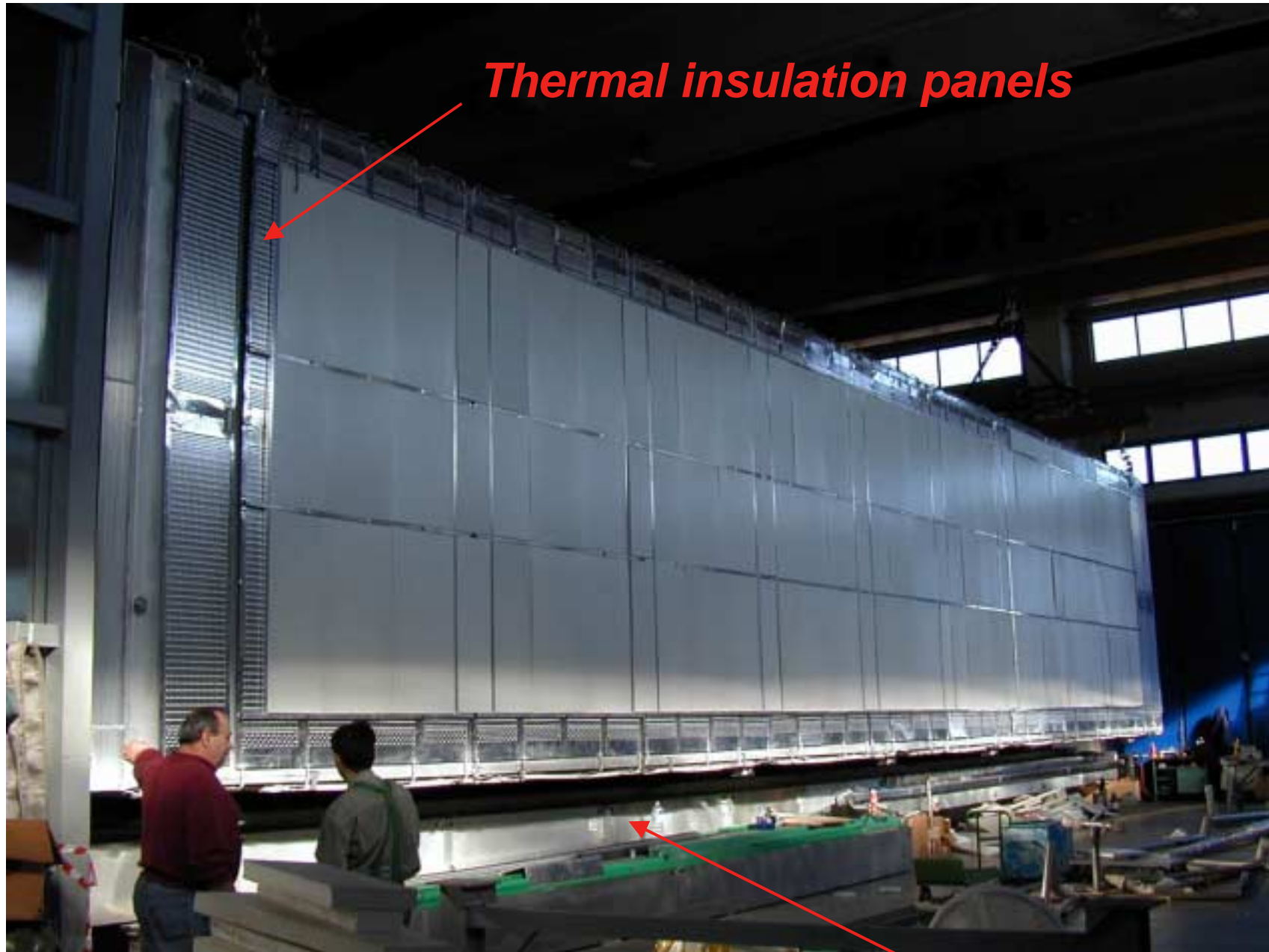


# Dewar behaviour under inner pressure change

Walls Displacement:



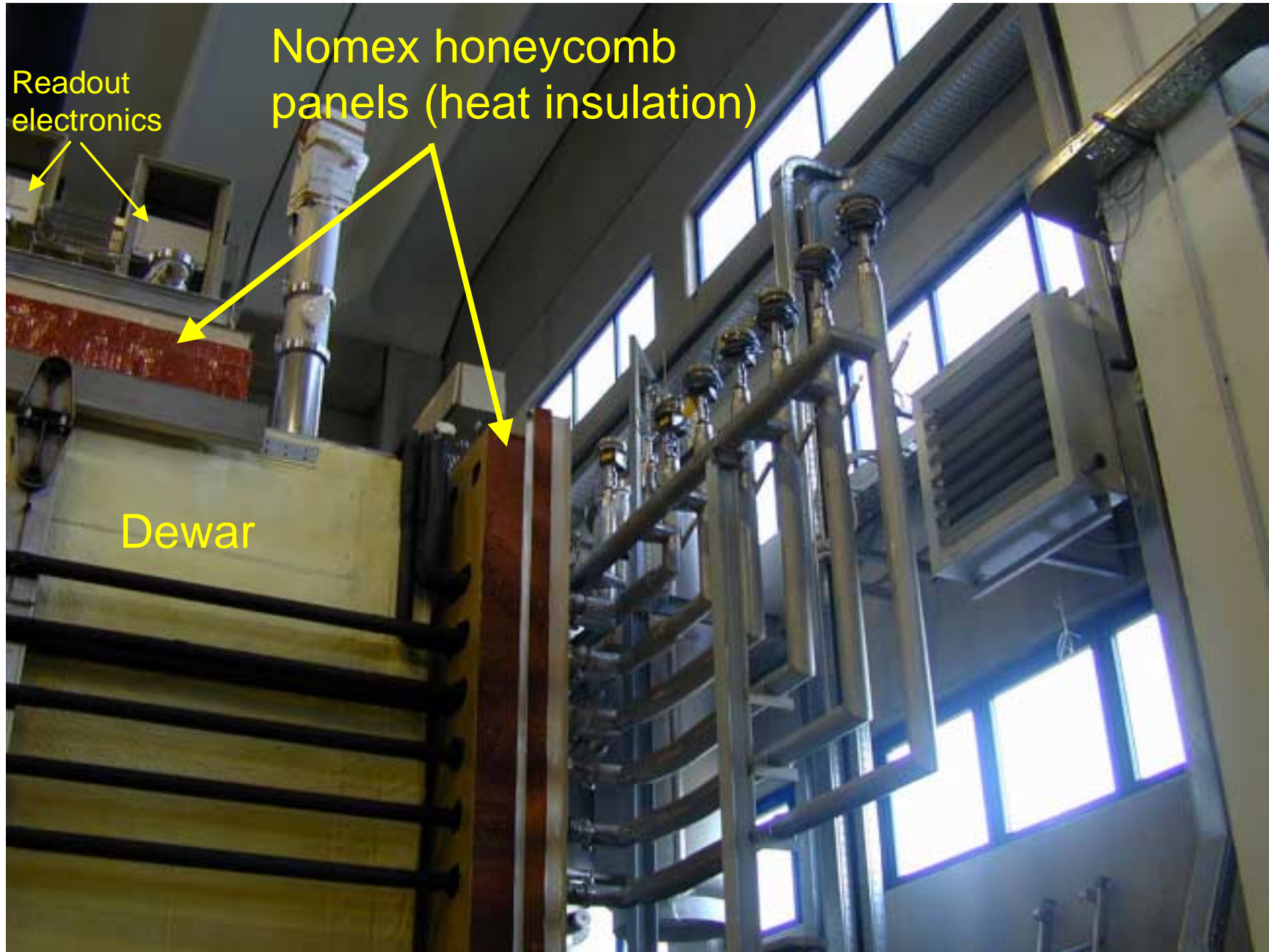
## *Second half-module (delivered Aug 2000)*



*Thermal insulation panels*

*Thermal floor*







## *Installation slow control devices (Jul 2000)*

*Wire stretching  
sensor*







**Wire installation in T600 internal detector (Jul-Oct 2000)**



*The three wire planes at  $0^\circ, \pm 60^\circ$  (wire pitch = 3mm)*

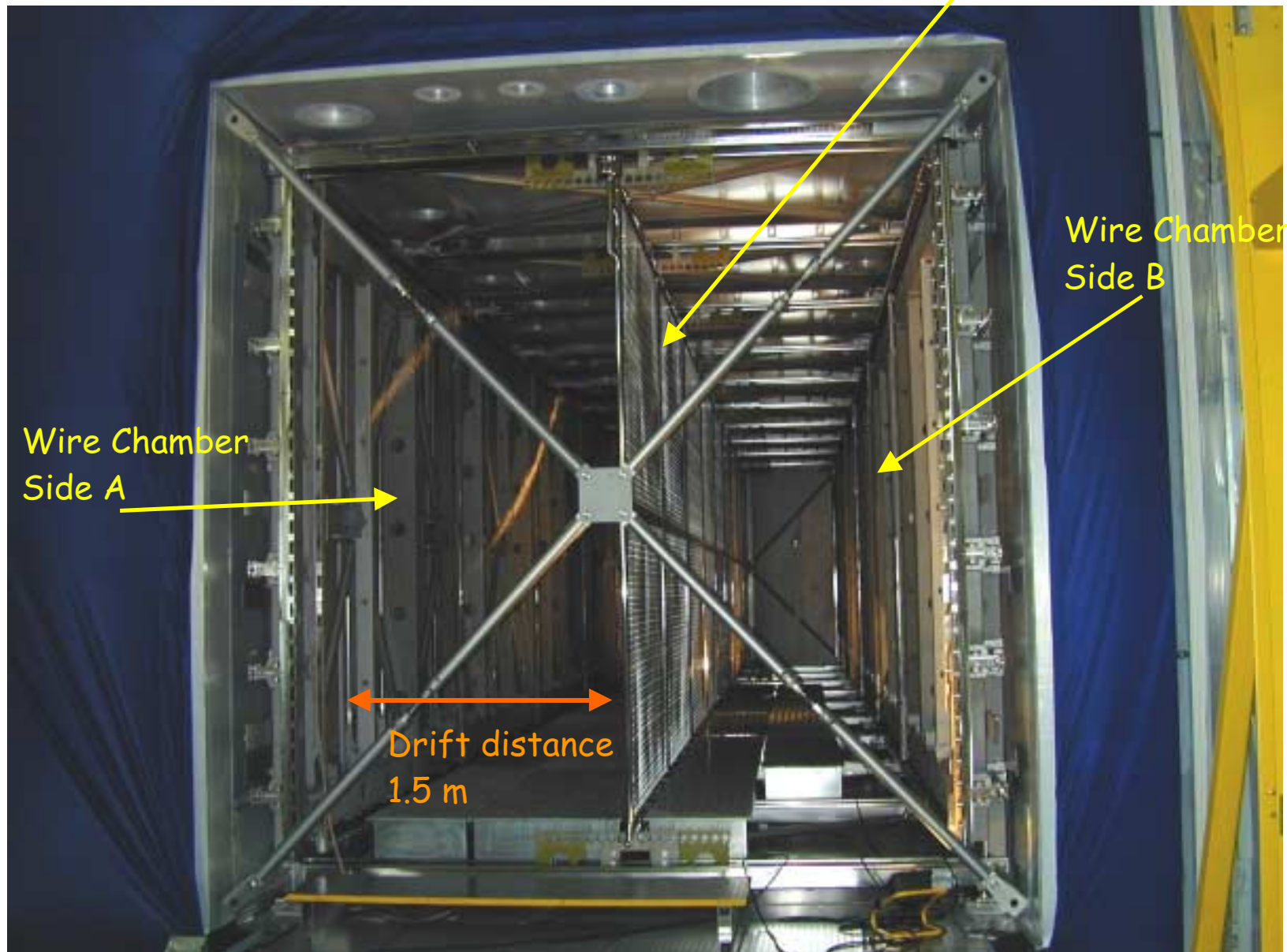


## *Wires crossing the spacers (wire pitch = 3mm)*





# T600 - Completed Internal Detector view

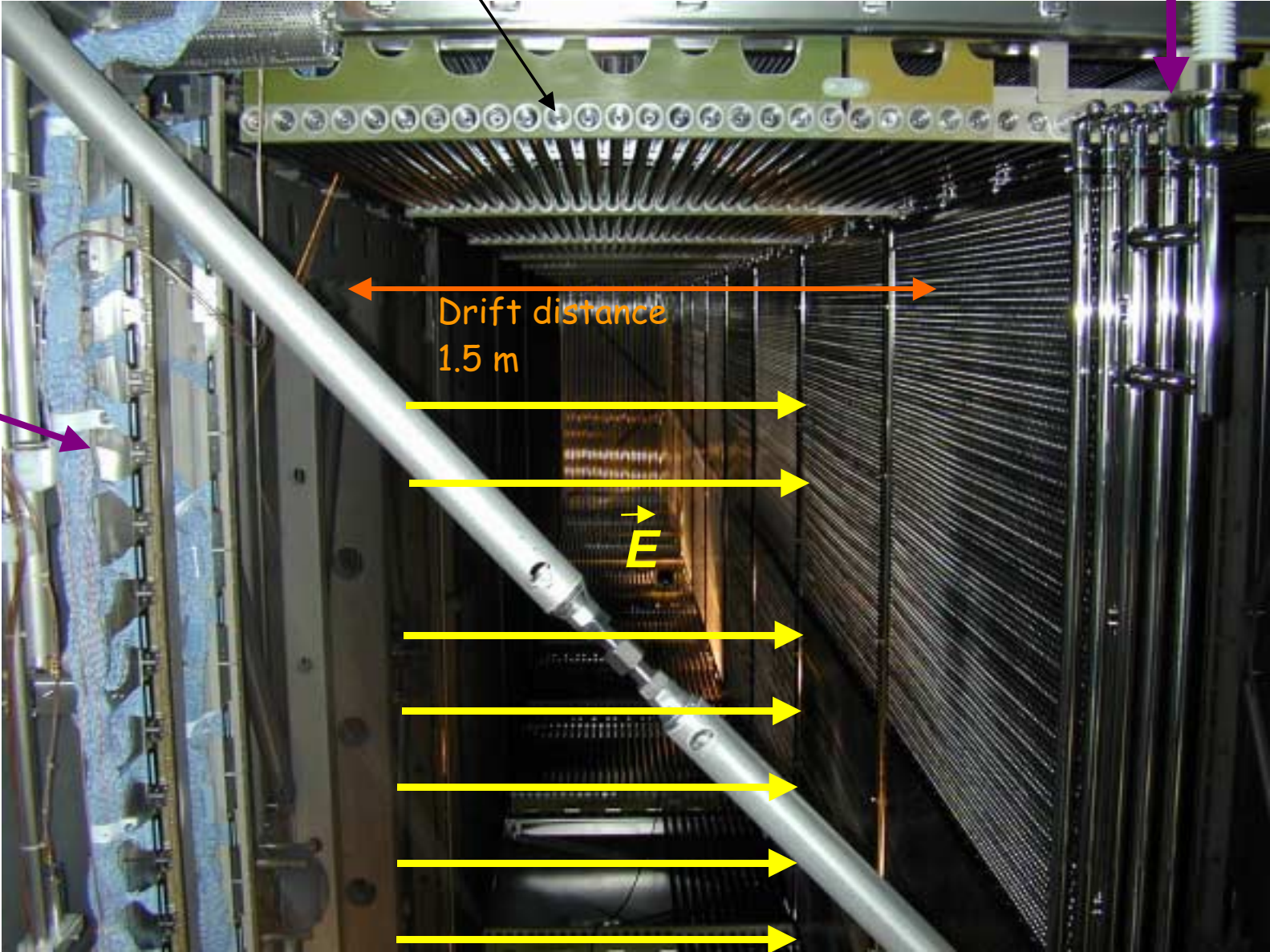


# Drift H.V. and field electrodes system

Race-track

-75kV

Horizontal wires readout cables

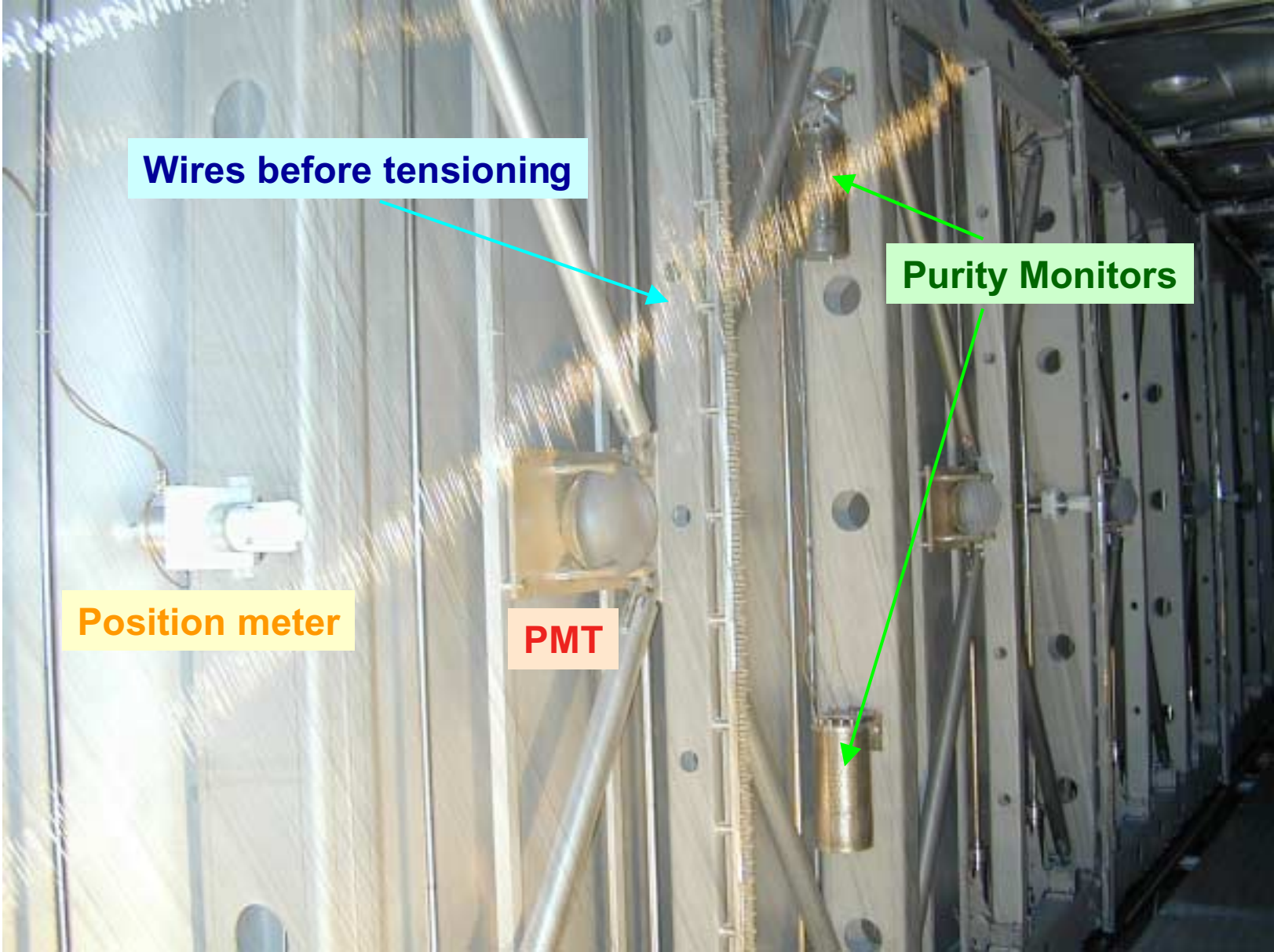


# Slow control system and scintillation light detection

- ★ Several **instrumentation**, most of it custom designed to work at LAr temperature in high purity environment, has been built tested and installed:
  - LAr Purity monitors
  - High precision LAr level meters
  - Position meters for the wires tensioning springs and for the container walls
  - Temperature probes
- ★ **Detection of LAr scintillation light** (VUV  $\lambda=128$  nm, attenuation length in LAr  $\approx 90$ cm,  $1\div 2\times 10^4$   $\gamma$  per MeV deposited)
  - Provide **help for triggering** and  **$T_0$  measurement**.
  - Bare PMT's immersed in LAr with wavelength shifter deposited on the glass window
    - Test and qualification of PMT at LAr temperature: 8 inches EMI PMTs with special treated bialkali photocathode to work at cryogenic temperature.
    - Choice of most efficient **wavelength shifter** (**TPB** = TetraPhenylButadiene), deposition method (spray), aging properties, pollution of LAr, etc.



# *Slow control sensor (behind wire planes)*



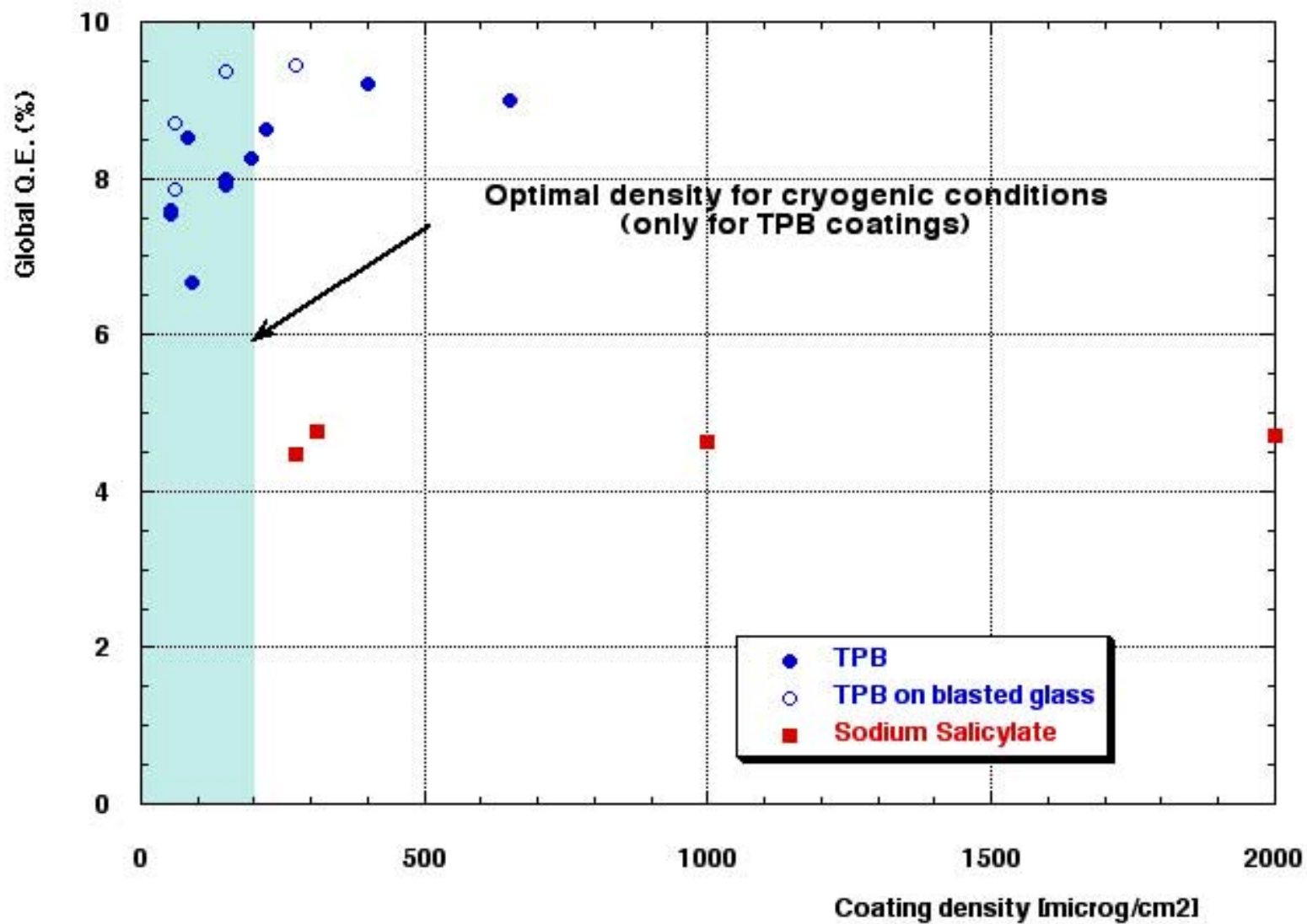


***Scintillation light collection  
(in total 20 PMTs on detector walls)***



***8" PMT coated with TPB***

## Global Quantum Efficiency (PMT + wavelenght-shifter coating)



## *Readout electronic installation on top of dewar (Dec 2000-now)*

Electronic rack

chimneys





## *Readout electronic installation on top of dewar (Dec 2000-now)*





*Man-hole (after sealing, the only way to get inside!)*



# Perspectives of ICARUS

## ★ The ICARUS T600 detector

→ has a **physics program** of its own, immediately relevant for neutrino oscillation physics: **solar+SN neutrinos, atmospheric neutrinos**

→ Though with limited statistics, due its relatively small mass, compared to the standard for underground detectors set by the operating SuperKamiokande.

## ★ However, the T600 should also be considered as one more step towards larger detector masses.

→ solving technical issues associated with actual operation of a large mass LAr device in an underground site (LNGS Tunnel).

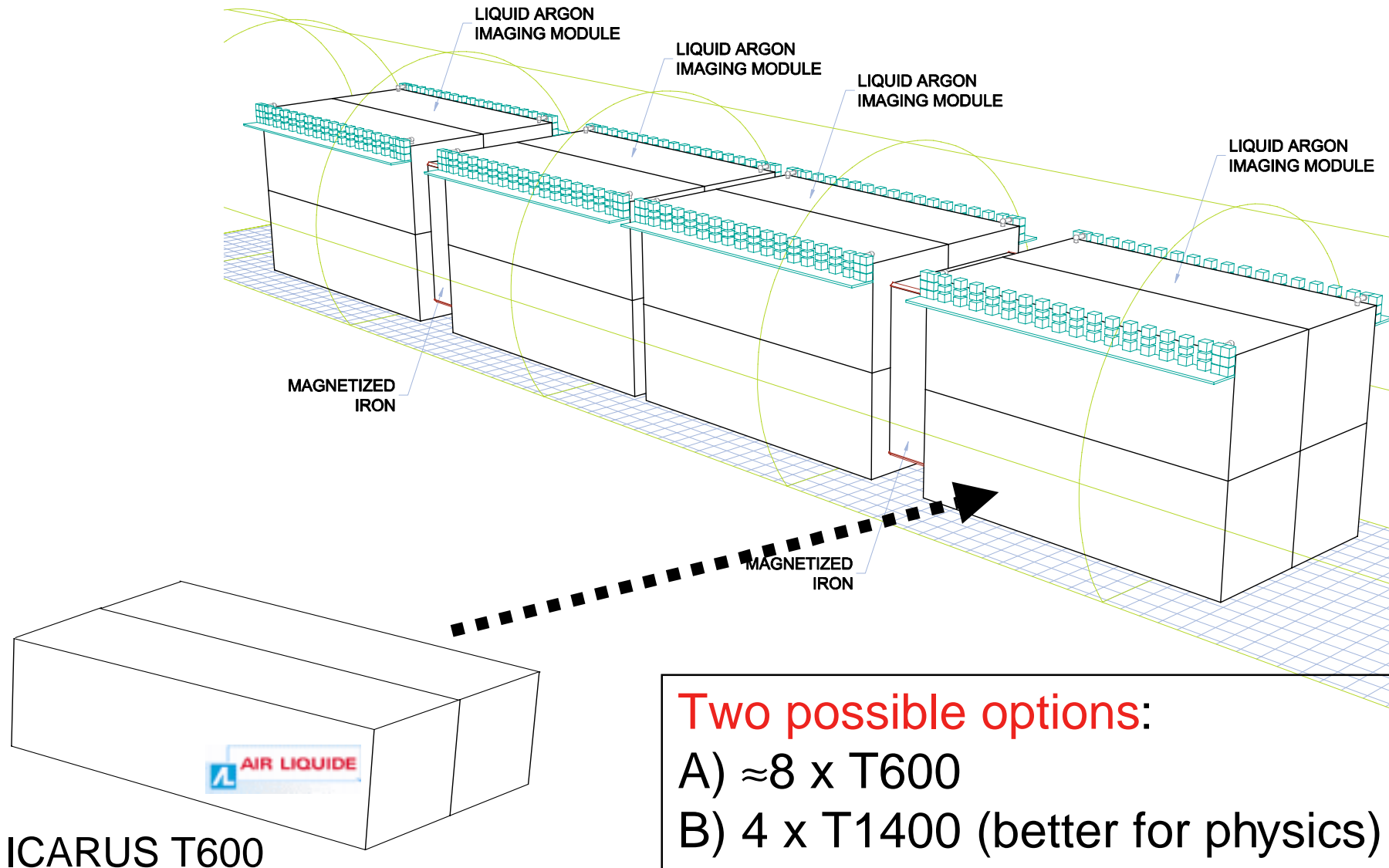
→ fully establish the imaging, PID, calorimetric energy reconstruction capabilities of REAL events, during steady detector operations

→ In situ proof of actual physics performance of this novel detector technique, in particular measurement of backgrounds, extrapolable to larger mass detectors

## ★ Physics issues for both present and future LAr detectors:

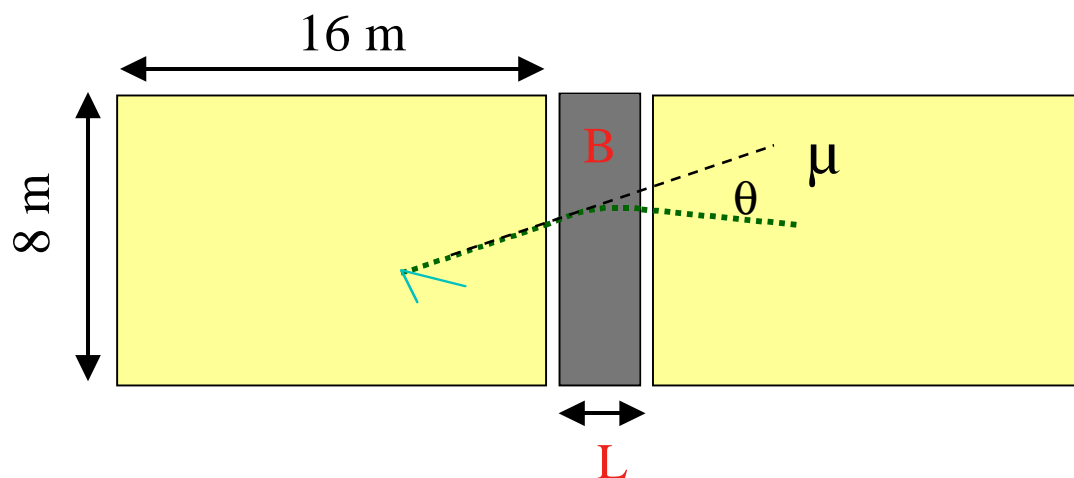
Atmospheric  $\nu$   
Solar+SN  $\nu$   
CNGS+Nufactory  $\nu$   
p decay

# Proposed setup ICARUS 5kt in LNGS Hall B



# Muon bending measurement

- ★ We consider a design in which the muon escaping the liquid Argon is bent by a magnetized piece of iron

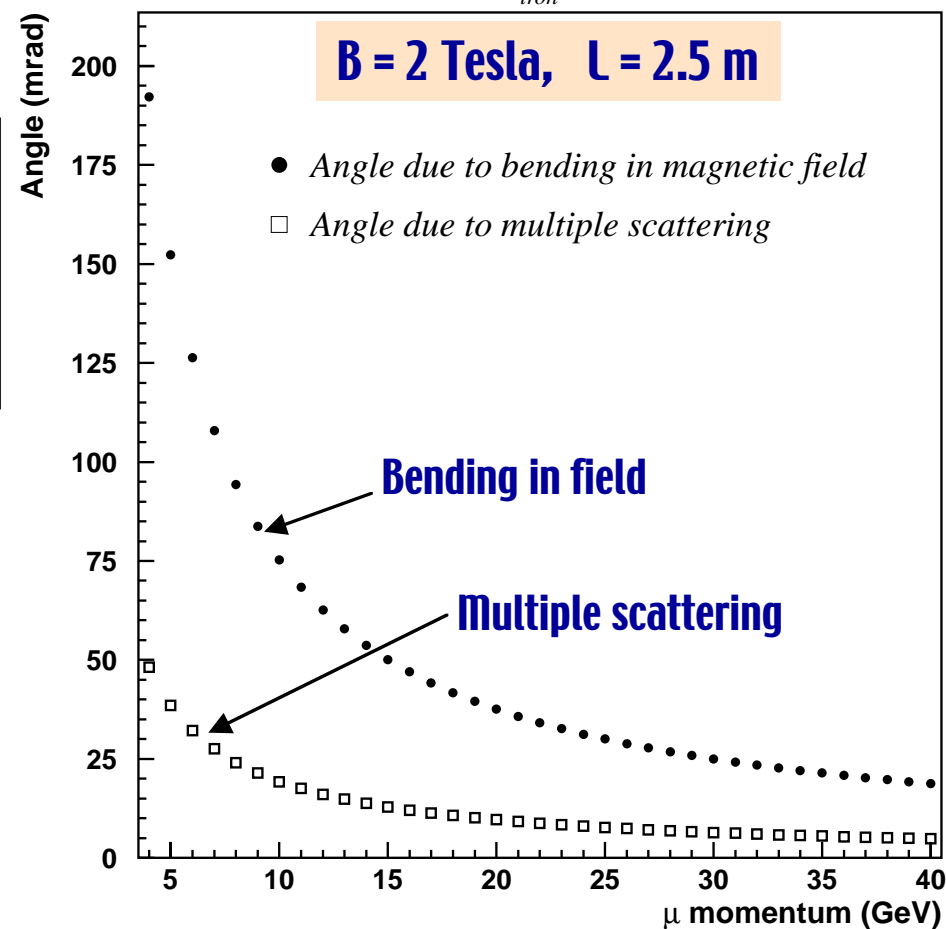


The bending angle  $\theta$  is measured with the tracks observed in two subsequent liquid argon module

$$\Delta p/p \approx 25\%$$

Charge confusion:  $\sim 10^{-4}$

$B=2.0\text{ T}, L_{\text{iron}} = 2.5\text{ m}$





# The $\nu$ oscillation framework: Three flavor mixing!

Weak eigenstates  $\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$  ← Mass eigenstates

$P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) = P_{CP}(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \pm P_{CP}(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$

$P_{CP} = \delta_{\alpha\beta} - 4 \sum_{j>k} \text{Re } J_{\alpha\beta jk} \sin^2 \Delta_{jk}$  ← CP-conserving

$P_{CP} = 4 \sum_{j>k} \text{Im } J_{\alpha\beta jk} \sin \Delta_{jk} \cos \Delta_{jk}$  ← CP-violating

$J_{\alpha\beta jk} = U_{\alpha k} U_{\beta k}^* U_{\alpha j}^* U_{\beta j}$  ← Mixing strength

$\Delta_{jk} = \frac{1.27 \Delta m_{jk}^2 L}{E}$  ← Oscillatory pattern

$\Delta m_{jk}^2$  in  $\text{eV}^2$ ,  $L$  in  $\text{km}$ ,  $E$  in  $\text{GeV}$

In general, the oscillation pattern may be complicated and involve **a combination of transitions** to  $\nu_e, \nu_\mu, \nu_\tau$  and by symmetry with quark sector **it is natural to expect CP violation** at some level.

# Three family oscillations

➔ **Parameterization la CKM :**

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

$U_{e3}$

➔ **Current standard mass and mixing assignment:**

Atmospheric anomaly:  $\nu_\mu \rightarrow \nu_\tau$

$$\Delta m_{32}^2 \approx \Delta m_{31}^2 \approx 3 \times 10^{-3} \text{ eV}^2, \quad \theta_{23} \approx 45^\circ$$

$\nu_\mu \rightarrow \nu_e$      $\nu_e \rightarrow \nu_\tau$

$\theta_{13}$  (small)

Solar deficit:  $\nu_e \rightarrow \nu_{\mu/\tau}$

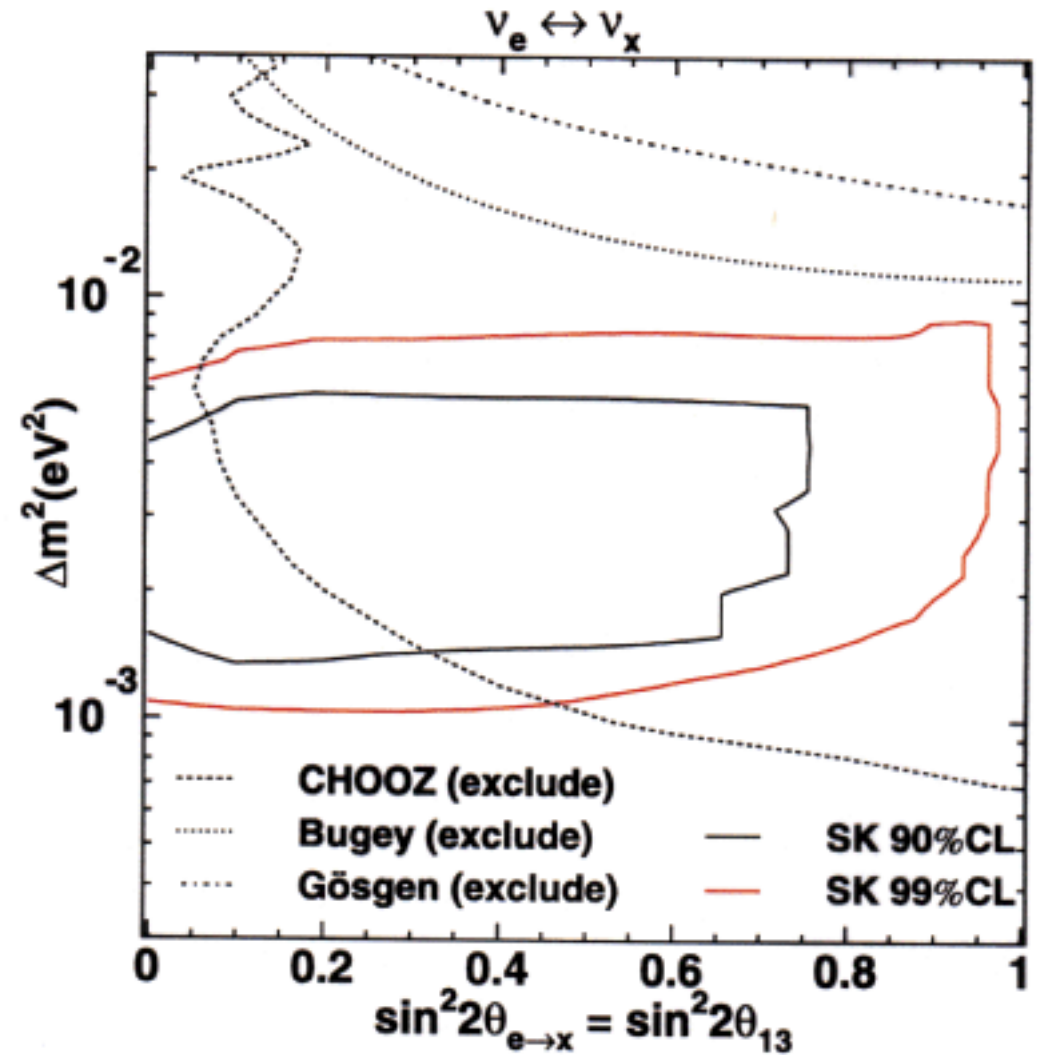
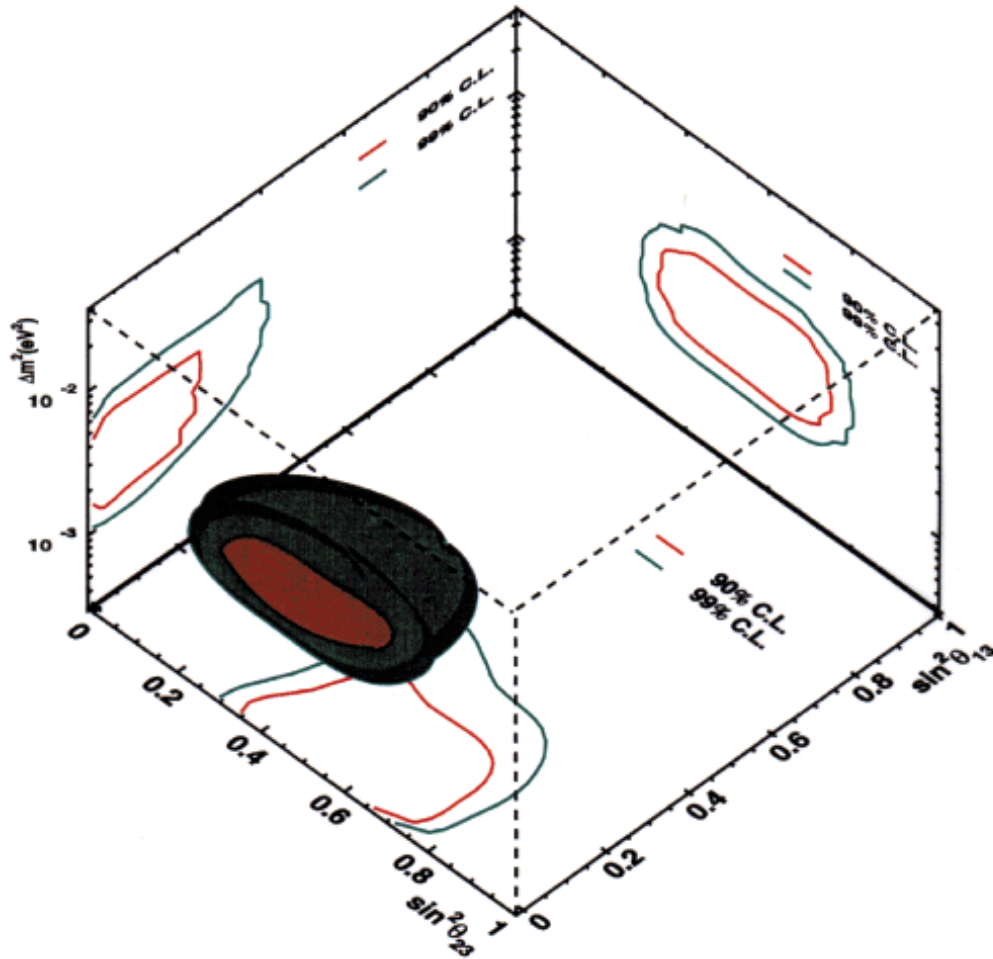
$\Delta m_{12}^2, \theta_{12}, \theta_{23}$

$P(\nu_\alpha \rightarrow \nu_\beta) \stackrel{?}{\neq} P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$

$\delta \neq 0?$

# 3 flavor mixing analysis of SuperKamiokande

PRELIMINARY



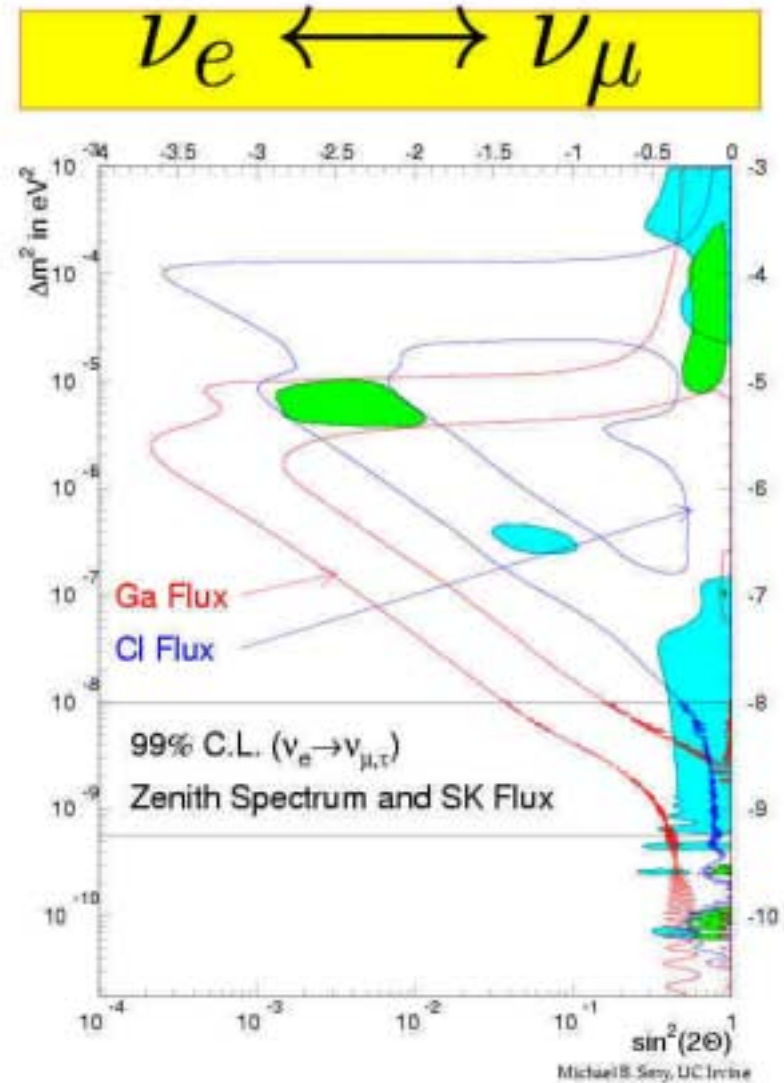
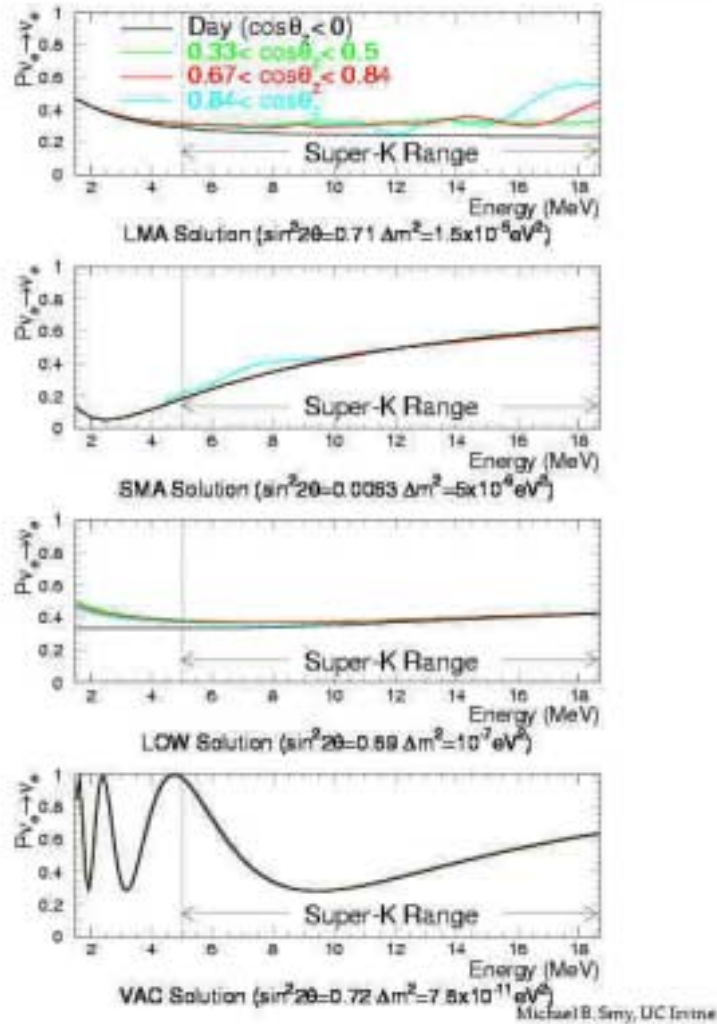
**Atmospheric neutrinos  
analysis**

K. Nakamura, NUFACT00, Monterey  
(USA), May 2000



# Solar neutrinos

## Survival Probabilities



No smoking gun ?

M.B. Smy, NOON2000, Tokyo  
 (Japan), Dec 2000

# ICARUS physics potential (I)

## 👉 Atmospheric neutrinos

### \*Improvements over existing detection technique

- Detection down to production thresholds
- Complete event final state reconstruction
- Identification all neutrino flavors
- Identification of neutral currents

### \*Excellent resolution on L/E reconstruction

### \*Direct $\tau$ appearance search

$$\Delta m^2_{32}, \theta_{23}$$

$$\Delta m^2_{12}$$

## 👉 Neutrinos from CERN

### \*Search for $\nu_\mu \rightarrow \nu_\tau$

### \*Search for $\nu_\mu \rightarrow \nu_e$

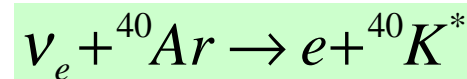
$$\Delta m^2_{32}, \theta_{23}, \theta_{13}$$

## 👉 Solar neutrinos

### \*Energy threshold: 5 MeV

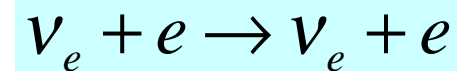
### \*Large statistics, high precision measurements

### \*Experimental signal



Absorption

$$\Delta m^2_{12}, \theta_{12}$$



Elastic

# ICARUS physics potential (II)

## 👍 Proton decay

\* Large variety of decay modes accessible

⇒ study branching ratios free of systematics

\* Background free searches

⇒ linear gain in sensitivity with exposure

Physics at Unification scales?

$$m_\nu \stackrel{?}{=} \frac{m}{M_{heavy}}$$

## 👍 Neutrinos “factory”

\* Precise measurement of  $\Delta m^2_{23}$ ,  $\Theta_{23}$ ,  $\Theta_{13}$

\* Matter effects, sign of  $\Delta m^2_{23}$

\* First observation of  $\nu_e \rightarrow \nu_\tau$

\* CP violation

$\Delta m^2_{32}$ ,  $\theta_{23}$ ,  $\theta_{13}$

$\Delta m^2_{32} > 0$  or  $\Delta m^2_{32} < 0$  ?

Unitarity of mixing matrix

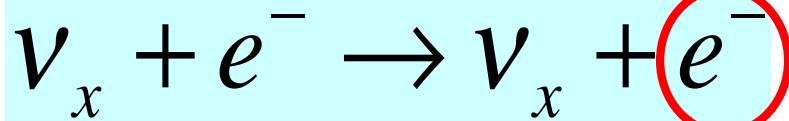
$\delta \neq 0$  ?



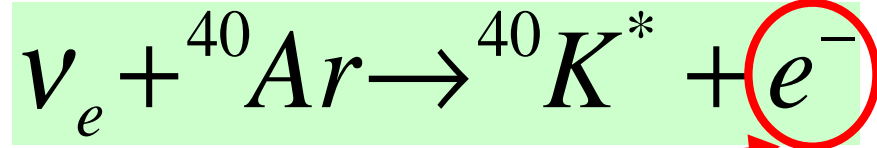
# Solar neutrinos detection in ICARUS

- ❖ Two reactions can be measured independently:

Elastic scattering on  
atomic electron



$\nu$  absorption on  
Argon nuclei

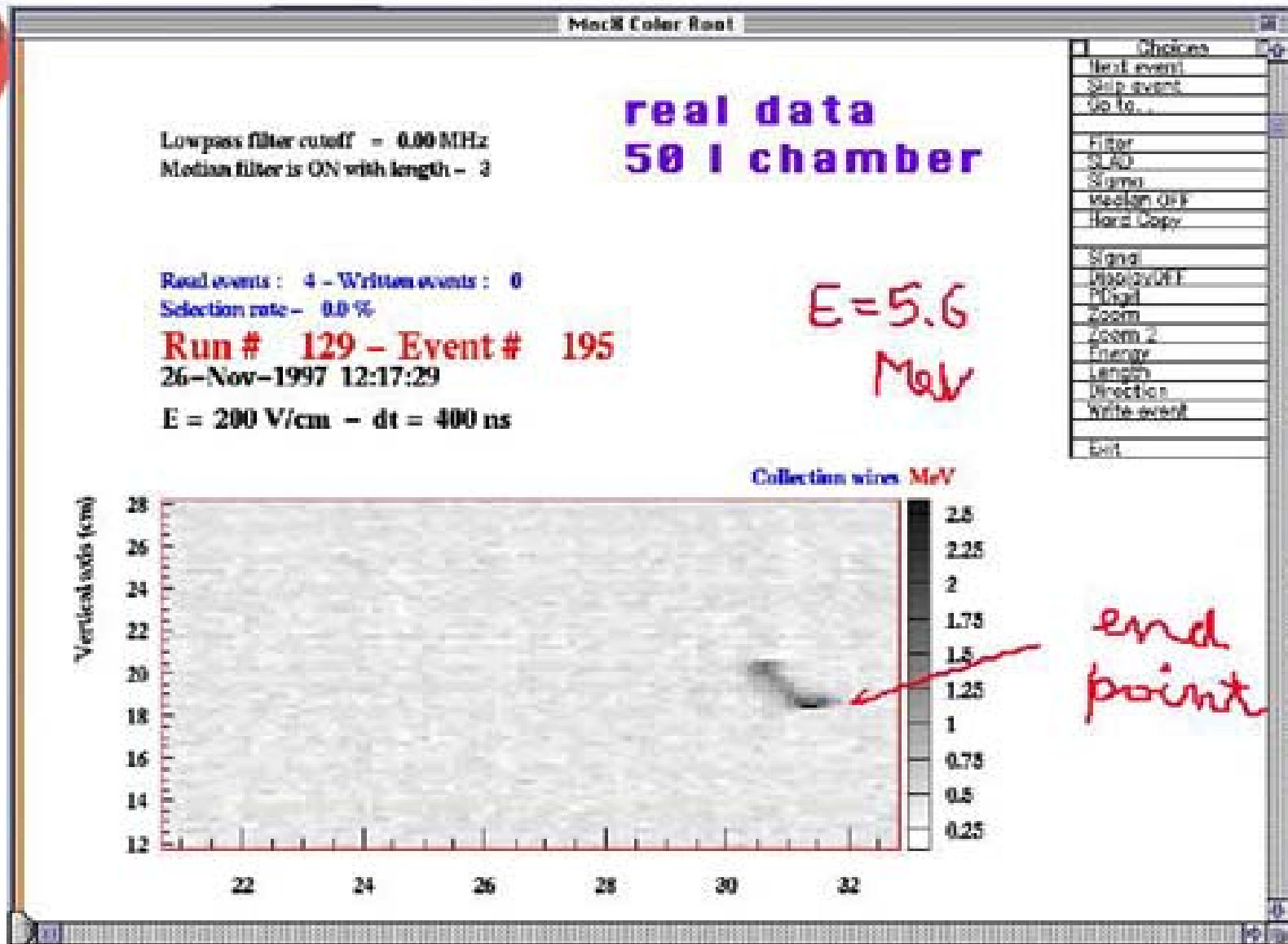
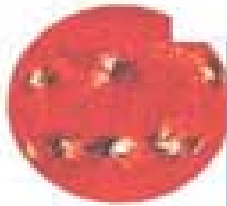


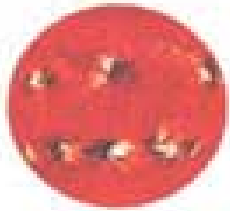
- ❖ **Signature:**

- ❖ Primary electron track
- ❖ Absorption: surrounded by low energy secondary tracks ( ${}^{40}\text{K}^*$  de-excitation).
- ❖ Prototype setup: electron track visible down to kinetic  $T=150$  KeV
- ❖ Electron track **threshold** = **5 MeV** (needed to reduce background contribution and to establish the  $e^-$  direction in elastic scattering).
- ❖ Sensitive to  ${}^8\text{B}$  component of the solar spectrum.

# Radioactive source: 6 MeV $\gamma$ 's

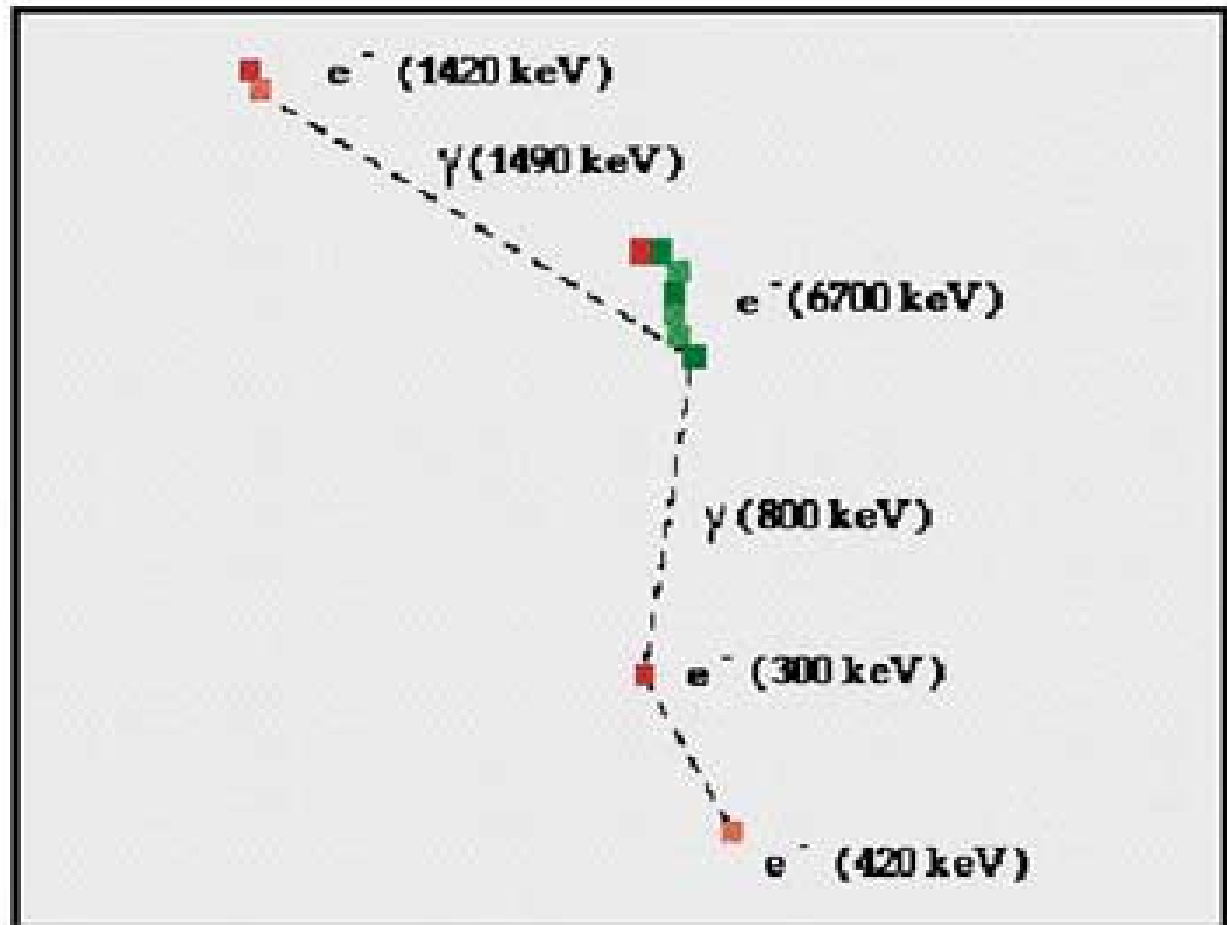
7





## Typical Montecarlo Gamow -Teller digitised event

$E_{\text{main electron}}$  = 6788 keV  
 Associated compton energy = 2148 keV  
 Multiplicity = 3





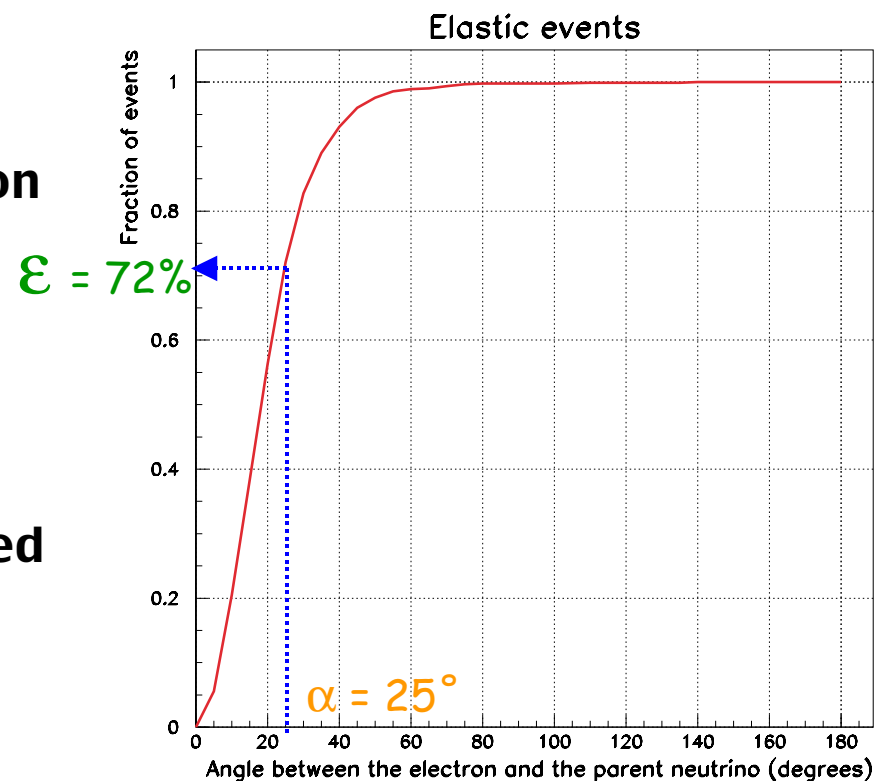
# Signal selection

## ★ Elastic events:

→ Angular distribution of the electron peaked in the solar  $\nu$  direction:

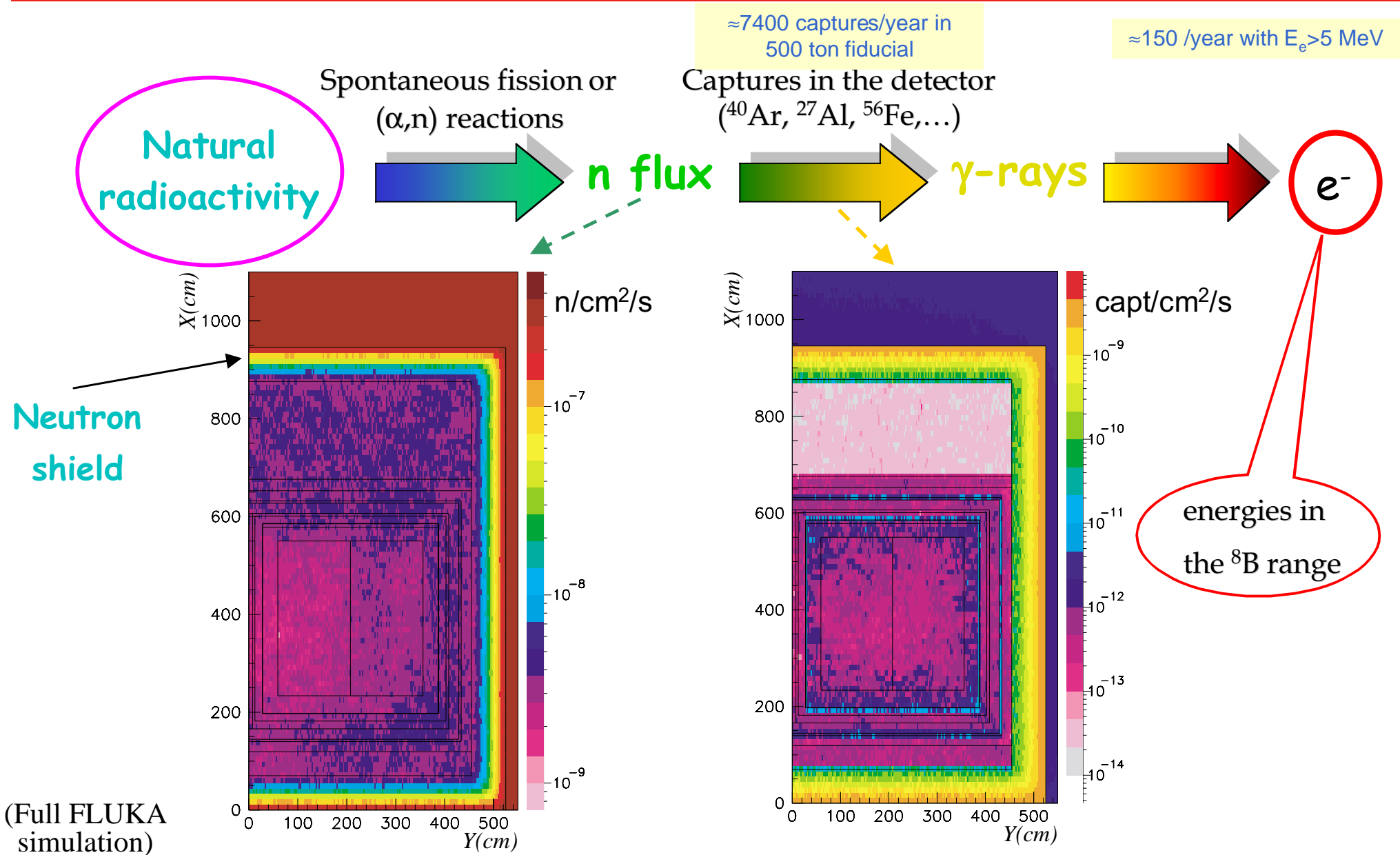
## ★ Absorption events:

→ Electron track directions can be considered isotropically distributed



The off-line selection can be done in terms of the **energy of the main electron** and the correlation between **multiplicity and energy of the associated tracks**.

# Solar $\nu$ 's background events



# Solar neutrino rates and sensitivity

470 ton fiducial, all cuts imposed

Events/year

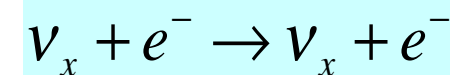
Elastic channel	212
Background	6
Absorption channels	759
Background	26

Events per year for a 600 ton detector

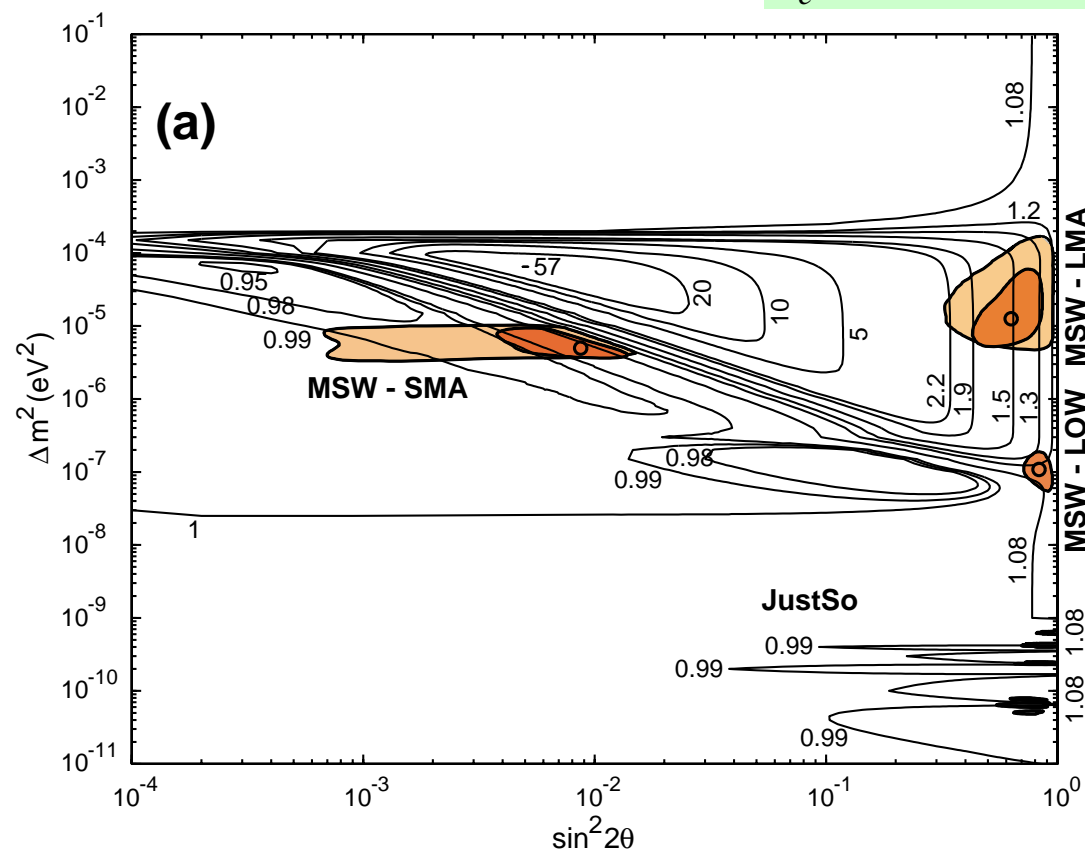
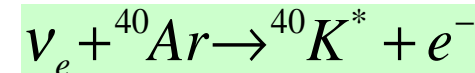
Te (MeV)	Neutrons
0.0	7400
1.0	3404
2.0	1554
3.0	696
4.0	318
5.0	144
6.0	66
7.0	30
8.0	13

$$R \equiv \frac{N^{ES} / N_{theory}^{ES}}{N^{ABS} / N_{theory}^{ABS}}$$

ES=elastic scattering



ABS=absorption events

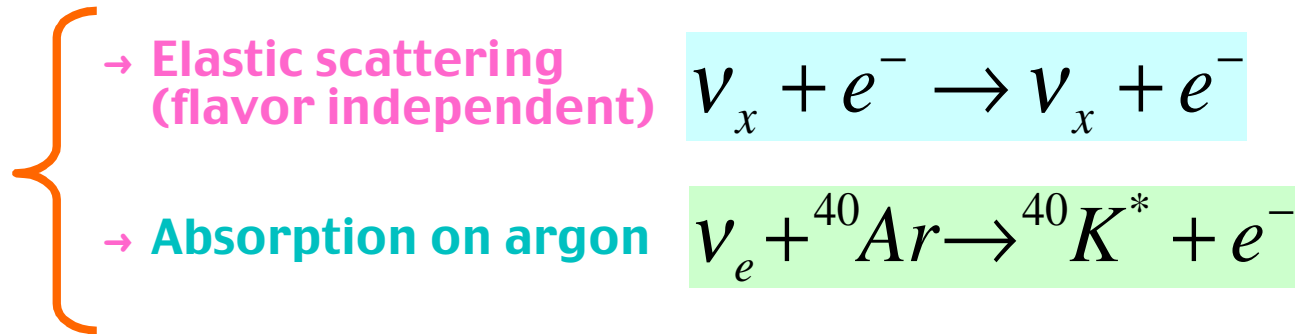


$$\Delta R / R \approx 7\% (1kt \times yr), 5\% (2kt \times yr), 4\% (4kt \times yr)$$



# Supernova neutrinos detection in ICARUS

- ★ ICARUS can detect neutrinos coming from stellar collapses in our Galaxy via 2 processes:

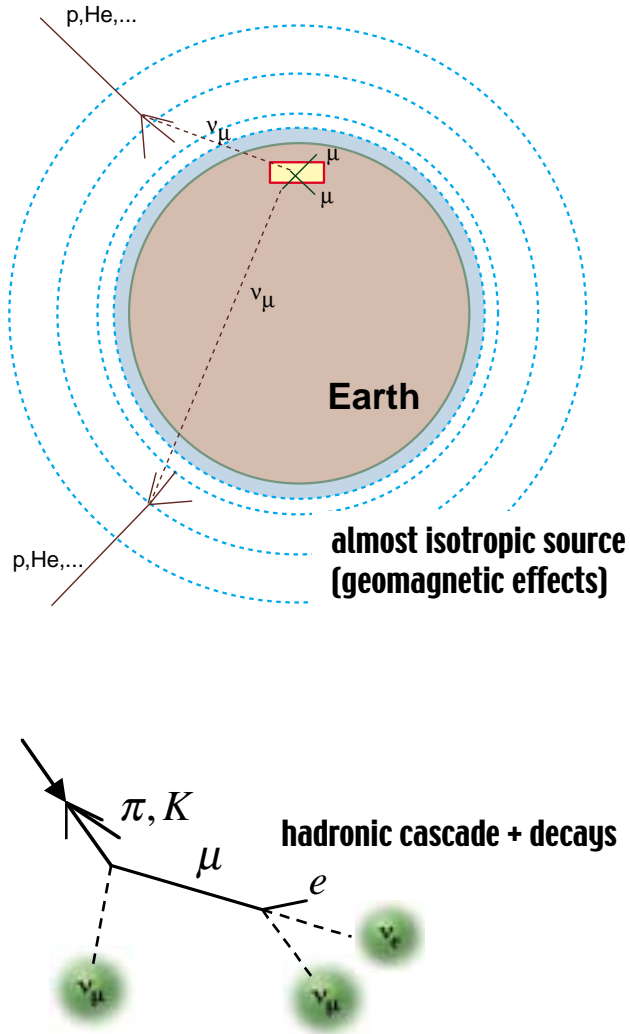


Expected events from a stellar collapse occurred at 10 kpc		
600 ton	5 kton	30 kton
8	70	400

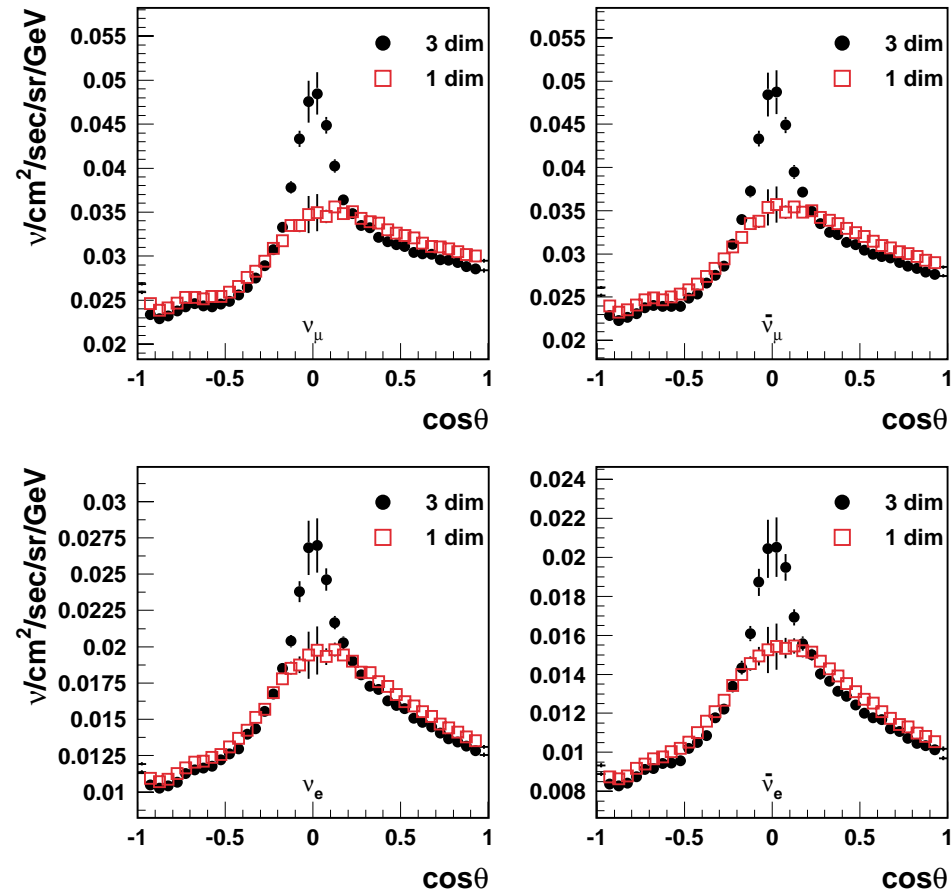
$$N_\nu = 8 \times 10^{57}$$

# Atmospheric neutrinos

$0.4 < E_\nu < 1$  GeV at Gran Sasso



almost isotropic source  
(geomagnetic effects)



Simulation based on FLUKA interaction and transport code  
(extensively benchmarking against data)

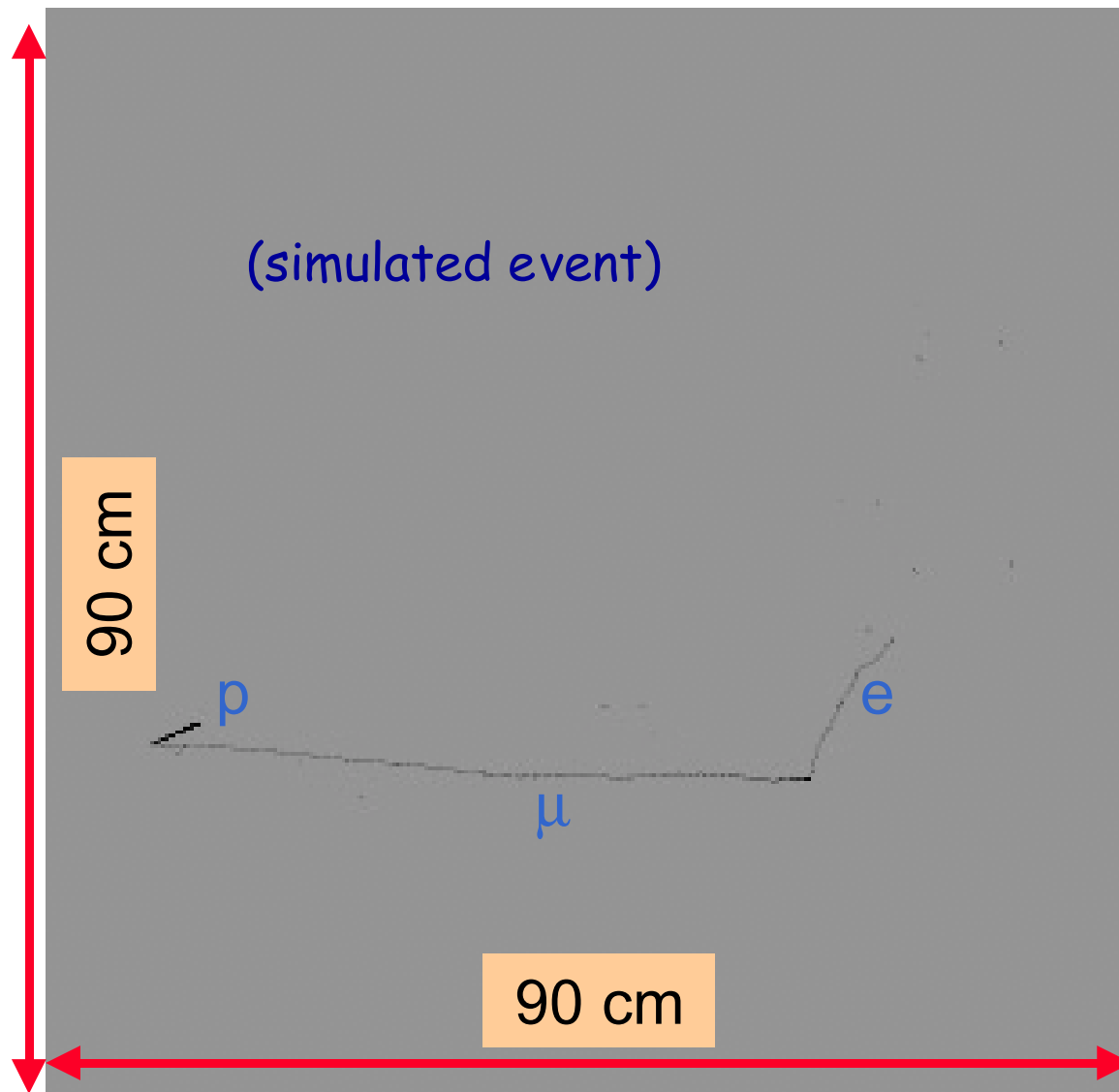
3D representation of Earth and atmosphere

Geomagnetic effects included

All relevant physics taken into account: energy losses, polarized decays

# Atmospheric $\nu_\mu$ CC

## Atmospheric $\nu$ 's



$\nu_\mu$  Q-el. interaction

$$E_\nu = 370 \text{ MeV}$$

$$P_\mu = 250 \text{ MeV}$$

$$T_p = 90 \text{ MeV}$$

# Atmospheric $\nu_e$ CC

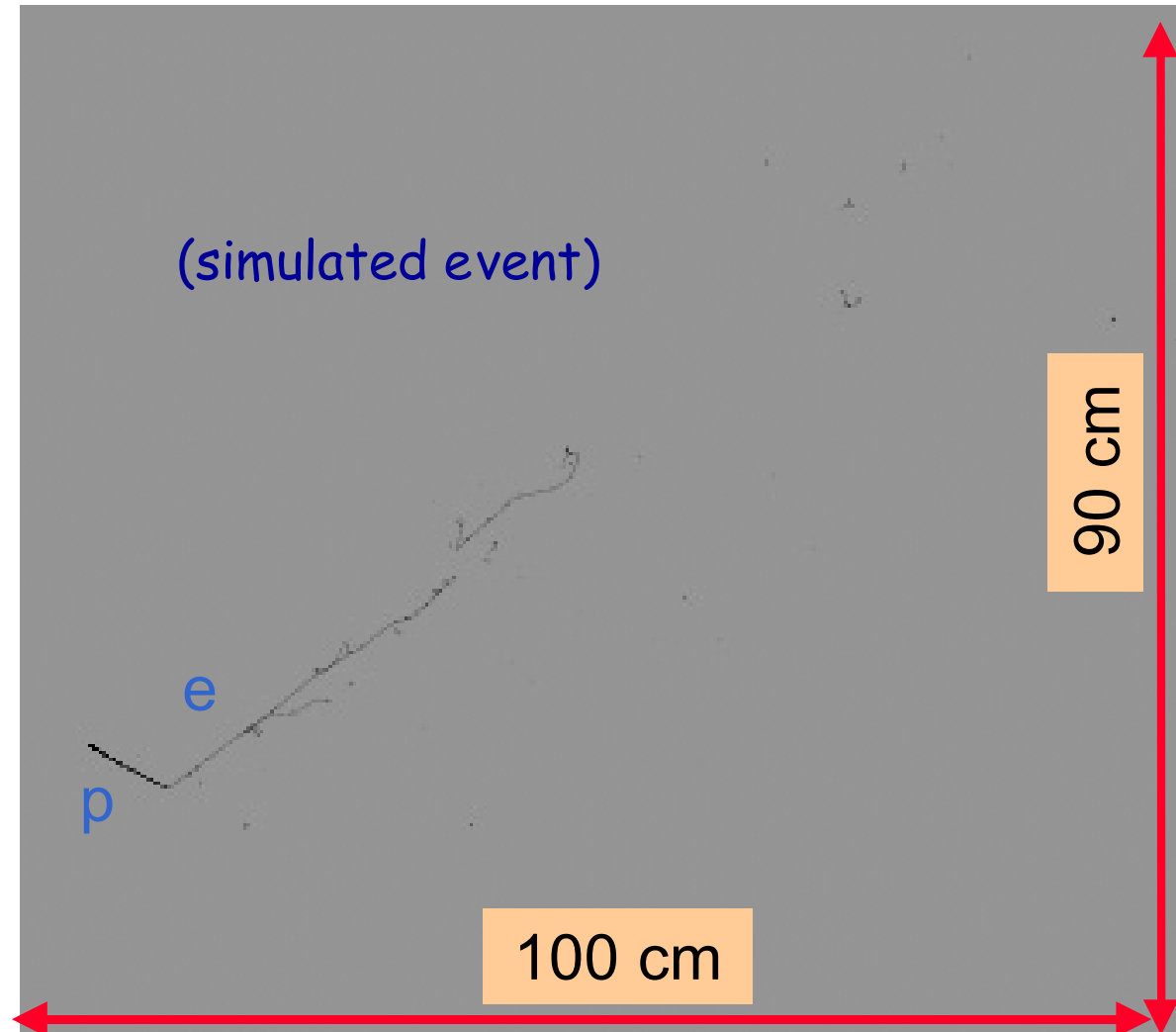
## Atmospheric $\nu$

$\nu_e$  quasielastic interaction

$$E_\nu = 450 \text{ MeV}$$

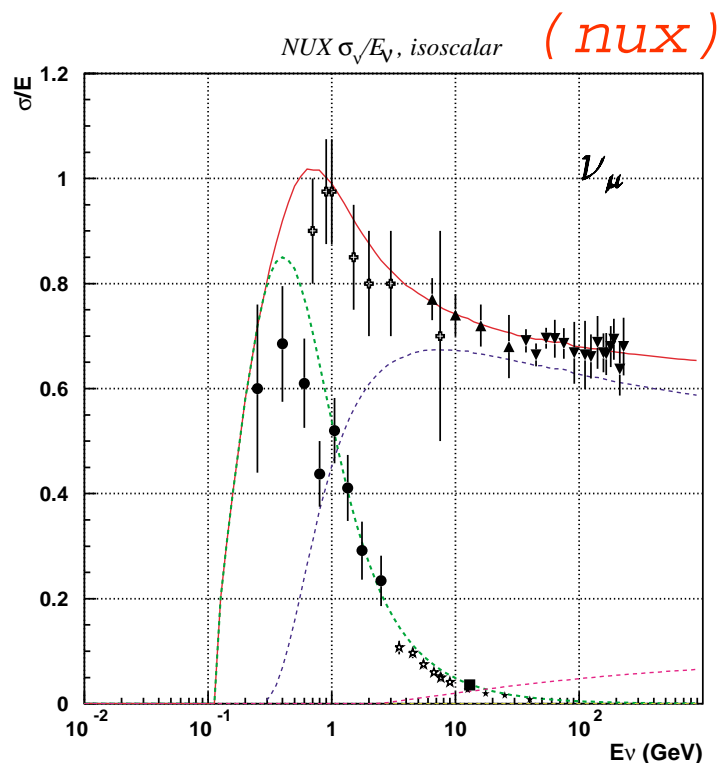
$$P_e = 200 \text{ MeV}$$

$$T_p = 240 \text{ MeV}$$





# Atmospheric neutrino rates (5 kt x year)



— **Total**  
- - - **QE**  
. . . **DIS**  
- · - · **Charm**

Nuclear effects

fully embedded in *FLUKA*  
nuclear model

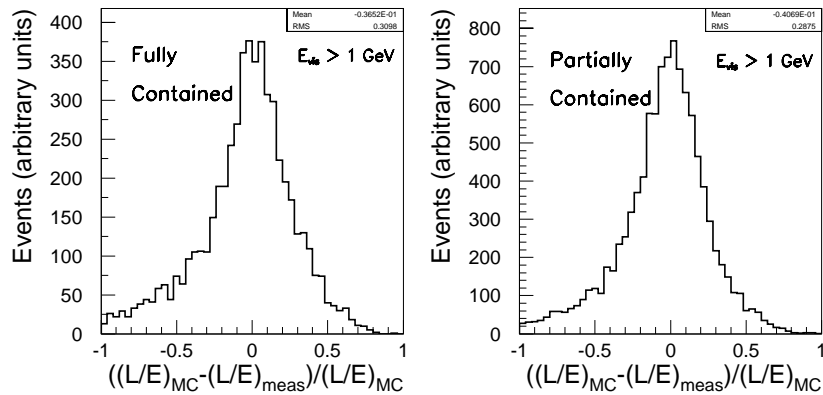
	No osci	$\Delta m_{23}^2$ (eV <sup>2</sup> )			
		$5 \times 10^{-4}$	$1 \times 10^{-3}$	$3.5 \times 10^{-3}$	$5 \times 10^{-3}$
<b>Muon-like</b>	<b>675 ± 26</b>	515 ± 23	495 ± 22	470 ± 22	455 ± 21
Contained	418 ± 20	319 ± 18	307 ± 18	291 ± 17	282 ± 17
Partially-Contained	257 ± 16	196 ± 14	188 ± 14	179 ± 13	173 ± 13
No proton	260 ± 16	190 ± 14	185 ± 14	170 ± 13	165 ± 13
One proton	205 ± 14	160 ± 13	150 ± 12	145 ± 12	140 ± 12
Multi-prong	210 ± 14	165 ± 13	160 ± 13	155 ± 12	150 ± 12
<i>P</i> <sub>lepton</sub> < 400 MeV	285 ± 17	205 ± 14	200 ± 14	185 ± 14	175 ± 13
<i>P</i> <sub>lepton</sub> ≥ 400 MeV	390 ± 20	310 ± 18	295 ± 17	285 ± 17	280 ± 17
<b>Electron-like</b>	<b>380 ± 19</b>	380 ± 19	380 ± 19	380 ± 19	380 ± 19
No proton	160 ± 13	160 ± 13	160 ± 13	160 ± 13	160 ± 13
One proton	120 ± 11	120 ± 11	120 ± 11	120 ± 11	120 ± 11
Multi-prong	100 ± 10	100 ± 10	100 ± 10	100 ± 10	100 ± 10
<i>P</i> <sub>lepton</sub> < 400 MeV	185 ± 14	185 ± 14	185 ± 14	185 ± 14	185 ± 14
<i>P</i> <sub>lepton</sub> ≥ 400 MeV	195 ± 14	195 ± 14	195 ± 14	195 ± 14	195 ± 14
<b>NC</b>	<b>480 ± 22</b>	480 ± 22	480 ± 22	480 ± 22	480 ± 22
<b>TOTAL</b>	<b>1535 ± 39</b>				

**Events/year**

# L/E distribution

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left( 1.27 \Delta m^2 \frac{L}{E} \right)$$

25 kt year



$$\Delta(L/E)_{RMS} \approx 30\%$$

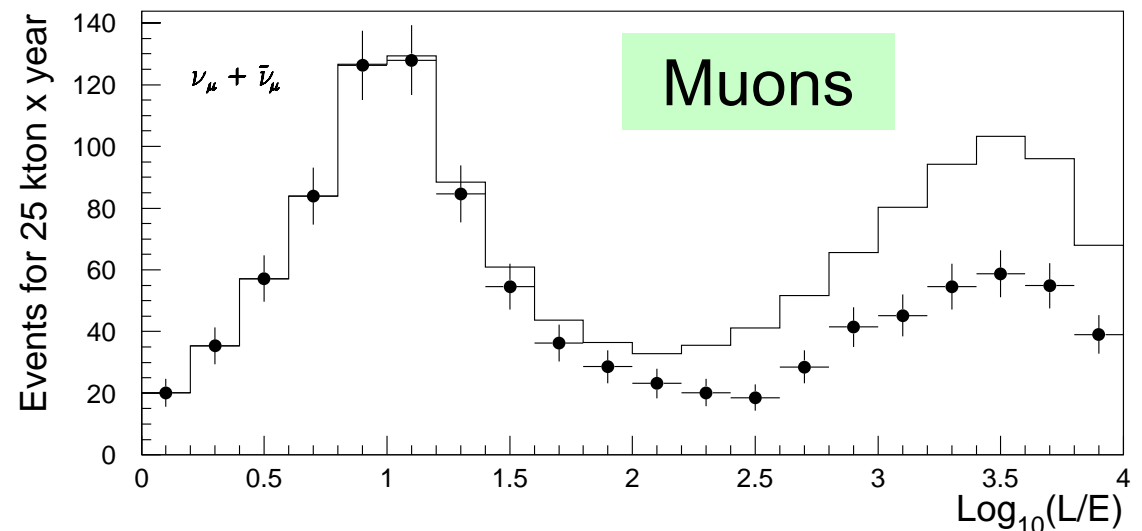
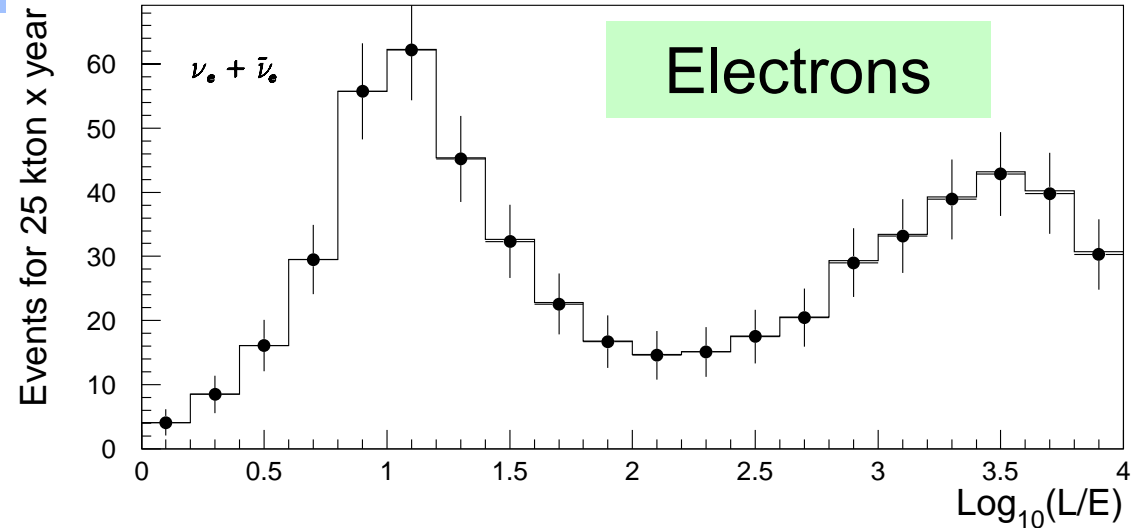
★ Oscillation parameters:

→  $\Delta m^2_{32} = 3.5 \times 10^{-3} \text{ eV}^2$

→  $\sin^2 2\theta_{23} = 0.9$

→  $\sin^2 2\theta_{13} = 0.1$

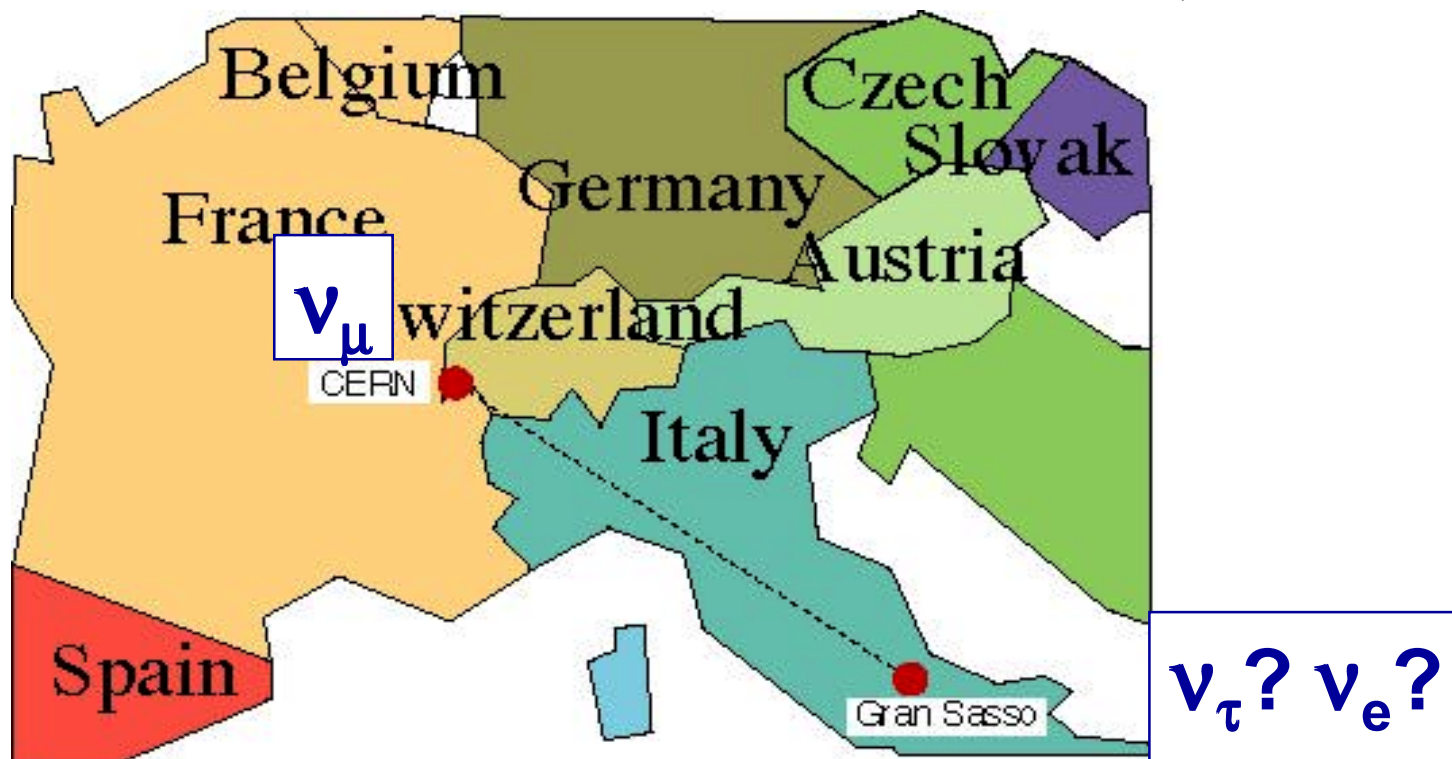
★ **Electron sample can be used as a reference for no oscillation case**



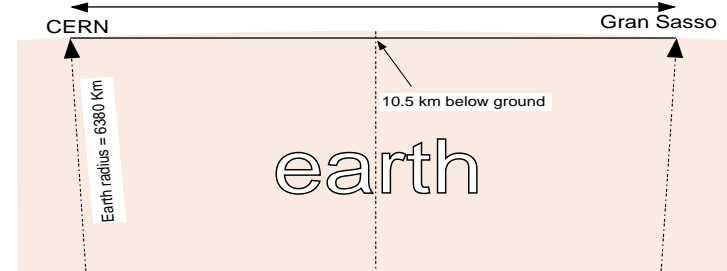
# CNGS neutrino beam

The expected  $\nu_e$  and  $\nu_\tau$  contamination of the CNGS beam are of the order of  $10^{-2}$  and  $10^{-7}$  respect to the dominant  $\nu_\mu$ .

CERN 98-02 - INFN/AE/98-05  
CERN-SL/99-034(DI) - INFN/AE-99/05



CERN Neutrino Beam in the Direction of Gran Sasso  
Distance = 732 Km



Planned beam commissioning: May 2005

# CNGS event rates

- ★ Primary protons: **400 GeV;  $4 \times 2.3 \times 10^{13}$  p/cycle; 26.4 s/cycle**
- ★ Pots per year:  **$4.5 \times 10^{19}$  pots** “shared”; **200x0.75 days/year**

Process	Rates (events/kton/year)
$\nu_\mu$ CC	2450
$\bar{\nu}_\mu$ CC	49
$\nu_e$ CC	20
$\bar{\nu}_e$ CC	1.2
$\nu$ NC	823
$\bar{\nu}$ NC	17

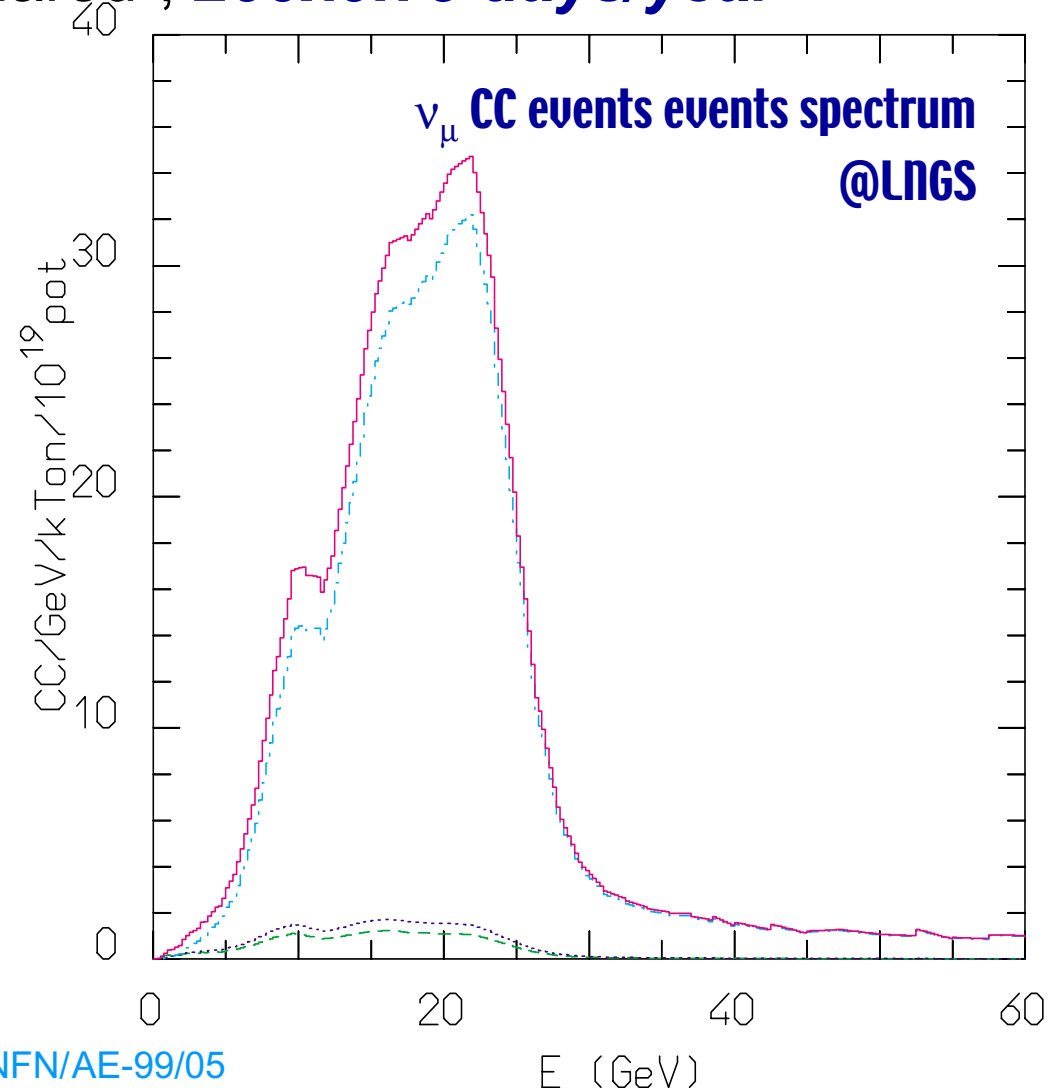
No oscillations

- ★ Optimized for  $N_\tau \propto \int \phi_{\nu_\mu}(E) \times \sigma_{\nu_\tau}^{CC}(E) E^{-2} dE$

$\Delta m^2$ (eV <sup>2</sup> )	Rates (events/kton/year)
$1 \times 10^{-3}$	2.4
$2.5 \times 10^{-3}$	15.1
$3.5 \times 10^{-3}$	29.4
$5 \times 10^{-3}$	58.6
$1 \times 10^{-2}$	209.0

$\nu_\tau$  CC event rates

- ★  **$7.6 \times 10^{19}$  pots/yr** “dedicated”



CERN 98-02 - INFN-AE/98-05; CERN-SL/99-034(DI) - INFN/AE-99/05



# CNGS events in 5 kton, 4 years running

20 kton × year (4 years running)

$\theta_{23} = 45^\circ, \theta_{13} = 7^\circ$

	No osci	$\Delta m_{23}^2$ (eV <sup>2</sup> )		
		$1 \times 10^{-3}$	$3.5 \times 10^{-3}$	$5 \times 10^{-3}$
$\nu_\mu$ CC	54300	53820	49330	44910
$\bar{\nu}_\mu$ CC	1090	1088	1070	1057
$\nu_e$ CC	437	437	437	436
$\bar{\nu}_e$ CC	29	29	29	29
$\nu$ NC			17550	
$\bar{\nu}$ NC			410	
$\nu_\mu \rightarrow \nu_e$ CC	-	7	74	143
$\nu_\mu \rightarrow \nu_\tau$ CC	-	52	620	1250
$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ CC	-	< 1	< 1	1
$\bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$ CC	-	< 1	6	13

# $\nu_\mu \rightarrow \nu_\tau$ oscillations (I)

## ★ Analysis of the electron sample

- Exploit the small intrinsic  $\nu_e$  contamination of the beam (0.8% of  $\nu_\mu$  CC)
- Exploit the unique  $e/\pi^0$  separation

$$\nu_\mu \rightarrow \nu_\tau$$

$$\nu_\tau + N \rightarrow \tau + \text{jet}; \quad \tau \rightarrow e \nu \nu$$

Charged current (CC) Br  $\approx$  18%

$$\Delta m^2 = 3.5 \times 10^{-3} eV^2 \Rightarrow 110 \text{ events}$$

**Background:**

$$\nu_e + N \rightarrow e + \text{jet}$$

Charged current (CC)

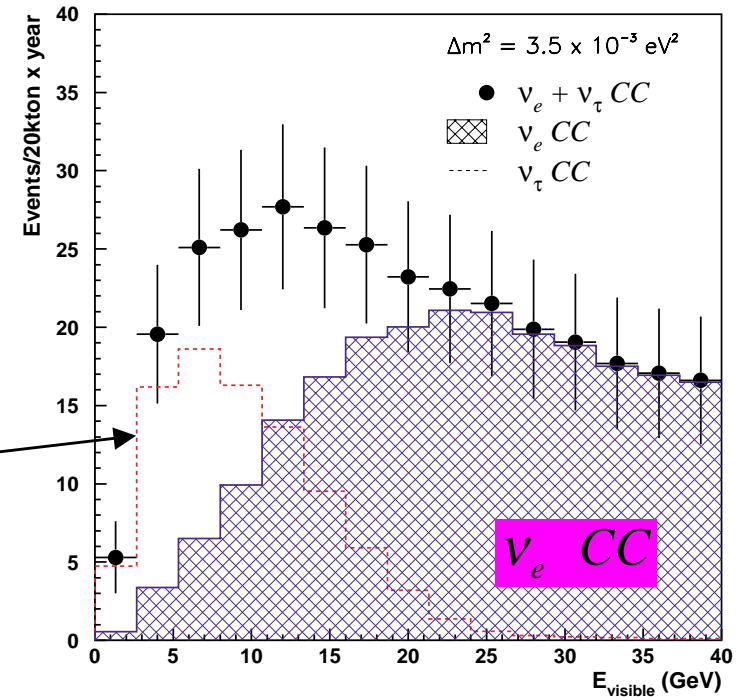
$$470 \nu_e \text{ CC}$$

**Statistical excess visible before cuts  $\Rightarrow$  this is the main reason for performing this experiment at long baseline !**

# $\nu_\mu \rightarrow \nu_\tau$ oscillations (II)

★ Reconstructed visible energy spectrum of electron events clearly evidences excess from oscillations into tau neutrino

*signal*

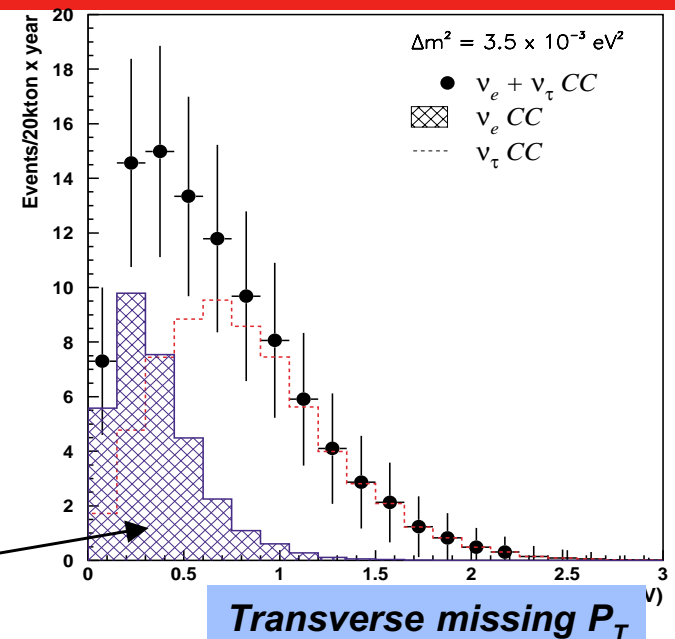


Reconstructed energy

Cuts	$\nu_\tau$ Eff. (%)	$\nu_e$ CC	$\bar{\nu}_e$ CC	$\nu_\tau$ CC $\Delta m^2 = 10^{-3} \text{ eV}^2$	$\nu_\tau$ CC $\Delta m^2 = 2.8 \times 10^{-3} \text{ eV}^2$	$\nu_\tau$ CC $\Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2$	$\nu_\tau$ CC $\Delta m^2 = 10^{-2} \text{ eV}^2$
Initial	100	437	29	9.3	71	111	779
Fiducial volume	88	383	25	8.2	64	97	686
One candidate with momentum > 1 GeV	72	365	25	6.7	50	80	561
$E_{vis} < 18 \text{ GeV}$	67	64	5	6.2	46	75	522

# $\nu_\mu \rightarrow \nu_\tau$ oscillations (III)

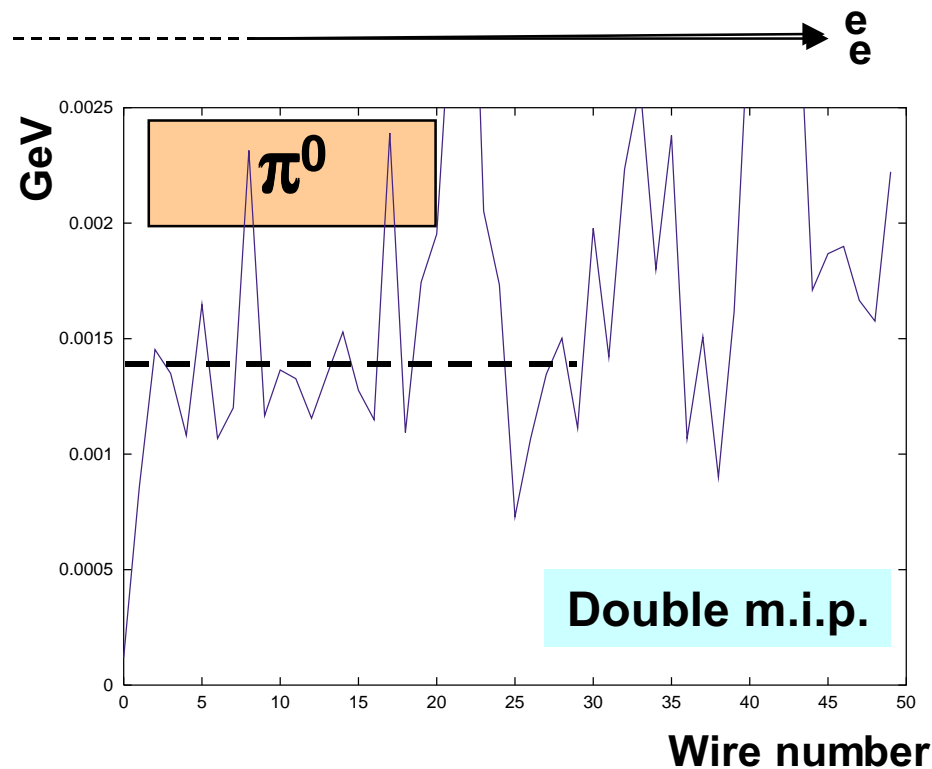
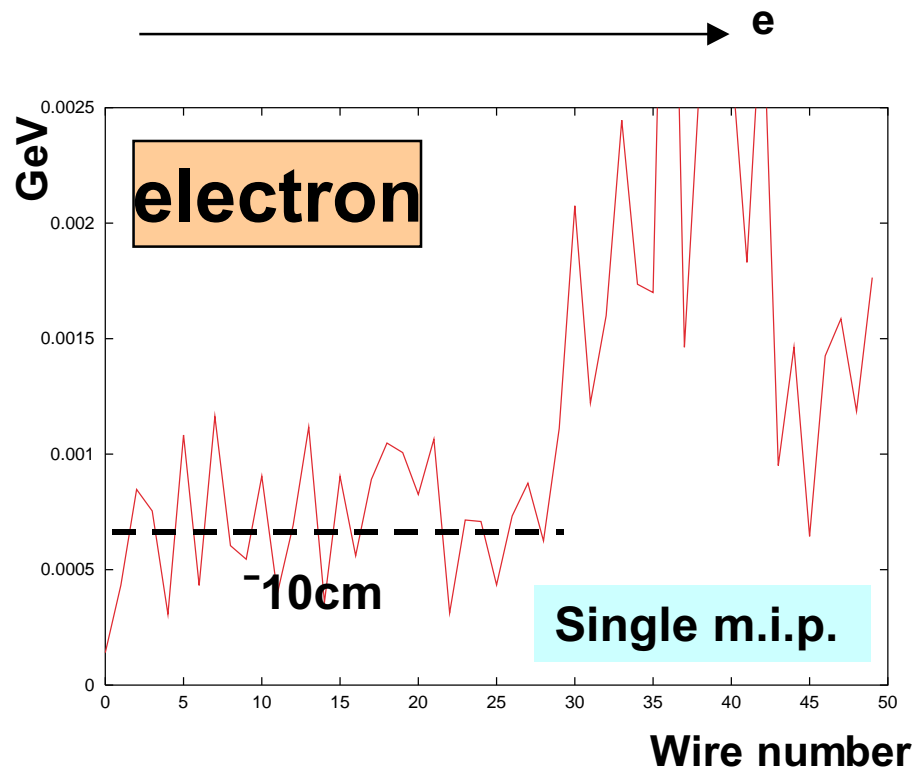
- ★ Kinematical selection in order to enhance S/B ratio
- ★ Can be tuned “a posteriori” depending on the actual  $\Delta m^2$
- ★ For example, with cuts listed below, reduction of background by factor 100 for a signal efficiency 33%



Cuts	$\nu_\tau$ Eff. (%)	$\nu_e$ CC	$\bar{\nu}_e$ CC	$\nu_\tau$ CC $\Delta m^2 = 10^{-3} \text{ eV}^2$	$\nu_\tau$ CC $\Delta m^2 = 2.8 \times 10^{-3} \text{ eV}^2$	$\nu_\tau$ CC $\Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2$	$\nu_\tau$ CC $\Delta m^2 = 10^{-2} \text{ eV}^2$
Initial	100	437	29	9.3	71	111	779
Fiducial volume	88	383	25	8.2	64	97	686
One candidate with momentum $> 1 \text{ GeV}$	72	365	25	6.7	50	80	561
$E_{vis} < 18 \text{ GeV}$	67	64	5	6.2	46	75	522
$P_T^e < 0.9 \text{ GeV}$	54	31	3	5.0	38	60	421
$P_T^{lep} > 0.3 \text{ GeV}$	51	29	2	4.7	35	56	397
$P_T^{miss} > 0.6 \text{ GeV}$	33	4	0.4	3.1	23	37	257

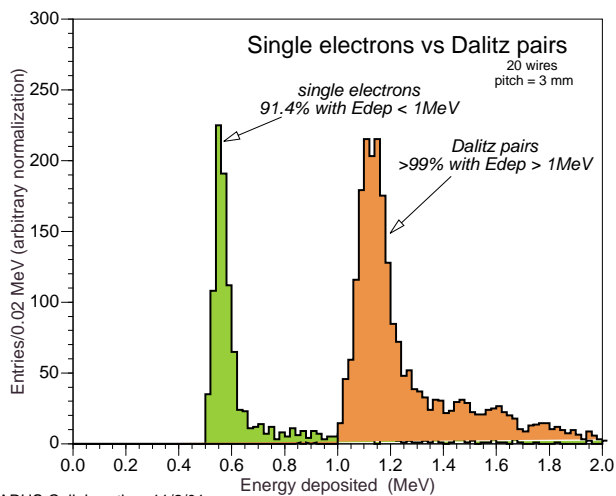
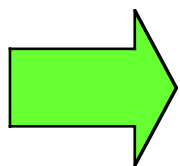


# $e/\pi^0$ discrimination



Wire pitch = 3 mm  $\sim$  0.02  $X_0$

Combined rejection:  $dE/dx$  +  
photon converted within 3 cm  
of vertex:  $> 500$



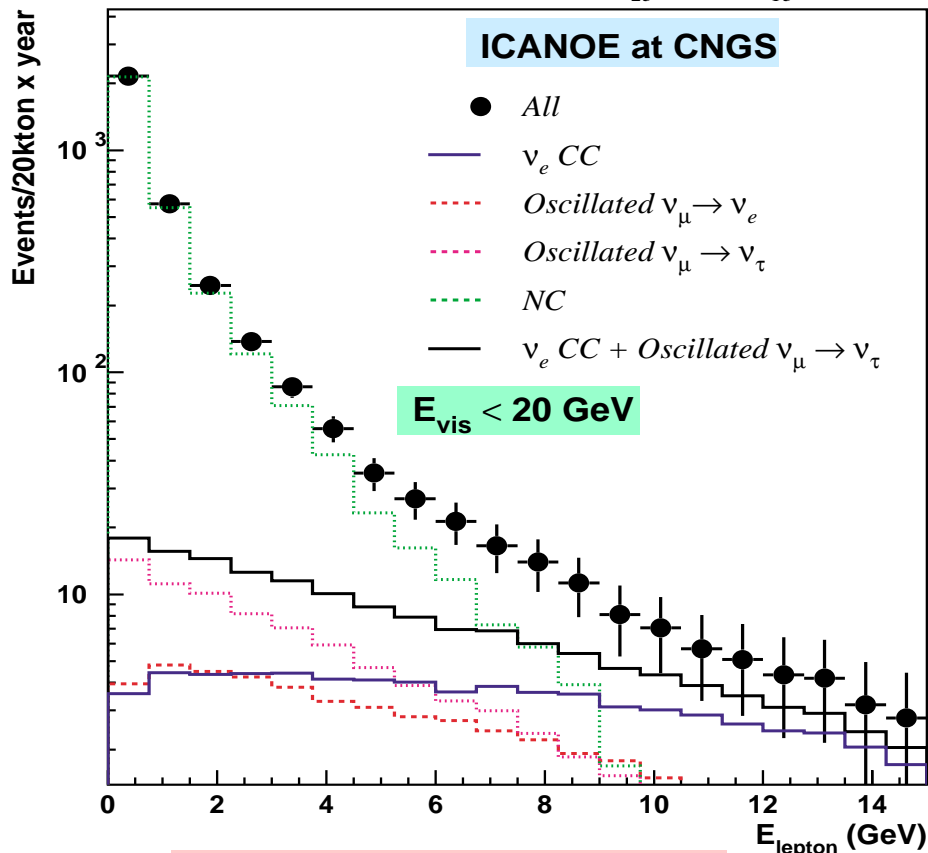
# $\nu_e$ CC versus $\nu$ NC discrimination (I)

NC

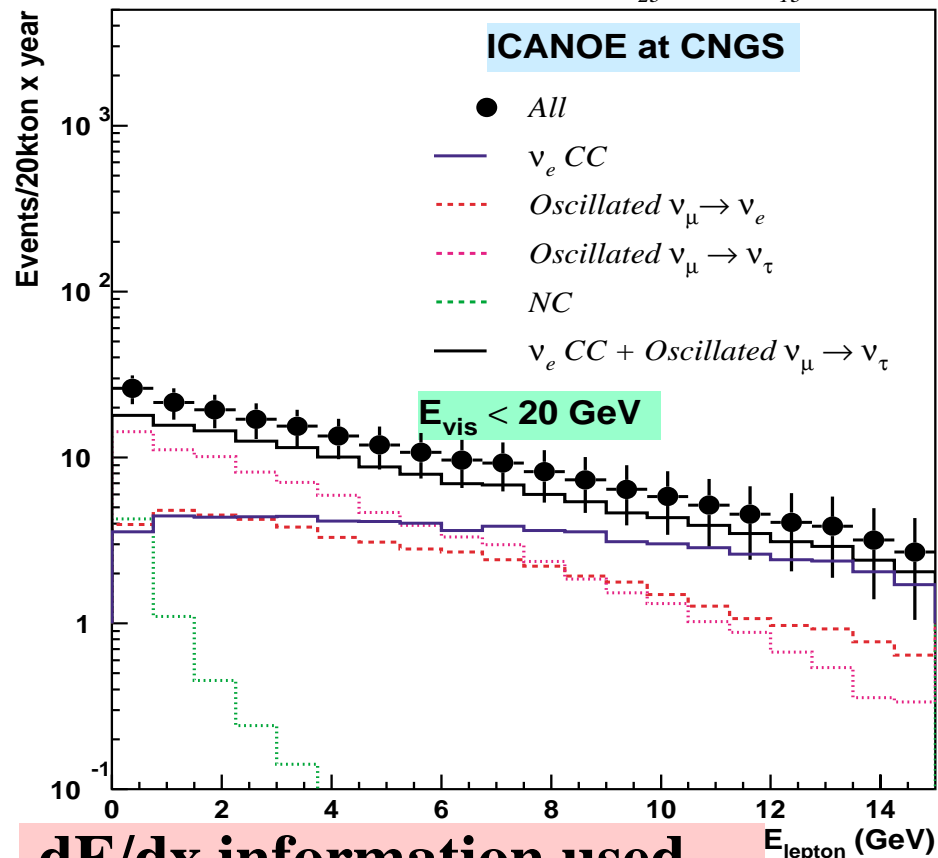
$\gamma$  converting within 3 cm (10 samples) from primary vertex considered as electron candidate

$$L = 732 \text{ Km } \Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2 \Theta_{23} = 45^\circ \Theta_{13} = 7^\circ$$

$$L = 732 \text{ Km } \Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2 \Theta_{23} = 45^\circ \Theta_{13} = 7^\circ$$



**NO dE/dx information used**



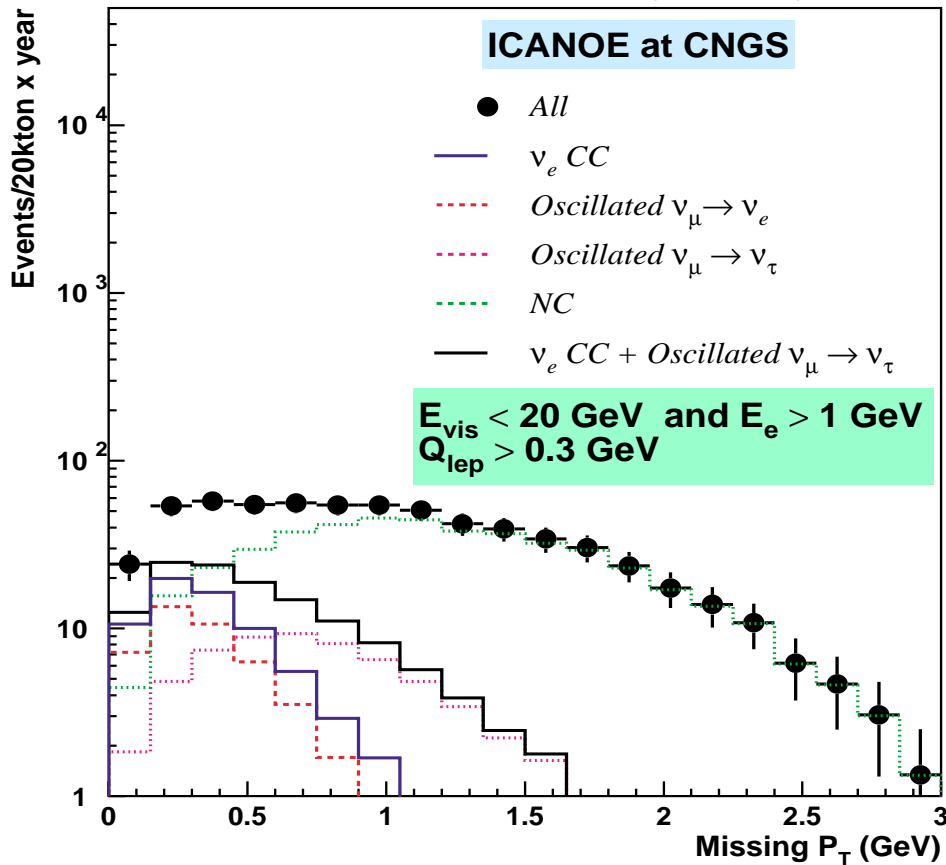
**dE/dx information used**  
**Single vs double m.i.p.**  
**algorithm provides >500**  
**rejection factor**

# $\nu_e$ CC versus $\nu$ NC discrimination (II)

NC  
rejection

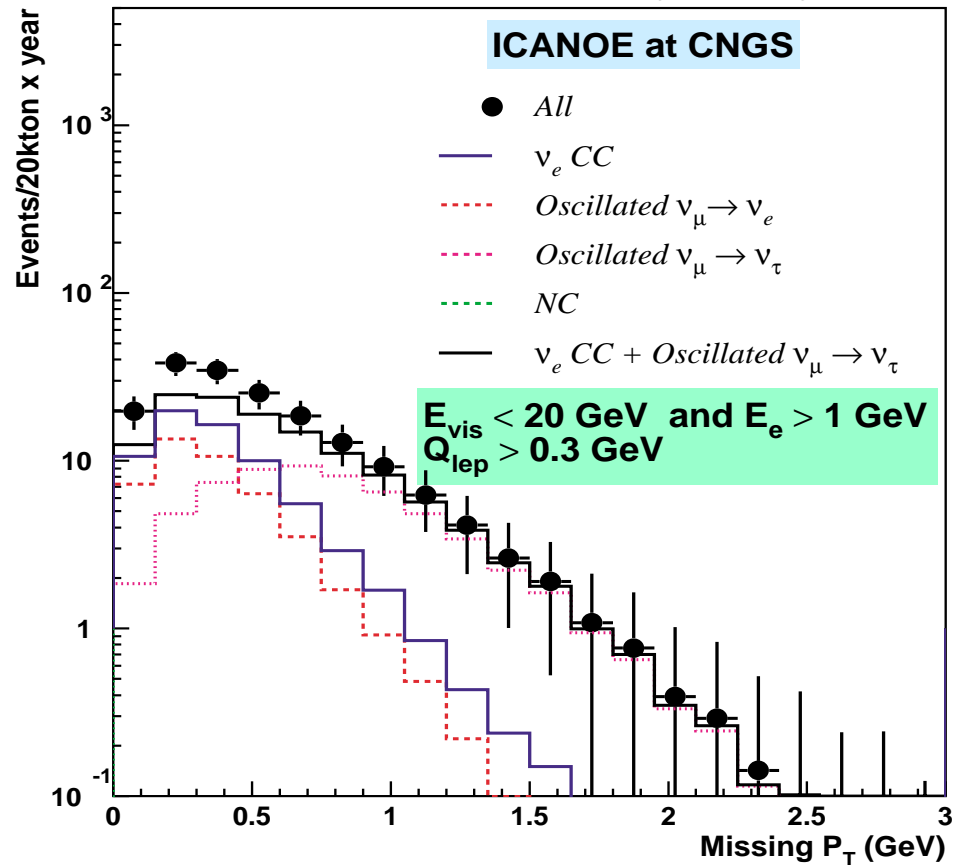
Additional discrimination power provided by event kinematics

$L = 732 \text{ Km } \Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2 \Theta_{23} = 45^\circ \Theta_{13} = 7^\circ$



**NO dE/dx information used**

$L = 732 \text{ Km } \Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2 \Theta_{23} = 45^\circ \Theta_{13} = 7^\circ$



**dE/dx information used**

# Search for $\theta_{13} \neq 0$

$$\Delta m_{32}^2 = 3.5 \times 10^{-3} \text{ eV}^2; \sin^2 2\theta_{23} = 1$$

**ICARUS**  
4 years

Cuts: Fiducial,  $E_e > 1 \text{ GeV}$ ,  $E_{vis} < 20 \text{ GeV}$

$$\Delta m_{23}^2 = 3.5 \times 10^{-3} \text{ eV}^2, \theta_{23} = 45^\circ$$

$\theta_{13}$ (degrees)	$\sin^2 2\theta_{13}$	$\nu_e$ CC	$\nu_\mu \rightarrow \nu_\tau$ $\tau \rightarrow e$	$\nu_\mu \rightarrow \nu_e$	Total	Statistical significance
9	0.095	79	74	84	237	$6.8\sigma$
8	0.076	79	75	67	221	$5.4\sigma$
7	0.058	79	76	51	206	$4.1\sigma$
5	0.030	79	77	26	182	$2.1\sigma$
3	0.011	79	77	10	166	$0.8\sigma$

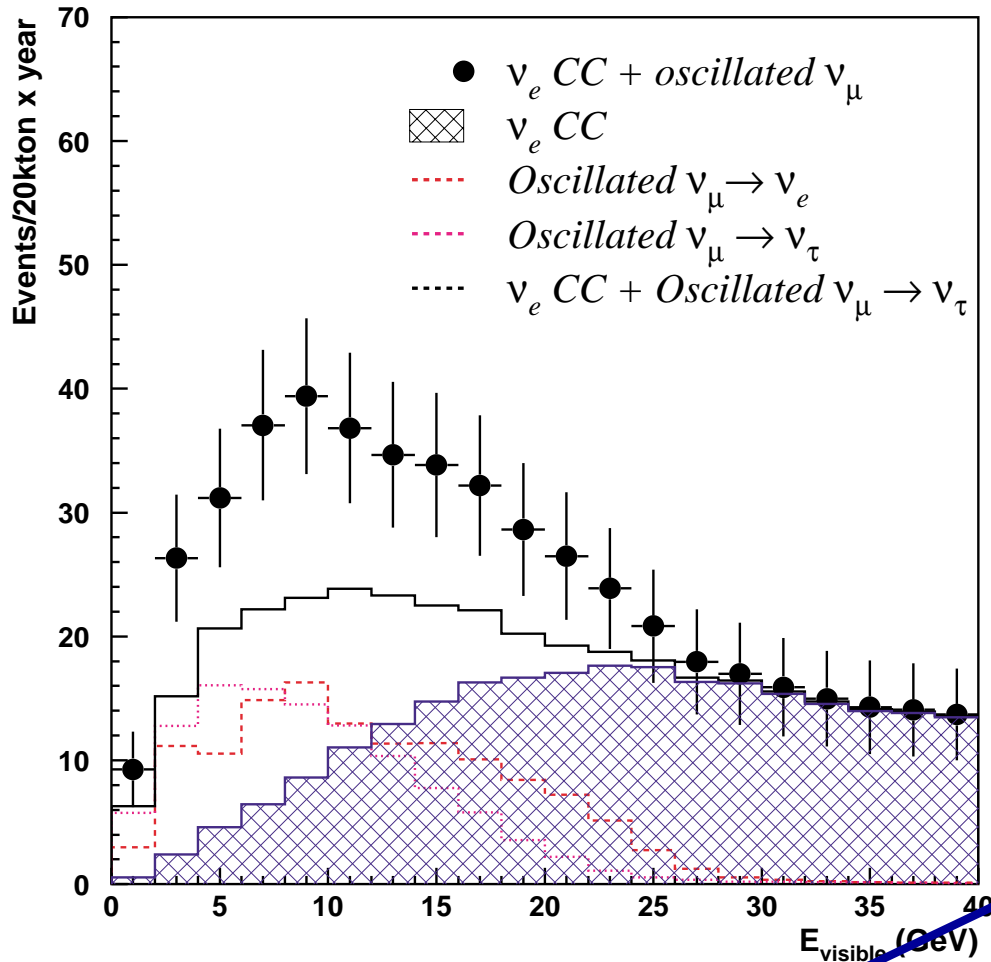
$$P(\nu_\mu \rightarrow \nu_\tau) = \cos^4 \theta_{13} \sin^2 2\theta_{23} \Delta_{32}^2$$

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \Delta_{32}^2$$

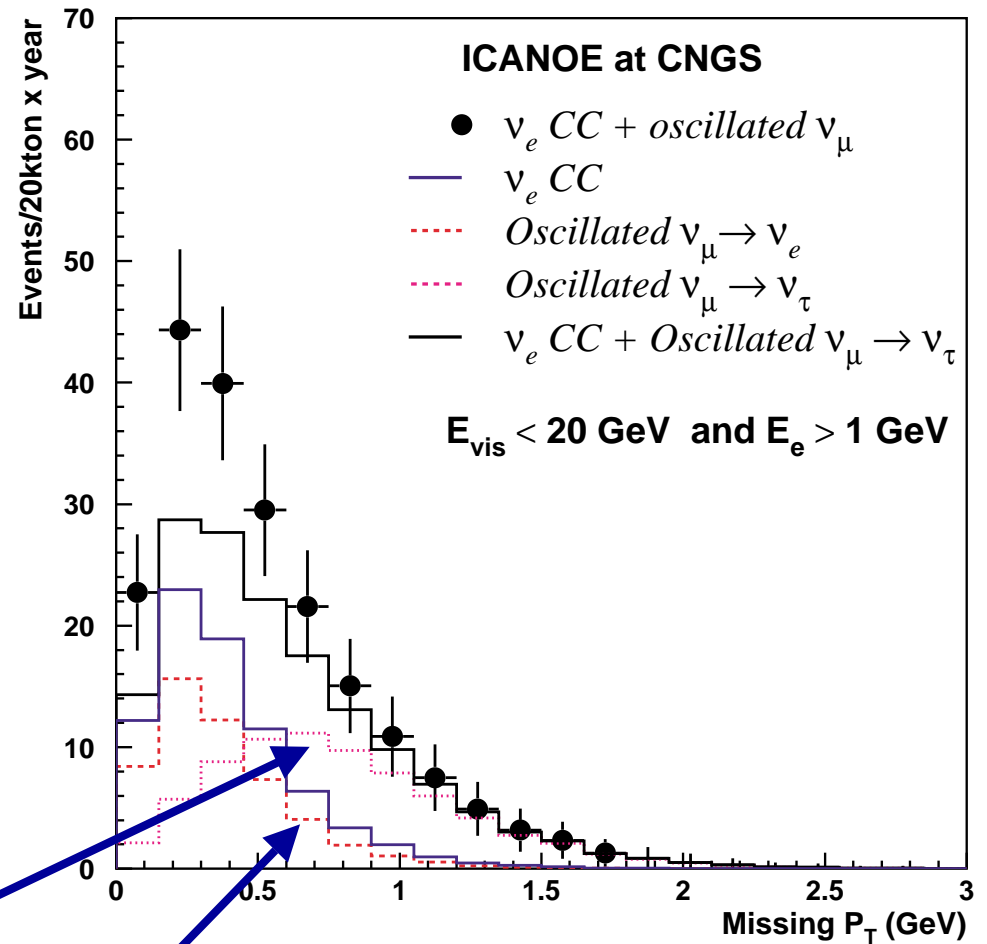


$$\Delta m_{32}^2 = 3.5 \times 10^{-3} \text{ eV}^2; \sin^2 2\theta_{23} = 1; \sin^2 2\theta_{13} = 0.05$$

## Total visible energy



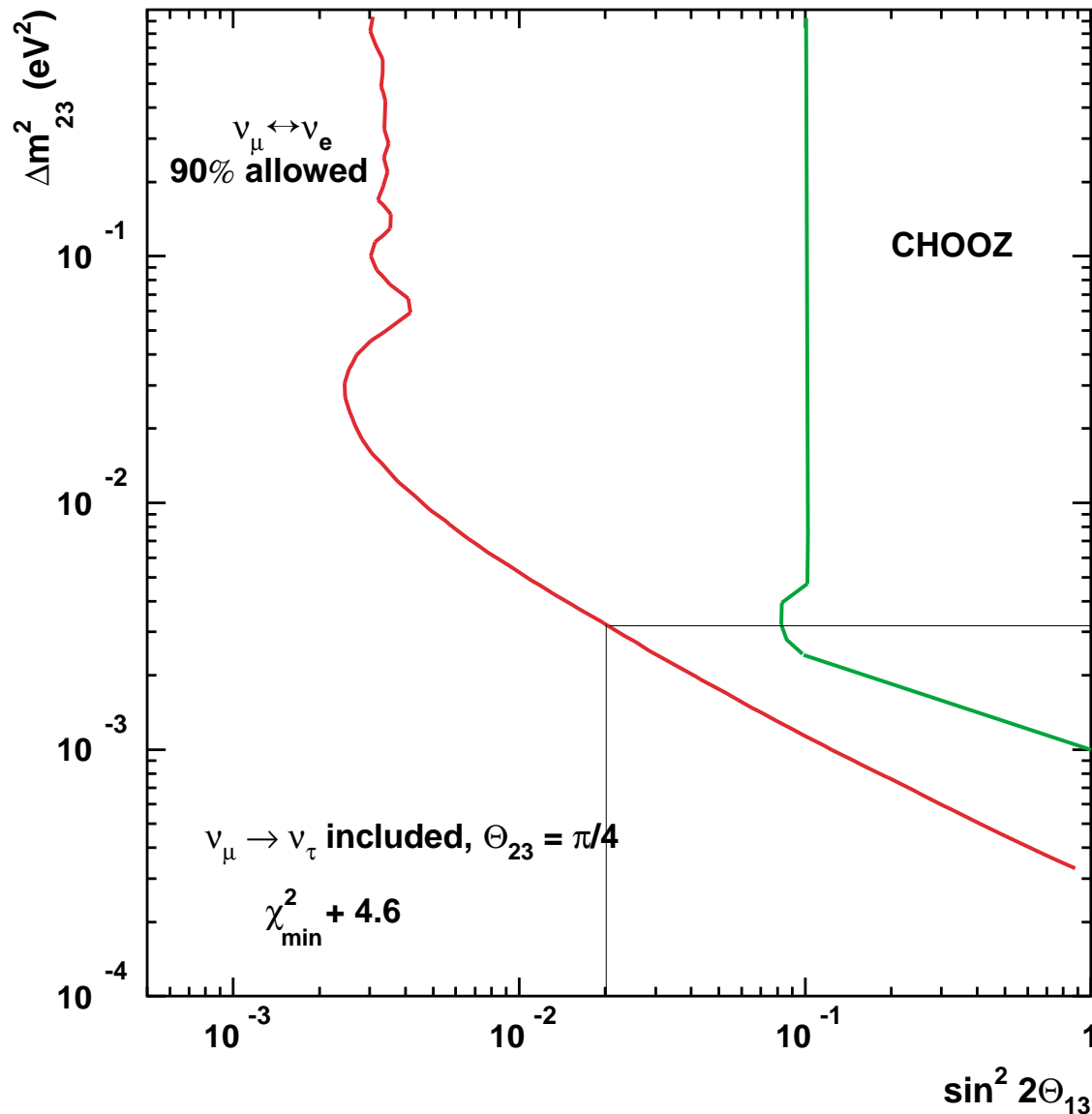
## Transverse missing $P_T$



$$P(\nu_\mu \rightarrow \nu_\tau) = \cos^4 \theta_{13} \sin^2 2\theta_{23} \Delta_{32}^2$$

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \Delta_{32}^2$$

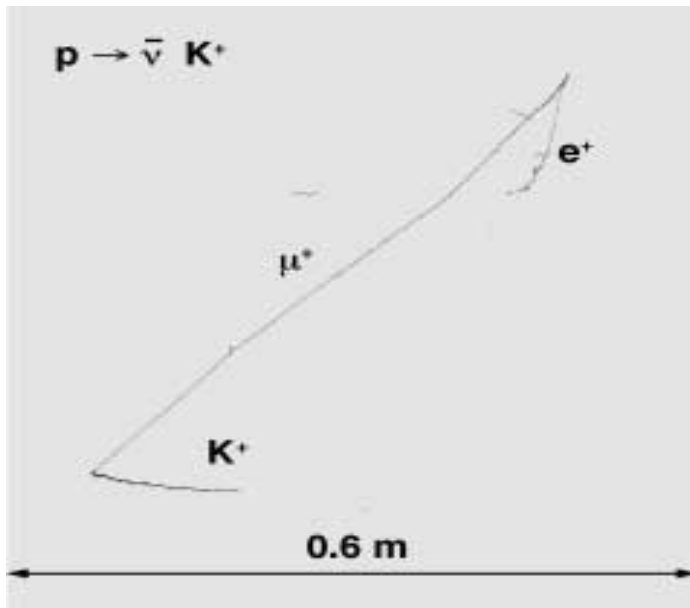
# Sensitivity to $\theta_{13}$ in three family-mixing



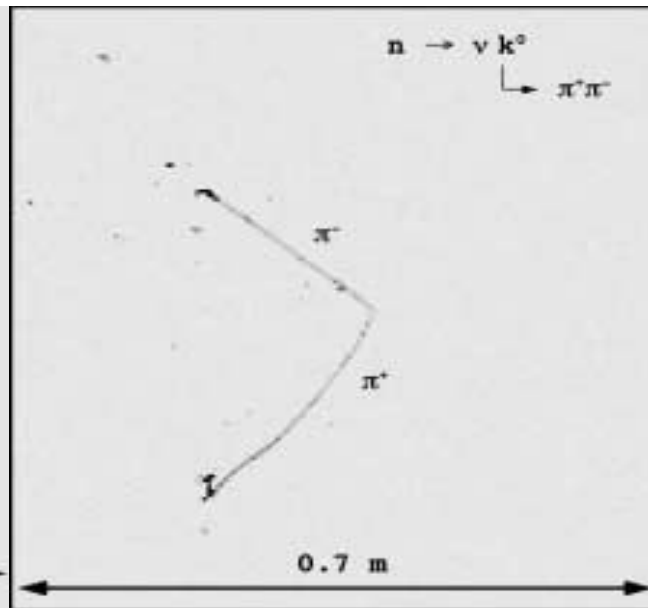
- Sensitivity to  $\nu_\mu \rightarrow \nu_e$  oscillations in presence of  $\nu_\mu \rightarrow \nu_\tau$  (three family mixing)
- Factor 5 improvement on  $\sin^2 2\theta_{13}$  at  $\Delta m^2 = 3 \times 10^{-3} \text{ eV}^2$
- Almost two-orders of magnitude improvement over existing limit at high  $\Delta m^2$

# Nucleon decay search

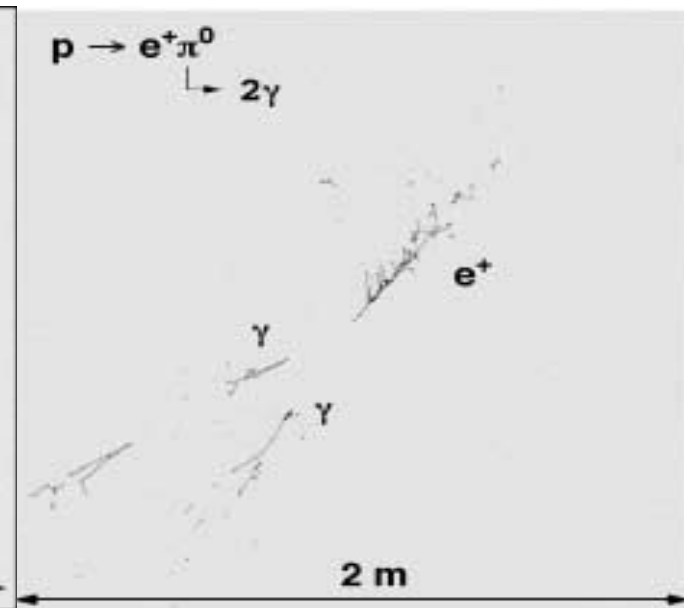
$p \rightarrow \bar{\nu} K^+$  decay



$n \rightarrow \bar{\nu} K^0$  decay



$p \rightarrow e^+ \pi^0$  decay

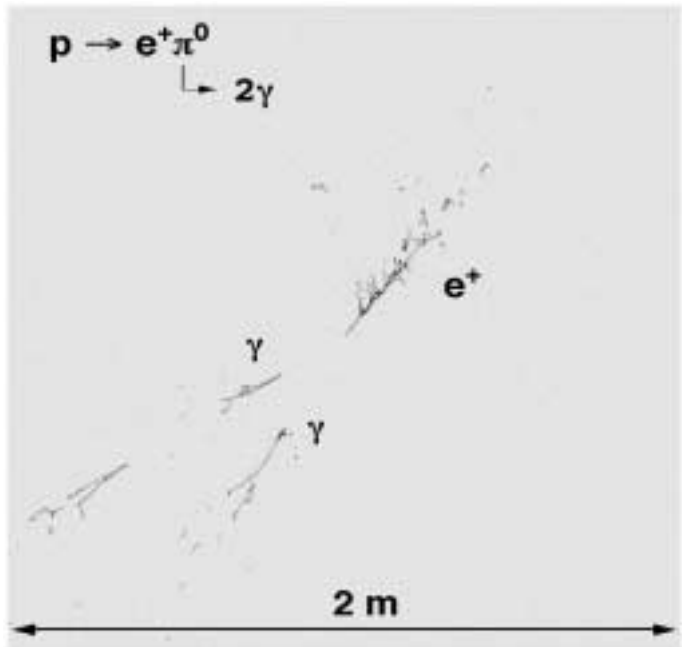


Thanks to excellent tracking and particle *id* capabilities

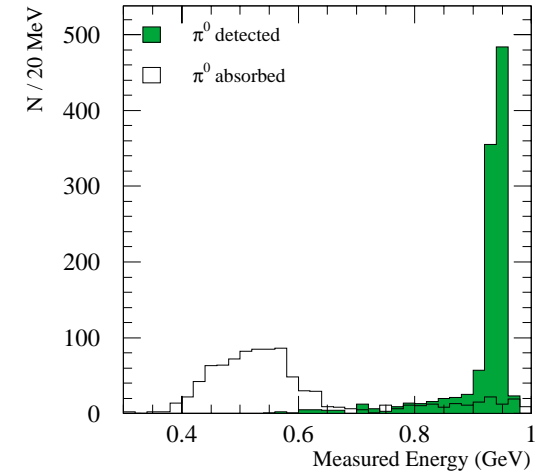
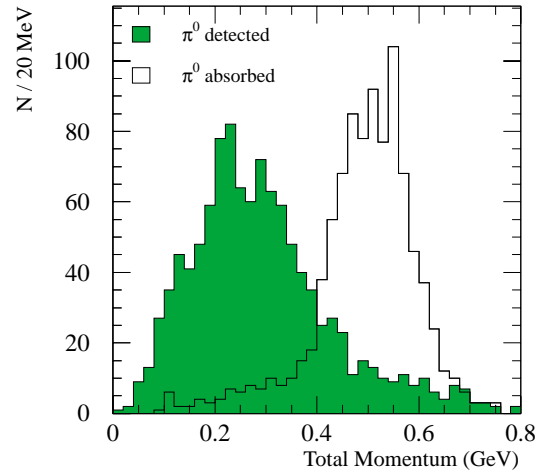
LAr unique tool for

Extremely efficient background rejection  
High detection efficiency  
*Bias-free, fully exclusive channel searches!*

# $p \rightarrow e^+ \pi^0$ decay kinematics



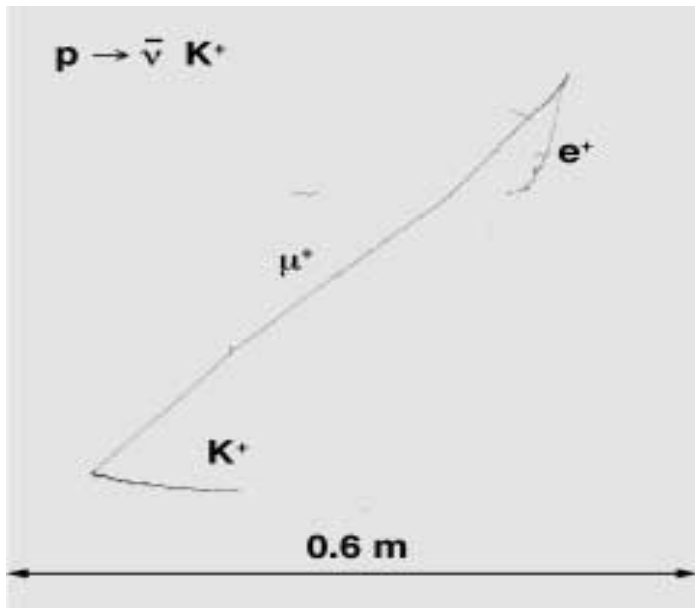
Nuclear effects: pion absorption and rescattering included (FLUKA)



$\approx 45\%$   $\pi^0$  absorbed in Ar nucleus

Exclusive Channel Cuts	$p \rightarrow e^+ \pi^0$	$\nu_e$ CC	$\bar{\nu}_e$ CC	$\nu_\mu$ CC	$\bar{\nu}_\mu$ CC	$\nu$ NC	$\bar{\nu}$ NC
One $\pi^0$	54.00%	6599	2136	15221	5789	8058	3095
One electron	54.00%	6567	2126	19	0	0	0
$T_{proton} < 100$ MeV	52.65%	2715	1448	4	0	0	0
$0.93$ GeV $<$ Total E $<$ $0.97$ GeV	38.30%	28	17	0	0	0	0
Total Momentum $<$ $0.46$ GeV	37.50%	2	0	0	0	0	0

# $p \rightarrow K^+ \bar{\nu}$ decay kinematics



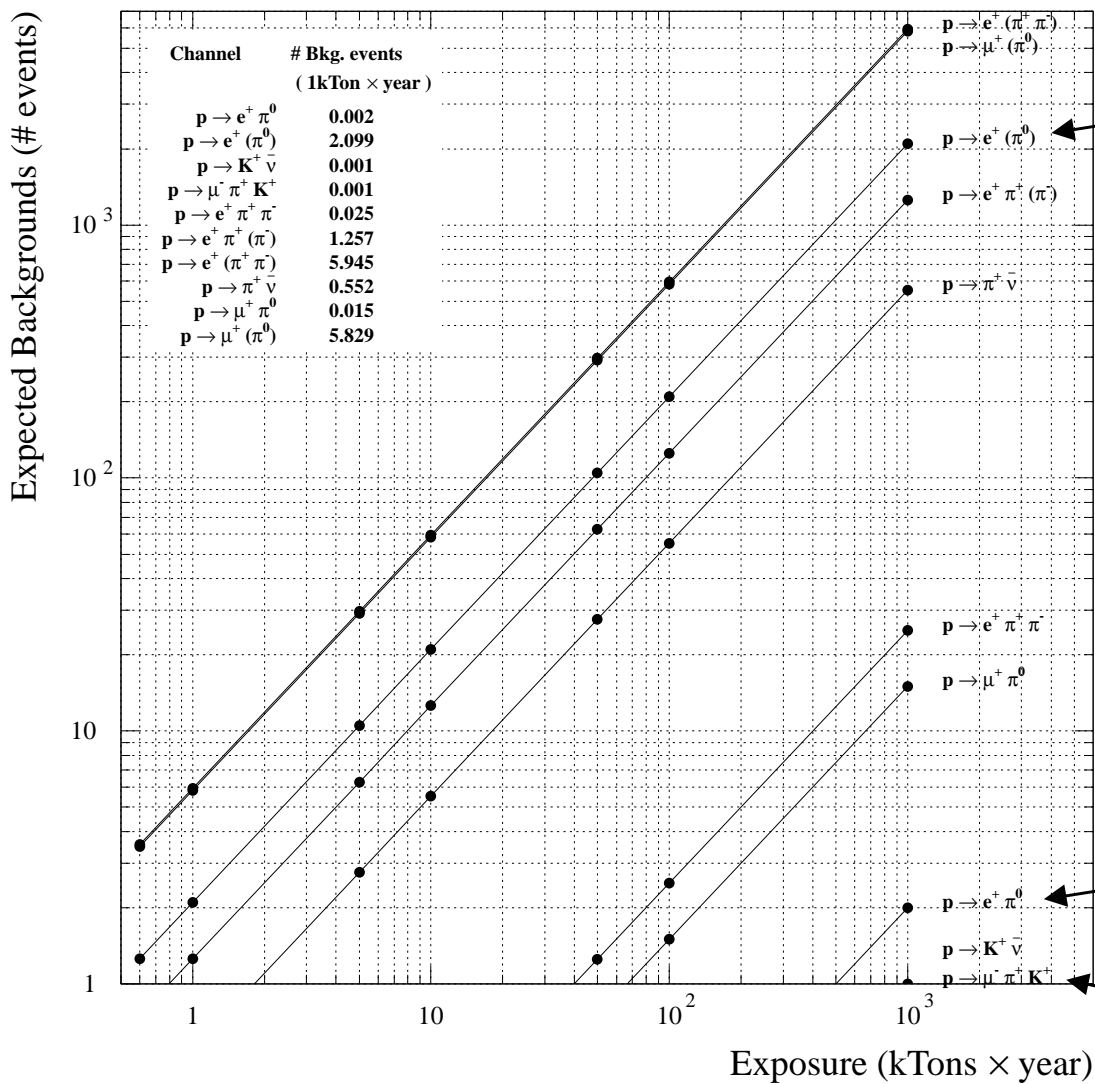
At least we see the kaon!

Cuts	$p \rightarrow K^+ \bar{\nu}$	$\nu_e$ CC	$\bar{\nu}_e$ CC	$\nu_\mu$ CC	$\bar{\nu}_\mu$ CC	$\nu$ NC	$\bar{\nu}$ NC
One Kaon	97.30%	310	59	921	214	370	104
No $\pi^0$	97.15%	161	30	462	107	197	51
No electrons	97.15%	0	0	455	107	197	51
No muons	97.15%	0	0	0	0	197	51
No charged pions	97.15%	0	0	0	0	109	22
Total Energy < 0.8 GeV	97.15%	0	0	0	0	0	0



# Proton decay: expected backgrounds vs channel

ICARUS Proton Decay: Expected Backgrounds



$p \rightarrow e^+ X$

Extremely good exclusive signal signatures  
 $\Rightarrow$  Excellent background rejection  
*Discovery with a single event!*

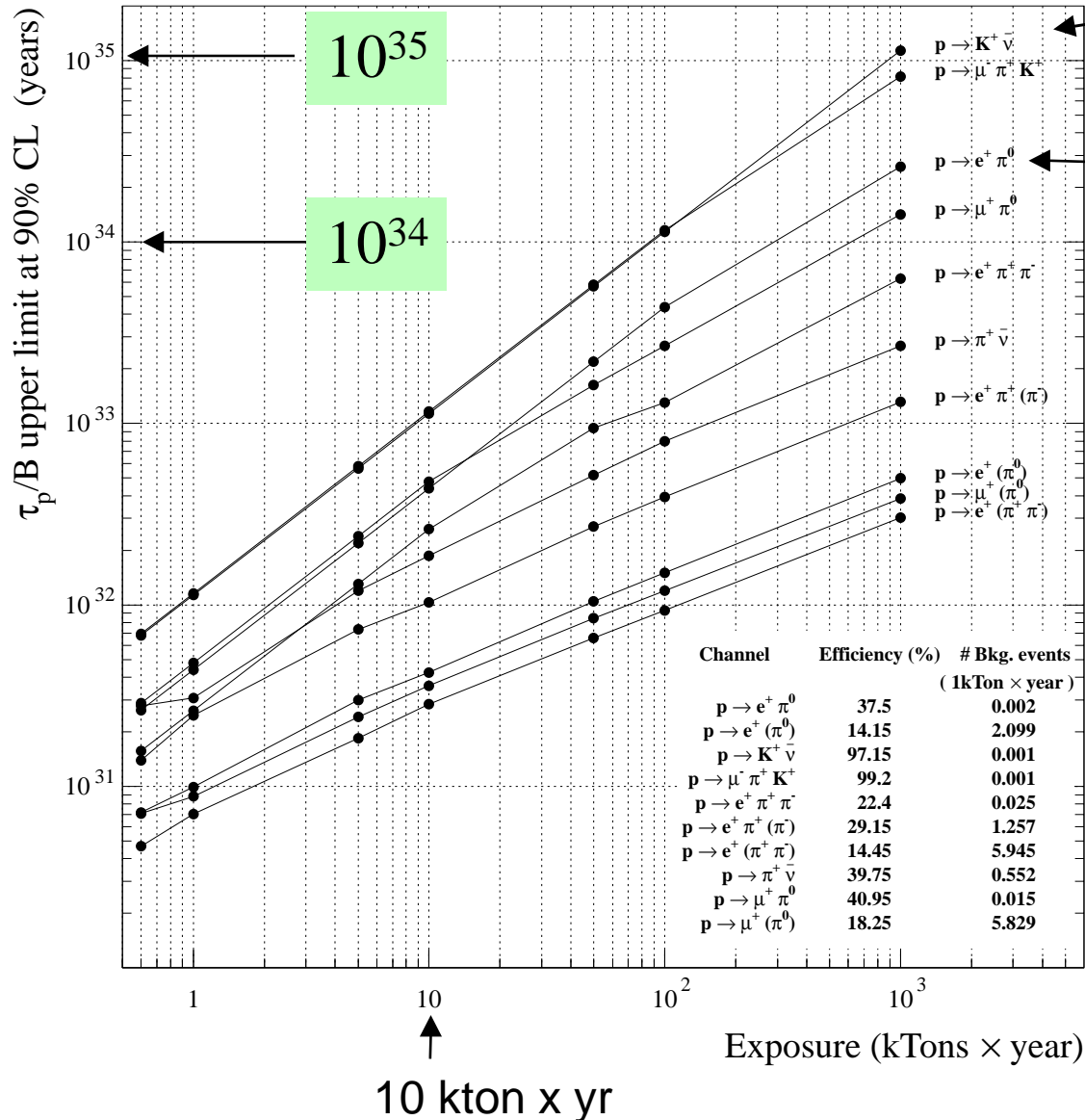
$p \rightarrow e^+ \pi^0$

$p \rightarrow K^+ \bar{\nu}$

↑ 1 Mton x yr

# Sensitivity vs exposure

ICARUS: Limits on Proton Decay



$p \rightarrow K^+ \bar{\nu}$

$p \rightarrow e^+ \pi^0$

Extremely good exclusive signal signatures  
 $\Rightarrow$  Excellent background rejection  
*Discovery with a single event!*

# Exposure needed to reach PDG limit

Channel	Efficiency (%)	Background (1 kton×year)	PDG limit (10 <sup>30</sup> years)	Needed Exposure (in ktons×year)
$p \rightarrow e^+ \pi^0$	37.5	<0.1	1600	36.6
$p \rightarrow K^+ \bar{\nu}$	97.1	<0.1	670	6.0
$p \rightarrow \mu^- \pi^+ K^+$	99.2	<0.1	245	2.2
$p \rightarrow e^+ \pi^+ \pi^-$	22.4	<0.1	82	3.2
$p \rightarrow \pi^+ \bar{\nu}$	39.7	0.6	25	0.6
$p \rightarrow \mu^+ \pi^0$	40.9	<0.1	473	9.9
$n \rightarrow e^- K^+$	96.9	<0.1	32	0.3
$n \rightarrow e^+ \pi^-$	47.9	<0.1	158	2.3
$n \rightarrow \mu^- \pi^+$	48.2	<0.1	100	1.5
$n \rightarrow \pi^0 \bar{\nu}$	44.8	0.5	112	2.4

*Given our poor knowledge of the physics at the GUT scale, we need to look for all possible channels, in unbiased, free of background searches.*

# Neutrino factory

$$\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$$

$$\nu_\mu \rightarrow \nu_e \rightarrow e^- \quad \text{appearance}$$

$$\nu_\mu \quad \text{disappearance}$$

$$\nu_\mu \rightarrow \nu_\tau \rightarrow \tau^- \quad \text{appearance}$$

$$\bar{\nu}_e \quad \text{disappearance}$$

$$\bar{\nu}_e \rightarrow \bar{\nu}_\mu \rightarrow \mu^+ \quad \text{appearance}$$

$$\bar{\nu}_e \rightarrow \bar{\nu}_\tau \rightarrow \tau^+ \quad \text{appearance}$$

Plus their charge conjugates with  $\mu^+$  beam

Ideal detector should be able to measure **12 different processes**

$\Rightarrow$  Detect:  $\mu^+$ ,  $\mu^-$ , e, NC,  $\tau$

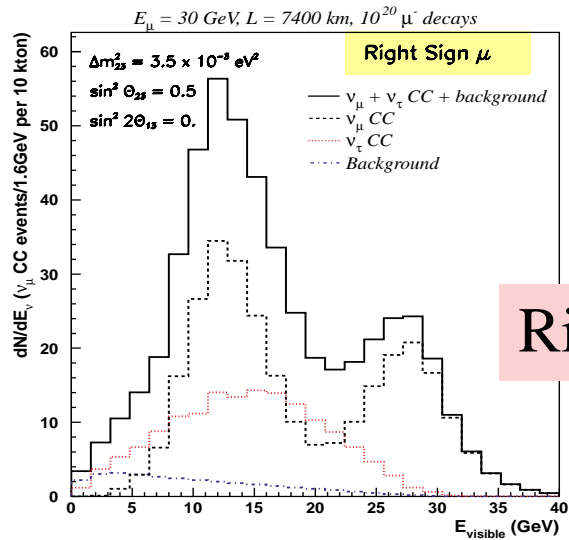
$\Rightarrow$  Various baselines

$\Rightarrow$  Various muon energies

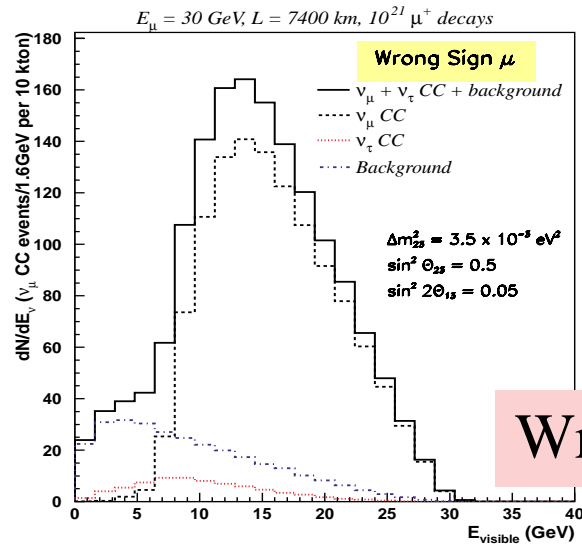
$O(10^{21})$   $\mu$  required

Baseline $\rightarrow$		L=732 km	L=2900 km	L=7400 km
Muon Energy $\rightarrow$		$E_\mu = 5$ GeV	$E_\mu = 30$ GeV	$E_\mu = 30$ GeV
$10^{21}$ decays	$\nu_\mu$ CC	6150	72000	11300
	$\nu_\mu$ NC	1590	20600	3400
	$\bar{\nu}_e$ CC	2150	27600	4370
	$\bar{\nu}_e$ NC	630	9950	1500
$10^{21}$ decays	$\bar{\nu}_\mu$ CC	2450	31900	5000
	$\bar{\nu}_\mu$ NC	750	11200	1750
	$\nu_e$ CC	5550	64500	9900
	$\nu_e$ NC	1350	18300	2900

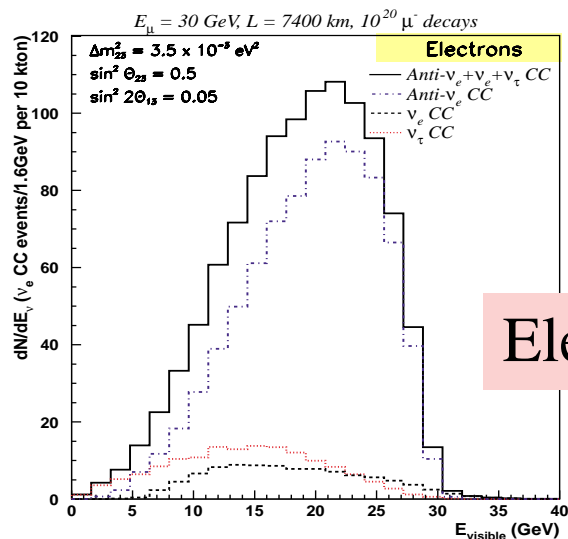
# Event classes



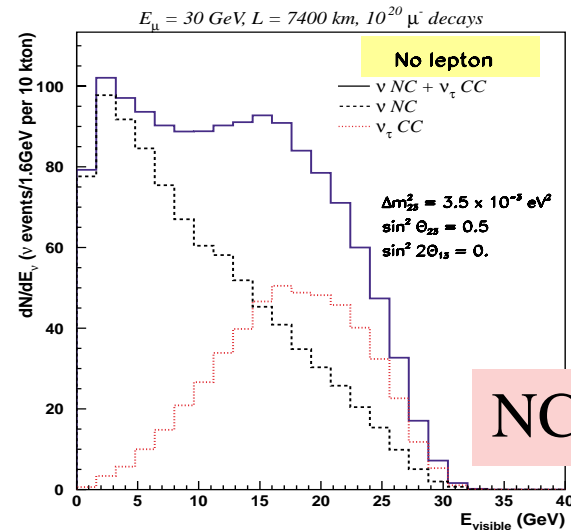
Right sign  $\mu$



Wrong sign  $\mu$



Electrons

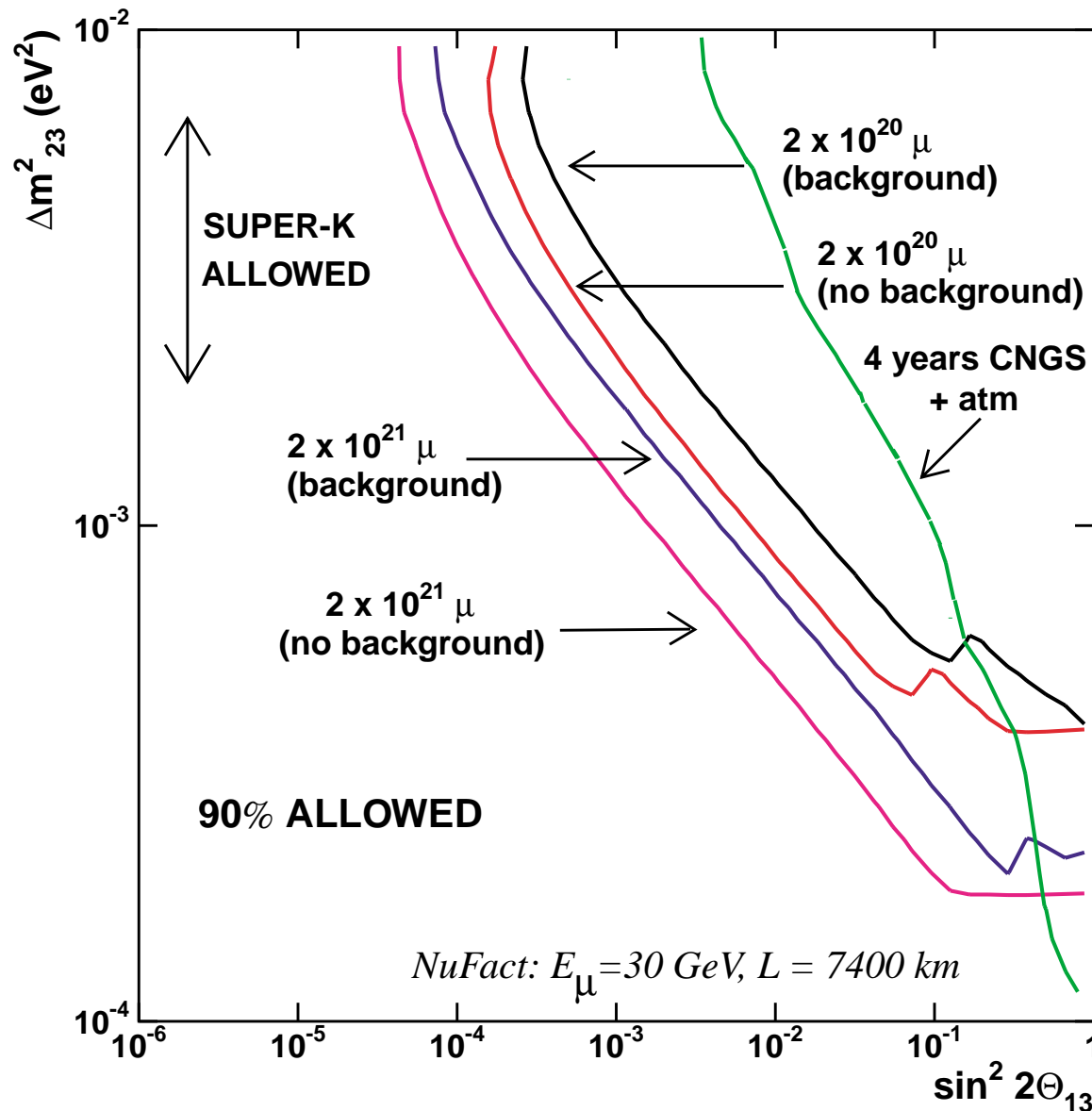


NC-like

Combining all classes  $\Rightarrow$  (over-constrained) sensitivity to all oscillations!



# Expected sensitivity to $\theta_{13}$ at a neutrino factory



- ★ Very long baseline:  
L=7400 km
- ★ Search for wrong-sign muons
- Strongly depends on background level for wrong-sign muons
- Might be the only way to measure  $\theta_{13}$

# Conclusion

- ★ The ICARUS T600, based on the novel ICARUS technique, **is now almost ready.**
- ★ Given the past record with previous prototypes, we are confident that also the T600 will come into operation smoothly...
  - **We hope to present the first 20 m long tracks with 3 mm granularity soon!**
  - It will demonstrate that the **technique**, even on such large scales, is now **mature.**
- ★ Then, the first T600 Module should be installed into the Gran Sasso tunnel.
- ★ Operation inside tunnel in the course of next year (2002) should allow an appropriate scaling up for the increase of the mass
- ★ The technology, once it is **scaled to the “right” size**, will become a powerful tool in particle physics, in particular in order to explore neutrino oscillations from both accelerator and non-accelerator beams and proton decay.